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Adapting the "Chester step test" to predict peak oxygen uptake in children

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Summary

AIM OF THE STUDY: Maximal exercise testing may be difficult to perform in clinical practice, especially in obese children who have low cardiorespiratory fitness and exercise tolerance. We aimed to elaborate a model predicting peak oxygen consumption $(VO₂)$ in lean and obese children with use of the submaximal Chester step test.

METHODS: We performed a maximal step test, which consisted of 2-minute stages with increasing intensity to exhaustion, in 169 lean and obese children (age range: $7-16$ years). VO₂ was measured with indirect calorimetry. A statistical Tobit model was used to predict VO₂ from age, gender, body mass index (BMI) z-score and intensity levels. Estimated VO₂peak was then determined from the heart rate-VO² linear relationship extrapolated to maximal heart rate (220 minus age, in beats.min $⁻¹$).</sup>

RESULTS: VO² (ml/kg/min) can be predicted using the following equation: $VO₂ = 22.82 - [0.68*BM1 z-score] [0.46*$ age (years)] \cdot $[0.93*$ gender (male = 0; female = 1)] $+[4.07*$ intensity level (stage 1, 2, 3 etc.)] $-[0.24*$ BMI zscore *intensity level] - [0.34*gender*intensity level]. VO² was lower in participants with high BMI z-scores and in female subjects.

CONCLUSION: The Chester step test can assess cardiorespiratory fitness in lean and obese children in clinical settings. Our adapted equation allows the Chester step test to be used to estimate peak aerobic capacity in children.

Key words: cardiorespiratory fitness test, prediction equation, submaximal test, children, obesity

Introduction

The rapid increase in the prevalence of childhood obesity worldwide raises major concerns for global health [1]. Early diagnosis and management are essential to limit the development of comorbidities [2]. In children, treatment aims to limit weight gain during growth, and improve well-being and physical capacity, in order to prevent early comorbidities. One of the pillars of the treatment is engagement in regular physical activity [3], which has a beneficial effect on body composition as well as on cardiovascular disease risks [4]. Indeed, it is known that high cardiorespiratory fitness in youth is associated with a lower risk of myocardial infarction in later life [5]. It is important to be able to measure cardiorespiratory fitness in a clinical setting, not only to assess the risk of disease but also to evaluate the effects of physical activity programmes.

Maximal oxygen consumption $(VO₂max)$ is considered by the World Health Organization (WHO) to be the best cardiorespiratory fitness indicator [6] and is commonly assessed with treadmill or bike tests [7–9]. However, implementation of such tests has some limitations. First, they need expensive specialised equipment and expertise, limiting their use in clinical practice. Second, reference values are based on normal-weight populations and seem to underestimate the true $VO₂max$ in obese participants [10, 11]. These tests require maximal effort, which is often difficult to obtain from obese children. Indeed, based on our clinical practice, obese children experience rapid discomfort with signs of physical exhaustion within a few minutes. Consequently, maximal cardiorespiratory fitness tests are more difficult to perform in this population, which is why peak oxygen consumption is used. Therefore, a submaximal test, easy to perform and well tolerated by children, is needed. It is possible that a specific equation for predicting $VO₂$ may also be needed for children because mechanical efficiencies may be different in children and adults [12].

It should be kept in mind that $VO₂max$, expressed as an absolute value (e.g., in $LO₂min⁻¹$), better represents the performance of non-weight-bearing activities such as cycling, swimming, handgrip force, etc. In this situation, obese individuals are less disadvantaged and sometimes perform better than control subjects [13]. However, obese individuals have more difficulties with daily activities like walking, sitting up/down and climbing stairs, because these activities are weight bearing. For this reason the VO2max, expressed as a relative value (e.g., in LO_2 .kg⁻¹.min⁻¹), better represents the ability of obese individuals to perform everyday tasks.

The Chester step test is a submaximal weight bearing test in which the subject has to step up onto and down from a bench (here 25 cm high) at an increasing pace to provide an estimate of peak oxygen consumption. A similar test was described in the 1960s by Margaria et al. [14], and was recently validated for adults [15]. Other studies have previously validated step tests in adults and children [16]. The Chester step test has the advantage of being easy to perform, is cheap and requires only a few light materials: a bench, a metronome and a device for heart rate measurement.

Finally, there is a need to assess whether earlier published $VO₂$ predictions determined in adults [15, 16] are also applicable in children.

The aims of the study were to (1) elaborate an equation allowing the estimation of peak oxygen consumption using the Chester step test both in lean and obese children and adolescents and (2) compare these results with other prediction equations published in the literature.

Materials and methods

This was a cross-sectional study including lean, overweight and obese children and adolescents aged 8 to 16 years. The tests were made not only for research purposes, but also to identify sedentary children at risk of cardiovascular diseases in order to initiate an activity programme. Obese participants were recruited at a paediatric obesity consultation at the secondary care public hospital of Sion (Wallis, Switzerland) between September 2009 and September 2012. Overweight and lean children with no regular physical activity (less than 5 hours per week including school activities, based on a physical activity questionnaire) were recruited at different schools located in Wallis during the same period. All participants came from the same demographic area (urban and rural) and were excluded from the study if they: (1) had an orthopaedic condition or a disease (e.g. cardiovascular disease) limiting physical activity; or (2) had a fracture or severe trauma of the lower limbs in the preceding year.

After they had been informed about the study, written consent was obtained from the parents and oral assent from the children. The protocol was approved by the ethics committee "Commission cantonale valaisanne d'éthique medicale ICHV" (no. CCVEM 037/09).

Body weight (kg) in light clothes and standing height (cm) were measured and body mass index (BMI) was calculated as weight / height squared (kg.m⁻²). The BMI z-scores were computed from the WHO references [17], to adjust BMI for age and

gender. Overweight was defined as a BMI z-score between 1 and 2, and obesity as a BMI z-score greater than 2.

All participants had to climb up and down a 25 cm high step. This height was chosen to be optimal for the participants who might have had difficulties with the test (the youngest children of our study had a standing height of about 1.30 m) [18]. The rate was increased progressively from 15 to 35 cycles per minute with 5 cycles per minute increases [19]. According to the protocol, the test consisted of five stages, each lasting 2 minutes. Heart rate was recorded at the end of each stage using a heart rate sensor belt (Polar[®]). The oxygen consumption (VO₂, ml.kg-1 .min-1) was measured with a breath-bybreath analyzer Metamax (CORTEX Biophysik GmbH, Walter-Köhn-Str. 2d, 04356 Leipzig/Germany) throughout the test, and values for the last 30 seconds of each stage were kept for calculations. The participants were encouraged to continue until reaching criteria for maximal test: clinical signs of exhaustion or a heart rate higher than 85% of the theoretical age predicted maximum (220 minus age) and a respiratory quotient over 1.0 [8, 20]. We did not use the $VO₂$ plateau as a criterion, as there is no such plateau in children [21].

The VO_2 peak (ml.kg⁻¹.min⁻¹) was also predicted using an available equation based on results in adults: the calculator for the Chester step test (ST calculator) (ASSIST creative resources Limited, Wrexham, Wales, [http://the-chester-step-test-calculator.soft](http://the-chester-step-test-calculator.software.informer.com/6.0/)[ware.informer.com/6.0/\)](http://the-chester-step-test-calculator.software.informer.com/6.0/) in which the maximal heart rate was defined as 220 minus age in years. This calculator predicts $VO₂peak$ using a linear extrapolation through the heart rate recorded at each intensity level and estimates $VO₂$. The source of these $VO₂$ values is not reported, but they depend only on the participants' ages and the step height.

Both $VO₂$ and heart rate were measured at each intensity level; thus participants had a maximum of five measurements. As some participants did not reach all levels, we dealt with missing values at highest levels as follows. Since the intensity increased at each level, both $VO₂$ and heart rate were expected to increase accordingly; thus missing $VO₂$ values would be at least as high as the last measurement and could then be considered as censored values. To deal with such data, we applied random-effects Tobit regression [22] using the "xttobit" command of Stata. The right-censoring variable was the highest measured $VO₂$ for each participant, which was considered as the group variable. There were no missing data for the variables of interest.

The aim was to predict $VO₂$ for each intensity level from age, gender and BMI z-score. We chose those variables because $VO₂$ is known to be dependent on them [23]. Since 62 subjects achieved the fifth intensity level, the sample size was sufficient to include three covariates, with at least 15 observations per estimated parameter [24]. We first implemented separate models at each intensity level and noticed that the association between BMI z-score and $VO₂$ increased with intensity, as did the difference between genders. The association with age remained constant through all levels. These observations suggested interactions between level and BMI z-score as well as between level and gender. As the increase in VO² was almost constant across intensity levels, we considered this variable as continuous. Therefore, the $VO₂$ was significantly predicted by age, gender, BMI z-score and intensity levels; including the above mentioned interactions – level \times BMI zscore and level \times gender.

It is not mandatory to have measurements for all five stages in order to estimate VO₂peak. Nevertheless, the more levels obtained, the more precise the estimation can be.

Statistical differences between genders were analysed with the independent Student t-test. We used errors-in-variables regression [25] to compare the VO2peak values predicted by the proposed model and by the ST calculator. Linear regression was applied to assess the relationship between the predicted VO2peak values and the measured ones (for participants who reached criteria for maximal test as described above).

Differences were considered significant if $p < 0.05$. Statistical analyses were performed using Stata 11.2 (StataCorp 2009, College Station, TX).

Reporting of this study follows the recommendations of the TRIPOD guideline[s https://www.tripod](https://www.tripod-statement.org/)[statement.org/.](https://www.tripod-statement.org/)

Results

The available data came from 169 children, whose characteristics are summarised in table 1. Mean $(±$ standard deviation) age was 11.5 ± 2.0 and mean BMI z-score was 1.2 ± 1.6 . Forty-six percent of the subjects were boys, 53.3% were normal weight, 8.2% overweight and 38.5% obese. Both weight and height, but not age, increased with BMI z-score.

The children performed a Chester step test including a maximum of five intensity levels. One child did not reach the third level and stopped at stage two, 29 stopped at stage three, 77 at stage four and the 62 remaining children reached the fifth intensity level (fig. 1).

Figure 1: Characteristics of the subjects at each maximal intensity level reached. NW = normal weight; OB = obese; OW = overweight

Tobit models were applied to the $VO₂$ measurements adjusted for age, gender, BMI z-score, and intensity level. BMI z-score and age were negatively associated with VO₂, and girls had on average lower $VO₂$ values than boys. The negative effect of BMI z-score on $VO₂$ was stronger at higher intensity levels, and the gender difference was also greater at higher levels.

This model allows $VO₂$ values to be estimated at each stage level using the following equation:

 $VO_2 = 22.82 - (0.68 * BMI z score) - (0.46 *$ Age) − (0.93 ∗ Gender) + (4.07 ∗ Stage) − $(0.24 * BMI z score * Stage) - (0.34 * gender)$

Stage), where gender $= 0$ for boys and 1 for girls, stage $= 1$

(i.e., 15 cycles per min), 2, 3, etc. BMI z score ranges from -3 to $+3$ and age is in years. VO₂ is expressed in $ml.kg^{-1}.min^{-1}$. The confidence intervals for these coefficients are given in table 2.

Table 3 gives such $VO₂$ values at each intensity level for different characteristics of the child (gender, age and BMI z-score). It allows the clinician to determine easily and very quickly the predicted $VO₂$ of the subject, knowing the intensity level which was reached and the age and BMI z-score, without the need of the equation.

Furthermore, a good estimation of $VO₂max$ can be obtained by calculating the extrapolated $VO₂$ at the heart rate maximum level, from the linear relationship between VO_2 and heart rate [26]. The VO_2 peak value is predicted by performing a linear fit between the estimated $VO₂$ at each level (as given in table 3) and the corresponding measured heart rate. The fitted value at the maximum heart rate (220 minus age) corresponds to the estimated VO₂peak.

Figure 2 shows the associations between the measured $VO₂$ values and those predicted by the ST calculator or by our proposed model. It highlights the wide dispersion of measured $VO₂$ values at each intensity level, which is at odds with the fixed values suggested by the ST calculator, resulting in unreliable estimations of $VO₂peak$. Our equation allows much more precise predictions which would improve the estimation of $VO₂peak$.

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Figure 2: Comparison between the VO₂peak values predicted by the ST calculator and the Tobit model.

The grey line represents the identity line, the red crosses represent the maximum oxygen consumption (VO₂peak) predicted from our prediction equation (Tobit model) and the blue circles represent the $VO₂peak$ calculated from the Chester step test calculator.

Extrapolating our equation prediction to young adults with the same characteristics (male, 22 years and BMI z-score of 0) as used by Buckley et al. [15], we found values similar to those they published for the ST calculator and given in Margaria et al. [14] (table 4).

VO₂ expressed in mIO₂.kg⁻¹.min⁻¹

First row: VO₂ predicted using the Chester step test calculator and reported from [8].

Second row: VO₂ predicted by Margaria et al. [27]

Third row: VO₂ predicted extrapolated from the equation developed in the present study for adults with similar age (22 y), gender and BMI z score (0), as reported in [8].

In an attempt to verify the accuracy of the predicted VO₂ peak value provided by our model, we used the data of participants who reached a >1.0 and a heart rate higher than 85% of the maximum heart rate (n $=$ 59). We then compared their highest measured VO² value with the VO2peak predicted by our model and by the ST calculator. These predicted values are obtained using only the measurements of the first three intensity levels, as would be the case

in a submaximal test (as performed in practice by clinicians). Figure 3 illustrates the associations between measured and predicted VO₂peak. The confidence intervals (CIs) are computed from the standard error of the prediction; they show that the linear prediction is slightly more accurate with the Tobit model than with the ST calculator.

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Figure 3: Comparison between the predicted maximum oxygen consumption (VO₂peak) values and the highest measured $VO₂$ in participants (n = 59) reaching criteria for maximal test.

Criteria for maximal test were: a respiratory quotient higher than 1.0 and a heart rate higher than 85% of the estimated maximal heart rate (220 - age).

The predictions were obtained with the Tobit model (present study) (crosses and red line) or with an equation developed from data reported in the literature (ST calculator, open circles and dotted blue line), using the measurements of the first three intensity levels only (sub-maximal test). The grey line represents the identity line.

Using a linear regression model, we found a slope of 0.85 (95% CI 0.66–1.03) and an intercept of 9.25 $(p = 0.002, 95\% \text{ CI } 3.66 - 14.84)$ for the Tobit model. This result suggests that there is an overestimation of predicted $VO₂peak$ but this is constant throughout all values (the slope is not significantly different from 1). With the $VO₂peak predicted by the ST cal$ culator, the slope is 0.69 (95%CI 0.48–0.90) and the intercept 16.36 (p <0.001, 95%CI 10.02–22.69), which suggests that our model is more accurate. Moreover, the Pearson's correlation between predicted VO₂ peak and the highest measured VO₂ value is higher for the Tobit model (rho $= 0.78, 95\%$) CI 0.61–0.84) than for the ST calculator (rho = 0.66 , 95% CI 0.46–0.76).

Discussion

In this cross-sectional study, we developed an equation (by using an already validated step test) to predict $VO₂peak$ in a large panel of normal weight, overweight and obese children. In addition, we found that the oxygen consumption and the VO2peak determined in our study corresponded fairly to those published in the literature. Consequently, both aims of our study were achieved.

The continuous indirect calorimetric and heart rate measurements on a large sample of children and adolescents (a total of 169) during a step test protocol allowed us to construct a prediction equation of oxygen consumption at each exercise intensity (stage), if the gender, age and BMI z-score of the children are known. Based on the oxygen consumed and the heart rate at each exercise intensity, it was possible to determine, by extrapolation to the maximum heart rate (220 minus the age), the peak oxygen consumption of children with different ages and BMI zscores. This estimate could be made with use of only a bench and a device to measure heart rate. In summary, our method estimates oxygen consumption at each stage level of a submaximal exercise and then predicts VO2peak by extrapolating the heart rate/ VO² relationship to maximum heart rate.

Oxygen consumption: Our prediction equation for submaximal exercise confirmed earlier findings that boys have a higher oxygen consumption than girls [28–30]. This difference has been found throughout childhood or only during adolescence, depending on the study. Moreover, our study showed lower oxygen consumption per unit of body weight by obese participants during exercise than by their lean counterparts, suggesting a lower energy cost of this activity. This result is surprising, but compatible with some, but not all, results published in the literature in the field of human locomotion [31]. Further research is clearly needed in order to better understand this issue. Furthermore, when we used the equation developed in the present study to predict $VO₂$ for young lean men (age 22 years, BMI z-score 0), we found results fairly similar to those of the ST calculator and Margaria et al. [14, 15] (see table 4).

Peak oxygen consumption: In accordance with the results published in the literature [29, 32, 33], we observed that VO2peak of obese children, expressed per unit of body weight, was lower than those of normal weight children.

It appears that the highest measured $VO₂$ did not really reach the predicted $VO₂peak$ values (see fig. 3), even in the 59 children who fulfilled the maximal exercise criteria (85% of maximum heart rate and respiratory quotient over 1.0). These results may be due to a relative "low" maximal criteria limit, i.e., individuals who did not reach VO2peak were selected. It may also be possible that children (especially obese individuals) stopped exercising before reaching their maximal oxygen consumption as a result of coordination problems. On the other hand, some children may have reached their $VO₂peak$ but

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their predicted maximum heart rate was overestimated. This is supported by the findings of earlier studies that reported that "real" maximal heart rate was 7 (SD 8) to 10 (SD 8) beats per minute less than the predicted maximal heart rate $(220 - age)$ [34, 35]. Thus, at least a fraction of the difference between both "real" and predicted maximal heart rate in our study i.e. 18 (SD 9) beats per minute $(n = 59)$, may be explained by this "overestimation" of the predicted heart rate in children.

It appears that the prediction equation of our study slightly overestimates VO₂peak, but the exact value of this small difference remains to be investigated more thoroughly. This can be explained by the fact that the highest value of $VO₂$ determined with use of a "second criterion" (e.g. respiratory quotient) to assess whether VO2peak is reached, may probably underestimate $VO₂peak$ [20, 21, 36]. It must be kept in mind that our prediction equation of $VO₂peak$ takes into account age, BMI and gender, which certainly improve the accuracy of the results. Finally, we found a Pearson coefficient of 0.78 between the predicted VO_2 peak and the highest measured VO_2 , a coefficient which is within the range of those observed from equations prediction of $VO₂max$ from submaximal exercises found in adults, i.e., between 0.57 and 0.92 [37].

From our results we can say that the ST calculator should not be used in children for several reasons. First, we demonstrated that, in this population, the VO² predicted by the calculator was not reliable, as there was a wide variation between subjects at each stage. Secondly, it takes into account neither gender, age, nor body composition, which have been shown to be associated with maximal oxygen consumption. This study has several strengths and limitations. We explored a large number of children with wide ranges of and BMI and of both genders, allowing generalisation of our equation to a wide population. However, we performed neither test-retest for reproducibility of this test, which is nevertheless known to be reproducible [15, 16], nor a standard maximal test such as the bike or treadmill test. However, the step test is known to be reliable [16]. Furthermore, we did not evaluate the role of body composition (fat mass and lean body mass) in the evaluation of oxygen consumption and, consequently, its use in the equation. However, part of the difference in body composition variation has been addressed with the use of gender, age and BMI in the equation. Indeed, the BMI is easier and cheaper to obtain in clinical practice than body composition, and a good correlation has been found between the two [38]. Sexual maturation was not been evaluated in our study, but its influence on aerobic capacity remains controversial. For instance, some studies [27, 39], but not all [23], concluded that the increase in $VO₂peak$ during growth was mostly explained by changes in body composition/dimensions. Moreover, after adjustment for age, weight and height, the testosterone concentration was not related to VO2peak in boys [27]. In addition, the obese children were slightly taller than their normal counterparts of similar age, which confirms what is already known [40]. However, we do not think that this difference had an impact on our results, as height was included in the prediction equation. Finally, in our study, a maximal test, according to common criteria, could not be obtained from all participants, probably because of mechanical limitations at higher stages that necessitated good coordination. It underlines the fact that the step test was designed to be a submaximal test and that a maximal test is difficult to perform, especially in obese children.

We conclude that this study allowed the development of a valid equation to predict peak oxygen consumption during a submaximal Chester step test, in both lean and obese children. It underlines the necessity to take into account gender and BMI, in addition to age and intensity level, as girls and obese children have lower cardiorespiratory fitness.

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Competing interests

The authors have no conflicts of interest to declare.

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