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Hemorrhagic Plaques in Mild Carotid Stenosis: The Risk of Stroke

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1 Title page

2 Hemorrhagic Plaques in Mild Carotid Stenosis: The Risk of Stroke

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Keywords: MRI; carotid artery plaque; carotid artery stenosis; stroke; ischemia

ABSTRACT: Objective: Embolic ischemic strokes cause significant mortality and morbidity worldwide. It has been proposed that some of these strokes are due to unstable carotid plaques with intraplaque hemorrhage (IPH) but a low overall degree of stenosis. Our aim was to test a fat-saturated T1-weighted black blood sequence on MRI for the detection of IPH in symptomatic individuals and to quantify the relation between IPH, severity of stenoses, and ischemic brain lesions. **Material and Methods:** Sixty-two patients were examined by 3T MRI. Sequences included brain diffusion (DWI) as well as fat-saturated FSE T1 of the carotid bifurcations, to detect IPH as a focal intraplaque hyperintensity. Both carotid arteries were analyzed in each patient. The NASCET scale was used for quantification of stenosis degree. **Results:** Thirty-six out of 62 patients (mean age, 74) showed brain ischemia on DWI. Fifteen of these 36 patients (42%) had associated ipsilateral IPH at the carotid bifurcation or the proximal internal carotid artery. Mean degree of stenosis in this group was 50%. In 21 patients with ischemia without IPH, the mean degree of stenosis was 44%. **Conclusion:** MRI with fat-saturated FSE T1-weighted black blood technique is a safe, reliable and non-invasive tool for the detection of IPH. A high percentage (42%) of ischemic events in patients with low- to moderate-degree stenosis were associated with IPH, an easily detectable imaging biomarker of plaque vulnerability. The ability to confirm IPH by MRI may help stratify patients into different risk and treatment groups in the future.

Body of the manuscript

Introduction

690,000 ischemic strokes occur in the United States every year.¹ Ischemic brain injury can be due to large artery atherosclerosis, cardioembolism, small vessel disease, or cryptogenic. In atherosclerosis, carotid bifurcation plaques are particularly prone to pose a risk for transient ischemic attacks (TIA), amaurosis fugax, and stroke. Atherosclerotic carotid disease is thought to account for 173,000 (approximately 25%) of all yearly cases of ischemic stroke in the United States.¹

Consensus guidelines exist concerning the degree of stenosis above which carotid endarterectomy (CEA) or stent placement should be considered in order to prevent future ischemic events. The North American Symptomatic Carotid Endarterectomy Trial (NASCET) demonstrated a benefit from CEA in patients with symptomatic 70-99% internal carotid artery (ICA) stenosis which can also be found in the latest AHA/ASA Guidelines for the prevention of stroke in patients with stroke and transient ischemic attack.^{2,3} The risk of ipsilateral stroke in the coming five years is significantly reduced, with a risk reduction of 16% for patients presenting a >70% symptomatic stenosis.⁴ On the other hand, in symptomatic patients presenting a 50-69% stenosis, a lower risk reduction of 4.6% makes the necessity of CEA a subject of debate.⁴ Concerning asymptomatic patients, only 10-12% of the >50-60% stenosis subgroup suffer from symptomatic stroke in the five years following detection of the stenosis.⁵ In asymptomatic patients with >50% stenosis, 19 procedures are necessary to prevent a single future stroke.⁶ It has thus been questioned if all patients in the 50-69% stenosis group, in particular asymptomatic patients, should benefit from surgical treatment, which is in itself not exempt from associated morbidity and mortality, and if cost-effectiveness is reasonable in this collective.⁷ No benefit was so far believed to arise from CEA in patients with mild stenoses of <50%. Stroke in this patient cohort is sometimes referred to as "stroke of unde-

89 terminated etiology" or "cryptogenic".⁸ A quest for markers and identifiers of stroke risk other
90 than stenosis degree in this patient group ensued.

91 Atheromatous plaque composition varies widely and is increasingly recognized to influ-
92 ence a plaque's behavior, notably its risk of ulceration, appositional thrombus formation, and
93 rupture with subsequent brain embolism. Histologically, eight types of atheromatous plaques
94 have been described in a classification scheme proposed by the American Heart Association
95 (AHA), with hemorrhagic and other vulnerable plaques falling into category VI.⁹ Whereas 70-
96 80% of symptomatic patients with $\geq 50\%$ carotid stenosis do not experience recurrent stroke
97 within five years, the stroke risk increases to 66% in patients with a vulnerable plaque.² Simi-
98 larly to stable plaques, vulnerable plaques are typically located on the outer wall of the com-
99 mon carotid bifurcation or the proximal ICA, opposite to the carotid flow divider at a location
100 of maximal oscillatory shear stress.⁵ However, unlike stable plaques which are composed es-
101 sentially of a fibrous or calcified matrix, vulnerable or unstable plaques feature components
102 such as a large lipid or necrotic core, and may show fibrous cap rupture and internal hemor-
103 rhage.¹⁰ Internal hemorrhage is the most straightforward of these three parameters to be esti-
104 mated by imaging. Intraplaque hemorrhage (IPH) is believed to arise from the rupture of frag-
105 ile and tortuous neovessels, with blood ingress through a fissured plaque surface being an
106 alternative etiological explanation.¹¹ In general, IPH is thought to increase with age.⁴ Other
107 independent predictors of IPH are male gender and ulceration.⁵ Histologically, IPH is associ-
108 ated with an increase in a plaque's necrotic core size, general plaque progression, and further
109 IPH in the form of ongoing low-grade bleeding.⁵

110 Current treatment algorithms do not consider plaque composition as a criterion to com-
111 mence treatment. A need has thus been recognized to search for the presence of hemorrhage
112 in low-grade stenotic plaques in order to improve the selection of patients amenable to
113 CEA.^{2,12,13} In a 2008 study by Altaf et al.,⁷ IPH was found to be a good predictor of ipsilateral

stroke even in mild to moderate stenosis (30-69%). The authors found a hazard ratio for ischemic events in this subgroup of 9.8. In the same study, the predictive value of luminal stenosis was much lower (hazard ratio, 1.5). Several other studies followed that compared IPH and stroke risk,² with seven cohort studies pooled in a recent meta-analysis from Schindler et al., investigating 1121 patient-years of 696 symptomatic and asymptomatic patients.¹⁴

The purpose of our study was to use a new 3D spin echo T1 fat-saturated black blood MRI sequence to assess the percentage of hemorrhagic plaques in patients presenting with symptoms of brain ischemia, and to establish a relation between plaque hemorrhage, the degree of stenosis observed, and the prevalence of diffusion-positive infarction in these individuals.

Material and methods

Patients

This retrospective study was conducted at a tertiary care university hospital, with approval of the competent review board (Commission cantonale d'éthique de la recherche CCER, Geneva, Switzerland), document number 07-125, and in compliance with the principles of the Helsinki Declaration. Informed consent had been given by each patient.

A cohort of 62 symptomatic patients were included between January 2013 and October 2014. The inclusion criterion was admission to our institution with suspicion of TIA, amaurosis fugax, or acute stroke. Patients with possible other etiologies of stroke than embolism due to atherosclerosis, notably individuals where atrial fibrillation was found, were excluded. Almost all patients were initially examined, at the time of admission, with non-contrast computed tomography (NCCT) of the head, followed by a computed tomography angiogram (CTA) of the head and neck vessels, if no hemorrhage was identified. The MR exam was performed up to one week after the CTA.

140 *Image acquisition and analysis*

141 In order to detect signs of IPH, a coronal 3D spin echo T1-weighted fat-suppressed black
142 blood sequence (Volume ISotropic Turbo spin echo Acquisition [VISTA]) was added to the
143 routine MR protocol. The practical applicability of the related T1 spin echo SPACE sequence
144 had already been shown in the detection of arterial dissection.¹⁵ Our study differs thus from
145 others having investigated hemorrhagic plaques where a 3D gradient echo T1 sequence, or a
146 2D multi-planar technique were used. The technical parameters of our 3D spin echo T1 se-
147 quence were as follows: repetition time, 350 ms; time to echo, 19.5 ms; field of view, 182 x
148 120 mm; scan length, 16 cm; acquisition voxel size, 0.5 x 0.5 x 0.8 mm; isotropic reconstruc-
149 tion voxel size, 0.4 x 0.4 x 0.4 mm; SPAIR fat saturation with TI, 120 ms; SENSE factor, 2 x
150 2; acquisition time, 6 min 14 s. Axial diffusion-weighted imaging (DWI) was performed with
151 b0 and b1000; slice thickness, 4 mm; in-plane resolution, 1.2 x 2.03 mm; SENSE acceleration
152 factor 2; TR/TE, 3881/69 ms; slice number, 35; field of view, 230 x 230 mm. The other brain
153 imaging sequences included in our routine protocol were axial T2 fast spin echo, axial T2
154 gradient echo, coronal fluid attenuation inversion recovery (FLAIR), and axial 3D time of
155 flight MR angiography of the carotid bifurcations. All MR studies were performed on a 3T
156 MR scanner (Ingenuity TF PET/MR, Philips, Amsterdam, Netherlands). No intravenous con-
157 trast medium was used.

158 The MR exams were read by a neuroradiologist (MS), blinded to patients' clinical infor-
159 mation, who screened for the event of acute infarction on diffusion weighted imaging (DWI),
160 defined as a region of increased signal on the b1000 image, with concurrent low values on the
161 corresponding apparent diffusion coefficient (ADC) map. Patients with TIA and amaurosis
162 fugax without a correlate on imaging were also included in the statistical analysis. Stenosis
163 degree was determined by the same neuroradiologist, experienced with reconstructions, per-

forming manual measurements according to the NASCET method, using the formula %ICA stenosis = $(1 - [\text{narrowest ICA diameter}/\text{normal diameter of distal cervical ICA}]) \times 100$. The NASCET method has been validated for the quantification of carotid stenosis with good correlation between millimeter stenosis assessment on angiography and percentage stenosis measurement on the computed tomography angiogram (CTA).¹⁶ This Bartlett et al. article also addresses the question of possibly fallacious measurements in cases of near-occlusion. Measures were performed on the CTA images acquired prior to the MR exam if available, otherwise on the MR exam itself. Carotid plaques were classified either as VISTA-positive (VISTA [+]), or VISTA-negative (VISTA [-]), based on the presence (VISTA [+]), or absence (VISTA [-]), of plaque hyperintensity on the 3D T1 sequence.

Statistical analysis

Relationships between categorical variables were evaluated by the Chi-square test of independence. When more than 20% of cells had an expected value of less than 5, Fisher's exact test was used instead. T-test was used to compare means of continuous variables. Levene's test for equality of variances was performed and the significance level for the T-test chosen accordingly depending on whether equality of variances between the two groups could be assumed or not. The effect of NASCET stenosis on VISTA status of a plaque and on ipsilateral core infarct was evaluated by logistic regression. In these two cases, an ROC curve was derived. Logistic regression was also used to evaluate the effect of VISTA status of a plaque on the likelihood of ipsilateral core infarct. All statistical analyses were carried out on SPSS Version 22.0.0.0 (IBM Corporation, Armonk, NY, USA). A 0.05 significance level was used for all tests.

Results

Demographic data of the study population, including age, gender and cardiovascular risk factors are shown in Table 1. Of note, age ranged from 37-89 years, and mean age was 74 years. 26 patients were female and 36 were male. The entire cohort was considered as a whole ($n = 62$) and also separately by VISTA (-) plaques bilaterally ($n = 36$) and at least one VISTA (+) plaque ($n = 26$). There was generally a similar distribution of all the variables across the two groups considered except for gender, with men being relatively more represented in the VISTA (+) group than women (73.1% versus 47.2%, $p = 0.042$).

In plaque-based analysis, a total of 114 plaques were found in the cohort of 62 symptomatic patients, 26 VISTA (+) and 88 VISTA (-) (Table 2). As there were no bilateral hemorrhagic plaques, patient-based prevalence of VISTA (+) plaques was thus of 42%. The overall plaque prevalence was 100% if ipsilateral plaques were counted. NASCET stenosis was significantly higher in the VISTA (+) than in the VISTA (-) groups (47.4% versus 33.7%, $p = 0.009$).

Furthermore, logistic regression showed that when the degree of stenosis was used to predict the VISTA (+) status of a plaque, there was a significant relationship with OR = 1.018 (95% CI [1.001, 1.034], $p = 0.033$). In other words a 1% increase in NASCET stenosis implied an increase in the probability of VISTA (+) status by 0.018 or 1.8%. This was further demonstrated by the ROC (receiver operator characteristic) curve, shown in Figure 1, with AUC (area under the curve) significantly higher than 0.500 ($p = 0.024$).

VISTA (+) plaques were significantly more associated with ipsilateral stroke than VISTA (-) plaques ($p = 0.003$) as demonstrated in Table 2. As an example, assuming independence between the two variables, the expected proportion of recent ipsilateral stroke caused by a VISTA (+) plaque should have been 6.0%, when the observed value was in fact 13.2%.

The association between VISTA (+) plaques and ipsilateral stroke was further explored by logistic regression, which showed a statistically significant positive relationship with OR = 3.854 [95% CI (1.548, 9.592)] ($p = 0.004$). A similar logistic regression model also taking into account the degree of NASCET stenosis of the plaque still showed a significant result for VISTA (+) and ipsilateral stroke with OR = 3.275 [95% CI (1.290, 8.313)] ($p = 0.035$), confirming that a VISTA (+) plaque is more likely to cause ipsilateral stroke, independently of the degree of NASCET stenosis. It should be noted that an increase in the degree of NASCET stenosis is also associated with increased likelihood of ipsilateral stroke with OR = 1.019 [95% CI (1.004, 1.034)] ($p = 0.011$) as confirmed by an ROC curve with AUC significantly higher than 0.500 ($p = 0.009$) (Figure 2). This was the case even when the effect of VISTA status of the plaque was taken into account with OR = 1.016 [95% CI (1.001, 1.032)] ($p = 0.035$), confirming that larger plaques are more likely to cause stroke, independently of their VISTA status.

36 out of the 62 patients showed areas of restricted diffusion on the DWI imaging sequence, two of them both-sided, corresponding to areas of acute brain infarcts in 58% of the study population (Table 2, Figures 3c and 4c). All 36 patients with acute infarcts had a carotid bifurcation or proximal carotid artery plaque ipsilateral to the ischemic brain lesion (Figures 3a and 3b, 4a and 4b). Out of the 36 patients with evidence of an ischemic core infarct, 15 (42%) showed ipsilateral VISTA (+) carotid plaques on the fat-saturated 3D T1WI sequence of the neck vessels, either at the carotid bifurcation or at the proximal internal carotid artery (C1 segment) (Table 2). No ipsilateral carotid VISTA T1 hypersignal was detected in the remaining 21 patients (58%) with brain core infarct (Table 2). In the supposedly hemorrhagic plaque group of stroke patients, degrees of stenoses (ipsilateral to the infarct) were $\geq 70\%$ in 13%, $\geq 60 < 70\%$ in 27%, $\geq 50 < 60\%$ in 13%, $\geq 40 < 50\%$ in 27%, $\geq 30 < 40\%$ in 0%, and $< 30\%$ in 20% of patients. In 21 patients with acute brain infarcts but VISTA (-) ipsilateral carotid

plaques, degrees of stenosis of 23 ipsilateral plaques were $\geq 70\%$ in 26%, $\geq 60 < 70\%$ in 9%, $\geq 50 < 60\%$ in 13%, $\geq 40 < 50\%$ in 9%, $\geq 30 < 40\%$ in 9%, and $< 30\%$ in 35% (Table 3, numbers rounded). In the entire VISTA (-) plaque group, including patients without core infarcts (88 plaques in total), mean degree of stenosis was 34%. In both groups presenting acute infarction with an associated ipsilateral plaque, the mean degree of stenosis was not significantly different ($p = 0.294$), with 50% in the group with VISTA (+) plaques ($n = 15$), and 44% in the group with VISTA (-) plaques ($n = 24$). The mean degree of stenosis was thus not superior to 50% in both groups, with close to half of patients falling into the group of mild or low grade stenosis ($< 50\%$). In the remaining 26 patients without a DWI-positive lesion on MRI, 8 presented hemorrhagic plaques, with a mean degree of stenosis of 38% (Figure 5). A total of 39 non-hemorrhagic plaques were identified in the 26 DWI-negative patients, with a mean degree of stenosis of 37%.

Discussion

Similarly to classical risk factors (smoking, male gender, hypertension), IPH has been recognized to be a strong predictor of cardiovascular events.^{2,17-21} It carries a higher predictive value for recurrence of ischemic events than any other clinical risk factor identified in the NASCET trial, with a minimal hazard ratio of 4.6-4.7 in a meta-analysis from 2013.¹⁷ This was confirmed by the meta-analyses from Schindler et al. and Deng et al. from 2020, with a hazard ratio of 7.14 (95% CI [4.32, 11.82]) in the latter.^{14,21}

IPH can be detected by ultrasound, with hemorrhagic plaques having a heterogeneous pattern containing anechoic components.²² However, although widely used, ultrasound is limited by subjectivity and may be challenging in the presence of calcifications.¹⁰ In a study of Bluth et al., the sensitivity, specificity and accuracy for the detection of intraplaque hemorrhage by ultrasound were 94%, 88 % and 90%, respectively.²² Additionally, ultrasound has

limited power in accurately identifying patients at low risk.² Computed tomography (CT) also did not prove so far to be sufficiently reliable for density-based detection of IPH.²³

An emerging imaging alternative are heavily T1-weighted (T1WI) black blood magnetic resonance (MR) techniques. Black blood plaque imaging can be performed using either 2D or 3D T1WI sequences where hemorrhagic areas, enclosed by a thin fibrous cap, appear as increased T1 signal intensity, often crescent-shaped, as shown by several radiologic-histopathologic studies.⁵ T1WI MR with fat saturation has been histologically proven to detect IPH with a sensitivity of 90-100% and a specificity of 74-80%.^{2,24} As opposed to 2D multi-planar sequences, 3D sequences have several advantages such as better contrast and spatial resolution, fewer partial volume and motion artifacts, and a shorter acquisition time. They also have higher sensitivity and specificity for plaque characterization than 2D techniques, as shown in the histologic-radiologic study by Narumi et al. (2015)¹⁰ with 34 patients. An advantage of the gradient echo based Magnetization Prepared - Rapid Gradient Echo (MPRAGE) sequence over fast spin echo and time of flight (TOF) T1 sequences was postulated in a 2010 publication of Ota et al.²⁵ The group of Sato et al. (2016) however tested four different T1 sequences for the detection of hemorrhagic plaque and found a spin echo sequence to be the most sensitive one.²⁶

Clinically, IPH is related to previous cardiovascular events, and exposes the patient to a higher risk of future ischemic events, even in the context of a moderate overall degree of stenosis.^{2,10,24,27,28} As early as in 1979, and then in 1982, IPH had been linked to a symptomatic behavior of carotid atheromatosis.^{29,30} In the Hosseini et al. (2013)² study with individuals presenting $\geq 50\%$ carotid stenosis, patients with IPH suffered 92% of all recurrent ipsilateral ischemic events (57/62 events in a total of 179 patients) and 25 out of 26 future strokes. At the same time, that group performed a meta-analysis of 335 patients where IPH was associated with a significant increase in the risk for recurrent ipsilateral ischemic events (odds ratio,

12.2; 95% CI 5.5-27.1). Similarly, in a 2008 study by Altaf et al.⁷, 13 out of 14 ischemic neurological events during follow-up of 64 patients occurred in the patient group with IPH. Treiman et al. (2015)⁵ suggested that 18% of individuals with IPH and $\geq 50\%$ stenosis would develop symptomatic cerebral infarction, and 43% of individuals either symptomatic or asymptomatic cerebral infarction in the 48 months following discovery of the plaque. The increased stroke risk associated with a hemorrhagic plaque has been estimated to last for up to 5 years.⁵ The hazard ratio of stroke based on the presence of IPH on MRI was estimated at 5.2 by Treiman et al, and at 10.2 and 7.9 for symptomatic and asymptomatic patients, respectively, by Schindler et al.^{5,14} In the 2004 study of Chu et al. (2004)²⁴, IPH was detected in 77% of symptomatic plaques scheduled for CEA. Finally, in a 2012 study by Turc et al.³¹, the overall prevalence of recent IPH was 33% in symptomatic patients. Of note, the risk of further cerebral embolization is also higher during the CEA procedure itself with plaques featuring IPH.³²

In a comparison of specimens of patients having undergone CEA, symptomatic patients had a strong association with plaques containing IPH (71%), whereas the association proved to be weak in asymptomatic patients (12%) as shown by Spagnoli et al. (2004).³³ This difference seems to confirm the assumption that individuals with hemorrhagic plaques are at a higher risk of ischemic events, which is in agreement with the results from our study. Concerning the volume of intraplaque hemorrhage, no significant difference ($p > 0.99$) was found in a study of 43 symptomatic and asymptomatic patients having undergone CEA.³⁴ Accordingly, in the Lusby et al. (1982)²⁹ study, IPH was found in a higher proportion of CEA specimens from symptomatic than from asymptomatic individuals. In the Polak et al. (1993) ultrasound study, there was an increased prevalence of supposed IPH in patients with carotid stenosis when patients were studied in proximity to the onset of symptoms.²⁰

In our study of a collective of symptomatic patients, 42% had intraplaque T1 hyperintensity on high-resolution black-blood VISTA MR, suggestive of intraplaque hemor-

rhage. The prevalence of hemorrhagic plaques in the general asymptomatic population has been estimated at 11.5%.³⁵ Considering that a higher prevalence of hemorrhage is usually found in symptomatic plaques in cases of more severe stenosis⁴, the 42% prevalence value in our study is considerable. It lies between the 31% prevalence of IPH found in symptomatic patients with mild stenosis and the 46% prevalence in patients with moderate stenosis of 50-69%, in the Kwee et al. (2010)⁴ study. The Schindler et al. meta-analysis from 2020 found a 51.6% prevalence of IPH in patients with symptomatic carotid stenosis, and a 29.4% prevalence in patients with asymptomatic carotid stenosis.¹⁴ A 37% prevalence of ipsilateral IPH has been described in patients with symptomatic low grade stenosis in the Bayer-Karpinska study started in 2013.¹² These patients suffered stroke which was initially classified as cryptogenic. Also of note is that, in this latter study, only 3% of contralateral non-symptomatic plaques were hemorrhagic. The group of Altaf et al. (2008)⁷ found 61% of IPH in symptomatic patients with 30-69% stenosis. In the 2013 study by Hosseini et al. (2013)², which included patients with intermediate and high-grade stenosis, 64% of carotid plaques were hemorrhagic. Other studies reported IPH prevalences of 28% and 37% in 50-79% and 50-70% (moderate) stenoses groups, respectively.² This is in agreement with our results, which showed a positive relationship between NASCET degree of stenosis and presence of IPH.

If only 36 patients with DWI positive infarcts in our study are considered, as many as 42% of them had a VISTA (+) plaque ipsilateral to the infarct. Mean stenosis degree of these plaques was only 50%. Our findings are in keeping with previous studies such as that conducted by Yoshida et al. (2012)³⁶ which found an association between symptomatic low-grade carotid stenosis with vulnerable plaque and a high rate of stroke recurrence that was refractory to aggressive medical treatment. In those patients, CEA was a safe and effective alternative. Our study's findings feed the discussion concerning a paradigm change in treatment recommendations for carotid plaques that goes beyond the mere degree of stenosis. A patient with a

stable plaque with <70% stenosis might benefit from medical statin therapy alone whereas a hemorrhagic plaque should be considered at high risk for future events and might necessitate more aggressive, or even invasive, treatment, even if the degree of stenosis is lower than 50%.

The role of plaque imaging in the surveillance of medical therapy, particularly the influence of statins on plaque composition, needs to be elucidated in further studies.^{27,37} Plaque imaging should be evaluated in larger controlled clinical trials, including patients with mild stenosis carotid plaques, to reassess and possibly modify currently applied algorithms for invasive treatment. It will need to be clarified to which extent the thickness and percent stenosis degree of a hemorrhagic plaque influence its propensity to embolize, and further attention will be drawn on morphological aspects like IPH volume and intensity.³⁸ It also seems necessary to determine to which degree a hemorrhagic plaque develops inflammatory changes, e.g. through iron deposition, and following endothelial dysfunction.⁵

A limitation of this study lies in the absence of histological correlation, not allowing exclusion of the hypothesis that some of the focal T1 hyperintensities observed do not represent plaque hemorrhage but rather fat or calcium deposits. It is also limited by the fact that only carotid bifurcation atheromatosis has been taken into account and not aortic arch disease.

In summary, 3D spin echo T1WI provides information on plaque composition that goes beyond mere luminal stenosis measurement, showing other potentially independent risk factors and particularly probable IPH as a marker of vulnerability. Our study demonstrates that a high percentage (42%) of ischemic events in patients with a mean low- to moderate-grade of stenosis (50%) are associated with supposedly hemorrhagic plaques, an easily detectable MRI imaging marker. Plaque characterization imaging should be performed in situations of uncertainty concerning the etiology of an ischemic stroke and allows for more accurate risk stratification and management of patients presenting carotid plaques with <70% stenosis. It also non-invasively identifies high-risk patients who could benefit from surgical treatment.

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Statement of authorship

MS performed the main writing of the article, with contributions and critiques from JB, IBN, AP, RS and KOL. JB performed the statistical analyses and provided tables and graphs. MIV conceived the study, oversaw the work and assisted in writing and editing. AP prepared the images. BD and JR provided technical support and feedback.

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499 Table 1: Demographic data of entire cohort of patients (n = 62) and separately by patients
500 with VISTA (Volume Isotropic Turbo Spin Echo Acquisition) (-) (n = 36) and VISTA (+) (n
501 = 26) plaques

	Population (n = 62)	VISTA (-) plaques bilaterally (n = 36)	At least one VISTA (+) plaque (n = 26)	p
Age (mean \pm SD)	74.1 \pm 10.5 years	72.2 \pm 11.3 years	76.6 \pm 8.7 years	0.104
Gender	M = 36 (58.1%) F = 26 (41.9%)	M = 17 (47.2%) F = 19 (52.8%)	M = 19 (73.1%) F = 19 (26.9%)	0.042
Hypertension	44 (71.0%)	27 (75.0%)	17 (65.4%)	0.410
Smokers	23 (37.1%)	13 (36.1%)	10 (38.5%)	0.850
Diabetics	12 (19%)	9 (25.0%)	3 (11.5%)	0.186
High cholesterol	32 (51.6%)	18 (50%)	14 (53.8%)	0.765
Family history of stroke	7 (11.3%)	5 (13.9%)	2 (7.7%)	0.689
Previous stroke (including re-cent episode)	49 (79%)	26 (72.2%)	23 (88.5%)	0.121

502 SD = standard deviation

503

504

505 Table 2: Cross-tabulation of recent ipsilateral DWI (diffusion-weighted imaging)-positive
 506 core infarcts with VISTA (Volume ISotropic Turbo spin echo Acquisition) (-) and VISTA (+)
 507 plaques status. VISTA (+) plaques correspond most likely to intraplaque hemorrhage and are
 508 significantly more associated with ipsilateral stroke than VISTA (-) plaques ($p = 0.003$). As
 509 an example, assuming independence between the two variables, the expected proportion of
 510 recent ipsilateral stroke caused by a VISTA (+) plaque should have been 6.0% , when the ob-
 511 served value was in fact 13.2%.

	VISTA (-) plaque	VISTA (+) plaque	Total
No recent ipsilateral stroke	65 (57.0%)	11 (9.6%)	76 (66.7)
Recent ipsilateral stroke	23 (20.2%)	15 (13.2%)	38 (33.3%)
Total	88 (77.2%)	26 (22.8%)	114 (100%)

512 Chi-square = 8.993; $p = 0.003$

513

514

515 Table 3: Table showing relation of stenosis degree to VISTA (Volume ISotropic Turbo spin
 516 echo Acquisition) (+) status of atheromatous plaques in stroke patients (numbers are rounded)

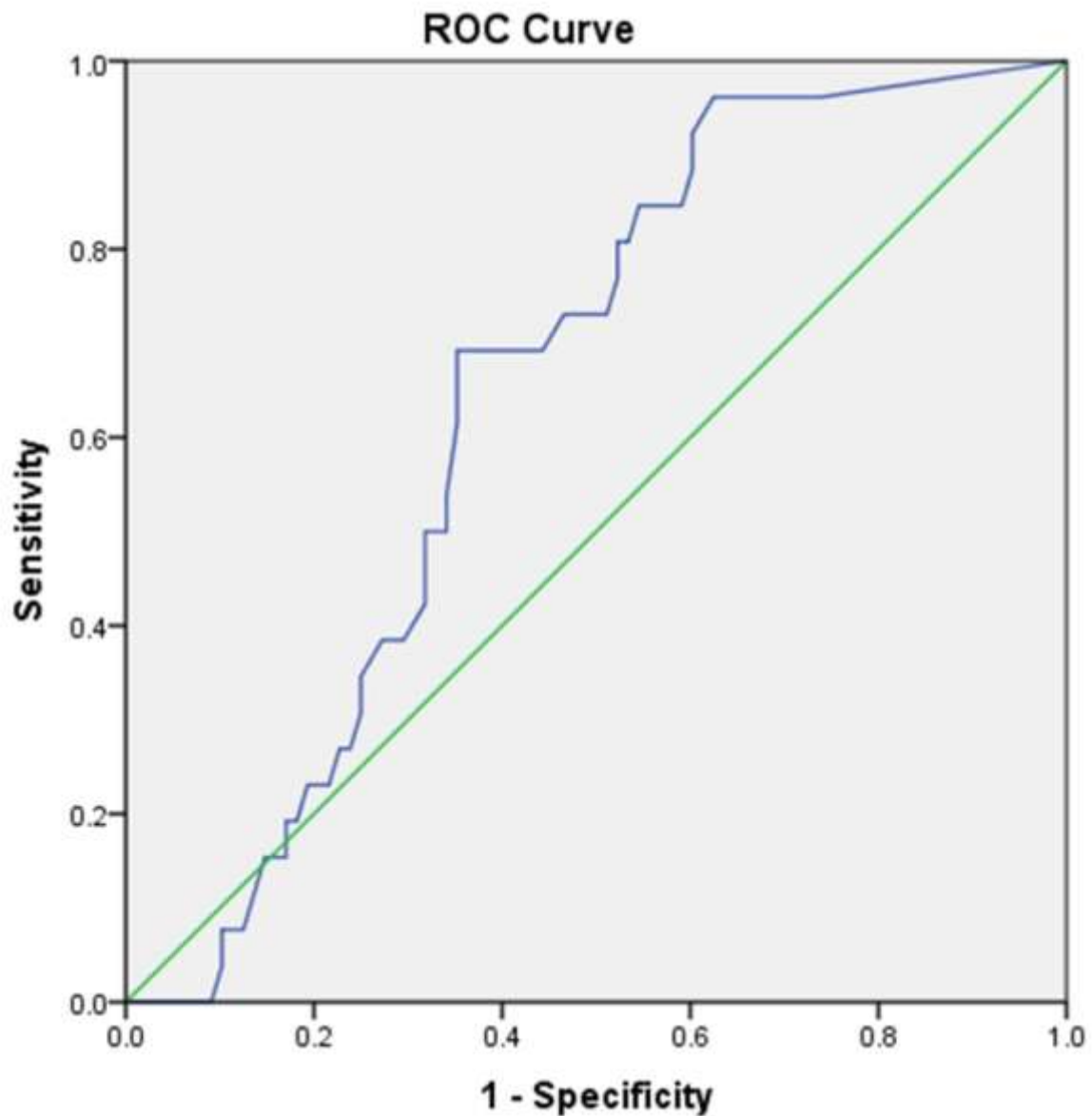
Stenosis degree (NASCET)	VISTA (+) plaques associated with ipsilateral core infarct	VISTA (-) plaques associated with ipsilateral core infarct	VISTA (+) plaques without ipsilateral core infarct
≥70%	2 (13%)	6 (26%)	2 (18%)
≥60<70%	4 (27%)	2 (9%)	1 (9%)
≥50<60%	2 (13%)	3 (13%)	2 (18%)
≥40<50%	4 (27%)	2 (9%)	1 (9%)
≥30<40%	0 (0%)	2 (9%)	2 (18%)
<30%	3 (20%)	8 (35%)	3 (27%)
Total	15 (100%)	23 (100%)	11 (100%)

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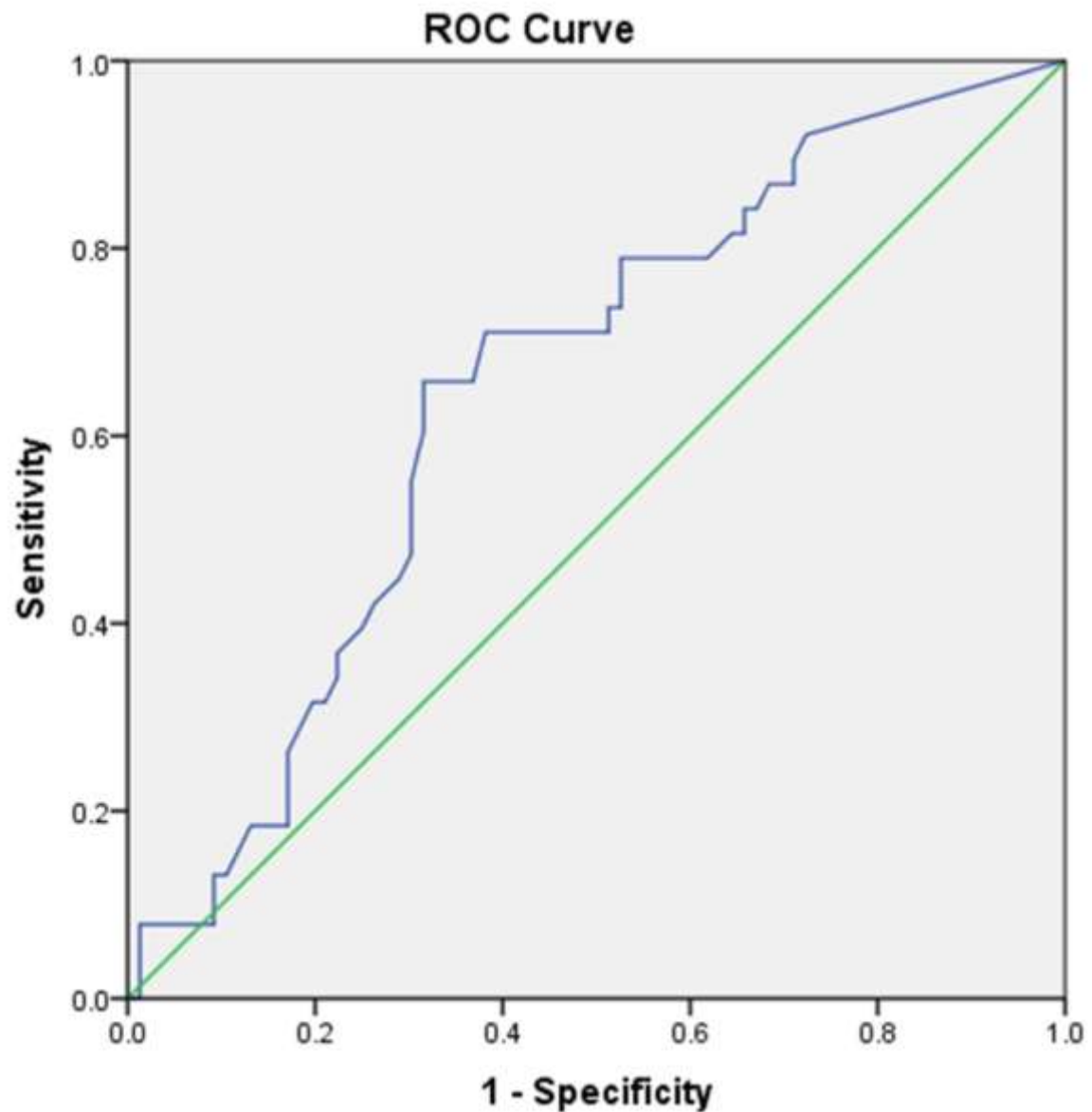


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522 Figure 1 ROC curve of plaque NASCET stenosis as a predictor of intra-plaque hemorrhage

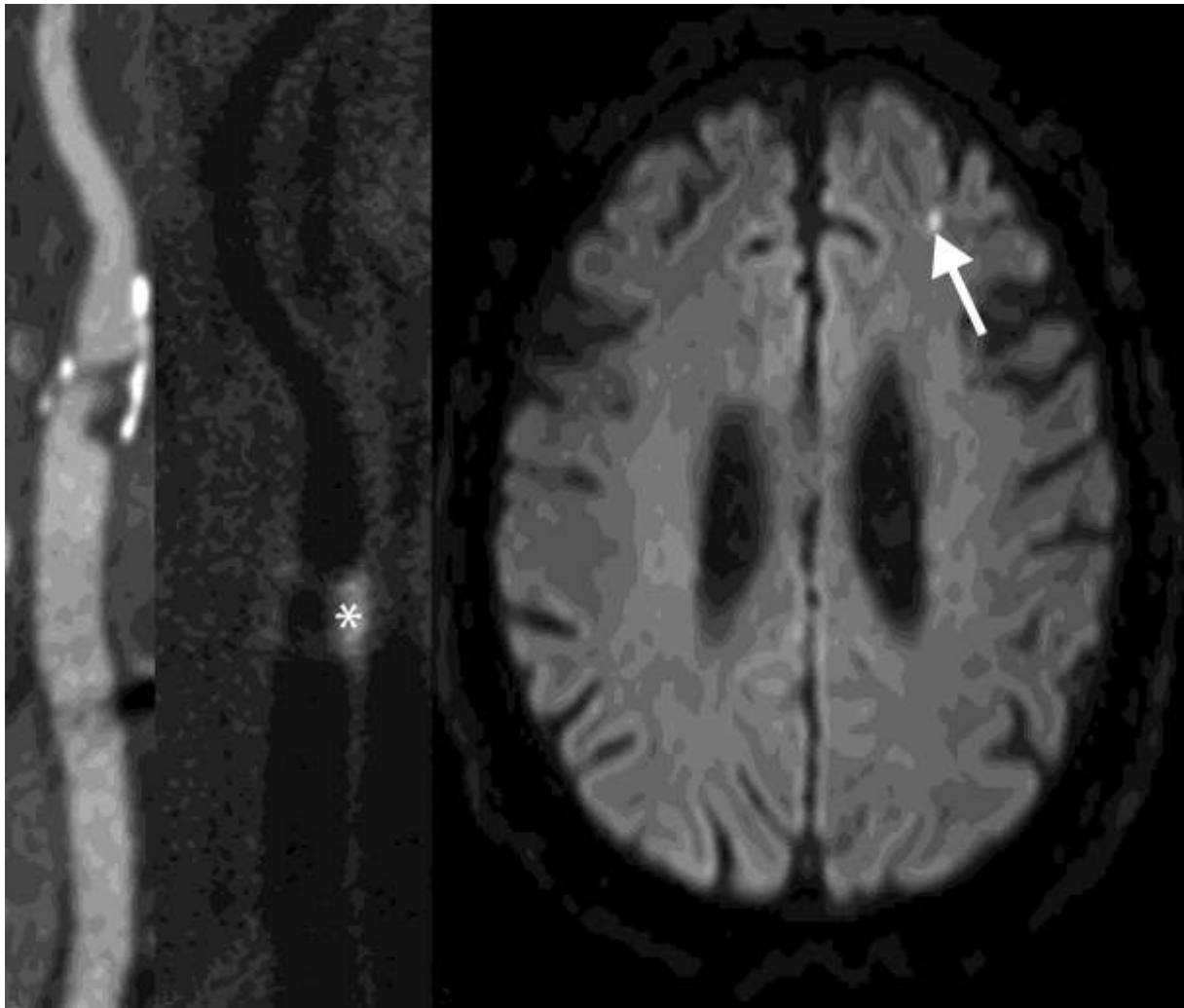
523 [VISTA (+) plaque]. AUC = 0.646, 95% CI [0.542, 0.750], $p = 0.024$.

524



525
 526 Figure 2 ROC curve of plaque NASCET stenosis as a predictor of ipsilateral stroke. AUC =
 527 0.650, 95% CI [0.546, 0.754], $p = 0.009$.

528



529

530 Figure 3: CTA (computed tomography angiography) and VISTA (Volume Isotropic Turbo
 531 Spin Echo Acquisition) MRI images illustrate stenosis of 60% measured according to
 532 NASCET (North American Symptomatic Carotid Endarterectomy Trial) criteria (A,B) of the
 533 left internal carotid artery with supposed intraplaque hemorrhage (B, asterisk) and ipsilateral
 534 frontal infarct on diffusion MRI image (C, arrow).

535

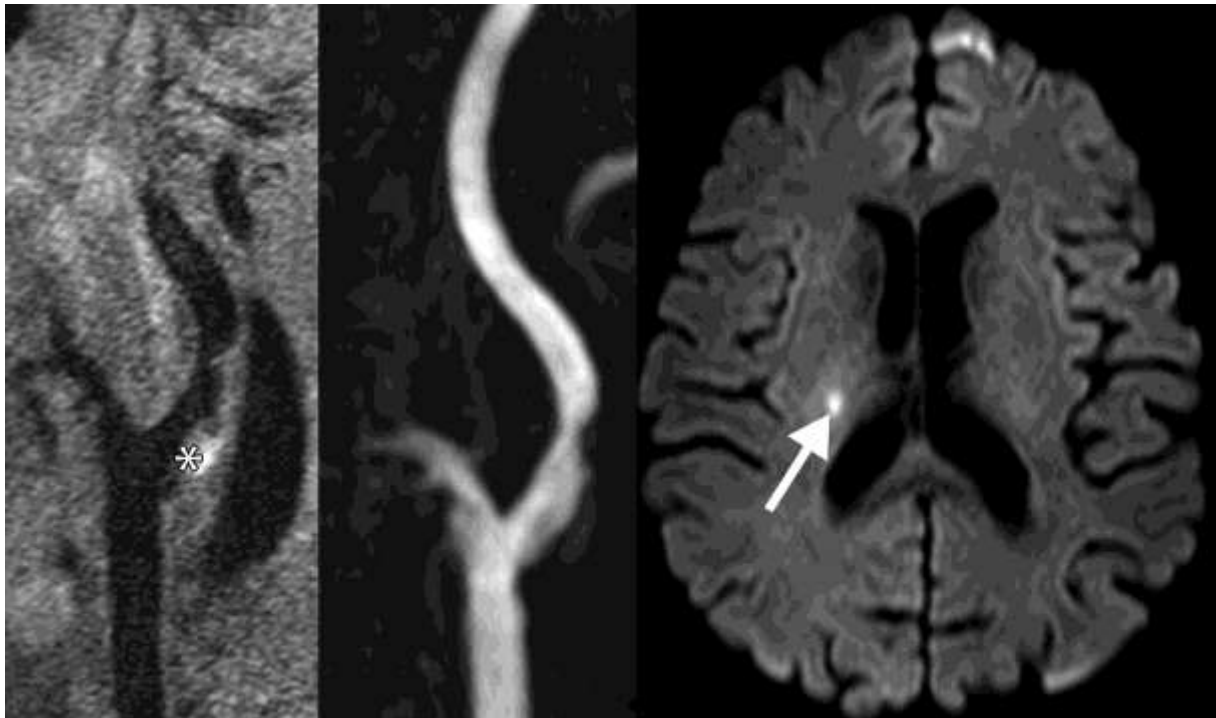
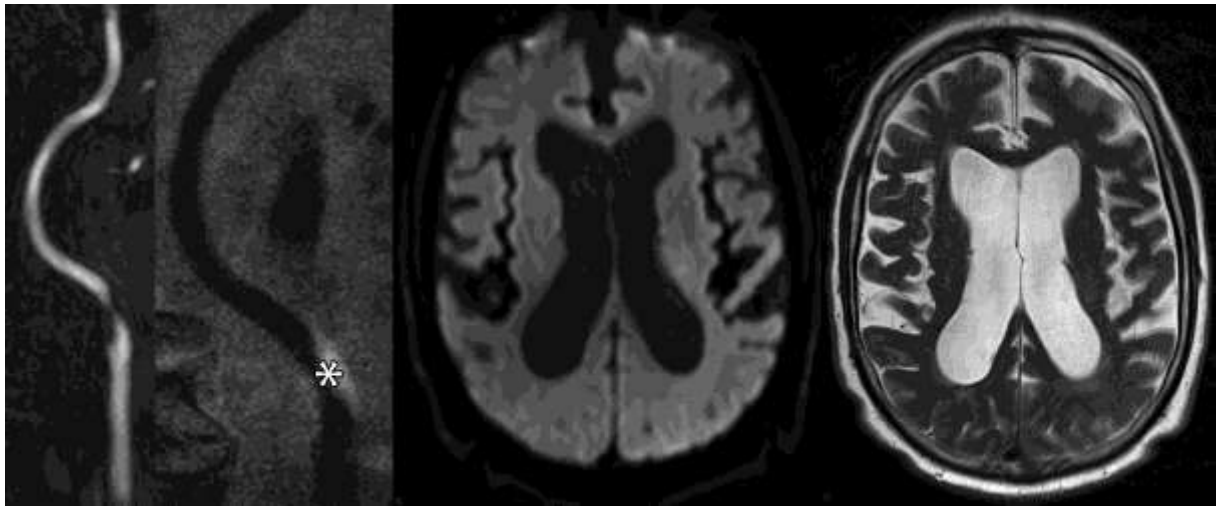


Figure 4: MRI images show stenosis of less than 40% of the right internal carotid artery (A,B) with supposed intraplaque hemorrhage on VISTA (Volume Isotropic Turbo Spin Echo Acquisition) sequence (A, asterisk) as well as a small ipsilateral paraventricular infarct on diffusion MRI image (C, arrow).



542

543 Figure 5: MRI images illustrate an 85% stenosis of the left internal carotid artery (A,B) with

544 supposed intraplaque hemorrhage (B, asterisk). No core infarct was observed on the corre-

545 sponding diffusion weighted (C) and T2-weighted (D) MRI images.

546