

Archive ouverte UNIGE

https://archive-ouverte.unige.ch

Article scientifique

Article

2018

Published version

Open Access

This is the published version of the publication, made available in accordance with the publisher's policy.

The dominant role of critical valence fluctuations on high T_c superconductivity in heavy fermions

Scheerer, Gernot; Ren, Zhi; Watanabe, Shinji; Lapertot, Gérard; Aoki, Dai; Jaccard, Didier; Miyake, Kazumasa

How to cite

SCHEERER, Gernot et al. The dominant role of critical valence fluctuations on high T_c superconductivity in heavy fermions. In: npj Quantum Materials, 2018, vol. 3, n° 41. doi: 10.1038/s41535-018-0111-6

This publication URL: https://archive-ouverte.unige.ch/unige:124278

Publication DOI: <u>10.1038/s41535-018-0111-6</u>

© The author(s). This work is licensed under a Creative Commons Attribution (CC BY) https://creativecommons.org/licenses/by/4.0



ARTICLE OPEN

The dominant role of critical valence fluctuations on high $T_{\rm c}$ superconductivity in heavy fermions

Gernot W. Scheerer 6, Zhi Ren², Shinji Watanabe³, Gérard Lapertot⁴, Dai Aoki⁴, Didier Jaccard¹ and Kazumasa Miyake⁶

Despite almost 40 years of research, the origin of heavy-fermion superconductivity is still strongly debated. Especially, the pressure-induced enhancement of superconductivity in $CeCu_2Si_2$ away from the magnetic breakdown is not sufficiently taken into consideration. As recently reported in $CeCu_2Si_2$ and several related compounds, optimal superconductivity occurs at the pressure of a valence crossover, which arises from a virtual critical end point at negative temperature T_{cr} . In this context, we did a meticulous analysis of a vast set of top-quality high-pressure electrical resistivity data of several Ce-based heavy fermion compounds. The key novelty is the salient correlation between the superconducting transition temperature T_c and the valence instability parameter T_{cr} , which is in line with theory of enhanced valence fluctuations. Moreover, it is found that, in the pressure region of superconductivity, electrical resistivity is governed by the valence crossover, which most often manifests in scaling behavior. We develop the new idea that the optimum superconducting T_c of a given sample is mainly controlled by the compound's T_{cr} and limited by non-magnetic disorder. In this regard, the present study provides compelling evidence for the crucial role of critical valence fluctuations in the formation of Cooper pairs in Ce-based heavy fermion superconductors besides the contribution of spin fluctuations near magnetic quantum critical points, and corroborates a plausible superconducting mechanism in strongly correlated electron systems in general.

npj Quantum Materials (2018)3:41; doi:10.1038/s41535-018-0111-6

INTRODUCTION

Superconductivity (SC) in heavy fermion (HF) systems is most often considered as being mediated by critical spin fluctuations.¹ Such a prevailing view is mainly derived from the presence of a magnetic instability regime leading to the collapse of long-range antiferromagnetic (AF) order at a critical p_c , concomitant with the emergence of SC. However in a few cases, SC has been ascribed to critical valence fluctuations (CVF) in the pressure region of the highest superconducting transition temperature T_{cr} in particular for CeCu₂Ge₂, 5,6 CeCu₂Si₂, 7,8 and CeRhIn₅. 9,10 The main ingredient of this interpretation is the existence in the pressure-temperature (p-T) plane of an underlying first-order valence transition (FOVT), whose critical end point (CEP) occurs at pressure p_{cr} and at slightly negative temperature T_{cr} (see Fig. 1). With a negative T_{cr} , only a valence crossover (VCO) regime is accessible at finite temperature and the corresponding crossover line lies close to optimal SC. In the case of the prototype HF superconductor CeCu₂Si₂, multiple experimental evidence of the VCO and CVF-mediated SC has been reported in. 5,7,8,11–14 For instance, direct, microscopic observation of the VCO and the absence of spin fluctuations close to optimal SC have been reported for CeCu₂Si₂¹³ and also CelrIn₅^{15,16} via Cuand In-nuclear quadrupole resonance measurements, respectively.

Selected examples of p-T magnetic and superconducting phase diagrams of Ce-based HF superconductors are represented schematically in Fig. 1. The common feature of all compounds is that SC is optimal at a pressure close to $p_{\rm cr}$. On the other hand, the magnetic $p_{\rm c}$ can coincide with $p_{\rm cr}$ as in the case of CeRhIn₅, ¹⁷

CePd₂Si₂ (this work), and CeAu₂Si₂, ¹⁸ or be much lower than p_{cr} as in CeCu₂Si₂.⁸ The spreading of SC over the pressure axis varies considerably and SC can even emerge deep inside the magnetic phase of CeAu₂Si₂.¹⁸

As a matter of interest, the CVF mechanism shares common aspects with the d-p charge transfer instability in high- T_c cuprates, which has been proposed to be at the origin of marginal Fermi liquid and non-Fermi liquid properties, and the pseudo-gap state. Moreover, valence fluctuations of Pu ions have been advocated as the source of "high- T_c " in PuCoGa₅. Thus, we believe that the valence fluctuation physics discussed in this paper is pertinent for a larger community beyond that of HFs.

The microscopic-theoretical basis of the CVF scenario results from the inclusion of the additional term $H_{U_{fc}} = U_{fc} \sum_{i=1}^{N} n_i^f n_i^c$ in the periodic Anderson model, where U_{fc} is the Coulomb repulsion between f and conduction electrons. ^{23,24} The physical origin of the T_c enhancement is the increase in the effective quasiparticle Fermi energy and the constancy of the dimensionless coupling for the Cooper pairing, following a BCS-like expression for T_c . The former factor stems from the VCO from the Kondo to the valence fluctuation region and the latter one is a result of the compensation between the decrease of the quasiparticle density of states and the increase in the pairing interaction, which is mediated by valence fluctuations associated with a sharp VCO.

Five years ago, thanks to an experimental progress²⁵ yielding more accurate electrical resistivity measurements on CeCu₂Si₂ under pressure up to 7 GPa, we have introduced a method to

¹DQMP, University of Geneva, Geneva 1211, Switzerland; ²Institute of Natural Sciences, Westlake Institute For Advanced Study, Westlake University, 18 Shilongshan Road, Hangzhou 310024, P. R. China; ³Department of Basic Sciences, Kyushu Institute of Technology, Kitakyushu, Fukuoka 804-8550, Japan; ⁴PHELIQS, UMR-E 9001, CEA-INAC/UJF-Grenoble 1, 38054 Grenoble, France; ⁵Institute for Materials Research, Tohoku University, Oarai, Ibaraki 311-1313, Japan and ⁶Center for Advanced High Magnetic Field Science, Osaka University, Toyonaka, Osaka 560-0043, Japan

Correspondence: Gernot W. Scheerer (gernot.scheerer@unige.ch)

Received: 20 October 2017 Revised: 6 July 2018 Accepted: 24 July 2018 Published online: 29 August 2018



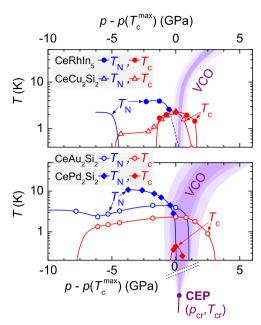


Fig. 1 Examples of schematic p-T magnetic and superconducting phase diagrams of Ce-based HF superconductors. Symbols stand for representative data points from CeRhln₅ 17 , CeCu₂Si₂, 8 CeAu₂Si₂, and CePd₂Si₂: Néel temperature $T_{\rm N}$ and bulk-superconducting $T_{\rm c}$. The graded-colored area represents the valence crossover

estimate the temperature $T_{\rm cr}$ of the CEP. Subsequently, the same process was successfully used for CeAu₂Si₂. ^{18,26,27} In the present paper, this method is applied to all appropriate resistivity data established in Geneva since 1998, including new data notably from CeAg₂Si₂, CeRhln₅, and Celrln₅. On the basis of 17 data sets from nine different Ce-based HF compounds, the universal character of the relationship between the superconducting transition temperature $T_{\rm c}$ and the strength of the valence instability is unveiled. Taking into account the superconducting pair-breaking effect of non-magnetic disorder, quantified by the residual resistivity ρ_0 , we identify the two main parameters $T_{\rm cr}$ and ρ_0 controlling $T_{\rm c}$ of a representative part of Ce-based HF superconductors, which is consistent with the CVF theory. Moreover, it is found that, in the VCO regime of the p-T plane, electrical resistivity most often follows scaling behavior, underlining the role of valence fluctuation physics.

RESULTS

Figure 2 displays a 3D plot of the superconducting T_c as function of both the residual resistivity ρ_0 and the valence instability parameter $T_{\rm cr}$ based on published and new results (see Table S1 of the Supplementary Material for details and references). In this paper " T_c " refers to the maximum value of the bulk-superconducting transition temperature versus pressure for a given sample. Evidently in Fig. 2, all compounds except CeCu₅Au lie more or less on an empirically drawn inclined surface with a maximum for small ρ_0 and $T_{\rm cr}$, which suggests that the superconducting T_c of a given sample is mainly controlled by the compound's $T_{\rm cr}$ and the sample's ρ_0 . T_c seems to culminate at ~2.5 K when $T_{\rm cr} \to 0$ and $\rho_0 \to 0$, i.e., for a quantum CEP and negligible pair breaking effect. However, high ρ_0 values or large negative $T_{\rm cr}$ depress T_c .

We underline that all samples with $T_c > 2$ K are found to exhibit -15 K $< T_{cr} < 0$ K and emphasize the striking relationship between the superconducting T_c , the parameter T_{cr} of the valence transition CEP, and pair breaking due to non-magnetic impurities (ρ_0) . We introduce the expression "high- T_c " to refer to the fact that the

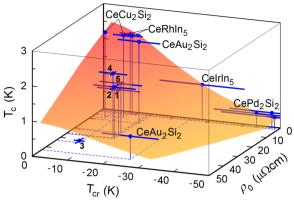


Fig. 2 Maximal superconducting T_c of Ce-based HF superconductors as a function of the key parameter T_{cr} and the residual resistivity ρ_0 . See text for details. Bold numbers indicate: $CeAg_2Si_2 = 1$, $CeCu_2Ge_2 = 2$, $CeCu_5Au = 3$, and other samples of $CeCu_2Si_2 = 4$ and $CeAu_2Si_2 = 5$. The error bars for T_{cr} represent estimated errors according the scaling analysis (see main text) and the error bars for ρ_0 result from the power-law extrapolation to zero temperature of ρ (T). All compounds lie on or not far from the empirically drawn surface. Blue (violet) data points lie above (below) the surface. The surface is drawn for $T_{cr} \le -2$ K for a reason discussed below and for $T_c \ge 0.28$ K, since no reliable information exists for very negative T_{cr} or very high ρ_0

compounds with the highest T_c amongst the Ce-based HF superconductors are especially well represented in Fig. 2. Five out of the nine studied compounds have T_c higher than 1.5 K. At the moment, important cases like CeColn_5 , 28 CeRh_2Si_2 , 29 CePt_2In_7 , 30 or non-centrosymmetric $\text{CePt}_3\text{Si}^{31}$ are lacking for different reasons (see below). Nevertheless, Fig. 2 represents a substantial part of Ce-based HF compounds and gives a unified view on their SC.

Before taking a closer look to the relationships $T_c(T_{cr})$ and $T_c(\rho_0)$, let us discuss the behavior of electrical resistivity ρ in the VCO regime and summarize the method for extracting T_{cr} from lowtemperature ρ . First, in Fig. 3(a) we compare the schematic ρ -T phase diagrams of CeCu₂Si₂ and elementary Ce. In Ce, a FOVT occurs at finite temperature due to small Ce-Ce ion spacing and therefore strong U_{fc} -repulsion between f- and conduction electrons at the same Ce site. The CEP lies at $p_{cr} \approx 1.5$ GPa and $T_{\rm cr} \approx 480 \, {\rm K}^{.32}$ As a function of pressure, isothermal resistivity of Ce [Fig. 3(b)] exhibits a discontinuous anomaly at the FOVT $(T < T_{cr})$. In the crossover regime $(T > T_{cr})$, isothermal resistivity decreases rapidly but continuously and the resistivity gradient diverges just at the CEP $(T \rightarrow T_{cr})$. In CeCu₂Si₂, the CEP lies at slightly negative temperature $T_{cr} \approx -8 \,\text{K}$ and, in the VCO regime, isothermal ρ decreases more and more rapidly versus pressure as temperature goes down without reaching a first-order discontinuity.8

For a detailed analysis, the p-dependence of $\rho^* = \rho - \rho_0$ at several temperatures is derived from $\rho(T)$ of successive pressure runs, as shown for CeAg₂Si₂ in Fig. 3(c). A preliminary remark is that in all Ce-HF compounds the resistivity ρ^* is strongly reduced by 1–2 orders of magnitude, when the system is tuned by pressure through the VCO. Such a reduction, which exceeds that expected for a progressive increase of the c-f hybridization, is attributed to a more or less sudden delocalization of 4f electrons. ¹² In order to disentangle the intrinsic effect of electron delocalization from that of the temperature-dependent scattering rate, the resistivity has to be normalized. For this purpose we define an initial pressure $p_{\rm in}$, which signals the onset of the VCO resistivity collapse (see inset of Fig. 3(c) and page 9 of the Supplementary Material for CePd₂Si₂). Then, the normalized resistivity $\rho^{\rm norm} = \frac{\rho^*(p) - \rho^*(p_{\rm VCO})}{\rho^*(p_{\rm VCO})}$, where $p_{\rm VCO}$ is the pressure of the mid drop of ρ^* , is calculated for each temperature.

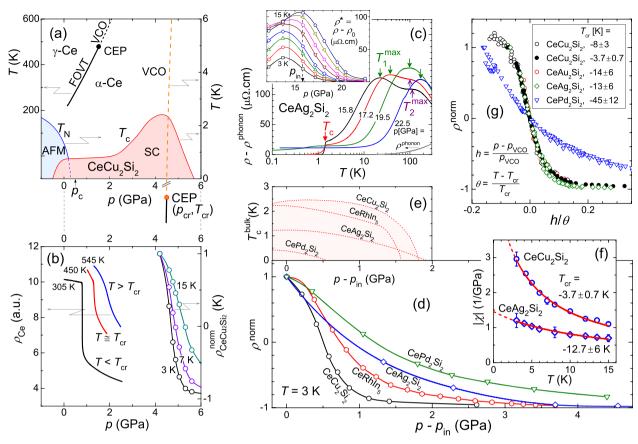


Fig. 3 Extracting the negative temperature $T_{\rm cr}$ of the valence transition critical end point (CEP) from low-T resistivity. **a** Schematic p-T phase diagrams of elementary Ce³¹ and CeCu₂Si₂. **b** Isothermal resistivity ρ versus pressure p of Ce in vicinity of the valence transition CEP³² and normalized resistivity ρ -norm vs p of CeCu₂Si₂ in the VCO regime. **c** Resistivity ρ -phonon versus temperature T of CeAg₂Si₂ at selected pressures. Inset: Resistivity isotherms $\rho = \rho - \rho_0$ of CeAg₂Si₂ versus pressure at temperatures from 3 to 15 K. **d** ρ -norm versus ρ - ρ -in of CeCu₂Si₂, CeRhln₅, CeAg₂Si₂, and CePd₂Si₂ at 3 K. The lines are guides to the eyes. **e** Schematic diagram of bulk SC for the same compounds (same ρ -scale as in **d**). **f** Slope $\chi = |d\rho^{norm}/dp|_{\rho_{NCO}}$ versus temperature T of CeCu₂Si₂ and CeAg₂Si₂. The red lines represent fits to the data with $\chi \propto (T - T_{cr})^{-1}$. Error bars on χ , shown for representative data points, correspond to the overestimation and underestimation of χ due to a low ρ -run density. **g** Normalized resistivity ρ -norm versus the generalized distance h/θ from the CEP of CeCu₂Si₂, CeAu₂Si₂, CeAg₂Si₂, and CePd₂Si₂. **c**-**g** See Supplementary Table S1 for references

By way of example, Fig. 3(d) shows ρ^{norm} versus $p-p_{\text{in}}$ at 3 K of CeCu₂Si₂, CeRhIn₅, CeAg₂Si₂, and CePd₂Si₂. Clearly, it appears that the collapse of ρ^{norm} is always close to optimal SC and a steeper collapse favors higher T_c [see Fig. 3(e)]. With increasing temperature, i.e., increasing distance from the CEP, the pressure scale of the resistivity reduction gets broader and broader and the steepness of the collapse decreases, as shown for CeCu₂Si₂ in Fig. 3(b). Figure 3(f) displays the temperature dependence of the slope $\chi=|\mathsf{d}\rho^\mathsf{norm}/\mathsf{d}\rho|_{p_{VCO}}$ of $\mathsf{CeCu}_2\mathsf{Si}_2$ and $\mathsf{CeAg}_2\mathsf{Si}_2$. χ , which we interpret as valence susceptibility, tends to diverge as $\chi \propto (T - T)$ $T_{\rm cr}$)⁻¹, i.e., a first-order discontinuity would occur in $\rho^*(p)$ for $T < T_{\rm cr}$ Evidently, a simple fit to $\chi(T)$ yields T_{cr} . The empirical law $(T - T_{cr})^{-1}$ is confirmed by data from several samples of $CeCu_2Si_2$, and $CeRhln_5$, which are by the way the compounds with highest T_c and least negative T_{cr} . Note that the plot of $\chi(T)$ is limited to a temperature (15 K), which corresponds to a small fraction of the first crystal-field-splitting energy. Such a treatment is repeated on all appropriate data from Ce-based HF compounds (see Supplementary Figs. S4–S13). The extracted $T_{\rm cr}$ values and other parameters (T_c , ρ_0) are summarized in Table S1 of the Supplementary Material.

After identifying $T_{\rm cr}$, one can apply the scaling treatment developed in ref. ⁸ for CeCu₂Si₂ within the framework of universal scaling theory of critical phenomena and subsequently applied on data from CeAu₂Si₂^{18,26,27} and CeRhIn₅. ¹⁷ To this end, a

generalized distance h/θ from the CEP is calculated, where $h=(p-p_{VCO})/p_{VCO}$ and $\theta=(T-T_{cr})/|T_{cr}|$. Then, for a given compound, all ρ^{norm} isotherms in the VCO regime collapse on a single curve $\rho^{norm}=f(h/\theta)$ when plotted versus h/θ , as shown in Fig. 3(g). This means that for the generalized distance h/θ from the CEP, the ρ^{norm} isotherms behave in a unique manner, which strongly supports the existence of the valence CEP at (p_{cr}, T_{cr}) . Note that in terms of universal scaling theory of critical phenomena the equation is $\rho^{norm}/h^{1/\delta}=f(h/\theta^{\gamma\delta/(\delta-1)})$, with the critical exponents γ and δ (mean-field approach: $\gamma_{MF}=1$, $\delta_{MF}=3$). Accordingly to ref. 8, the critical exponents are fixed as $\gamma=1$ and $\delta\to\infty$, which does not correspond to a simple universality class.

The scaling is very robust for 15 data sets from seven different systems and astonishingly the scaling function $f(h/\theta)$ is identical for the isovalent systems $CeCu_2Si_2$, $CeAg_2Si_2$, and $CeAu_2Si_2$ [see Fig. 3(g)]. However, $f(h/\theta)$ is different for $CePd_2Si_2$ and apparently material dependent. For instance $f(h/\theta)$ of $CeCu_5Au$ (Supplementary Fig. S12) lies in between the two data sets of Fig. 3(g). The scaling fails for two data sets: the ρ^{norm} isotherms of $CeCu_6$ (Supplementary Fig. S11) and $Celrln_5$ (Supplementary Fig. S13) do not collapse on a single curve. Measurement errors surely play a role for the $CeCu_6$ sample (see Supplementary Fig. S11) and a change of regime ascribed to the crystal-field effect^{3,4} may interfere. In $Celrln_5$, low-temperature resistivity properties hint to a pressure-induced change of regime (see Supplementary

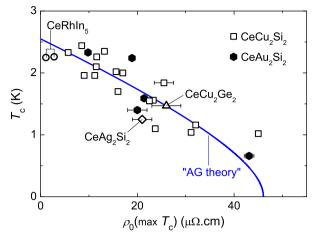


Fig. 4 Pair-breaking systematics: T_c vs ρ_0 (ρ_0 taken at the pressure of maximal T_c) of the CeCu₂Si₂ family and CeRhIn₅ (published and unpublished data, see Supplementary Fig. S1 for references). The error bars on ho_0 result from the power-law extrapolation to zero temperature of $\rho(T)$. The solid line represents the generalized Abrikosov-Gor'kov theory with a critical resistivity $\rho_0^{\rm cr}=46\,\mu\Omega{\rm cm}^3$

Fig. S13), which may explain that the isotherms collapse only for h/ $\theta > 0$. Though, completely satisfactory explanations are still missing for both.

We now discuss the pair-breaking effect by disorder, which is quantified by the residual resistivity ρ_0 . Figure 4 shows T_c versus ρ_0 of the CeCu₂Si₂ family and CeRhIn₅ from all 26 independent pressure experiments done in Geneva with ρ_0 < 50 $\mu\Omega$ cm (see Supplementary Fig. S1 for details and references). Not included are still finite T_c values corresponding to very high ρ_0 , which deviate from the general trend possibly due to alloying or Kondo-hole effects. For instance, in a CeCu₂(Si_{1-x}Ge_x)₂ alloy, a maximum bulk $T_c \sim 0.6 \text{ K}$ ($\rho = 0$ criteria) is reported for $\rho_0 \sim 70 \,\mu\Omega\text{cm}$, and in polycrystalline $CeCu_2Si_2$, a maximum bulk $T_c \sim 0.3 \, K$ is given with $\rho_0 \sim 180 \,\mu\Omega$ cm, which is higher than the room temperature resistivity.³⁶ The evident decrease of T_c with increasing disorder follows qualitatively well the formula given by the Abrikosov-Gor'kov (AG) theory³⁷ generalized for non-magnetic disorder in a CVF-mediated d-wave superconductor with a critical resistivity $\rho_0^{\rm cr} = 46 \,\mu\Omega$ cm. ³⁸ Note that every data point in Fig. 4 refers to a set of pressure runs for an experiment on a sample of specific quality as reflected by its $\rho_0(p=0)$ value. Thus in spite of a given data scattering, the systematic dependence of T_c on ρ_0 for different samples of different compounds is remarkable.

A similar trend is observed for the CePd₂(Si/Ge)₂ family, where bulk SC vanishes completely for ρ_0 higher than $3 \mu\Omega$ cm (see Supplementary Fig. S2). T_c is already small at ideal sample quality since these compounds are located far from the criticality as signaled by the large negative $T_{\rm cr} \sim 50$ K. Therefore, the theory of Okada et al.³⁸ for robustness of T_c versus pair-breaking effect by non-magnetic disorder is not appropriate, and the conventional AG-theory for anisotropic SC can be applied. The latter is valid for the d-wave order parameter predicted by the CVF theory²³ and accounts for the rapid decrease of T_c in CePd₂Si₂.

The critical resistivity $ho_0^{\rm cr} \approx 46 \,\mu\Omega$ cm of the "high- $T_{\rm c}$ " HF superconductors is far larger than that expected in the conventional case of weak-coupling SC but is compatible with the generalized AG theory.³⁸ In fact, due to the valence fluctuation renormalization effect of the impurity potential, 39 ρ_0 is strongly increased at pressures around p_{cr} compared to far lower or higher pressures, which is a hallmark of CVF-mediated HF superconductors (exceptions are CeCu₆³⁴ and CePd₂Si₂¹⁹). The robustness of T_c against impurity scattering is due to the fact that the renormalized impurity potential is a long-range like bare Coulomb

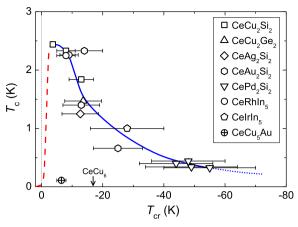


Fig. 5 Building superconductivity up in heavy fermion compounds. The presented T_c -vs- T_{cr} relation is qualitatively predicted by CVF theory. 23,24 The continuous line is a guide to the eyes. The dashed line represents a qualitative prediction from CVF theory.²³ The error bars on T_{cr} represent estimated errors according the scaling analysis (see main text). The arrow indicates T_{cr} of CeCu₆ (no SC)

potential.^{38,39} Indeed, almost all scattering channels with angular momentum $\ell=0,1,2...$, i.e., s-, p-, d-wave and so on, are active in the Coulomb-type potential, leading to partial cancellation in the scattering rate among the $\ell-$ wave vertex part in the pair susceptibility and the self-energy part in the Green function. This rationalizes the robustness of T_c against the enhanced impurity potential in contrast to the conventional AG-type theory for anisotropic pairing, where essentially an s-wave component of impurity potential is taken into account. 40,4

Figure 5 presents the most interesting relationship between T_c and the key parameter T_{cr} . T_c is maximal for small negative T_{cr} and decreases as T_{cr} becomes more negative, which is qualitatively predicted by CVF theory.^{23,24} About half of the data correspond to samples with almost optimal T_c for the specific compounds thanks to low ρ_0 values (see Supplementary Table S1), which underlines the intrinsic character of the T_c -vs- T_{cr} relationship. Unlike Fig. 4, less data points are presented because of the stringent requirement of accuracy and reliability of resistivity measurement for the scaling analysis, which excludes a part of our results and also those found in literature. In respect of the procedure to deduce T_{crr} a prerequisite is the accurate control of the absolute resistivity value as function of pressure (form factor) and temperature and a high-pressure run density. The control of the form factor is far to be an easy task in high-pressure cells and main complication comes from non-hydrostatic conditions in various pressure transmitting medium such as He, 42,43 Daphne oil, 8,25 or steatite. 18,44 Another requirement is the limitation of non-systematic error on pressure and the precise estimation of ρ_0 . Moreover, due to the presumed $1/(T-T_{cr})$ dependence of χ , the uncertainty on T_{cr} is magnified for large negative values, while the smallest values are the most accurate. Namely, in the case of $CeCu_2Si_2$ with $T_{cr} =$ -3.7 K, the error is within the symbol size.

Despite reliable small T_{cr} and moderate ρ_0 , CeCu₆ (no SC) and CeCu₅Au (partial SC at 0.11 K) clearly lie below the general trend. From a literature review, small T_{cr} values should also be expected for some compounds including CeAl₂, ⁴⁵ CeAl₃, ^{46,47} and CelnCu₂. ⁴⁸ However, SC has never been observed in these cases. We have no satisfactory explanation yet for this discrepancy.

The dashed red curve for $T_{\rm cr} \rightarrow 0$ in Fig. 5 is drawn from the theoretical prediction of the 3D model²³ in which $T_{\rm c}$ is paradoxically suppressed just at the critical point of the valence transition, while T_c takes sharp maximum near the VCO line in the Kondo regime. This aspect has also been verified by the densitymatrix-renormalization-group calculation for the 1D model,²

which is numerically accurate. Namely, inter-site pairing correlation dominates over spin density wave and charge density wave correlations near the sharp VCO inside the Kondo regime.

DISCUSSION

The systematic behavior of T_c versus ρ_0 and T_{cr} points to a possible maximum $T_c \approx 2.5$ K in Ce-based HF superconductors and strongly supports that CVF provide the dominant pairing mechanism. Although, the relation between T_c and T_{cr} was already inferred in the pioneer work of Onishi and Miyake, 23 a quantitative prediction seems almost impossible at the present state of art. Theory also considers that T_{cr} is influenced by disorder in general, which is less evident in the experimental data (see Supplementary Fig. S3). Naively, one can imagine that disorder induces an additional smearing of the VCO, which depresses T_{cr} and then T_c .

Let us now comment some generalities about magnetism and SC in HF compounds. A hallmark is the merging close to p_{cr} of the two maxima in $\rho(T)$ [see Fig. 3(c)], which indicates that the rapidly rising Kondo energy starts to exceed the first crystal-field-splitting energy. 49 For HF superconductors, this pressure corresponds to optimal SC without exception. Crossing the VCO, the ground state degeneracy of the Ce ion increases from n = 2 to full degeneracy n=6 of the 4f multiplet,⁷ and at the pressure of maximal T_{c} , the energy scale T_K is much larger than the magnetic ordering temperature. Moreover, the strength of the f-c hybridization seems to control the position of p_c in respect to p_{cr} as shown theoretically.¹⁰ In the case of strong hybridization p_c and p_{cr} are well separated, but in the case of weak hybridization a hypothetical magnetic QCP would occur at pressure higher than p_{cr} and the VCO drives a first-order collapse of magnetism at $p_c \sim$ $p_{cr}^{-10,50}$ in parallel to the traditional competition between the RKKY and Kondo energies. Hence the collapse of magnetism is very abrupt or even first-order-like in CeAu₂Si₂, ^{18,51} CeAg₂Si₂, ⁵² and CeRhIn₅.5

Although pressure is a clean tuning parameter, clear evidence of a second-order magnetic transition down to zero temperature and a resulting quantum critical point is not well established from pressure investigations on pure lattices. In the particular case of CePd₂Si₂, results of refs. 3,43,54,55 support a linear decrease of $T_{\rm N}$ down to zero with p approaching $p_{\rm c}$. However, a more rapid vanishing of $T_{\rm N}$ appears to correspond to higher superconducting $T_{\rm c}^{19,56}$ (see Supplementary Fig. S14). The difficulty of tracking the $T_{\rm N}$ vanishing with resistivity or even heat capacity probes and the unavoidable pressure gradient, due to which the $T_{\rm N}$ decrease appears more progressive, impedes a clear-cut conclusion. Seemingly second-order-like magnetic collapses have only been established for alloy systems, see e.g. 35,57 and a lattice 58 with relatively high ρ_0 value, where disorder likely masks the intrinsic behavior.

The overlap of magnetic order and SC in CeRhIn₅^{50,53} and especially in CeAu₂Si₂¹⁸ (see Fig. 1) contradicts the longstanding consensus that HF SC emerges in the vicinity of the magnetic border.⁴ From a global point of view, the CeCu₂Si₂ family shows quite different magnetic phase diagrams concomitantly with otherwise strikingly similar electric and thermoelectric transport and superconducting properties.^{18,27,51} For instance, a systematic feature in thermopower precedes the occurrence of SC.²⁷ Consequently, the link between SC and magnetism is primarily a competition, with the possible exception of CeAu₂Si₂.¹⁸ Up to a given delocalization of 4f electrons, magnetism hinders CVF to build up SC. On the other hand, the low-pressure SC pocket in CeCu₂Si₂ is the best candidate for spin fluctuation mediated SC,⁵⁹ because the magnetic collapse at $p_c \approx 0$ and the VCO at $p_{cr} \approx 4.2$ GPa are exceptionally well separated. Though, the scenario of single-band nodal-d-wave SC at p = 0 in CeCu₂Si₂ is now strongly challenged.⁶⁰⁻⁶³

Let us comment on the Kondo-volume-collapse mechanism introduced by Razafimandimby et al.⁶⁴ To our understanding, it is essentially a phonon-mediated SC mechanism due to enhanced electron-phonon coupling through the Kondo-volume-collapse effect (large Gruneisen parameter). In this regard, it should be different from the valence fluctuation mediated mechanism. According to an almost exact (justified by the Ward identity argument) theoretical discussion based on periodic Anderson model with coupling to phonon by Jichu et al.,65 it seems rather difficult for this mechanism to build up "high-T_c" SC in Ce-based HF. According to Jichu et al., the enhanced pairing interaction (by Kondo-volume-collapse effect) vanishes at the static limit. Furthermore, it is crucial to note that the valence fluctuation mechanism is not based on density fluctuations but fluctuations of f-c charge transfer with the total charge density $(n_f + n_c)$ essentially kept constant. Namely, valence fluctuations are rather categorized with orbital fluctuations.

Finally, we comment on CVF in Yb-based HF compounds, which can be approached as electron-hole/inverse-pressure analogues of Ce compounds. Interestingly, the first discovered Yb-based superconductor β -YbAlB4 $^{66}_{4}$ exhibits normal state properties with unconventional quantum criticality, $^{67}_{7}$ which is naturally explained by the CVF theory. $^{68}_{8}$ Furthermore, common criticality has been observed in some classes of Yb-based periodic crystals and even in the quasicrystal Yb15Au51Al34. $^{69}_{9}$ Search for SC induced by CVF in Yb-based systems as well as the identification of the CEP of the underlying Yb-valence transition on the basis of the method described in this paper is expected to open a new frontier in this field.

For a long time, the spin-fluctuation-mediated mechanism was the mainstream scenario for SC in HF systems. However, the CVF theory has provided a new framework able to account for the high-pressure superconducting phase and several other phenomena in CeCu_2Si_2 . We now have shown that this theory is able to explain salient experimental features in a multitude of systems, corroborating CVF as a plausible Cooper pairing mechanism. Concretely, the present study provides striking evidence that the optimum superconducting T_c in many Ce-based HF superconductors is essentially controlled by the strength of CVF and by non-magnetic disorder. Furthermore, we believe that CVF-induced SC is connected to a much wider part of non-trivial physics in strongly correlated electron systems including high- T_c cuprates. $^{21,70,71}_c$ Thus, our work uncovers a new playground for condensed matter physicists.

METHODS

The above presented results are based on electrical resistivity data obtained on 17 single crystals from nine different Ce-based HF compounds (see Supplementary Table S1). The four-point electrical resistivity measurements under high-pressure conditions have been carried out in standard helium and dilution cryostats. The high-pressure conditions where obtained using Bridgman pressure cells with tungsten-carbide or diamond anvils and with different pressure transmitting medium. Technical details can be found for each transmitting medium in (helium),^{7,42} (Daphne oil),^{8,25} and (steatite). ^{18,44} All relevant information about crystals growth, dimension of sample and pressure cell, and data acquisition can be found in the respective references (see Supplementary Table S1). All relevant information about data treatment can be found in ref. ⁸ and in the main text.

DATA AVAILABILITY

All relevant data are available from the corresponding author upon request.

ACKNOWLEDGEMENTS

We acknowledge H. Wilhelm, S. Raymond, and A. T. Holmes for enabling the analysis of previous data, and M. Lopez, S. Müller, and M. Tran for technical support. D.A.



acknowledges for the financial support KAKENHI (15H05882, 15H05884, 15K21732, 16H04006). K.M. acknowledges for the financial support KAKENHI (17K05555). S.W. acknowledges for the financial support KAKENHI (15K05177, 16H01077).

AUTHOR CONTRIBUTIONS

D.J. conceived the idea and designed the experiment. D.A. and G.L. have grown the single crystal samples. G.S. and Z.R. performed the measurements. G.S. analyzed the data. S.W. and K.M. provided the microscopic-theoretical interpretation. G.S. and D.J. wrote the paper. All authors discussed the results and commented on the manuscript.

ADDITIONAL INFORMATION

Supplementary information accompanies the paper on the *npj Quantum Materials* website (https://doi.org/10.1038/s41535-018-0111-6).

Competing interests: The authors declare no competing interests.

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

REFERENCES

- Miyake, K., Schmitt-Rink, S. & Varma, C. M. Spin-fluctuation-mediated evenparity pairing in heavy-fermion superconductors. *Phys. Rev. B* 34, 6554–6556 (1986).
- Scalapino, D. J., Loh, E. Jr. & Hirsch, J. E. d-wave pairing near a spin-density-wave instability. Phys. Rev. B 34, 8190–8192 (1986).
- Mathur, N. D. et al. Magnetically mediated superconductivity in heavy fermion compounds. Nature 394, 39–43 (1998).
- Monthoux, P., Pines, D. & Lonzarich, G. G. Superconductivity without phonons. Nature 450, 1177–1183 (2007).
- Jaccard, D., Wilhelm, H., Alami-Yadri, K. & Vargoz, E. Magnetism and superconductivity in heavy fermion compounds at high pressure. *Phys. B* 259-261, 1–7 (1999)
- Miyake, K., Narikiyo, O. & Onishi, Y. Superconductivity of Ce-based heavy fermions under pressure: Valence fluctuation mediated pairing associated with valence instability of Ce. *Phys. B* 259-261, 676–677 (1999).
- Holmes, A. T., Jaccard, D. & Miyake, K. Signatures of valence fluctuations in CeCu₂Si₂ under high pressure. *Phys. Rev. B* 69, 024508 (2004).
- Seyfarth, G. et al. Heavy fermion superconductor CeCu₂Si₂ under high pressure: multiprobing the valence crossover. *Phys. Rev. B* 85, 205105 (2012).
- Miyake, K. New trend of superconductivity in strongly correlated electron systems. J. Phys.: Condens. Matter 19, 125201 (2007).
- Watanabe, S. & Miyake, K. Roles of critical valence fluctuations in Ce- and Ybbased heavy fermion metals. J. Phys.: Condens. Matter 23, 094217 (2011).
- Holmes, A., Jaccard, D. & Miyake, K. Valence instability and superconductivity in heavy fermion systems. J. Phys. Soc. Jpn. 76, 051002 (2007).
- Rueff, J.-P. et al. Pressure-Induced Valence Crossover in Superconducting CeCu₂Si₂. Phys. Rev. Lett. 106, 186405 (2011).
- Fujiwara, K. et al. High pressure NQR measurement in CeCu₂Si₂ up to sudden disappearance of superconductivity. J. Phys. Soc. Jpn. 77, 123711 (2008).
- Kobayashi, T. C. et al. Valence crossover of Ce ions in CeCu₂Si₂ under high pressure –pressure dependence of the unit cell volume and the NQR frequency–. J. Phys. Soc. Jpn. 82, 114701 (2013).
- Kawasaki, S. et al. Enhancing the superconducting transition temperature of the heavy fermion compound CelrIn₅ in the absence of spin correlations. *Phys. Rev. Lett.* 94, 037007 (2005).
- Yashima, M. et al. Possibility of valence-fluctuatsion-mediated superconductivity in Cd-doped CelrIn₅ probed by In NQR. *Phys. Rev. Lett.* 109, 117001 (2012).
- Ren, Z. et al. Coincidence of magnetic and valence quantum critical points in CeRhln₅ under pressure. Phys. Rev. B 96, 184524 (2017).
- Ren, Z. et al. Giant overlap between the magnetic and superconducting phases of CeAu₂Si₂ under pressure. *Phys. Rev. X* 4, 031055 (2014).
- Demuer, A., Holmes, A. T. & Jaccard, D. Strain enhancement of superconductivity in CePd₂Si₂ under pressure. J. Phys.: Condens. Matter 14, L529–L535 (2002).
- Varma, C. M. Non-Fermi-liquid states and pairing instability of a general model of copper oxide metals. *Phys. Rev. B* 55, 14554–14580 (1997).
- 21. Varma, C. M. Theory of the pseudogap state of the cuprates. *Phys. Rev. B* 73, 155113 (2006).
- Ramshaw, B. J. et al. Avoided valence transition in a plutonium superconductor. PNAS 112, 3285–3289 (2015).

- Onishi, Y. & Miyake, K. Enhanced valence fluctuations caused by f-c Coulomb interaction in Ce-based heavy electrons: possible origin of pressure-induced enhancement of superconducting transition temperature in CeCu₂Ge₂ and related compounds. J. Phys. Soc. Jpn. 69, 3955–3964 (2000).
- Watanabe, S., Imada, M. & Miyake, K. Superconductivity emerging near quantum critical point of valence transition. J. Phys. Soc. Jpn. 75, 043710 (2006).
- Ruetschi, A.-S. & Jaccard, D. Adaptation of the Bridgman anvil cell to liquid pressure mediums. Rev. Sci. Instrum. 78, 123901 (2007).
- Ren, Z., Giriat, G., Scheerer, G. W., Lapertot, G. & Jaccard, D. Effect of disorder on the pressure-induced superconducting state of CeAu₂Si₂. Phys. Rev. B 91, 094515 (2015)
- Ren, Z., Scheerer, G. W., Lapertot, G. & Jaccard, D. Scaling behavior of temperature-dependent thermopower in CeAu₂Si₂ under pressure. *Phys. Rev. B* 94, 024522 (2016).
- Petrovic, C. et al. Heavy-fermion superconductivity in CeColn₂ at 2.3 K. J. Phys.: Condens. Matter 13, L337–L342 (2001).
- Araki, S., Nakashima, M., Settai, R., Kobayashi, T. C. & Ōnuki, Y. Pressure-induced superconductivity in an antiferromagnet CeRh₂Si₂. J. Phys.: Condens. Matter 14, L377–L383 (2002).
- Sidorov, V. A. et al. Pressure phase diagram and quantum criticality of CePt₂ln₇ single crystals. Phys. Rev. B 88, 020503(R) (2013).
- 31. Nicklas, M. et al. Pair breaking by nonmagnetic impurities in the noncentrosymmetric superconductor CePt₃Si. *Phys. Rev. B* **81**, 180511 (2010).
- Lipp, M. J. et al. Thermal Signatures of the Kondo Volume Collapse in Cerium. Phys. Rev. Lett. 101, 165703 (2008).
- Jayaraman, A. Fusion curve of cerium to 70 kilobar and phenomena associated with supercritical behavior of fcc cerium. Phys. Rev. 137, A 179–182 (1965).
- Raymond, S. & Jaccard, D. High pressure resistivity of the heavy fermion compound CeCu₆. J. Low. Temp. Phys. 120, 107–119 (2000).
- Yuan, H. Q. et al. Observation of two distinct superconducting phases in CeCu₂Si₂.
 Science 302, 2104–2107 (2003).
- Holmes, A. T., Jaccard, D., Jeevan, H. S., Geibel, C. & Ishikawa, M. Anisotropy, disorder, and superconductivity in CeCu₂Si₂ under high pressure. *J. Phys.: Con*dens. Matter 17, 5423–5432 (2005).
- Abrikosov, A. A., & Gorkov, L. P. Zh. Eksp. Teor. Fiz 39, 1781 (1960). [Sov. Phys. JETP 12, 1243 (1961)].
- Okada, A. & Miyake, K. Impurity effect on superconductivity in critical-valencefluctuations region. J. Phys. Soc. Jpn. 80, 084708 (2011).
- Miyake, K. & Maebashi, H. Huge enhancement of impurity scattering due to critical valence fluctuations in a Ce-based heavy electron system. J. Phys. Soc. Jpn. 71, 1007–1010 (2002).
- Schmitt-Rink, S., Miyake, K. & Varma, C. M. Transport and thermal properties of heavy-fermion superconductors: a unified picture. *Phys. Rev. Lett.* 57, 2575–2578 (1986).
- 41. Hirschfeld, P., Vollhardt, D. & Wölfle, P. Resonant impurity scattering in heavy fermion superconductors. *Solid State Commun.* **59**, 111–115 (1986).
- A. Holmes. Exotic superconducting mechanisms in Fe and CeCu₂Si₂ under pressure. PhD thesis, (Université de Genève, Geneva, 2004).
- Demuer, A. et al. Further pressure studies around the magnetic instability of CePd₂Si₂. J. Phys.: Condens. Matter 13, 9335–9347 (2001).
- Vargoz, E., Jaccard, D., Genoud, J.-Y., Brison, J.-P. & Flouquet, J. Upper critical field of CeCu₂Si₂ at very high pressure. Solid State Commun. 106, 631–636 (1998).
- Miyagawa, H. et al. Electronic states of single crystal CeAl₂ near the pressureinduced quantum critical point. Phys. Rev. B 78, 064403 (2008).
- Jaccard, D. & Sierro, J. Magnetic instability and superconductivity in cerium based HF compounds. Phys. B 206 & 207, 625–627 (1995).
- Oomi, G. & Kagayama, T. Effect of pressure on the magnetoresistance of the heavy fermion compound CeAl₃. J. Phys. Soc. Jpn. 65, 2732–2733 (1996).
- Kagayama, T., Oomi, G., Takahashi, H., Mori, N., Onuki, Y. & Komatsubara, T. Pressure-induced valence instability of the heavy-fermion compound CelnCu₂. Phys. Rev. B 44, 7690–7693 (1991).
- 49. Nishida, Y., Tsuruta, A. & Miyake, K. Crystalline-electric-field effect on the resistivity of Ce-based heavy fermion systems. J. Phys. Soc. Jpn. 75, 064706 (2006).
- Watanabe, S. & Miyake, K. Origin of drastic change of Fermi surface and transport anomalies in CeRhIn5 under pressure. J. Phys. Soc. Jpn. 79, 033707 (2010).
- Scheerer, G. W., Giriat, G., Ren, Z., Lapertot, G. & Jaccard, D. High-pressure study of the ground- and superconducting-state properties of CeAu₂Si₂. *J. Phys. Soc. Jpn.* 86, 064710 (2017).
- Scheerer, G. W., Ren, Z., Lapertot, G., Garbarino, G. & Jaccard, D. Heavy-fermion superconductivity in CeAg₂Si₂ – Interplay of spin and valence fluctuations. *Phys. B* 536, 150–154 (2018).
- Knebel, G., Aoki, D., Brison, J.-P. & Flouquet, J. The Quantum Critical Point in CeRhIn5: A Resistivity Study. J. Phys. Soc. Jpn. 77, 114704 (2008).
- Grosche, F. M. et al. Superconductivity on the threshold of magnetism in CePd₂Si₂ and Celn₃. J. Phys.: Condens. Matter 13, 2845–2860 (2001).

npj

- Sheikin, I. et al. Superconductivity, upper critical field and anomalous normal state in CePd₂Si₂ near the quantum critical point. *J. Low. Temp. Phys.* 122, 591–604 (2001).
- Raymond, S. & Jaccard, D. Electronic properties of CePd₂Si₂ under pressure. *Phys. Rev. B* 61. 8679–8682 (2000).
- Löhneysen, Hv Fermi-liquid instability at magnetic-nonmagnetic quantum phase transitions. J. Magn. Magn. Mater. 200, 532–551 (1999).
- Lengyel, E., Nicklas, M., Jeevan, H. S., Geibel, C. & Steglich, F. Pressure tuning of the interplay of magnetism and superconductivity in CeCu₂Si₂. *Phys. Rev. Lett.* 107. 057001 (2011).
- Stockert, O. et al. Magnetically driven superconductivity in CeCu₂Si₂. Nat. Phys. 7, 119–124 (2011).
- Kittaka, S. et al. Multiband superconductivity with unexpected deficiency of nodal quasiparticles in CeCu₂Si₂. Phys. Rev. Lett. 112, 067002 (2014).
- Ikeda, H., Suzuki, M.-T. & Arita, R. Emergent loop-nodal s±-wave superconductivity in CeCu₂Si₂: similarities to the iron-based superconductors. *Phys. Rev. Lett.* 114, 147003 (2015).
- Kittaka, S. et al. Thermodynamic study of gap structure and pair-breaking effect by magnetic field in the heavy-fermion superconductor CeCu₂Si₂. Phys. Rev. B 94, 054514 (2016).
- 63. Yamashita et al. Fully gapped superconductivity with no sign change in the prototypical heavy-fermion $CeCu_2Si_2$. Sci. Adv. 3, e1601667 (2017).
- 64. Razafimandimby, H. & Fulde, P. On the theory of superconductivity in Kondo lattice systems. *Z. Phys. B Condens. Matter* **54**, 111–120 (1984).
- Jichu, H., Nagi, A. D. S., Jin, B., Matsuura, T. & Kuroda, Y. Origins of the attractive interactions for the Cooper pairs in dense Kondo systems. *Phys. Rev. B* 35, 1692–1699 (1987).
- Nakatsuji, S. et al. Superconductivity and quantum criticality in the heavy-fermion system beta-YbAlB₄. Nat. Phys. 4, 603–607 (2008).
- 67. Matsumoto, Y. et al. Quantum criticality without tuning in the mixed valence compound beta-YbAlB₄. Science **331**, 316–319 (2011).
- Watanabe, S. & Miyake, K. Quantum valence criticality as an origin of unconventional critical phenomena. *Phys. Rev. Lett.* 105, 186403 (2010).
- Watanabe, S. & Miyake, K. New quantum criticality revealed under pressure. *Jpn. J. Appl. Phys.* 56, 05FA01 (2017).

- 70. Sebastian, S. E. et al. Metal-insulator quantum critical point beneath the high T_c superconducting dome. *PNAS* **107**, 6175–6179 (2010).
- Ramshaw, B. J. et al. Quasiparticle mass enhancement approaching optimal doping in a high-T_c superconductor. Science 348, 317–320 (2015).
- Vargoz, E. & Jaccard, D. Superconducting and normal properties of CeCu₂Ge₂ at high pressure. J. Magn. Magn. Mat. 177, 294–295 (1998).
- Giriat, G., Ren, Z., Pedrazzini, P. & Jaccard, D. High pressure investigation of superconducting signatures in CeCu₂Si₂: ac-magnetic susceptibility, ac-heat capacity, resistivity and thermopower. *Solid State Commun.* 209–210, 55–58 (2015).
- Bellarbi, B., Benoit, A., Jaccard, D., Mignot, J. M. & Braun, H. F. High-pressure valence instability and T_c maximum in superconducting CeCu₂Si₂. *Phys. Rev. B* 30, 1182–1187 (1984).
- H. Wilhelm, S. Raymond, D. Jaccard, O. Stockert, and H. v. Loehneysen. From an antiferromagnet to a heavy-fermion system: CeCu₃Au under pressure. In Science and Technology of High Pressure, Proc. of AIRAPT-17, (ed. Manghnani, M. H.) p. 697 (Universities Press India, Hyderabad, 2000).
- Wilhelm, H. & Jaccard, D. Calorimetric and transport investigations of CePd_{2+x}Ge₂
 _{-x} (x = 0 and 0.02) up to 22 GPa. Phys. Rev. B 66, 064428 (2002).



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing,

adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit https://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2018