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# Functional assessment of the stomatognathic system. Part 1: The role of static elements of analysis

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**Objectives:** To review the elements of static analysis in the functional assessment of the stomatognathic system, as promoted for more than a century by gnathologists, and summarize the available scientific evidence, including anthropologic observations. **Method and materials:** A thorough search was conducted using PubMed, the Cochrane Library database, and Google Scholar. From peer-reviewed articles and other scientific literature, up-to-date information addressing three topics was identified: (a) the anthropologic perspective with particular consideration for the role of progressive dental wear over time, (b) descriptions of gnathologic principles and evidence on their scientific validity, and (c) the methodologic inaccuracies introduced by seeking to correlate variables directly rather than allowing for causal inference. **Results:** For decades gnathology

attempted to describe a structure-function correlation within the stomatognathic system by means of a model whose principles were static and mechanistic references. No scientific validation was ever achieved, placing clinical and research consensus out of reach. **Conclusions:** A historical perspective helps to place the fundamentals of gnathology into context: They were conceived to solve technical difficulties but were then assumed to be physiologic stereotypes. This misconception led to a decades-long promotion of mechanistic theories to describe oral function, but the evidence available today supports a more flexible and adaptable approach. Gnathologic arguments have been relegated to become exclusively of technical relevance in oral rehabilitation. (*Quintessence Int* 2021;##:##-##; doi: #####)

**Key words:** dental occlusion, gnathology, oral function, real-world data, temporomandibular disorder, tooth wear

Oral health is a key indicator of overall health, wellbeing, and quality of life. The World Health Organization (WHO) defines oral health as “a state of being free from chronic mouth and facial pain, oral and throat cancer, oral infection and sores, periodontal disease, tooth decay, tooth loss, and other diseases and disorders that limit an individual’s capacity in biting, chewing, smiling, speaking, and psychosocial wellbeing.”<sup>1</sup>

Oral health care targets various psychologic and physical factors influencing and being influenced by oral activities and functions. For example, mastication is a fundamental, rhythmic, and complex function, controlled by a central pattern generator located in the brainstem, requiring high levels of muscular coordination and the capacity to adapt.<sup>2</sup> Large individual variations

exist, eg, performance, number of cycles prior to swallowing, amplitude and velocity of jaw movements, jaw muscle activity, and the chewing rate.<sup>3</sup> Considering the frequency of repetition, hundreds of times a day, the way individuals chew directly influences multiple morphologic aspects of growth, maturation, and aging of the stomatognathic system (SS).<sup>4</sup> However, the literature on the relationship between morphologic aspects and physiologic functions of the SS remains inconclusive.<sup>5</sup>

For over a century, gnathology attempted to identify and define this relationship with a mechanistic model. However, extensive criticism over the years highlighted multiple facets to this model resulting in a proliferation of controversy. In this critical review the fundamentals of gnathology are reviewed, pay-

ing particular attention to static parameters of reference in assessing SS function. It summarizes the available scientific evidence, beginning with an anthropologic perspective, and provides a historical backdrop to the many controversies. It also takes up the technical side of the concepts, which remain important in full-mouth rehabilitation. The overall purpose is therefore to provide a comprehensive summary on the controversial topic of oral function assessment.

## Ab origine considerations

Diet seems to have played a predominant role in the evolution of modern human occlusion.<sup>6</sup> The first hominins, so-called “australopiths” and species of the genus *Homo* were adapted to omnivorous diets, showing mandibles with short and deep corpora, severely worn incisors and canines, and low-cusped molars that allowed tough food to be chewed once reduced in size by anterior dentition.<sup>7</sup>

It is today well recognized that significant changes occurred when *Homo erectus* started to use and control fire, particularly for cooking, which became widespread some 500,000 years ago.<sup>8</sup> Cooked food was much easier to chew than raw food, reducing masticatory strain on the SS, leading to a reduction in tooth size, eg, canines.<sup>9</sup> In fact, natural selection favors the combination of characteristics most efficient in a particular environment, and any mutation leading to fewer complex structures ultimately leads the organism to conserve energy.<sup>10</sup>

Similar evolutionary changes usually take place over thousands of years.<sup>11</sup> Nevertheless, environmental pressures on the human genome increased further, and more dramatically, in the wake of two events: the advent of farming approximately 12,000 years ago, marking the beginning of the Neolithic period, and the start of the Industrial Age, about 200 years ago, characterized by the widespread availability of soft and sugar-rich foods. Today these rapid environmental variations are considered to have created a closely interrelated group of oral health problems, eg, dental caries, periodontal disease, and malocclusion.<sup>12</sup> The prevalence of dental caries has been assessed in less than 2% of fossilized Paleolithic human teeth.<sup>13</sup> The first sharp increase dates to the beginning of the Neolithic period, rising to 9% to 10% or more.<sup>14</sup> In the 19th and 20th centuries, a second and more dramatic increase occurred, where 50% to 90% of individuals exhibited caries.<sup>15</sup> Although other factors, eg, genetic propensity and developmental defects, may have played a role, it is elaborate carbohydrates, particularly sugar during the Industrial Revolution, that have been identified as the principal cause of increased caries.<sup>16</sup>

Paleontologists struggle to identify periodontal disease, especially in its earliest stages of gingivitis, and where alveolar bone loss may have been caused post mortem. Consequently, little is known of the history and development of this disease. Notwithstanding, as is the case with decay, food likely plays a significant role in periodontal disease,<sup>17</sup> and prevalence remains high in industrialized populations at about 75% to 96%.<sup>15</sup>

Dental crowding and malocclusion are also considered “diseases of civilization,” affecting more than 60% of the population.<sup>18</sup> They have not, however, been identified in fossilized hominins.<sup>19</sup> For instance, third-molar impaction occurs ten times more frequently in Industrial Age samples than in hunter-gatherers, and reports exist of a decrease in size of the supportive bone structures and not of teeth.<sup>20</sup> Despite the lack of consensus explaining the high prevalence in Western society, food consistency has been identified as playing a major role.<sup>21</sup>

In support of this, further evidence exists in anthropologic observations dating to the early 20th century by many scientists exploring remote areas of the planet. Probably the most detailed was by Price,<sup>22</sup> who analyzed the oral health of multiple “primitive” populations (eg, Australian aborigines and indigenous circumpolar peoples) yet to be influenced by the modern soft diet. Oral condition in these populations was surprisingly healthy despite the nonexistent notion of oral hygiene, and people mostly presented worn dentition even at a young age. According to Price,<sup>22</sup> “diseases of civilization” appeared quickly when individuals turned to Western diets.

## Tooth wear and dental occlusion

Tooth wear observed in any individual is the result of a combination of multiple etiologic factors, ie, physiologic and pathologic, which determine erosion, abrasion/attrition, and abfraction on dental surfaces.<sup>23</sup> In archaic populations, environmental factors (eg, the presence of raw and abrasive diets and the consistency of food) and individual oral habits (ie, teeth also serving as tools) affected the extensiveness of occlusal abrasion in flattening the occlusal surfaces of teeth.<sup>24</sup> In contrast to these observations, it has now been concluded that this phenomenon radically decreased and changed in modern society, which, to the contrary, mostly displays tribo-chemical wear aspects.<sup>25</sup>

On the basis of these observations, Begg<sup>26</sup> sought to explain the role of masticatory activity in the development of malocclusion. He assumed that interproximal dental wear led to a reduction of the dental arch perimeter, adjusting it to that of the jaw bones and solving the problem of tooth-arch size discrepancies. He assumed that “correct occlusion is not a fixed or

particular anatomic state, but a changing functional process undergoing continual modification and adjustment during the whole life of both deciduous [primary] and permanent dentitions." His theory was later developed by Kaifu et al,<sup>27</sup> who defined it as "attritional occlusion."

In both ancient and modern populations, different compensatory mechanisms have been documented as determining functional modifications of human dentition in response to progressive wear, and ensuring the maintenance of occlusal functionality throughout the life of the individual: ie, mesial drift, continuous eruption, and incisor lingual tipping.<sup>25</sup> Consequently, there is a transition from scissor to edge-to-edge anterior occlusion during growth, following changes in two components: overbite (vertical overlap) and overjet (horizontal overlap). Vertical overlap decreases as a result of occlusal wear on anterior teeth, and horizontal overlap decreases primarily as a result of the difference in the amount of incisor lingual tipping between the jaws.<sup>28</sup> Moreover, modifications to occlusal planes are also detectable. When unworn dentition is viewed from the front, a helicoidal plane may be observed, resulting from the combination of two distinct components defined as compensation curves: The cusp tips of the posterior teeth usually conform to a smooth curve in the antero-posterior direction that is referred to as the "curve of Spee"; a transverse occlusal curve also exists normally for each pair of right- and left-side teeth that is concave above and convex below, referred to as the "curve of Wilson." Compensation curves tend to diminish with increasing wear, resulting initially in a leveling of occlusal planes. The curve of Wilson is generally more susceptible, and, in heavy-worn dentitions, it may assume a reversed orientation, in which the occlusal planes of the first molar region incline downward to the buccal region (Fig 1). Such modifications allow for maximal occlusal contact area in a scheme of "fully balanced" occlusion.<sup>27</sup>

In summary, anthropologic observations pinpoint tooth wear as a physiologic process influenced by environmental factors and capable of inducing a functionalization of occlusion during the maturation and aging of an individual. Only late stages of tooth wear may be classified as pathologic. Consequently, depending on the age of an individual, multiple occlusal configurations may be considered physiologic.

## Dental occlusion and gnathology

The term "gnathology" was first proposed in 1924 by Stallard,<sup>29</sup> who described the science of analyzing and treating the SS as a whole, drawing on anatomy, histology, physiology, and pathology.

Its fundamentals later included the concepts of centric relation (CR), vertical dimension of occlusion (VDO), anterior and lateral guidance, the scheme of posterior occlusal contacts (OCs), and the relationship of the determinants of mandibular movements.

### Centric relation

CR was first defined by Hanau in 1929.<sup>29</sup> Around that time, dental activity was mostly oriented to edentulous patients, and a reliable method was needed to define a reproducible maxillo-mandibular relationship for producing prostheses meeting both functional and esthetic criteria. Very soon this clinical reference position for prosthodontic rehabilitation started, albeit gradually, to be considered as a physiologic ideal: "centric relation occlusion" (CRO; later mostly referred to as centric occlusion, or CO), corresponding to a coexistence of a CR and a maximum intercuspation position (MIP).<sup>30</sup> Consensus converged around an individuation of most posterior CR positions, assuming the ideal jaw position in CO was restricted to purely rotary movement about the transversal hinge axis.<sup>31</sup> Hence, where the MIP was irreproducible or where a discrepancy appeared between the CR and MIP, corrective dental treatment was required. Accordingly, occlusal treatment, ranging from prosthodontics<sup>32</sup> to orthodontic<sup>33</sup> and orthognathic surgery<sup>34</sup> was consistently promoted, even in asymptomatic patients.

Contradictory results soon emerged, however. In the 1970s, doubts arose as to the validity of this treatment, and alternative definitions were formulated to identify the most appropriate explanation.<sup>35</sup> The consensus on the placement of the condyle in relation to the glenoid fossa, defining the CR, has shifted (from the most retracted position to the most superior, and then, to the most antero-superior); meanwhile controversy and confusing ideas proliferated.<sup>36</sup> In the most recent version of the glossary of prosthodontic terms,<sup>37</sup> CR is defined as "a maxillo-mandibular relationship, independent of tooth contact, in which the condyles articulate in the anterior-superior position against the posterior slopes of the articular eminences." However, recent surveys have been conducted by some researchers from the field of prosthodontics seeking agreement on the definition of CR.<sup>38</sup> Moreover, the emphasis must be placed on an apparent lack of agreement among and within different groups of specialists in oral care regarding this topic.<sup>39</sup>

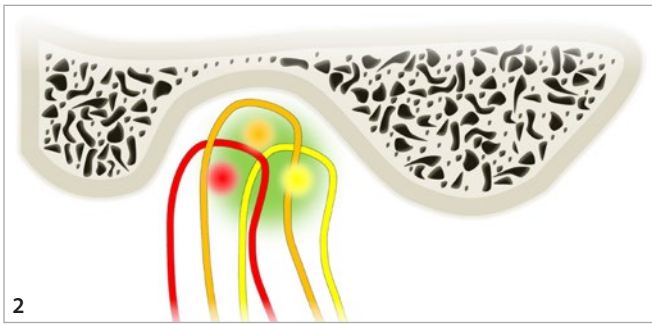
One change of paradigm in defining CR was proposed by Jankelson et al.<sup>40</sup> Instead of referring to bones, condyles, and glenoid fossae, they referred to the relationship of muscle balance. This novel approach, termed "muscular centric relation" (MCR), is a position induced on the isotonic closure path of the

**Figs 1a to 1j** Progression of tooth wear observed in five jaw samples collected from a homogenous population of central Italy (Section of Anthropology and Ethnology, Museum of Natural History, Florence, Italy) dating from the 18th and 19th centuries. The samples belong to people of different ages and consequently show different levels of tooth wear. In the same jaw, different teeth also present different degrees of abrasion, because adult teeth do not erupt at the same time: teeth erupting earlier show more abrasion. All samples are shown from two angles: frontal and occlusal. The white dotted lines highlight the orientation of the compensation curve of Wilson. Missing teeth were all lost post mortem. (*a and b*) A sample with nearly perfect mandibular dentition. Third molars are not completely erupted, reflecting the young age of the individual (17 y). Occlusal compensatory curves are well defined and the Wilson curve shows an accentuated upward orientation. (*c and d*) A sample of an adult (25 y) with lightly worn dentition. Wear is concentrated on teeth involved in anterior and lateral guidance (canines and incisors) and on first molar cusps. Occlusal compensatory curves are detectable and the Wilson curve shows a slight upward orientation. (*e and f*) A fully developed adult (33 y) with signs of dental wear and exposed dentin on almost all teeth but with different ranges. Occlusal compensatory curves are not detectable and occlusal planes are flat. (*g and h*) A fully developed adult (49 y) with an advanced state of dental wear, with large surfaces of exposed dentin on all teeth. Even in this case, wear on the first molars is more advanced than on other molars, and the anterior teeth no longer present a chisel shape, where continuous wear has transformed their occlusal surface into a flat one. The Wilson curve presents an inversed downward orientation. (*i and j*) An older individual (60 y) with massive dental wear. On the first and second molars, abrasion has exposed the pulp chamber and signs of apical lesion due to endodontic complications. The Wilson curve shows an accentuated downward orientation, resulting in occlusion being largely destroyed.



mandible from its rest position after muscle relaxation obtained through transcutaneous electric neural stimulation (TENS).<sup>41</sup> Nonetheless, these methods have received very little attention

in the international literature; there is no scientific evidence, and very few—albeit encouraging—clinical applications have been reported.<sup>42</sup>



**Fig 2** Illustration of the localization of the three most cited CR positions in the history of dentistry: the most retracted position (red point), the most superior (orange point), and the most antero-superior (yellow point). The green zone represents the possible new conception of CR, no longer interpreted as a point but as an area within which the individual is able to find natural adaptation.

Various studies have also highlighted the many technical flaws in the concept of CR, bringing its clinical utility into question.<sup>43</sup> Firstly, magnetic resonance imaging (MRI) revealed a lack of clinical trustworthiness, as condyles are not predictably locatable in the positions assumed by certain clinicians.<sup>44</sup> Secondly, there is a significant amount of error associated with the use of instruments to exploit CR registrations with an accuracy estimated at 0.3 mm,<sup>45</sup> and with transfer of records (eg, articulators,<sup>46</sup> facebows,<sup>47</sup> and condylar position indicators<sup>48</sup>). Meanwhile, it has been demonstrated that neither rational basis nor scientific evidence support the use of the hinge axis model to describe physiologic jaw movement.<sup>49</sup> Indeed, the axes of rotation of the mandible during motion are not determined by SS bone structures, but by muscle contraction and the maintenance of an optimum condyle-disk-fossae relationship. This condition has been obtained using muscular deprogramming procedures of varying types and effectiveness, but consensus is still lacking as to the most appropriate technique.<sup>50</sup>

In conclusion, attempts to couch CR as a mechanical concept in physiologic terms has never found scientific validation despite considerable efforts. In the history of dentistry, there have been more than 26 definitions of CR,<sup>30</sup> and many have been applied clinically. This has overshadowed the relevance of the concept but, at the same time, highlights the high capacity of human beings to adapt to occlusal changes. However, the identification of a maxillomandibular relation remains an

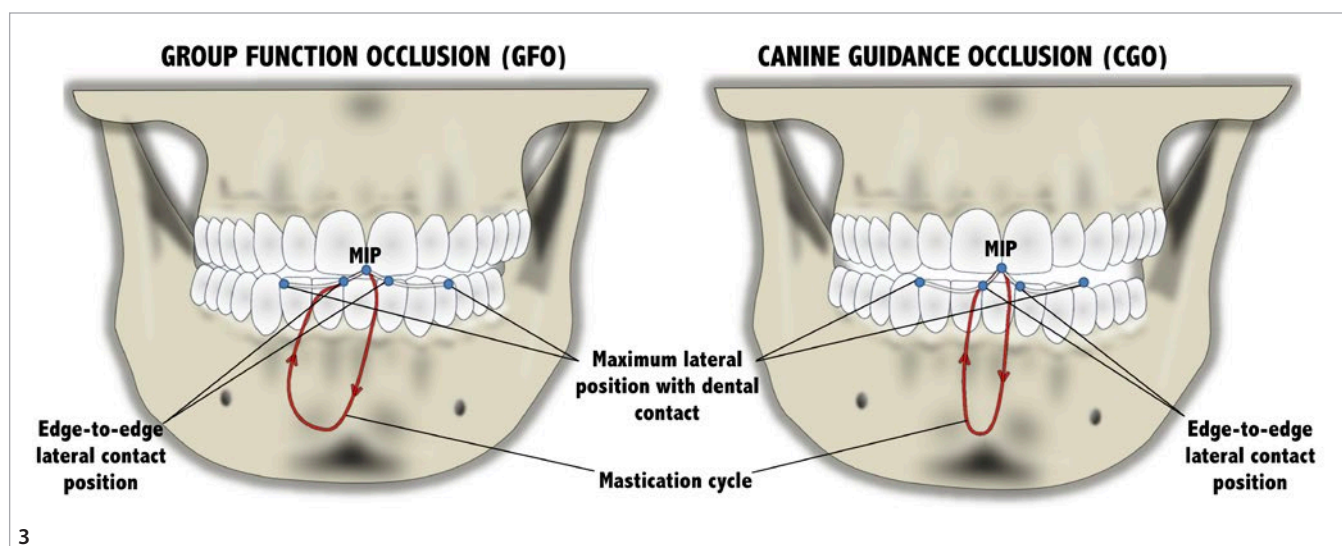
unavoidable step in all occlusal rehabilitation, and its technical feasibility will always depend on the selection of a specific position. From this perspective, there is potential for a radical conceptual change to CR, shifting from a specific “point” to an “area,” within which the SS is able adapt naturally: the higher the individual’s capacity to adapt, the larger the area (Fig 2).

### Vertical dimension of occlusion (VDO)

In dentate individuals, the VDO is the “distance between two selected anatomic or marked points (usually one on the tip of the nose and the other on the chin, located on the midline) when in the maximal intercuspal position”<sup>37</sup> and is largely determined by the occluding dentition. In 1934 the American otologist Costen assumed that, in the absence of molar support, the strong elevating mandibular muscles could push the condyle upward and backward, compressing the delicate anatomical area situated between the condyle and the acoustic meatus, rich in vessels and nerves, and provoking temporomandibular disorder (TMD).<sup>51</sup> His hypothesis was widely used to promote the treatment of dentitions at an “increased” VDO to correct and even prevent this pathology. However, descriptions of the undesirable consequences of this practice ensued. Consistent VDO augmentation was suspected to obliterate the interocclusal distance (IOD), or “freeway space,” which was presumed to increase jaw-elevator activity.<sup>52</sup> These observations gave rise to two closely related convictions: (1) At rest, the individual determines the IOD, which is fixed for life, and (2) if the VDO is increased beyond its physiologic limit, it may provoke muscular hyperactivity in attempting to reestablish the original IOD and provoke TMD.<sup>53</sup>

Animal experimentation on monkeys was conducted in search of answers. After modifying the VDO with fixed prosthodontic interventions, animals were initially irritated until they accepted to the new condition.<sup>54</sup> These studies showed that incremental VDO interventions influence different histologic and morphologic constituents of the SS, provoking not a collapse of the system but a general compensation and adaptation. Undesirable reactions occurred only in extreme VDO increases, a condition far from daily clinical reality.<sup>55</sup>

Studies in human subjects are scant due to ethical constraints, and most suffer from a lack of randomization and controls, limited sample sizes, and difficulties in achieving objectivity of signs and symptoms.<sup>56</sup> That notwithstanding, the general outcomes reflect the results reported in animals.<sup>57</sup> In fact, contrary to traditional suggestions of increased VDO leading to muscle strain, electromyographic (EMG) studies have demon-



**Fig 3** Pattern of movements achieved with different occlusal lateral guidance. CGO is associated to vertical masticatory cycles (red line) and lateral excursions (white lines) to lower EMG activity, whereas GFO shows more horizontal patterns and higher EMG activity. MIP, maximum intercuspation position.

strated the opposite. Likewise, IOD presents a minimum gamut of between 10 and 16 mm of the mouth opening, showing that clinical rest position does not correspond to muscle rest position.<sup>58</sup> This is caused by the constant activity of the masticatory muscles to balance the jaw against the forces of gravity.<sup>59</sup> Consequently, the concept of a rest “position” may evolve into a rest “range” with characteristics intrinsically related to posture.<sup>60</sup>

To date, no compelling evidence contraindicates techniques involving VDO alteration, and several authors have declared multiple clinical advantages.<sup>61</sup> However, no study indicates either precisely “how” or “by how much” this parameter can be increased, although the limit of 5 mm at the interincisal level is nowadays considered trustworthy,<sup>56</sup> despite an individual’s degree of variability being preferable.

### Anterior and lateral guidance

Christensen<sup>62</sup> first described the phenomenon of disclusion, referring to the distancing of antagonistic posterior teeth during eccentric movements of the jaw. Protrusive movement is controlled by the sliding of opposing incisal surfaces, where the steepness of the guidance is a function of horizontal and vertical overlap, ie, overjet and overbite (reported to be ideally between 2 and 3 mm). Different schemes have been described: complete anterior, incisal, and mesio-incisal guidance.<sup>63</sup> For lateral movement, possible guidance schemes are canine-guided

occlusion (CGO), group function occlusion (GFO), and bilateral balanced occlusion (BBO). To date, there is no scientific evidence supporting the superiority of any one scheme.<sup>64</sup>

BBO associated with a posterior balanced occlusion during protrusive movements has been defined as “fully balanced” occlusion.<sup>65</sup> Although this concept was initially more diffuse because of the higher stability it lent to complete dentures, the gnathologic map soon encompassed a “mutually protected” theory, where anterior teeth acted as protectors of posterior teeth from tangential forces and posterior teeth were recognized as shock absorbers of vertical forces.<sup>66</sup> As was the case with CR, a rehabilitative concept was soon adopted as a physiologically ideal condition, stating that unguided occlusions could lead to not only disruptive effects for dentition but also TMD.<sup>67</sup> Muscular activity was demonstrated to be open to direct influence by varying disclosure guidance, which EMG analysis seemed to support. For example, there were claims of complete anterior guidance successfully reducing TMD symptoms,<sup>68</sup> because with CGO a significantly lower muscular activity was detected compared to other schemes.<sup>69</sup> These observations led to indicate CGO as the schema for preventing not only TMD but also parafunctional activity, eg, bruxism.<sup>70</sup> However, no scientific confirmation has been found. On the contrary, it is today widely accepted that parafunctional activity like bruxism is not connected to any characteristics of dental occlusion.<sup>71</sup> Indeed, reports have described significant variability in disclousure

sure guidance for healthy and non-restored dentition, contrasting with past statements and assuming that physiologic function and patient acceptance appear to be minimally influenced by lateral and anterior occlusion.<sup>72</sup> Moreover, long-term patient acceptance appears minimally influenced by different kinds of lateral guidance, suggesting the role of this parameter may have been overestimated in early literature.<sup>73</sup>

Finally, anterior guidance does exert an influence on the shapes of masticatory cycles. Steeper anterior guidance determines the narrowest movement pattern,<sup>74</sup> as well as CGO compared to GFO (Fig 3).<sup>75</sup> This can be attributed to increased vertical overlap between the anterior teeth. Clinically speaking, it involves disclosure movements with oblique stress of lower magnitude for posterior teeth.<sup>76</sup>

In conclusion, a more horizontal disclosure scheme enables increased jaw stability during lateral and protrusive excursion, and brings efficacy to a system that, producing stronger forces, would otherwise be more destructive to dental integrity. On the contrary, vertical force vectors reduce the masticatory efficacy of the SS, but protect dental tissue integrity by reducing the muscular forces exerted during functional activity. However, this consideration does not apply to parafunctional activity, which is unrelated to occlusion schemes.

### Posterior occlusal contact schemes

Defining “normal occlusion” was first proposed by Angle<sup>77</sup> in 1899, interpreted as the ideal to be attained in the treatment of malocclusion. Prosthetic necessities, however, called for the identification of different occlusal models and theories, to obtain a maximal stability for complete dentures, eg, “Bonwill triangle,” “Hanau’s Quint,” “Thielemann’s formula” and “lingualized occlusion.” The ideal design of posterior OCs presented surfaces that were reliable in terms of eccentric movements of the mandible, with an inclination of cusps and antagonistic fossae derived through the recording of condylar pathways. These shapes were considered ideals, contributing to jaw and denture stability in dynamic movements and creating a “fully balanced” occlusal scheme.<sup>78</sup> At first, complete dentures were inescapably constructed following arbitrary decisions, and all occlusal schemes, albeit non-essential as with the physiologic model of SS, were at some time abused, seen as representing nature rather than from the position of prosthetic dentistry needing standardization and predictable outcomes.

The development of fixed prosthodontic techniques was a crucial step forward for dentistry, mostly because achieving prosthetic stability stopped being the treatment goal, resulting

in the introduction of anterior disclusion theories. Occlusal designs for ridge and groove direction made little sense, because the posterior teeth were supposed to disclose during eccentric movements. Emphasis was thus placed on the importance of determining the location, distribution, and number of occlusal contact points in the model of “tripodism.”<sup>79</sup> Under this rationale, the design of occlusal surfaces of posterior teeth could control the distribution of axial forces imposed by function and maximize the stabilization of the jaw and occlusion, providing the highest masticatory efficiency.<sup>80</sup> However, the pursuit of this ideal proved a challenging clinical exercise, potentially ending in involuntary creation of posterior interferences.<sup>81</sup>

With the classical gnathologic concept outdated, a simplified pattern of OCs spread in order to streamline clinical procedures and satisfy the following criteria: homogenous contact distribution, adequate esthetics, and occlusal stability.<sup>82</sup> The adaptability of all SS components suggests individuals may be able to accept more than one form or arrangement of OC, on the condition they meet the range of patient needs and deliver predictable results.

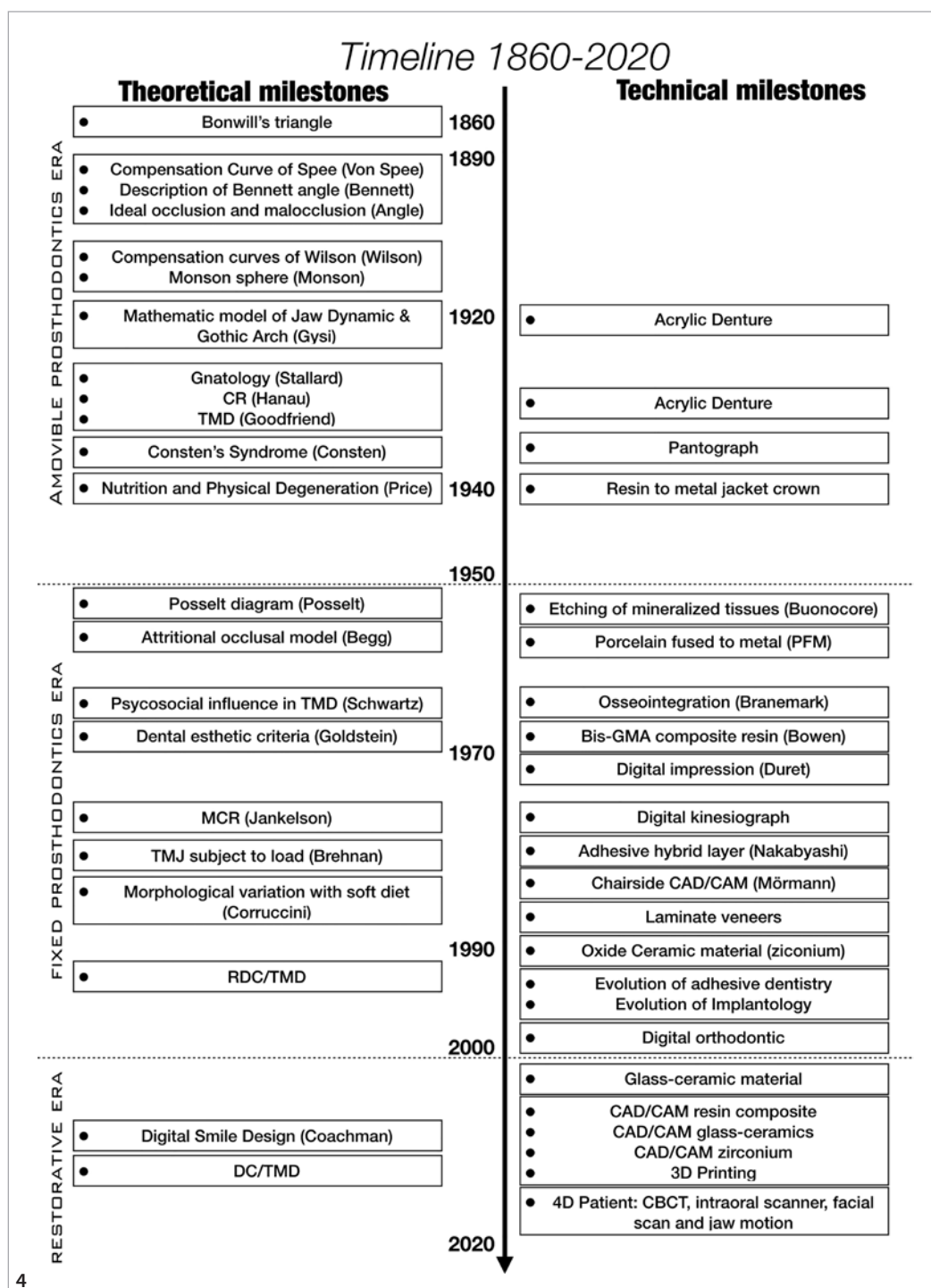
### Historical summary and discussion

Anthropologic research and observations of isolated human populations from the beginning of the 20th century provide us with a somewhat homogenous response: Human dentition, both ancient and modern, is primarily “designed” for heavy-wear environments. The reduction in tooth wear is preventing contemporary humans from accomplishing the “attritional occlusion” described by Begg.<sup>26</sup> In fact, if we admit attritional occlusion as the product of evolutionary adaptation, its absence is none other than the consequence of changes in the environmental context. In displaying this, the SS exhibits a high potential of adaptability.<sup>83</sup> These notions are important in understanding how the SS matures, but nothing insists the attritional occlusion model be applied. First, flat occlusal surfaces and the edge-to-edge features proposed are incompatible with contemporary esthetic standards. Second, the modern diet is soft and will most probably remain so. Absent changes to this crucial factor, Begg’s occlusion model not only cannot be naturally realized but it is conceivable that it cannot naturally maintain itself stable in time. The high efficiency promoted by this model is not the main priority with the foodstuffs available these days, especially considering that restorative materials available to repair teeth are still not as resilient as natural structures.<sup>84</sup>

To avoid further misinterpretation of gnathologic concepts, a comprehensive understanding of their historical background



**Fig 4** Timeline of milestones in dentistry from 1860 to 2020 with, on the left, the most relevant theoretical concepts, and, on the right, significant technical developments.



is necessary (Fig 4). Anthropologic perspective barely influenced early gnathologists developing explicatory SS models, simply because occlusal notions were initially oriented to rehabilitate edentulous patients, as restorative treatment was inconsistent

at the beginning of the 20th century. The need arising in prosthodontics was mainly the achievement of maximal occlusal stability to enhance the immobility of removable complete dentures. At that time, scientific evidence-based concepts were

still not part of dentistry, and practitioners had to rely upon the lowest level of scientific proof to elect methods for oral rehabilitation. Many among the prosthetic dentistry elite introduced their own theories of occlusion and designed specific articulators to reflect those theories. These events fed mechanistic viewpoints, and early considerations on TMD also reinforced the mechanistic interpretation of the SS as being ideal and physiologic.

The subsequent enhancement of fixed prosthodontic techniques triggered a paradigm shift for treatment plans. First, prosthetic stability was no longer a major problem. That notwithstanding, the construction of an ideal occlusal scheme did become much more challenging, especially in extended rehabilitation, due to the influence of the original position of the prepared teeth. Second, the biomaterial initially employed for fixed prosthodontics responded to mechanical requirements but were not mimetic with natural tissues. An increased demand for higher esthetic outcomes drove the further development of manufacturing techniques, fusing tough and resistant metal to more esthetic ceramics and acrylics. Naturally, the poor mechanical properties of porcelain led to the inevitable mechanical failure of prostheses. In these circumstances, a full mouth in fixed rehabilitation became almost mission impossible. Prosthodontic rehabilitation needed to be technically easier, and managing the relationship of only one contact point during eccentric movement was obviously much easier than managing multiple points. Accordingly, in the 1950s, occlusal philosophies evolved from “fully balanced” to “mutually protected.” Many treatment philosophies, not only prosthetic and orthodontic, but also those attempting to treat TMD, introduced the element of a posterior disclusion dictated by anterior and lateral guidance. Observations on the maturation of natural dentition seemed to lend scientific support to this conclusion.<sup>85</sup>

The ideal model was finally completed and the goal of gnathology became establishing occlusion free from interference, providing for posterior disclusion, cusp to fossae relationship, uniform centric contact and tripodism, narrow occlusal table, maximum cusp height and fossae depth with supplemental anatomy, forces along the long axes of the teeth, and centric (relation) occlusion. Natural dentitions not satisfying these criteria were considered pathologic.<sup>86</sup>

This mechanistic description reached the height of its recognition in the early 1970s, but, soon after, the fallacies emerged. Rather than providing simple-to-follow therapeutic protocols, gnathologic principles were too difficult to implement in daily practice, and, pursuing the otherwise charming

idea, therapeutic procedures of an irreversible nature were chosen, often with unfavorable biologic and financial cost-to-benefit ratios. Some have since been modified or reformulated multiple times but have only added to the confusion and ambiguity. Meanwhile, the scientific community was unable to justify their use sufficiently, and clinical evidence debunked most of these principles relegating some of them “old-fashioned dogmas” of dentistry, clearly downsized in relevance and only employable as an idealistic concept in teaching.<sup>87</sup>

Following a period of stagnancy, events of recent decades have revitalized the debate on these principles in the dental community. First, dental practitioners have seen an upward trend in the spread of non-carious lesions (NCLs), produced by abrasion, attrition, erosion, and abfraction, which, especially in combination, provoke a significant loss of sound tooth substance.<sup>88</sup> This trend came hand-in-hand with a growing demand for esthetic treatment,<sup>89</sup> but also a tremendous development of minimal or noninvasive principles of treatment and remarkable progress in the development of dental materials and adhesive systems.<sup>90</sup> Indeed, minimally invasive approaches have found a valuable clinical advantage in modifying VDO, establishing new maxillomandibular relationships and designing new occlusal schemes. As in the past, practitioners promote a variety of different clinical procedures to modify VDO, most still remaining empirically guided given their basis in clinical experience rather than evidence-based science. Last but not least, noteworthy enhancements have been accomplished by the dental community in framing the question of TMD. Psychosocial context, stress, and anxiety were quickly identified as major causes of increased tension in masticatory muscles and causative of TMD, unseating dental occlusion.<sup>91</sup> What was a purely mechanistic and morphologic problem gradually evolved into an orthopedic musculoskeletal condition, influenced by a psychologic component that may impact etiology and therapeutic management. However, the modern understanding of TMD etiology remains incomplete, and available data may prove to be controversial. The available evidence indicates a low influence of occlusion on the development of TMD.<sup>92</sup> Nevertheless, recent research analyzing the neuro-pathophysiology of TMD pain with functional magnetic resonance image (fMRI) showed structural and functional alterations in multiple neural structures, eg, peripheral trigeminal nerve roots, brainstem, and thalamus, providing support for a peripheral origin of TMD.<sup>93</sup> Moreover, it indicates splint therapy as able to alleviate TMD-related symptoms by inducing functional brain changes. After all, occlusal appliances are still considered a valuable solution in any attempt to tackle TMD.<sup>94</sup>

One possible motivation for the continuing controversy surrounding occlusion and TMD has been proposed to be definition-based.<sup>95</sup> Currently falling within the term TMD is a heterogeneous group of musculoskeletal disorders with varying etiologies and physiopathologies,<sup>96</sup> whereas considering them as a unique group of pathologies leads to interpretation errors. Work to find international consensus on the definition of a group of problems, and consequently to define the treatment approach, is unquestionably a step in the right direction.<sup>97</sup> However, models describing the progression and diagnosis of degenerative soft and hard tissue changes in the temporomandibular joint have yet to be clearly elucidated.<sup>98</sup>

Another possible drawback is the analytical methods applied. First, functional studies on the mastication process and oral dysfunction are necessarily observational in nature. It would be unethical and/or impractical to use experimental designs with irreversible outcomes in this field. Some basic information may be obtained from experiments on animals, but studying the mode of functioning of the human SS requires a different approach. In fact, in view of the complexity, a pluralistic approach to causality is needed, identifying latent relationships between variables and collecting different types of evidence that lend to conclusions of a causal nature, particularly concerning complex exposures.<sup>99</sup> Generally speaking, most data are, therefore, best described as real-world data, ie, derived from a number of sources associated with outcomes in a heterogeneous patient population in real-world settings. Their main goal is to generate real-world evidence, where observational studies compare causes and effects among variables in order to confirm or refute the proposed causal relationships. Looking at TMD, for example, multiple categories are commonly associated to limitation or interference in jaw movement. Altering jaw movement is also a parameter that can be influenced with different occlusal schemes. Consequently, the modification and modifiability of jaw mobility is a common variable that may indirectly link anatomy to functional or dysfunctional clinical situations. Therefore, it is possible to abandon approaches that study those causes of a complex problem like TMD with only a partial or even direct correlational link (without causal direction) between variables, with a view to greater reliance on statistical methods of approaching the so-called causal inference. In conclusion, dental occlusion can no longer be considered directly responsible for TMD, but as often happens in human history, there is the tendency to shift from one extreme to another: It is self-contradictory to support a total absence of connection between the morphologic aspect of anatomy (eg, dental occlusion) and the physiologic mode of functioning (and

consequently pathologic dysfunction), as it denies a principle underpinning human physiology. It would be wiser to explore the errors that have obfuscated explicative cause-effect models linking structure and function. Although dental practitioners benefit from room for maneuver, it must not excuse careless or haphazard approaches. The SS does have a remarkable capacity to adapt to various stimuli, but currently the biologic cost of changes to occlusion is neither known nor measurable. ■■

## Conclusions

Most gnathologic concepts were initially conceived to solve technical difficulties in a period when oral care and therapeutic means were completely different to today. A sense of confusion resulted from the assumption—necessary to define and correlate morphology and function—that these principles were physiologic stereotypes. It has taken almost a century of debate to overcome this point, and today, despite the misgivings still circulating in the dental community, the available evidence supports a flexible and adaptable model of occlusion rather than a preconceived and rigid theory. For instance, dental occlusion can no longer be considered directly responsible for TMD, and most conventional gnathologic arguments have been relegated to become of exclusively technical relevance in oral rehabilitation, for example:

- Use of the CR, MCR, or MIP may all be envisaged, but come with multiple technical considerations that must be analyzed and anticipated in oral rehabilitation.
- Modifying VDO appears to be a safe clinical procedure, within certain limits.
- Different schemes of anterior and lateral disclosure are equally acceptable when restoring anterior dentition, but muscular activity and jaw movement patterns must be considered as they may be directly affected.
- Different forms and arrangements of OCs may be equally accepted on the condition that the selected schemes meet sufficient homogenous contact distribution, and adequate esthetic and occlusal stability.

These considerations should be interpreted with caution, and clinical decisions must be adequately justified in the light of the clinical necessity of each case.

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