

Archive ouverte UNIGE

https://archive-ouverte.unige.ch

Article scientifique

Article

2018

Published version

Open Access

This is the published version of the publication, made available in accordance with the publisher's policy.

Multinational case-control study of risk factors for the development of late invasive pulmonary aspergillosis following kidney transplantation

López-Medrano, F; Aguado, J M

Collaborators: Van Delden, Christian

How to cite

LÓPEZ-MEDRANO, F, AGUADO, J M. Multinational case-control study of risk factors for the development of late invasive pulmonary aspergillosis following kidney transplantation. In: Clinical Microbiology and Infection, 2018, vol. 24, n° 2, p. 192–198. doi: 10.1016/j.cmi.2017.06.016

This publication URL: https://archive-ouverte.unige.ch/unige:144213

Publication DOI: <u>10.1016/j.cmi.2017.06.016</u>

© This document is protected by copyright. Please refer to copyright holder(s) for terms of use.



Contents lists available at ScienceDirect

Clinical Microbiology and Infection

journal homepage: www.clinicalmicrobiologyandinfection.com



Original article

Multinational case-control study of risk factors for the development of late invasive pulmonary aspergillosis following kidney transplantation

F. López-Medrano ^{1,*,37}, M. Fernández-Ruiz ^{1,37}, J.T. Silva ¹, P.L. Carver ², C. van Delden ³, E. Merino ⁴, M.J. Pérez-Saez ⁵, M. Montero ⁶, J. Coussement ⁷, M. de Abreu Mazzolin ⁸, C. Cervera ⁹, L. Santos ¹⁰, N. Sabé ¹¹, A. Scemla ¹², E. Cordero ¹³, L. Cruzado-Vega ¹⁴, P.L. Martín-Moreno ¹⁵, Ó. Len ¹⁶, E. Rudas ¹⁷, A. Ponce de León ¹⁸, M. Arriola ¹⁹, R. Lauzurica ²⁰, M.D. David ²¹, C. González-Rico ²², F. Henríquez-Palop ²³, J. Fortún ²⁴, M. Nucci ²⁵, O. Manuel ²⁶, J.R. Paño-Pardo ²⁷, M. Montejo ²⁸, A. Vena ^{29,30,31}, B. Sánchez-Sobrino ³², A. Mazuecos ³³, J. Pascual ⁵, J.P. Horcajada ⁶, T. Lecompte ³, A. Moreno ⁹, J. Carratalà ¹¹, M. Blanes ³⁴, D. Hernández ¹⁷, E.A. Hernández-Méndez ¹⁸, M.C. Fariñas ²², M. Perelló-Carrascosa ³⁵, P. Muñoz ^{29,30,31}, A. Andrés ³⁶, J.M. Aguado ¹, the Spanish Network for Research in Infectious Diseases (REIPI), the Group for the Study of Infection in Transplant Recipients (GESITRA) of the Spanish Society of Clinical Microbiology and Infectious Diseases (SEIMC), the Study Group for Infections in Compromised Hosts (ESGICH) of the European Society of Clinical Microbiology and Infectious Diseases (ESCMID), the Swiss Transplant Cohort Study (STCS)

¹⁾ Unit of Infectious Diseases, Hospital Universitario "12 de Octubre", Instituto de Investigación Hospital "12 de Octubre" (i+12), Department of Medicine, School of Medicine, Universidad Complutense, Madrid, Spain

²⁾ University of Michigan College of Pharmacy, Department of Clinical Pharmacy, University of Michigan Health System, Ann Arbor, MI, USA

³⁾ Unit of Transplant Infectious Diseases, Department of Medical Specialities, University Hospitals Geneva, Geneva, Switzerland

⁴⁾ Unit of Infectious Diseases, Hospital Universitario General, Alicante, Spain

⁵⁾ Department of Nephrology, Hospital del Mar, Hospital del Mar Medical Research Institute (IMIM), Barcelona, Spain

⁶⁾ Department of Infectious Diseases, Hospital del Mar, Hospital del Mar Medical Research Institute (IMIM), Barcelona, Spain

⁷⁾ Department of Nephrology, Dialysis and Kidney Transplantation, CUB-Hôpital Erasme, Université Libre de Bruxelles, Brussels, Belgium

⁸⁾ Division of Nephrology, Department of Medicine, Universidade Federal de São Paulo-UNIFESP and Hospital do Rim e Hipertensão, Fundação Oswaldo Ramos, São Paulo, Brazil

⁹⁾ Department of Infectious Diseases, Hospital Clinic, Institut d'Investigacions Biomèdiques August Pi i Sunyer (IDIBAPS), School of Medicine, University of Barcelona, Barcelona, Spain

¹⁰⁾ Unit of Renal Transplantation, Department of Urology and Kidney Transplantation, Coimbra Hospital and University Centre, Coimbra, Portugal

¹¹⁾ Department of Infectious Diseases, Hospital Universitari de Bellvitge, Institut d'Investigació Biomèdica de Bellvitge (IDIBELL), Barcelona, Spain

¹²⁾ Service de Néphrologie et Transplantation Adulte, Hôpital Necker Enfants Malades, Assistance Publique-Hôpitaux de Paris, Université Paris Descartes Sorbonne Paris Cité, RTRS Centaure, Paris, France

¹³⁾ Department of Infectious Diseases, Hospitales Universitarios "Vigen del Rocío", Instituto de Biomedicina de Sevilla (IBIS), Seville, Spain

¹⁴⁾ Department of Nephrology, Hospital Universitario "La Fe", Valencia, Spain

¹⁵⁾ Department of Nephrology, Clínica Universitaria de Navarra, Pamplona, Spain

¹⁶⁾ Department of Infectious Diseases, Hospital Universitari Vall d'Hebrón, Vall d'Hebron Research Institute (VHIR), Barcelona, Spain

¹⁷⁾ Department of Nephrology, Hospital Universitario "Carlos Haya", Málaga, Spain

¹⁸⁾ Department of Transplantation, Instituto Nacional de Ciencias Médicas y Nutrición "Salvador Zubirán", México DF, Mexico

¹⁹⁾ Clínica de Nefrología, Urología y Enfermedades Cardiovasculares, Santa Fe, Argentina

²⁰⁾ Department of Nephrology, University Hospital Germans Trias i Pujol, Badalona, Barcelona, Spain

²¹⁾ Department of Microbiology, University Hospitals Birmingham NHS Foundation Trust, Birmingham, UK

²²⁾ Department of Infectious Diseases, University Hospital "Marqués de Valdecilla", Santander, Spain

²³⁾ Department of Nephrology, University Hospital "Doctor Negrín", Las Palmas de Gran Canaria, Spain

²⁴⁾ Department of Infectious Diseases, University Hospital "Ramón y Cajal", Madrid, Spain

^{*} Corresponding author. F. López-Medrano, Unit of Infectious Diseases, Hospital Universitario "12 de Octubre", Centro de Actividades Ambulatorias, 2ª planta, bloque D. Avda. de Córdoba, Madrid 28041, Spain.

E-mail address: flmedrano@yahoo.es (F. López-Medrano).

³⁷ F. López-Medrano and M. Fernández-Ruiz contributed equally to this work.

- ²⁵⁾ Department of Internal Medicine, Hematology Service and Mycology Laboratory, Hospital Universitário Clementino Fraga Filho, Universidade Federal do Rio de Janeiro. Rio de Janeiro. Brazil
- ²⁶⁾ Department of Infectious Diseases and Transplantation Center, University Hospital (CHUV) and University of Lausanne, Switzerland
- ²⁷⁾ Infectious Diseases and Clinical Microbiology Unit, Department of Internal Medicine, Hospital Universitario "La Paz", School of Medicine, Universidad Autónoma de Madrid, Madrid, Spain
- ²⁸⁾ Department of Infectious Diseases, Hospital Universitario Cruces, Barakaldo, Bilbao, Spain
- ²⁹⁾ Department of Clinical Microbiology and Infectious Diseases, Hospital General Universitario Gregorio Marañón, Instituto de Investigación Sanitaria Hospital Gregorio Marañón, Madrid, Spain
- ³⁰⁾ CIBER Enfermedades Respiratorias CIBERES (CB06/06/0058), Madrid Spain
- 31) Medicine Department, School of Medicine, Universidad Complutense de Madrid, Madrid, Spain
- 32) Department of Nephrology, Hospital Universitario Puerta de Hierro-Majadahonda, School of Medicine, Universidad Autónoma de Madrid, Madrid, Spain
- ³³⁾ Department of Nephrology, Hospital Universitario "Puerta del Mar", Cádiz, Spain
- ³⁴⁾ Unit of Infectious Diseases, Hospital Universitario "La Fe", Valencia, Spain
- ³⁵⁾ Department of Nephrology, Hospital Universitari Vall d'Hebrón, Vall d'Hebron Research Institute (VHIR), Barcelona, Spain
- ³⁶⁾ Department of Nephrology, Hospital Universitario "12 de Octubre", Instituto de Investigación Hospital "12 de Octubre" (i+12), Department of Medicine, School of Medicine, Universidad Complutense, Madrid, Spain

ARTICLE INFO

Article history:
Received 31 March 2017
Received in revised form
14 June 2017
Accepted 15 June 2017
Available online 23 June 2017

Editor: C. Pulcini

Keywords: Case-control study Kidney transplantation Late invasive pulmonary aspergillosis Risk factors

ABSTRACT

Objectives: To assess the risk factors for development of late-onset invasive pulmonary aspergillosis (IPA) after kidney transplantation (KT).

Methods: We performed a multinational case-control study that retrospectively recruited 112 KT recipients diagnosed with IPA between 2000 and 2013. Controls were matched (1:1 ratio) by centre and date of transplantation. Immunosuppression-related events (IREs) included the occurrence of nonventilator-associated pneumonia, tuberculosis, cytomegalovirus disease, and/or *de novo* malignancy. Results: We identified 61 cases of late (>180 days after transplantation) IPA from 24 participating centres (accounting for 54.5% (61/112) of all cases included in the overall study). Most diagnoses (54.1% (33/61)) were established within the first 36 post-transplant months, although five cases occurred more than 10 years after transplantation. Overall mortality among cases was 47.5% (29/61). Compared with controls, cases were significantly older (p 0.010) and more likely to have pre-transplant chronic obstructive pulmonary disease (p 0.001) and a diagnosis of bloodstream infection (p 0.016) and IRE (p <0.001) within the 6 months prior to the onset of late IPA. After multivariate adjustment, previous occurrence of IRE (OR 19.26; 95% CI 2.07—179.46; p 0.009) was identified as an independent risk factor for late IPA.

Conclusion: More than half of IPA cases after KT occur beyond the sixth month, with some of them presenting very late. Late IPA entails a poor prognosis. We identified some risk factors that could help the clinician to delimit the subgroup of KT recipients at the highest risk for late IPA. F. López-Medrano, Clin Microbiol Infect 2018;24:192

© 2017 European Society of Clinical Microbiology and Infectious Diseases. Published by Elsevier Ltd. All rights reserved.

Introduction

Invasive pulmonary aspergillosis (IPA) constitutes one of the most feared complications occurring in patients undergoing solid organ transplantation (SOT) in terms of both patient and graft survival [1–3]. Apart from local susceptibility associated with specific surgical procedures (e.g. ulcerative aspergillus tracheobronchitis at the bronchial anastomosis site after lung transplantation) [4], it is conventionally assumed that the lifelong use of immunosuppression to avoid graft rejection confers the most relevant risk for this event [5].

The intensity of the immunosuppressive therapy is usually higher during the first 6 months following SOT, and therefore this period has been traditionally considered as carrying the maximum risk for opportunistic infection including IPA [6]. Nevertheless, kidney transplant (KT) recipients require potent triple-drug regimens—often containing steroids, calcineurin inhibitors, and antiproliferative agents—for indefinite time periods [7]. Although the relative risk of post-transplant IPA after KT is lower compared with other types of grafts [1,3,8], KT recipients suffer from the highest absolute disease burden because of the large number of procedures performed worldwide [9,10]. In addition, recent decades have witnessed a continuous improvement in long-term graft survival [11], thus increasing the population of aged KT recipients chronically exposed to a high degree of immunosuppression.

Using a multicentre case-control design, we have recently analyzed the risk factors for the occurrence of early IPA (i.e. diagnosed within the first 180 days) after KT [12]. Only one previous study has analyzed the predisposing conditions for the late forms of infection, although its results were limited by its single-centre nature and by the inclusion of only 26 cases of late IPA [13].

Transplant physicians may benefit from identifying, among the increasing population of long-term KT recipients, that subgroup of patients at increased risk for late IPA to implement individualized follow-up and prevention strategies. Unfortunately, such an approach remains an unmet clinical need. To the best of our knowledge, this is the first study specifically aiming to ascertain the predisposing factors for the development of late IPA from a large representative population of KT recipients.

Materials and methods

Study design

This is a sub-analysis of a multinational retrospective casecontrol study performed in 29 hospitals from 10 European (Spain, Switzerland (six centres included in the Swiss Transplant Cohort Study [14]), Belgium, Portugal, France, and the UK) and American institutions (USA, Brazil, Mexico, and Argentina). Participating centres included cases of IPA diagnosed in KT recipients between 1 January 2000 and 31 December 2013 [12,15]. In the present a priori designed sub-analysis we focused on late episodes of IPA, defined as those diagnosed beyond the first 180 days after transplantation ("IPA cases"). The "control group" was selected (in a 1:1 ratio) among patients who underwent transplantation at the same centre within a 3-month period before or after the calendar date of the corresponding case but without the diagnosis of IPA throughout the post-transplant period. In addition, controls must have survived at least until the time of diagnosis of IPA in the index case. To take into account the effect of post-transplant events on the occurrence of late IPA, controls were assigned a "pseudo-date of diagnosis" to match their cases with the aim of ensuring comparable risk exposure periods in both groups. The criteria used to establish the date of IPA diagnosis are detailed in the Supplementary methods. This research adhered to the STROBE guidelines for observational studies. The study protocol was approved by the local ethics committee of the coordinating centre and of other participating sites as required.

Study definitions

IPA was defined according to the revised criteria proposed in 2008 by the European Organization for Research and Treatment of Cancer/Invasive Fungal Infections Cooperative Group and the National Institute of Allergy and Infectious Diseases Mycoses Study Group (EORTC/MSG) Consensus Group (details provided as Supplementary methods) [16]. It should be noted that we added a modified radiological criterion (beyond the classic dense, wellcircumscribed lesions with or without halo sign or cavitation) based on the presence of certain lung patterns that have been specifically associated with post-transplant IPA (peribronchial consolidation or tree-in-bud pattern) [17]. Additional study definitions (including IPA-attributable mortality, cytomegalovirus (CMV) disease, tuberculosis, pneumonia, respiratory tract viral infection, bloodstream infection (BSI) or post-transplant lymphoproliferative disorder (PTLD)) are available in Supplementary methods.

To encompass the different post-transplant complications that may be attributable to over-immunosuppression, we constructed a composite variable (termed "immunosuppression-related event" (IRE)) that included the occurrence of any of the following: non-ventilator-associated pneumonia, tuberculosis, CMV disease and/or post-transplant *de novo* malignancy (both PTLD and solid organ tumours). Community-acquired pneumonia has been previously recognized to be more common among SOT recipients because of immunosuppression [18], and therefore pneumococcal vaccination is strongly recommended for this population [19]. We did not consider within the definition of IRE certain post-transplant infections (such as BSI or ventilator-associated pneumonia) that arguably may be attributable to invasive procedures, instrumentation (i.e. indwelling catheters), or anatomical abnormalities rather than to the recipient's immune status.

Statistical analysis

Continuous variables were summarized by the mean \pm standard deviation (SD) or the median with interquartile ranges (IQR), while categorical variables were summarized using absolute counts and percentages. Categorical variables were compared using the McNemar test, whereas the Student t test for repeated measures or the Wilcoxon signed-ranks test were applied for continuous variables. Conditional logistic regression was used to identify independent risk factors for the development of late IPA. Those variables found to be significant ($p \le 0.1$) at the univariate level were included in the multivariable models in a backward stepwise

fashion. Collinearity among explanatory variables was assessed by means of the variance inflation factor (VIF), with VIF values over 3 suggesting significant collinearity. Results are given as odds ratios (ORs) with 95% confidence intervals (CIs). As a secondary outcome, we compared patient survival from the date (for cases) or the "pseudodate" (for controls) of IPA diagnosis. Survival curves were plotted by the Kaplan-Meier method and differences between groups were compared with the log-rank test. All the significance tests were two-tailed. Statistical analysis was performed with SPSS version 20.0 (IBM Corp., Armonk, NY, USA) and graphics were generated with Prism v. 6.0 (GraphPad Software Inc., La Jolla, CA, USA).

Results

We included 61 cases of late IPA (14/61 (23.0%) proven and 47/61 (77.0%) probable) and their corresponding controls from 24 out of 29 participating centres (i.e. five centre did not contribute to the present sub-analysis). This figure accounts for 54.5% (61/112) of all the cases enrolled in the overall study. Twenty-nine out of 61 cases (47.5%) were diagnosed between 2010 and 2013. The median time interval between transplantation and diagnosis was 34.4 months (IQR 11.8-78.5). Most diagnoses (54.1% (33/61)) were established within the first 36 months, although this period spanned more than 27 years (with five very late-onset cases occurring after the 10th year) (Fig. 1). The median follow-up from the date (for cases) or the "pseudo-date" of diagnosis (for controls) was 476 days (IQR 70.0-1298.5). Overall and IPA-attributable mortality among IPA cases was 47.5% (29/61) and 21.3% (13/61) and occurred at a median of 53.5 days (IQR 14.5-171.5) and 15 days (IQR 7.3-33.3), respectively, from diagnosis. There were no significant differences in 1-year survival rates between cases occurring in months 6 to 36 or >36 months after transplantation (55.0% vs. 41.0%, respectively; log-rank test p 0.619). Among survivors, 9.4% (3/32) patients experienced definitive graft failure requiring return to permanent dialysis. None of the patients in the control group died during the follow-up. One-year survival was significantly lower among cases than controls (49.0% vs. 100.0%; log-rank test p 0.021).

The demographics and pre-transplant factors of patients who developed late IPA and their controls are compared in Table 1. Cases were significantly older $(54.6 \pm 14.2 \text{ vs. } 48.6 \pm 15.5 \text{ years}; \text{ p } 0.010)$ and more likely to have pre-transplant chronic obstructive pulmonary disease (COPD) (18.0% (11/61) versus 0.0% (0/61); p 0.001) than control counterparts. The prevalence of underlying diabetic nephropathy as a reason for end-stage renal disease requiring transplantation was also higher among cases, although not achieving statistical significance (19.7% (12/61) vs. 6.6% (4/61); p 0.077)

Donor- and transplant-related and post-transplant variables are compared in Table 2. Cases were more likely to have been diagnosed with an IRE during the 6 months prior to the onset of IPA (34.4% (21/61) vs. 3.3% (2/61); p <0.001), with significant (for nonventilator-associated pneumonia and CMV disease) or near significant differences (for post-transplant *de novo* malignancy) observed for each of the different individual events included in this composite variable. PTLD was the predominant type of malignancy diagnosed. A prior occurrence of BSI was also more common among cases than controls (11.5% (7/61) vs. 0.0% (0/61); p 0.016). No significant differences were observed between the groups regarding the prior occurrence of acute graft rejection or the requirement of steroid boluses. None of these episodes were treated with lymphocyte-depleting agents as anti-rejection therapy, and only one of them (in the control group) received rituximab.

Finally, age at transplantation, pre-transplant COPD, underlying diabetic nephropathy, and the diagnosis of an IRE or BSI within the

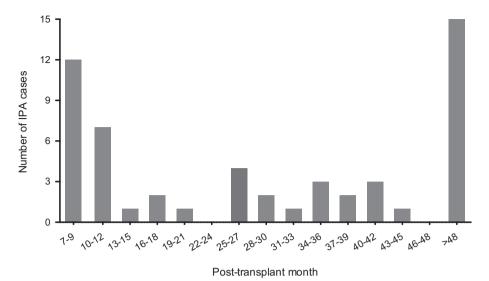


Fig. 1. Temporal distribution of cases of late invasive pulmonary aspergillosis occurring according to post-transplant month of diagnosis.

Table 1Comparison of demographics and pre-transplant variables between KT recipients with and without late IPA

Variable	Late IPA group $(n = 61)$	Control group ($n = 61$)	p ^a
Age, years, mean ± SD	54.6 ± 14.2	48.6 ± 15.5	0.010
Gender (male), n (%)	33 (54.1)	38 (62.3)	0.458
Pre-transplant conditions, n (%)			
Diabetes mellitus	18 (29.5)	9 (14.7)	0.093
Chronic obstructive pulmonary disease	11 (18.0)	0 (0.0)	0.001
Pre-transplant corticosteroid therapy, $n (\%)^b$	6 (10.3)	5 (8.8)	0.754
BMI at transplantation, kg/m ² , mean \pm SD ^c	24.3 ± 3.6	26.7 ± 7.3	0.074
Previous kidney transplantation, n (%)	7 (11.5)	8 (13.1)	1.000
Underlying end-stage renal disease, n (%)			
Glomerulonephritis	14 (23.0)	14 (23.0)	1.000
Diabetic nephropathy	12 (19.7)	4 (6.6)	0.077
Nephroangiosclerosis	8 (13.1)	8 (13.1)	1.000
Polycystic kidney disease	8 (13.1)	11 (18.0)	0.824
Chronic interstitial nephropathy	3 (4.9)	3 (4.9)	1.000
Congenital nephropathy	2 (3.3)	3 (4.9)	1.000
Lupus nephropathy	1 (1.6)	1 (1.6)	1.000
Reflux nephropathy	0 (0.0)	1 (1.6)	1.000
Unknown	6 (9.8)	9 (14.8)	0.388
Other	7 (11.5)	7 (11.5)	0.549
Pre-transplant positive serostatus, n (%)			
Hepatitis C virus	6 (9.8)	1 (1.6)	0.125
Hepatitis B virus (surface antigen)	2 (3.3)	4 (6.6)	0.625
Epstein-Barr virus (anti-EBNA) ^d	49 (87.5)	47 (83.9)	0.754
CMV ^e	45 (73.8)	45 (75.0)	1.000
Pre-transplant maintenance dialysis, n (%)	55 (90.2)	54 (88.5)	1.000
Duration, months, median (IQR)	23 (15-41)	19.5 (12-45.8)	1.000

CMV, cytomegalovirus; EBNA, Epstein-Barr virus nuclear antigen; HBc, hepatitis B core antigen; ICU, intensive care unit; IPA, invasive pulmonary aspergillosis; IQR, interquartile range; SD, standard deviation.

- ^a Significant p values (<0.05) are expressed in bold.
- b Data available for 58 cases and 57 controls.
- ^c Data available for 43 cases and 43 controls.
- d Data available for 56 cases and 56 controls.
- e Data available for 61 cases and 60 controls.

preceding 6 months were entered into the conditional logistic regression model (Table 3). Linear regression analysis showed no significant collinearity among these explanatory variables, with all VIF values <1.5 (data not shown). After multivariate adjustment, prior diagnosis of IRE (OR 19.26; 95% CI 2.07–179.46; p 0.009) was identified as the only independent risk factor associated with late IPA.

Discussion

To our knowledge, our multinational retrospective case-control study represents the largest effort to date to explore the clinical outcome of and risk factors for IPA in the specific population of KT recipients. Our experience highlights the poor prognosis conferred by the late forms of this opportunistic infection, as more than half

Table 2Comparison of donor- and transplant-related factors, post-transplant events, and outcomes

Variable	Late IPA group $(n = 61)$	Control group $(n = 61)$	p ^a
Age of donor, years, mean ± SD	49.8 ± 16.3	46.8 ± 13.5	0.283
Living donor, n (%)	12 (19.7)	12 (19.7)	1.000
Double kidney transplantation, n (%)	3 (4.9)	0 (0.0)	0.250
Induction therapy, $n (\%)^{b}$			
None	22 (36.7)	20 (33.9)	1.000
Anti-CD25 (basiliximab or daclizumab)	22 (36.7)	20 (33.9)	0.815
Anti-thymocyte globulin	16 (26.7)	19 (32.2)	0.648
Primary immunosuppression regimen including, n (%) ^b			
Steroids	54 (88.5)	57 (93.4)	0.375
Tacrolimus	29 (48.3)	30 (50.8)	1.000
Cyclosporine	19 (31.7)	20 (33.9)	1.000
MMF / MPA	47 (78.3)	50 (84.7)	0.375
Azathioprine	5 (8.5)	7 (11.9)	0.375
mTOR inhibitor	6 (10.0)	2 (3.4)	0.219
Length of hospital admission for transplantation, days, median (IQR)	12 (8-18.8)	11 (6.3-18.8)	0.314
Delayed graft function, n (%)	13 (21.3)	8 (13.1)	0.388
Surgical reintervention, n (%) ^c	6 (10.2)	2 (3.7)	0.687
eGFR at month 3 after transplantation, mL/min/1.72 m ² , mean \pm SD ^d	23.8 ± 3.2	25.6 ± 3.4	0.873
eGFR at month 6 after transplantation, mL/min/1.72 m ² , mean \pm SD ^e	22.9 ± 3.1	20.5 ± 2.8	0.159
Leukopenia ($<3.0 \times 10^9$ cells/L), n (%) ^{f,g}	10 (16.9)	6 (10.2)	0.388
Neutropenia ($<1.5 \times 10^9$ cells/L), n (%) ^{f,h}	6 (12.2)	3 (6.2)	0.687
Serum IgG levels, mg/dL, mean \pm SD ⁱ	879 ± 627	763 ± 571	0.750
Post-transplant events within the previous 6 months, n (%)			
IRE ^{k,l}	21 (34.4)	2 (3.3)	0.000
CMV disease	10 (16.4)	1 (1.6)	0.004
Non ventilator-associated pneumonia	9 (14.8)	1 (1.6)	0.021
De novo malignancy ^m	5 (8.2)	0 (0.0)	0.063
Laboratory-confirmed respiratory tract viral infection ⁿ	5 (8.2)	0 (0.0)	0.063
Bloodstream infection ^o	7 (11.5)	0 (0.0)	0.016
ICU admission for \geq 72 hours	2 (3.3)	0 (0.0)	0.500
Acute graft rejection	4 (6.6)	5 (8.2)	1.000
Episode treated with steroid boluses	4 (4.9)	5 (8.2)	0.687
Overall mortality, n (%)	29 (47.5)	0 (0.0)	0.001
IPA-attributable mortality, n (%)	13 (21.3)	-	NA

CMV, cytomegalovirus; eGFR, estimated glomerular filtration rate; ICU, intensive care unit; IgG, immunoglobulin G; IPA, invasive pulmonary aspergillosis; IQR, interquartile range; IRE, immunosuppression-related event; MMF / MPA, mofetil mycophenolate / mycophenolate acid; mTOR, mammalian target of rapamycin; NA, not applicable.

- ^a Significant p values (<0.05) are expressed in bold.
- b Data available for 60 cases and 59 controls.
- ^c Data available for 59 cases and 54 controls.
- d Data available for 56 cases and 56 controls.
- ^e Data available for 54 cases and 54 controls.
- f At any point during the first 6 months after transplantation.
- g Data available for 59 cases and 59 controls.
- $^{\rm h}$ Data available for 49 cases and 48 controls.
- i Serum IgG levels measured within the 6-month period prior to or following the date of diagnosis of IPA (for cases) or the analogous "pseudo-date" of diagnosis (for controls). Data available for 10 cases and four controls.
- j Events occurring within the 6-month period prior to the date or the "pseudo-date" of diagnosis of IPA.
- k The total number of IREs may be less than the sum of each condition as more than one event was consecutively present in some patients.
- ¹ There were three cases of post-transplant tuberculosis, although none of them occurred within the 6-month period prior to the date or the "pseudo-date" of diagnosis of IPA.
- m Includes PTLD (three cases), colorectal adenocarcinoma, and metastatic adenocarcinoma of unknown primary origin (one case each).
- ⁿ Includes influenza virus infection (four cases).
- o Includes BSI caused by Enterobacteriaceae (three cases), Pseudomonas aeruginosa, Staphylococcus epidermidis, S. aureus, and Candida albicans (one case each).

of the included patients had died at a median time of less than 2 months from diagnosis. In addition, IPA-attributable mortality was assumed in more than 20% of cases. Notwithstanding such an ominous picture, in our previous study we reported even worse figures for early IPA (first 180 days), with global and attributable mortality of 60.8% and 45.1%, respectively [12]. We hypothesize that this difference may be explained by the relatively more intensive immunosuppression among patients in their first post-transplant months [15].

Remarkably, although most of the episodes of late IPA occurred within the first 3 years, almost 10% of them were diagnosed across a large time period covering more than a decade after transplantation, including some very late-onset episodes occurring more than 10 years post-transplantation. In a previous series of IPA among KT recipients [13], 43% of the 41 cases were diagnosed

beyond the sixth month, and six (14%) beyond the fifth year post-transplantation. These concordant results reinforce the previously stated concept [20] that the period at risk for severe opportunistic infection continues far beyond the classical time scheme proposed for SOT recipients.

Despite the wide range of time between KT and the onset of late IPA, we were still able to identify some factors associated with this event. Cases were more likely to have been diagnosed with COPD, although such association only showed borderline univariate significance. The presence of pre-transplant COPD may reflect underlying injury to the lung parenchyma [12,21] or act as a surrogate marker for prolonged corticosteroid exposure. BSI during the 6 preceding months was also more common among cases. Comparable associations have been reported previously for the overall SOT population [8] or, specifically, KT recipients [12]. The occurrence of

Table 3Uni- and multivariable analyses (conditional logistic regression) of risk factors predicting the occurrence of late IPA

Variables	Univariate analysis		Multivariate analysis			
	OR	95% CI	p value	OR	95% CI	p value
Age at transplantation, years ^a	1.04	1.01-1.08	0.017		_	_
Diabetic nephropathy	3.00	0.97-9.30	0.057	-	-	-
Pre-transplant COPD	65.29	0.51-8324.28	0.091	-	-	_
Prior IRE ^{b,c}	20.00	2.68-149.02	0.003	19.26	2.07-179.46	0.009
Prior BSI ^b	7.00	0.86-56.89	0.069	-	-	-

BSI, bloodstream infection; COPD, chronic obstructive pulmonary disease; IPA, invasive pulmonary aspergillosis; IRE, immunosuppression-related event.

BSI may identify patients commonly suffering from invasive procedures, impaired graft function, and antibiotic therapy exposure, which overall reflect increased patient frailty.

Following the example of previous studies [22], we created a composite variable (IRE) that summarized post-transplant complications—such as severe non-device-associated infections, CMV disease or de novo cancer—that are consistently assumed to indicate an excess of immunosuppression. In the regression model this condition displayed a significant association with the development of IPA during the following 6 months. Other authors have also reported the observation of episodes of pneumonia preceding the onset of IPA [23,24]. On the other hand, the deleterious impact exerted by CMV on the risk of IPA has been well established for the SOT recipient [8,25,26]. In accordance with this rationale, the incidence of CMV disease in our experience was 10 times higher among cases than controls (16.4% vs. 1.6%, respectively). In a similar way, a recent diagnosis of de novo cancer (either PTLD or solid organ tumour) had been made in almost one out of every 10 cases compared with none of the controls. In a French nationwide epidemiological study, both haematological and solid organ malignancies have been described as an important risk factor for invasive aspergillosis [3]. In addition to the direct deleterious effect of the oncological therapies (B-cell-depleting agents such as rituximab or cytotoxic chemotherapy) on the host's response and infection susceptibility, the function of natural killer cells (which significantly contribute to the protective immunity against fungi [27]) has been shown to be impaired in KT recipients with posttransplant cancer [28].

The design of our study (case-control study) prevents us from estimating the actual incidence of late IPA among KT recipients that develop an episode of IRE. Case-control studies can generate plausible associations rather than demonstrate direct causality. In our opinion, such a circumstance and the heterogeneous distribution of IPA cases over a very long post-transplant period would make it unreasonable to propose the use of antifungal prophylaxis for those recipients fulfilling the characterized risk factors. Nevertheless, our findings do support the recommendation of maintaining a low threshold for suspicion of post-transplant IPA in patients with compatible respiratory symptoms and underlying COPD or recently diagnosed with a serious infection, CMV disease or post-transplant cancer. In addition, this clinical awareness should be maintained even for very long-term KT recipients, as IPA may occur many years after transplantation. In this context, we have previously shown the protean clinical features of IPA among KT recipients and the correlation between the timely initiation of antifungal therapy and the outcome [15].

Strengths of the present collaborative effort include its multicentre nature, the use of uniform diagnostic criteria, and the standardized collection of a large number of variables. However, some limitations must be acknowledged, such as its retrospective design and the relatively low sample size that may have limited statistical power. Therefore, confidence intervals for risk estimates were wide. Most IPA cases were categorized as "probable" rather than "proven" [16]. The protracted inclusion period imposes heterogeneity among participating centres in immunosuppression and standard of care. Nonetheless, the low incidence among KT recipients of late-onset IPA made this approach the only practical method to collect a meaningful number of cases. We lacked detailed data on certain relevant factors (such as the receipt of rituximab or cytotoxic chemotherapy among patients with PTLD). Finally, we were unable to estimate the incidence of late IPA because of the lack of denominator figures (i.e. number of transplant procedures performed at each centre or number of at-risk recipients during the study period) as our research was conceived exclusively to ascertain the risk factors for developing such condition. Thus, we chose a case-control design rather than other approaches (i.e. nested case-control study within a multicentre cohort).

In conclusion, late IPA may develop among KT recipients even more than 10 years after transplantation and entails a very poor prognosis. The preceding diagnosis of post-transplant adverse events reflecting an excess of immunosuppression, such as serious or opportunistic infection or *de novo* malignancy, may be useful to identify those patients at the highest risk for this complication.

Transparency declaration

F. López-Medrano has been paid for talks on behalf of Pfizer, Gilead Sciences and Astellas Pharma. M. Fernández-Ruiz has been paid for talks on behalf of Pfizer and Gilead Sciences. C. van Delden has been consultant for Basilea, Debiopharm, Gilead Sciences, Merck Sharp and Dohme, Pfizer, and Astellas Pharma. Ó. Len has been paid for talks on behalf of Astellas Pharma and Merck Sharp and Dohme, and has received grants from Merck Sharp and Dohme and Astellas. O. Manuel has received unrestricted grants for research from Roche and Lophius Bioscience. M. Arriola has been consultant for Novartis, Pfizer, and Astellas Pharma. M. D. David has been a consultant for Merck Sharp and Dohme and has received a travel grant to attend conferences from Astellas Pharma and Gilead. J. Fortún has received grant support from Astellas Pharma, Gilead Sciences, Merck Sharp and Dohme, Pfizer, and Instituto de Salud Carlos III. R. Lauzurica has been paid for talks on behalf of Novartis and Astellas Pharma. M. Blanes has been paid for talks on behalf of Astellas, Pfizer, Gilead, and Merck Sharp and Dohme. J. M. Aguado has been a consultant to and on the speakers' bureau for Astellas Pharma, Pfizer, Gilead, Merck Sharp and Dohme, and Roche. The remaining authors of this manuscript have no conflicts of interest to disclose. This research was supported by Plan Nacional de I+D+i and Instituto de Salud Carlos III (Proyecto Integrado de Excelencia (PIE) 13/00045), Subdirección General de Redes y Centros de

^a OR per unitary increment.

b Events occurring within the 6 months previous to the date of diagnosis of IPA for cases or the analogous "pseudo-date of diagnosis" for corresponding controls.

^c Includes non-ventilator-associated pneumonia, CMV disease and post-transplant *de novo* malignancy.

Investigación Cooperativa, Spanish Ministry of Economy and Competitiveness, Spanish Network for Research in Infectious Diseases (REIPI RD12/0015) - co-financed by the European Development Regional Fund (EDRF) "A way to achieve Europe". This study was also co-funded by an unrestricted grant from Pfizer Pharmaceutical (REI-ANT-2013—01). M. Fernández-Ruiz holds a clinical research contract "Juan Rodés" (JR14/00036) from the Instituto de Salud Carlos III, Spanish Ministry of Economy and Competitiveness. These funding institutions had no involvement in the study design; in the collection, analysis, and interpretation of data; in the writing of the paper; or in the decision to submit it for publication.

Acknowledgements

The authors would like to acknowledge Mariano Barroso for his kind assistance with the creation of the database. The present study was performed under the scientific auspice of the Group for the Study of Infection in Transplant Recipients (GESITRA) of the Spanish Society of Clinical Microbiology and Infectious Diseases (SEIMC) and the Spanish Network Research of Infectious Diseases (REIPI).

Appendix A. Supplementary data

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.cmi.2017.06.016.

References

- [1] Cornet M, Fleury L, Maslo C, Bernard JF, Brucker G, Invasive Aspergillosis Surveillance Network of the Assistance Publique-Hôpitaux de Paris. Epidemiology of invasive aspergillosis in France: a six-year multicentric survey in the Greater Paris area. J Hosp Infect 2002;51:288–96.
- [2] Morgan J, Wannemuehler KA, Marr KA, Hadley S, Kontoyiannis DP, Walsh TJ, et al. Incidence of invasive aspergillosis following hematopoietic stem cell and solid organ transplantation: interim results of a prospective multicenter surveillance program. Med Mycol 2005;43(Suppl 1):S49–58.
- [3] Lortholary O, Gangneux JP, Sitbon K, Lebeau B, de Monbrison F, Le Strat Y, et al. Epidemiological trends in invasive aspergillosis in France: the SAIF network (2005–2007. Clin Microbiol Infect 2011;17:1882–9.
- [4] Fernández-Ruiz M, Silva JT, San-Juan R, de Dios B, García-Luján R, López-Medrano F, et al. *Aspergillus* tracheobronchitis: report of 8 cases and review of the literature. Medicine (Baltimore) 2012;91:261–73.
 [5] Singh N, Husain S. AST Infectious diseases community of practice. Aspergil-
- [5] Singh N, Husain S. AST Infectious diseases community of practice. Aspergillosis in solid organ transplantation. Am J Transplant 2013;13(Suppl 4): 228–41
- [6] Fishman JA, Issa NC. Infection in organ transplantation: risk factors and evolving patterns of infection. Infect Dis Clin North Am 2010;24:273–83.
- [7] Kidney Disease: Improving Global Outcomes Transplant Work Group. KDIGO clinical practice guideline for the care of kidney transplant recipients. Am J Transplant 2009;9(Suppl 3):S1-155.
- [8] Gavaldà J, Len O, San Juan R, Aguado JM, Fortún J, Lumbreras C, et al. Risk factors for invasive aspergillosis in solid-organ transplant recipients: a casecontrol study. Clin Infect Dis 2005;41:52—9.
- [9] Pappas PC, Alexander BD, Andes DR, Hadley S, Kauffman CA, Freifeld A, et al. Invasive fungal infections among organ transplant recipients: results of the Transplant-Associated Infection Surveillance Network (TRANSNET). Clin Infect Dis 2010;50:1101–11.

- [10] Neofytos D, Treadway S, Ostrander D, Alonso CD, Dierberg KL, Nussenblatt V, et al. Epidemiology, outcomes, and mortality predictors of invasive mold infections among transplant recipients: a 10-year, single-center experience. Transpl Infect Dis 2013;15:233—42.
- [11] Ojo AO, Hanson JA, Wolfe RA, Leichtman AB, Agodoa LY, Port FK. Long-term survival in renal transplant recipients with graft function. Kidney Int 2000;57: 307—13.
- [12] López-Medrano F, Silva JT, Fernández-Ruiz M, Carver PL, van Delden C, Merino E, et al. Risk factors associated with early invasive pulmonary aspergillosis in kidney transplant recipients: results from a multinational matched case-control study. Am J Transplant 2016;16:2148–57.
- [13] Heylen L, Maertens J, Naesens M, Van Wijngaerden E, Lagrou K, Bammens B, et al. Invasive aspergillosis after kidney transplant: case-control study. Clin Infect Dis 2015:60:1505—11.
- [14] Koller MT, van Delden C, Muller NJ, Baumann P, Lovis C, Marti HP, et al. Design and methodology of the Swiss Transplant Cohort Study (STCS): a comprehensive prospective nationwide long-term follow-up cohort. Eur J Epidemiol 2013;28:347–55.
- [15] López-Medrano F, Fernández-Ruiz M, Silva JT, Carver PL, van Delden C, Merino E, et al. Clinical presentation and determinants of mortality of invasive pulmonary aspergillosis in kidney transplant recipients: a multinational cohort study. Am J Transplant 2016;16:3220–34.
- [16] De Pauw B, Walsh TJ, Donnelly JP, Stevens DA, Edwards JE, Calandra T, et al. Revised definitions of invasive fungal disease from the European Organization for research and treatment of cancer/Invasive fungal Infections cooperative group and the national Institute of Allergy and Infectious diseases Mycoses study group (EORTC/MSG) Consensus group. Clin Infect Dis 2008;46:1813—21.
- [17] Muñoz P, Vena A, Ceron I, Valerio M, Palomo J, Guinea J, et al. Invasive pulmonary aspergillosis in heart transplant recipients: two radiologic patterns with a different prognosis. J Heart Lung Transplant 2014;33:1034–40.
- [18] Giannella M, Muñoz P, Alarcon JM, Mularoni A, Grossi P, Bouza E, et al. Pneumonia in solid organ transplant recipients: a prospective multicenter study. Transpl Infect Dis 2014;16:232—41.
- [19] Blasi F, Akova M, Bonanni P, Dartois N, Sauty E, Webber C, et al. Community-acquired pneumonia in adults: highlighting missed opportunities for vaccination. Eur J Intern Med 2017;37:13–8.
- [20] San Juan R, Aguado JM, Lumbreras C, Díaz-Pedroche C, López-Medrano F, Lizasoain M, et al. Incidence, clinical characteristics and risk factors of late infection in solid organ transplant recipients: data from the RESITRA study group. Am J Transplant 2007;7:964–71.
- [21] Guinea J, Torres-Narbona M, Gijon P, Muñoz P, Pozo F, Pelaez T, et al. Pulmonary aspergillosis in patients with chronic obstructive pulmonary disease: incidence, risk factors, and outcome. Clin Microbiol Infect 2010;16:870–7.
- [22] San-Juan R, De Dios B, Navarro D, Garcia-Reyne A, Lumbreras C, Bravo D, et al. Epstein-Barr virus DNAemia is an early surrogate marker of the net state of immunosuppresion in solid organ transplant recipients. Transplantation 2013;95:688–93.
- [23] Perez-Saez MJ, Mir M, Montero MM, Crespo M, Montero N, Gomez J, et al. Invasive aspergillosis in kidney transplant recipients: a cohort study. Exp Clin Transplant 2014;12:101–5.
- [24] Hoyo I, Sanclemente G, de la Bellacasa JP, Cofan F, Ricart MJ, Cardona M, et al. Epidemiology, clinical characteristics, and outcome of invasive aspergillosis in renal transplant patients. Transpl Infect Dis 2014;16:951–7.
- [25] Sahin SZ, Akalin H, Ersoy A, Yildiz A, Ocakoglu G, Cetinoglu ED, et al. Invasive fungal infections in renal transplant recipients: epidemiology and risk factors. Mycopathologia 2015;180:43–50.
- [26] Muñoz P, Valerio M, Palomo J, Giannella M, Yanez JF, Desco M, et al. Targeted antifungal prophylaxis in heart transplant recipients. Transplantation 2013;96:664–9.
- [27] Fernández-Ruiz M, López-Medrano F, San Juan R, Allende LM, Paz-Artal E, Aguado JM. Low natural killer cell counts and onset of invasive fungal disease after solid organ transplantation. J Infect Dis 2016;213:873–4.
- [28] Hope CM, Troelnikov A, Hanf W, Jesudason S, Coates PT, Heeger PS, et al. Peripheral natural killer cell and allo-stimulated T-cell function in kidney transplant recipients associate with cancer risk and immunosuppression-related complications. Kidney Int 2015;88:1374–82.