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# GOLOMB'S SELF-DESCRIBED SEQUENCE AND FUNCTIONAL DIFFERENTIAL EQUATIONS

#### Y.-F.S. PÉTERMANN AND JEAN-LUC RÉMY

A sequence (word) W of positive integers is *self-described* or *self-generating* if  $\tau(W) = W$ , where  $\tau(W)$  is the sequence consisting of the numbers of consecutive equal entries of W. A famous self-generating bounded sequence is Kolakoski's  $1, 2, 2, 1, 1, 2, 1, 2, 2, \cdots$  (see [Ch]). In this paper we consider Golomb's sequence F, which is the only nondecreasing self-generating sequence taking all

positive integral values,  $\underbrace{1, 2, 2, 3, 3, 4, 4, 4, 5, 5, 5, 6, 6, 6, 6, 6, \dots}_{1, 2, 2, 2, 3, 3, 4, 4, 4, 5, 5, 5, 6, 6, 6, 6, 6, \dots}$  Let  $\phi$  denote the

golden number. We prove that

$$F(n) = \phi^{2-\phi} n^{\phi-1} + \frac{n^{\phi-1}}{\log n} h\left(\frac{\log\log n}{\log \phi}\right) + O\left(\frac{n^{\phi-1}}{\log^2 n}\log\log n\right),$$

where the real function h is continuous and satisfies h(x) = -h(x+1) ( $x \ge 0$ ). The method of proof is intimately connected with the more general problem of characterising the solution  $E_1$  of an approximate functional integral equation of the type

$$E_1(t) = -\phi^{1-\phi} t^{\phi-2} \int_2^{\phi^{2-\phi} t^{\phi-1}} E_1(u) \, du + O\left(\frac{t^{\phi-1}}{\log^2 t}\right),$$

which we discuss in the second part of the paper.

#### 1. Introduction

In the problem section of the American Mathematical Monthly in 1966, S.W. Golomb [Go] considered the unique nondecreasing sequence  $\{F(n)\}_{n\geq 1} = \{1, 2, 2, 3, 3, 4, 4, 4, 5, 5, 5, 6, 6, 6, 6, 7...\}$  "self-described" by the two conditions F(1) = 1 and  $F(n) = |\{m : F(m) = n\}|$   $(n \geq 1)$ . At the time he only requested an asymptotic expression for F(n) as  $n \to \infty$ . We have

$$F(n) = cn^{\phi - 1} + E(n), \tag{1.1}$$

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where  $c = \phi^{2-\phi}$ ,  $\phi = (\sqrt{5} + 1)/2$  is the golden number, and  $E(n) = o(n^{\phi-1})$ . The first published complete proof of (1.1) is due to N.J. Fine [Fi]; D. Marcus [Ma] proposed a clever heuristical argument: see [Pé2] for a proof based on Marcus' idea.

More recently, I. Vardi [Va] asked for a more precise estimate for the error term E(n) of (1.1). On the one hand he could establish

$$E(n) = O\left(\frac{n^{\phi - 1}}{\log n}\right);\tag{1.2}$$

on the other hand he conjectured that estimate (1.2) is optimal.

CONJECTURE 1. We have

$$E(n) = \Omega_{\pm} \left( \frac{n^{\phi - 1}}{\log n} \right). \tag{1.3}$$

Vardi's Conjecture 1 is based on a heuristic argument, which led him to be more precise.

Conjecture 2. For  $n \ge 2$  we have

$$E(n) = \frac{n^{\phi - 1} h\left(\frac{\log\log n}{\log\phi}\right)}{\log n} + O\left(\frac{n^{\phi - 1}}{\log^2 n}\right),\tag{1.4}$$

where h(x) satisfies

$$h(x+1) = -h(x)$$
 for  $x \ge 0$ , (1.5)

and

$$|h(x)| > 0 \quad for \ x \in (0, 1).$$
 (1.6)

However, as Vardi himself pointed out, he was "not even able to show that  $\limsup_{n\to\infty} |E(n)| = \infty$ ". This was proved by Y.-F.S. Pétermann [Pé1], who showed that  $E(n) = \Omega_{\pm}(n^{\phi-1-\epsilon})$  for every  $\epsilon > 0$ . Recently J.-L. Rémy [Ré] succeeded in verifying the truth of Conjecture 1.

In this paper we are concerned with Conjecture 2. We prove:

THEOREM 1. We have

$$E(n) = \frac{n^{\phi - 1} h\left(\frac{\log\log n}{\log \phi}\right)}{\log n} + O\left(\frac{n^{\phi - 1}\log\log n}{\log^2 n}\right),\tag{1.7}$$

where h(x) satisfies

$$h(x+1) = -h(x)$$
 for  $x \ge 0$ , (1.5)

and

$$h$$
 is continuous  $(1.8)$ 

Remark 1. Conjecture 1, which we know is true from Rémy's result [Ré], implies that the function h in Theorem 1 is not identically zero. It should also be noted that assertion (1.6) in Conjecture 2 is false: we can indeed prove that  $h(0) \neq 0$  (see Remark 2 in Section 3), and this shows with (1.5) and (1.8) that there is a number  $\alpha$  with  $0 < \alpha < 1$  and  $h(\alpha) = 0$ .

Hence we propose to modify assertion (1.6).

CONJECTURE 3. If h is as in Theorem 1 there is a number  $\alpha$  with  $0 < \alpha < 1$  and

$$|h(x)| > 0 \quad \text{for } x \in (\alpha, 1 + \alpha). \tag{1.6'}$$

The rest of Section 3 is devoted to four other remarks.

The proof of Theorem 1 contains in fact an almost complete treatment of the approximate functional integral equation

$$E(t) = -c^{-\phi} t^{\phi - 2} \int_{2}^{ct^{\phi - 1}} E(u) du + O\left(\frac{t^{\phi - 1}}{\log^{2} t}\right).$$
 (1.9)

and its summatory counterpart (2.1) in Section 2 just below: this is discussed in Remarks 3 and 4.

But the error term bound of Theorem 1 is not as good as the bound conjectured in Conjecture 2: we extensively discuss in Remark 5 the exact and non trivial functional equation

$$d(t) = -t^{\phi - 2} \int_0^{t^{\phi - 1}} d(u) \, du + 1, \tag{1.10}$$

which is of a type similar to (1.9), and for the solution of which the better error term bound holds. (By "non trivial" we mean that the function h associated to the solution is not identically zero).

In Theorem 2 of Remark 3 we show that the solution of an equation of type (1.9) satisfies (1.7), with (1.5) and (1.8): a sort of restricted converse to this is obtained in Theorem 4 of Remark 6, showing that a function  $E(t) = t^{\phi-1}h(\log\log t/\log\phi)/\log t$  satisfies (1.9) for many choices of the function h.

*Notation.* In the sequel we shall frequently appeal without comment to classical properties of the golden number  $\phi$ . Although all these properties can easily be inferred from the definition of  $\phi$ , we state below a few of them for convenience.

$$\phi^2 = \phi + 1$$
,  $\phi(\phi - 1) = 1$ ,  $(\phi - 1)^2 = 2 - \phi$ ,  $(\phi - 1)^3 = 2\phi - 3$ .

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#### 2. Proof of Theorem 1

Our starting point is the heuristic formula that led Vardi to his Conjecture 2.

LEMMA 1. We have

$$E(n) = -c^{-\phi} n^{\phi - 2} \sum_{m \le cn^{\phi - 1}} E(m) + O\left(\frac{n^{\phi - 1}}{\log^2 n}\right).$$
 (2.1)

*Proof.* Every  $n \ge 1$  can be written uniquely as n = G(m) - r, where  $0 \le r < F(m)$ , and where G(m) denotes the position of the last occurrence of the integer m in the sequence F. We have

$$F(n) = F(G(m) - r) = m.$$
 (2.2)

Now if we put  $R(m) := \sum_{k \le m} E(k)$ , then, from Vardi's result (1.2), we clearly have

$$R(m) = O\left(\frac{m^{\phi}}{\log m}\right). \tag{2.3}$$

Moreover,

$$E(n) = E(G(m) - r) = -\frac{R(m)}{cm^{\phi - 1}} \left( 1 + O\left(\frac{|R(m)|}{m^{\phi}}\right) \right) + O(1).$$
 (2.4)

(Equation (2.4) can easily be derived from Vardi's paper [Va]: see (10) of that paper; or see Lemma 3 in [Pé1].) Thus, with (2.3), (2.2) and (1.2), we may write

$$\begin{split} E(n) &= -\frac{R(m)}{cm^{\phi-1}} + O\left(\frac{m}{\log^2 m}\right) = -\frac{R(F(n))}{c(F(n))^{\phi-1}} + O\left(\frac{F(n)}{\log^2(F(n))}\right) \\ &= -\frac{R(cn^{\phi-1} + O(n^{\phi-1}/\log n))}{c(cn^{\phi-1} + O(n^{\phi-1}/\log n))^{\phi-1}} + O\left(\frac{n^{\phi-1}}{\log^2 n}\right) \\ &= -c^{-\phi}n^{\phi-2}R(cn^{\phi-1}) + O\left(\frac{n^{\phi-1}}{\log^2 n}\right). \end{split}$$

This proves Lemma 1. Now we replace the sum in the approximate functional equation of Lemma 1 by an integral, which is smoother (differentiable) and thus easier to handle. For this we use a result of Segal's [Se].  $\Box$ 

LEMMA A. Let f(n) be a function of a positive integral variable, and suppose

$$\sum_{n \le x} f(n) = z(x) + E(x),$$

where z(x) is twice continuously differentiable, and z''(x) is of constant sign for x > 1. Then

$$\sum_{n \le x} E(n) = \frac{1}{2} z(x) + (1 - \{x\}) E(x) + \int_1^x E(t) \, dt + O(|z'(x)|) + O(1).$$

LEMMA 2. If the definitions of the functions F and E of (1.1) are extended to the real arguments  $t \ge 1$  by putting  $F(t) := F([t]) =: ct^{\phi-1} + E(t)$ , then we have

$$E(t) = -c^{-\phi} t^{\phi - 2} \int_{2}^{ct^{\phi - 1}} E(u) \, du + O\left(\frac{t^{\phi - 1}}{\log^{2} t}\right). \tag{2.5}$$

*Proof.* If we put f(m) = 1 when m = 1 or m = G(k) + 1 for some k, and f(m) = 0 otherwise in Lemma A, then  $F(x) = \sum_{m \le x} f(m) = z(x) + E(x)$  where  $z(x) := cx^{\phi - 1}$ . The hypotheses of Lemma A are satisfied and Lemma 2 is proved.

Now if we let

$$D_0(t) := -c^{-\phi} t^{\phi - 2} \int_2^{ct^{\phi - 1}} E(u) \, du, \tag{2.6}$$

we have of course

$$E(t) = D_0(t) + O\left(\frac{t^{\phi - 1}}{\log^2 t}\right),\tag{2.7}$$

so that from (2.6) and (2.7) we have

$$D_0(t) = -c^{-\phi} t^{\phi - 2} \int_2^{ct^{\phi - 1}} D_0(u) du + O\left(\frac{t^{\phi - 1}}{\log^2 t}\right). \tag{2.8}$$

Similarly if we put

$$D(t) := -c^{-\phi} t^{\phi - 2} \int_{2}^{ct^{\phi - 1}} D_0(u) \, du, \tag{2.9}$$

we may write

$$D(t) = D_0(t) + O\left(\frac{t^{\phi - 1}}{\log^2 t}\right)$$
 (2.10)

and

$$D(t) = -c^{-\phi} t^{\phi - 2} \int_{2}^{ct^{\phi - 1}} D(u) \, du + O\left(\frac{t^{\phi - 1}}{\log^{2} t}\right). \tag{2.11}$$

We shall work with the approximate functional-differential equation (2.11), rather than with (2.5) or (2.8), which are "functional" but not "differential". By (2.7) and (2.10) it is indeed sufficient to prove the theorem for D instead of E. If we write

$$D(t) = \frac{t^{\phi - 1}}{\log t} K(t), \tag{2.12}$$

this defines a function K(t) = O(1) which is differentiable for every  $t \ge 2$ . If similarly we write

$$D_0(t) = \frac{t^{\phi - 1}}{\log t} K_0(t), \tag{2.13}$$

then by (2.10) we have

$$K_0(t) = K(t) + O(1/\log t).$$
 (2.14)

LEMMA 3. We have

$$tK'(t) + K(t) + K(ct^{\phi - 1}) = O(1/\log t). \tag{2.15}$$

*Proof.* First we differentiate expression (2.12).

$$D'(t) = \frac{t^{\phi - 1}}{\log t} K'(t) + \frac{t^{\phi - 2}}{\log t} (\phi - 1) K(t) - \frac{t^{\phi - 2}}{\log^2 t} K(t).$$
 (2.16)

Then we differentiate (2.9).

$$D'(t) = -c^{-\phi}(\phi - 2)t^{\phi - 3} \int_{2}^{ct^{\phi - 1}} D_{0}(u) du$$

$$-c^{-\phi}t^{\phi - 2} \frac{(ct^{\phi - 1})^{\phi - 1}}{\log(ct^{\phi - 1})} K_{0}(ct^{\phi - 1})c(\phi - 1)t^{\phi - 2}$$

$$= (\phi - 2) \frac{D(t)}{t} - \frac{t^{\phi - 2}}{\log t} K_{0}(ct^{\phi - 1}) + O\left(\frac{t^{\phi - 2}}{\log^{2} t}\right). \tag{2.17}$$

Equating (2.16) and (2.17) (with a use of definition (2.12)) yields

$$\frac{t^{\phi-2}}{\log t} t K'(t) + \frac{t^{\phi-2}}{\log t} K(t) + \frac{t^{\phi-2}}{\log t} K_0(ct^{\phi-1}) = O\left(\frac{t^{\phi-2}}{\log^2 t}\right),$$

and a division by  $t^{\phi-2}/\log t$  with an appeal to (2.14) finishes the proof.  $\Box$ 

A key step in the proof of Theorem 1 is to ensure now that K(t) is sufficiently near  $K(ct^{\phi-1})$ . This will be done by an induction argument on m for t in intervals of the form  $[N_m, N_{m+1}]$ , where  $N_0, N_1, N_2, \ldots$  is a sequence of positive real numbers with

$$N_m = c N_{m+1}^{\phi - 1}$$
, that is  $N_{m+1} = \frac{c}{\phi} N_m^{\phi}$ , (2.18)

for  $m \ge 0$ . We need a lower bound on  $N_m$ .

LEMMA 4. If  $N_0$  is chosen large enough we have

$$N_m > 3^{\phi^m}. \tag{2.19}$$

*Proof.* If  $Q := \phi^{\phi-2} = 1/c$  we have

$$N_1 = \frac{c}{\phi} N_0^{\phi} = \phi^{1-\phi} N_0^{\phi} = (\phi^{\phi-2} N_0)^{\phi} = (Q N_0)^{\phi},$$

and in general, if  $Q_0 := Q^{\phi^2}$  (= 1/ $\phi$ ), we have

$$N_{m} = (QN_{m-1})^{\phi} = (Q(QN_{m-2})^{\phi})^{\phi} = \dots = Q^{\phi + \phi^{2} + \dots + \phi^{m}} N_{0}^{\phi^{m}}$$

$$= Q^{\phi \frac{\phi^{m} - 1}{\phi - 1}} N_{0}^{\phi^{m}} = Q_{0}^{\phi^{m} - 1} N_{0}^{\phi^{m}} > (Q_{0}N_{0})^{\phi^{m} - 1} > \left(3^{\phi^{2}}\right)^{\phi^{m} - 1},$$

provided  $N_0$  is chosen larger than  $3^{\phi^2}/Q_0 = \phi 3^{\phi^2}$ . And we have

$$\phi^2(\phi^m - 1) = \phi^m(\phi^2(1 - \phi^{-m})) \ge \phi^m$$

if  $m \ge 1$ . This concludes the proof if we note that since  $N_0 > 3$ , (2.19) also holds for m = 0.  $\square$ 

We are now in position to prove:

LEMMA 5. For  $t \ge 3$  we have

$$K(t) + K(ct^{\phi - 1}) = O\left(\frac{\log\log t}{\log t}\right). \tag{2.20}$$

*Proof.* Let  $N_0, N_1, N_2, ...$  be a sequence of positive real numbers satisfying (2.18) for  $m \ge 0$ , and with  $N_0$  large enough to ensure the validity of (2.19). We show that there is an absolute positive constant C and a sequence of real positive numbers  $M_0, M_1, M_2, ...$  with

$$M_{m+1} = (C + M_m) \left( 1 + \frac{C}{\log N_m} \right) \quad (m \ge 0)$$
 (2.21)

and

$$|K(t) + K(ct^{\phi - 1})| \le \frac{M_m}{\log t}$$
 (2.22)

for  $2 \le t \le N_m$ . The proof is by induction on m. Estimate (2.22) holds for m = 0 if we choose  $M_0$  large enough. Assume it is satisfied for some  $m \ge 0$ , and let  $N_m \le s \le N_{m+1}$ . Then we have  $s = c\phi^{-1}t^{\phi}$  and  $t = cs^{\phi-1}$  for some t with  $t \le N_m$ . And by (2.11) and (2.12) we may write

$$D(s) = -c^{-\phi} s^{\phi - 2} \int_{2}^{t} K(u) \frac{u^{\phi - 1}}{\log u} du + \frac{s^{\phi - 1}}{\log^{2} s} B(s), \tag{2.23}$$

where  $|B(s)| \leq B$  for an absolute constant B. First we show that there is an absolute constant  $C_1$  such that

$$\int_{2}^{t} K(u) \frac{u^{\phi - 1}}{\log u} du = \phi c^{-1} K(t) \frac{s}{\log s} + \vartheta_{1}(s) \phi c^{-1} \frac{s}{\log^{2} s} (C_{1} + M_{m}) \left( 1 + \frac{C_{1}}{\log s} \right)$$
(2.24)

for some function  $\vartheta_1$  with  $|\vartheta_1(s)| \le 1$ . Integrating by parts in (2.23) and replacing  $\log t$  by  $\phi^{-1} \log s + O(1)$  we obtain

$$\int_{2}^{t} K(u) \frac{u^{\phi - 1}}{\log u} du = \phi c^{-1} K(t) \frac{s}{\log s} + O\left(\frac{s}{\log^{2} s}\right) - \frac{1}{\phi} \int_{2}^{t} \frac{u^{\phi - 1}}{\log u} \left(u K'(u) - \frac{K(u)}{\log u}\right) du,$$

and thus in order to obtain (2.24) it is clearly sufficient to show that

$$I := \int_{2}^{t} \frac{u^{\phi - 1}}{\log u} \left( |uK'(u)| + \frac{|K(u)|}{\log u} \right) du \le \phi^{2} c^{-1} \frac{s}{\log^{2} s} (C_{0} + M_{m}) \left( 1 + \frac{C_{0}}{\log s} \right)$$
(2.25)

for some absolute constant  $C_0$ . Now there are absolute constants A and  $A_1$  with  $|K(u)| \le A$  ( $u \ge 2$ ) and, by Lemma 3, with

$$|uK'(u)| \le \frac{A_1}{\log u} + |K(u) + K(cu^{\phi - 1})| \ (u \ge 2).$$

Thus on appealing to the induction hypothesis we have

$$I \leq (A_1 + A + M_m) \int_2^t \frac{u^{\phi - 1}}{\log^2 u} du \leq (A_1 + A + M_m) \left( \phi^2 c^{-1} \frac{s}{\log^2 s} + O\left( \frac{s}{\log^3 s} \right) \right),$$

whence (2.25) and (2.24) follow. Now we use (2.12) and (2.24) in (2.23) and obtain

$$\frac{s^{\phi-1}}{\log s}K(s) = -\frac{s^{\phi-1}}{\log s}K(t) + \vartheta(s)\frac{s^{\phi-1}}{\log^2 s}(C_2 + M_m)\left(1 + \frac{C_2}{\log s}\right),\tag{2.26}$$

where  $C_2$  is an absolute constant and  $\vartheta$  some real function with  $|\vartheta(s)| \leq 1$ . Thus

$$|K(s) + K(cs^{\phi - 1})| \le \frac{(C_2 + M_m)\left(1 + \frac{C_2}{\log s}\right)}{\log s} \le \frac{(C_2 + M_m)\left(1 + \frac{C_2}{\log N_m}\right)}{\log s} \le \frac{M_{m+1}}{\log s}$$

provided C has been chosen with  $C \ge C_2$ , whence the proof of the lemma will be complete if we ensure that a sequence of numbers satisfying (2.21) also satisfies

$$M_m = O(m) \ (m \ge 1).$$
 (2.27)

Indeed, by Lemma 4 we have  $t \ge 3^{\phi^{m-1}}$  if  $N_{m-1} \le t \le N_m$   $(m \ge 1)$ , so that  $m \le \log \log t / \log \phi + O(1)$ , and thus with (2.27) we will have

$$|K(t) + K(ct^{\phi-1})| \le \frac{M_m}{\log t} = O\left(\frac{\log \log t}{\log t}\right).$$

In fact we prove that

$$M_m \le (m+1)C_3 \prod_{0 \le i \le m} (1 + C\phi^{-i}) \ (m \ge 0),$$
 (2.28)

where  $C_3$  denotes  $\max(C, M_0)$ . This is sufficient to ensure that (2.27) holds: the product in (2.28) is bounded by an infinite product that converges, since  $\phi > 1$ . We prove (2.28) by induction on m. It holds for m = 0. Assume it is satisfied for some  $m \ge 0$ . Then by Lemma 4 we have

$$M_{m+1} = (C + M_m) \left( 1 + \frac{C}{\log N_m} \right) \le (C + M_m) \left( 1 + \frac{C}{\phi^m} \right)$$
  
$$\le (1 + m + 1) C_3 \prod_{0 \le i < m} (1 + C\phi^{-i}) (1 + C\phi^{-m}).$$

The proof of Lemma 5 is now complete.  $\Box$ 

Now we define the function k by

$$k\left(\frac{\log\log t}{\log\phi}\right) = K(t)$$

and we prove:

LEMMA 6. For  $x \ge 1$  we have

$$k(x) + k(x - 1) = O(x\phi^{-x}). (2.29)$$

*Proof.* First note that

$$\frac{\log\log(ct^{\phi-1})}{\log\phi} = \frac{\log\log t}{\log\phi} - 1 + O\left(\frac{1}{\log t}\right).$$

Then note that by Lemmas 3 and 5 we have

$$K'(t) = O\left(\frac{\log\log t}{t\log t}\right),\,$$

which with

$$K'(t) = \frac{k'\left(\frac{\log\log t}{\log\phi}\right)}{t\log t\log\phi}$$

implies that

$$k'\left(\frac{\log\log t}{\log\phi}\right) = O(\log\log t),\tag{2.30}$$

whence, in particular,

$$k\left(\frac{\log\log t}{\log\phi} - 1 + O\left(\frac{1}{\log t}\right)\right) = k\left(\frac{\log\log t}{\log\phi} - 1\right) + O\left(\frac{\log\log t}{\log t}\right).$$

Thus

$$K(t) + K(ct^{\phi - 1}) = k\left(\frac{\log\log t}{\log\phi}\right) + k\left(\frac{\log\log t}{\log\phi} - 1\right) + O\left(\frac{\log\log t}{\log t}\right).$$

An appeal to Lemma 5, and the change of variable  $x = \log \log t / \log \phi$ , conclude the proof.  $\Box$ 

Let now  $x_0 \ge 0$  be fixed, and consider the sequences

$$x_i := x_0 + 2i$$
 and  $y_i := k(x_i)$   $(i \ge 0)$ . (2.31)

With the help of Lemma 6, by adding and subtracting terms of the form  $(-1)^{j+1}k(x_0+j)$   $(j \ge 1)$ , it is not difficult to see that  $\{y_i\}$  is a Cauchy sequence and thus converges to some real number, which we call  $h(x_0)$ . Similarly, for  $x_0 \ge 1$ ,  $y_i'$  converges to  $h(x_0-1)$ , where  $y_i' := k(x_i-1)$   $(i \ge 0)$ . And again by Lemma 6 we see that

$$h(x_0) + h(x_0 - 1) = \lim_{i \to \infty} (k(x_i) + k(x_i - 1)) = 0.$$
 (2.32)

We need a precise bound for the quantity |h(x) - k(x)|.

LEMMA 7. We have

$$k\left(\frac{\log\log t}{\log\phi}\right) = h\left(\frac{\log\log t}{\log\phi}\right) + O\left(\frac{\log\log t}{\log t}\right). \tag{2.33}$$

*Proof.* Put  $x = x_0 = \log \log t / \log \phi$ . Then with the notation (2.31) we have

$$|h(x) - k(x)| = \lim_{i \to \infty} |y_i - y_0|.$$

Now with Lemma 6 we have

$$|y_i - y_0| \le |y_1 - y_0| + |y_2 - y_1| + \dots + |y_i - y_{i-1}|$$

$$= O\left(\sum_{i \ge 0} (x + 2i)e^{-(x+2i)\log\phi}\right)$$

$$= O(xe^{-x\log\phi}),$$

and the lemma is proved.  $\Box$ 

In order to conclude the proof of Theorem 1 it thus remains to prove:

LEMMA 8. The function h is continuous.

*Proof.* Suppose on the contrary that h is not continuous at some x. Then there is a sequence  $\epsilon_i \to 0$  with

$$|h(x) - h(x + \epsilon_i)| \ge C > 0,$$

where C is some positive constant. By (2.31), for every positive integer j we have

$$|h(x+2j) - h(x+2j+\epsilon_i)| \ge C.$$

Now if we write  $x + 2j = \log \log t_i / \log \phi$ , then by Lemma 7 we have

$$h(x+2j) - h(x+2j+\epsilon_i) = k(x+2j) - k(x+2j+\epsilon_i) + A(t_j,i) \frac{\log \log t_j}{\log t_i},$$

where  $|A(t_j, i)| \le A$  for some absolute constant A. But we can choose  $j = j_0$  such that for  $t = t_{i_0}$  we have

$$A\frac{\log\log t}{\log t}\leq \frac{C}{2},$$

whence

$$|k(x+2j_0) - k(x+2j_0 + \epsilon_i)| \ge \frac{C}{2}$$

for every i, which contradicts the continuity of k.

The proof of Theorem 1 is now complete.

### 3. Remarks

Remark 2. As we mentioned it in the introduction (Remark 1), Vardi's assertion in his Conjecture 2 that |h(x)| > 0 for  $x \in (0, 1)$  is not correct, and this follows from  $h(0) \neq 0$ . We very briefly indicate how this latter fact can be proved. If we write

$$E(t) = \frac{t^{\phi - 1}}{\log t} k_1 \left( \frac{\log \log t}{\log \phi} \right),$$

then Rémy proved in [Ré] that

$$|k_1(u)| \ge a \quad (u \in [m+\alpha, m+\beta]; m \ge m_0),$$

where a,  $\alpha$  and  $\beta$  are explicit real constants with  $\alpha < \beta$  and a > 0. By refining the computations needed to achieve that, it is possible to choose the constants a,  $\alpha$  and

 $\beta$  in such a way that the additional condition  $\alpha < 0 < \beta$  be satisfied. (We obtain  $\alpha = -0.0018$  and  $\beta = 0.2948$ , with  $\alpha = 0.0007486$ .) Thus  $|k_1(m)| \ge \alpha$  for  $m \ge m_0$ , whence

$$|h(0)| = |h(m)| = \lim_{m \to \infty} |h(m)| = \lim_{m \to \infty} |k_1(m)| \ge a > 0.$$

*Remark* 3. It should be noted that in Section 2 we prove more than just Theorem 1. In fact we gave an almost complete proof of the following result.

THEOREM 2. Let  $E_1$  be an integrable real function defined on  $[2, \infty)$  which is a solution of some approximate functional equation of the type

$$E_1(t) = -c^{-\phi} t^{\phi - 2} \int_2^{ct^{\phi - 1}} E_1(u) \, du + O\left(\frac{t^{\phi - 1}}{\log^2 t}\right). \tag{3.1}$$

Then the conclusions of Theorem 1 hold for  $E_1$  instead of E.

*Proof.* We have to ensure that the proof of Theorem 1 is valid from equation (2.6) on, if we replace E by  $E_1$ . From this point on the only property of E we use (other than equation (2.5)) is Vardi's result (1.2) (which is used in the derivations of equations (2.17) and (2.24)). So we only need to show the following.  $\square$ 

LEMMA 9. If  $E_1$  is a solution of an equation of type (3.1), then

$$E_1(t) = O\left(\frac{t^{\phi - 1}}{\log t}\right). \tag{3.2}$$

*Proof of the lemma.* Let  $N_0, N_1, ...$  be a sequence of real numbers as in (2.18), with  $N_0$  large enough to ensure the validity of Lemma 4. We prove by induction on m that if  $\ell \in [2, N_m]$ , then

$$|E_1(\ell)| \le A_m \frac{\ell^{\phi - 1}}{\log \ell} \tag{3.3}$$

for some increasing sequence of positive real numbers exceeding 1,  $A_0$ ,  $A_1$ , ... with

$$A_{m+1} = A_m (1 + C\phi^{-m})$$

for an absolute constant C. This will imply that there is an absolute constant A with  $A_m < A$  for every m, whence the lemma. For  $A_0$  large enough, (3.3) holds for m = 0 and m = 1. Suppose (3.3) holds for some  $m \ge 1$ , and let  $L \in [N_m, N_{m+1}]$ . Then  $L = c\phi^{-1}\ell^{\phi}$  and  $\ell = cL^{\phi-1}$  for some  $\ell \in [N_{m-1}, N_m]$ . Note that from Lemma 4 we can infer  $\log \ell \ge \phi^{m-1}$ . For some absolute constants B,  $B_1$ ,  $B_2$  and  $B_3$  we have

$$|E_1(L)| \le \frac{1}{c\ell^{\phi-1}} \int_2^{\ell} |E(u)| du + B \frac{L^{\phi-1}}{\log^2 L}$$

$$\leq \frac{A_{m}}{c\phi\ell^{\phi-1}} \left( 1 + \frac{B_{1}}{\log \ell} \right) \frac{\ell^{\phi}}{\log \ell} + B \frac{L^{\phi-1}}{\log^{2} L}$$

$$\leq \frac{A_{m}L^{\phi-1}}{\log L} \left( 1 + \frac{B_{2}}{\log \ell} \right) \leq \frac{A_{m}L^{\phi-1}}{\log L} (1 + B_{3}\phi^{-m}) \leq A_{m+1} \frac{L^{\phi-1}}{\log L},$$

provided C has been chosen with  $C \geq B_3$ .

Remark 4. If a function  $E_1$  of the positive integers satisfies a functional equation of the type

$$E_1(n) = -c^{-\phi} n^{\phi - 2} \sum_{k \le rn^{\phi - 1}} E_1(k) + O\left(\frac{n^{\phi - 1}}{\log^2 n}\right),\tag{3.4}$$

then it is not difficult to show, by an argument similar to that of Lemma 9, that

$$E_1(n) = O\left(\frac{n^{\phi - 1}}{\log n}\right).$$

It then easily follows that the extended function  $E_1(t) = E_1([t])$  satisfies a functional equation of type (2.5), and thus that Theorem 2 applies to  $E_1$ .

It should be noted at this point that if one replaces the error term of (3.4) by  $O(n^{\phi-1}/\log^{1+\epsilon} n)$  where  $0 < \epsilon < 1$ , then Theorem 2 can still be proved for  $E_1$ , the only difference in its conclusions being that the error term in the expression of  $E_1$  in terms of h is now  $O(n^{\phi-1}\log\log n/\log^{1+\epsilon} n)$ .

In the remark on page 3 of [Va] a relation less precise than (3.4) (without error term and with the symbol  $\approx$  instead of =) is displayed, followed by an assertion, the most natural interpretation of which appears to be that the function  $n^{\phi-1}h(\log\log n/\log\phi)/\log n=:E_2(n)$  is a solution to (3.4) (possibly with a larger error term) when h(x+1)=-h(x). But we just saw that for  $E_2$ , with h(x+1)=-h(x), to be an asymptotic solution of (3.4), it is at least necessary that h be continuous.

And in fact there are continuous functions h with h(x+1) = -h(x) such that  $E_2$  is not a solution of (3.4). In order to verify the latter, first note that a necessary condition for a function  $E_2(n) = O(n^{\phi-1}/\log n)$  to be a solution of (3.4) is  $E_2(n+1) - E_2(n) = O(n^{\phi-1}/\log^2 n)$ . Thus, if

$$E_2(n) = \frac{n^{\phi - 1}}{\log n} h\left(\frac{\log \log n}{\log \phi}\right),\,$$

a necessary condition is

$$h\left(\frac{\log\log(n+1)}{\log\phi}\right) - h\left(\frac{\log\log n}{\log\phi}\right) = O\left(\frac{1}{\log n}\right).$$

We can find a sequence of positive integers  $n_i \to \infty$   $(i \to \infty)$  such that the integral parts  $[\log \log n_i / \log \phi]$  are all of the same parity, and such that the fractional parts

 $\delta_i := \{\log \log n_i / \log \phi\}$  decrease to 0 as  $i \to \infty$ . By making the sequence sparse enough we may also assume that  $\epsilon_{i+1} < \delta_i < \epsilon_i$ , where  $\epsilon_i := \{\log \log(n_i + 1) / \log \phi\}$ . Thus if we put  $h(\delta_i) = 1/n_i$  and  $h(\epsilon_i) = 1/n_i + 1/\sqrt{\log n_i}$ , we can complete the construction of a continuous function h with h(x + 1) = -h(x) and such that

$$h\left(\frac{\log\log(n+1)}{\log\phi}\right) - h\left(\frac{\log\log n}{\log\phi}\right) = \Omega\left(\frac{1}{\sqrt{\log n}}\right).$$

Note that it is even possible to ensure that h be indefinitely differentiable (with the restriction  $h^{(k)}(0) = \infty$  for k = 1, 2, ...).

Remark 5. The error term estimate  $O(t^{\phi-1}\log\log t/\log t)$  we obtain in our Theorem 1 is not as good as the error term asserted bound  $O(t^{\phi-1}/\log t)$  of Conjecture 2. Here we give an example of a (non trivial) functional equation of type (3.1) for which the better error term bound holds. In this case we can exhibit an explicit expression for the function h (here: g), which in addition permits a rather easy (compared to the argument in [Ré]) derivation of an  $\Omega$ -estimate as strong as that of Conjecture 1.

THEOREM 3. There is a unique solution for the exact functional-differential equation

$$d(t) = -t^{\phi - 2} \int_0^{t^{\phi - 1}} d(u) \, du + 1. \tag{3.5}$$

This solution satisfies an equation of type (3.1). Moreover, for  $u \ge e$ , we have

$$d(u) = \frac{u^{\phi - 1}}{\log u} g\left(\frac{\log\log u}{\log\phi}\right) + O\left(\frac{u^{\phi - 1}}{\log^2 u}\right),\tag{3.6}$$

where

$$g(x) = -g(x+1) \quad (x \ge 0) \tag{3.7}$$

and

$$g$$
 is continuous,  $(3.8)$ 

and where in addition

*Proof.* We first establish the existence of a solution. The argument is similar to that in [Yo, Chapitre 4, p. 151–153]. We are looking for a solution of (3.5) of the form

$$d(t) = \sum_{n>0} e_n(t),$$
 (3.10)

where for the time being we assume that the sum converges absolutely and uniformly in each bounded interval [0, t]. Then by using (3.10) in (3.5) we obtain

$$\sum_{n>0} e_n(t) = 1 - \sum_{n>0} t^{\phi-2} \int_0^{t^{\phi-1}} e_n(u) \, du.$$

We seek a solution satisfying

$$e_0(t) = 1$$

and

$$e_n(t) = -t^{\phi - 2} \int_0^{t^{\phi - 1}} e_{n - 1}(u) du \quad (n \ge 1), \tag{3.11}$$

with  $e_n(t) = \alpha_n t^{\beta_n}$ , and where  $\alpha_n$  and  $\beta_n$  are real numbers. An elementary computation yields

$$\alpha_0 = 1, \quad \beta_0 = 0,$$

$$\beta_n = (\beta_{n-1} + 1)(\phi - 1) + (\phi - 2) = (\phi - 1)\beta_{n-1} + (\phi - 1)^3,$$

$$\alpha_n = -\frac{\alpha_{n-1}}{\beta_{n-1} + 1}.$$

The sequence  $\beta_0, \beta_1, \ldots$  satisfies a first degree recurrence relation whose solution is

$$\beta_k = -(\phi - 1)^{k+1} + (\phi - 1) = (\phi - 1)\left(1 - \frac{1}{\phi^k}\right),\tag{3.12}$$

whence

$$\alpha_n = \frac{(-1)^n}{\phi^n} \prod_{k=1}^n \frac{1}{\left(1 - \frac{1}{\phi^{k+1}}\right)}.$$
 (3.13)

Note that as  $n \to \infty$  the product in (3.13) remains bounded. Also note that  $0 \le \beta_n \le \phi - 1$ . Now there remains to ensure that with these values of  $\alpha_n$  and  $\beta_n$  the sum in (3.10) does indeed converges absolutely and uniformly in each bounded interval [0, t]. This follows from

$$\alpha_n t^{\beta_n} = O\left(\frac{1 + t^{\phi - 1}}{\phi^n}\right). \tag{3.14}$$

Now we show that the solution of (3.5) is unique. Let  $d_1$  and  $d_2$  be two solutions of (3.5) and consider their difference  $\varepsilon := d_1 - d_2$ . Let  $t_0 \ge 0$  and M be the sup  $|\varepsilon(u)|$  for  $u \in [0, t_0]$ . Then if  $t \le t_0$  we have

$$|\varepsilon(t)| \le t^{\phi-2} \int_0^{t^{\phi-1}} |\varepsilon(u)| \, du \le M t^{\phi-2} \int_0^{t^{\phi-1}} \, du = M t^{2\phi-3} = M t^{(\phi-1)^3}.$$

Now let

$$\phi_k = \beta_{k+1}$$
 and  $M_k = M|\alpha_{k+1}| \quad (k \ge 0)$  (3.15)

Then it is easy to see by induction on k, with (3.14) and (3.15), that if  $t \le t_0$  then

$$|\varepsilon(t)| \leq M_k t^{\phi_k} = M|\alpha_{k+1}|t^{\beta_{k+1}} \leq \frac{C}{\phi^{k+1}}$$

for every positive integer k, where C is a positive constant depending only on  $t_0$ . Hence  $\varepsilon(t) = 0$  if  $t \le t_0$  and  $d_1 \equiv d_2 \equiv d$ .

Now we write

$$d(t) = \frac{t^{\phi - 1}}{\log t} J(t) = \frac{t^{\phi - 1}}{\log t} j\left(\frac{\log \log t}{\log \phi}\right)$$
(3.16)

and we show that

$$J(t) + J(t^{\phi - 1}) = O\left(\frac{1}{\log t}\right). \tag{3.17}$$

Using (3.14) in (3.16) we may write

$$J(t) = \sum_{n \ge -1} \alpha_n t^{-\phi^{-n-1}} \log t, \tag{3.18}$$

where, by convention,  $\alpha_{-1} = 0$ . Hence we have

$$J(t) + J(t^{\phi - 1}) = \sum_{n > 0} \left( \alpha_n + \frac{\alpha_{n - 1}}{\phi} \right) t^{\phi^{n - 1}} \log t = \log t \sum_{n > 0} (-1)^n \gamma_n, \tag{3.19}$$

where on appealing to (3.15) we see that

$$\gamma_0=t^{-\phi^{-1}},$$

and for n > 1,

$$\gamma_n = \frac{1}{\phi^n} \prod_{k=1}^n \left( 1 - \frac{1}{\phi^{k+1}} \right)^{-1} \left( 1 - \left( 1 - \frac{1}{\phi^{n+1}} \right) \right) t^{-\phi^{-n-1}}$$

$$= \frac{1}{\phi^{2n+1}} \prod_{k=1}^n \left( 1 - \frac{1}{\phi^{k+1}} \right)^{-1} t^{-\phi^{-n-1}}$$
(3.20)

When  $t > (\phi^2)^{\phi^3}$ , the sequence  $\{\gamma_n\}$  in (3.17) is unimodal; i.e., there is an integer  $n_0$  such that  $\gamma_{n+1} > \gamma_n$  for  $n < n_0$  and  $\gamma_{n+1} \le \gamma_n$  for  $n \ge n_0$ . Indeed  $\gamma_0 < \gamma_1$ , and for  $n \ge 1$ ,

$$\frac{\gamma_{n+1}}{\gamma_n} = \frac{1}{\phi^2} \frac{x^u}{1-u} =: v(u)$$

where  $u = \phi^{-n-2}$  and  $x = t^{\phi-1}$ ; and for x fixed the function v(u) is strictly increasing from  $\phi^{-2}$  to  $+\infty$  for  $u \in [0, 1)$ . It follows that there is a unique  $u_0 > 0$  with  $v(u_0) = 1$ . And thus  $n_0$  is the smallest positive integer satisfying  $\phi^{-n_0-2} \le u_0$ . Now with the unimodality of  $\{\gamma_n\}$  and (3.19) we see that

$$|J(t) + J(t^{\phi - 1})| \le \gamma_{n_0} \log t.$$
 (3.21)

The quantities  $n_0$  and  $u_0$  depend on t. As t increases to  $+\infty$ ,  $n_0$  also increases to  $+\infty$ , and  $u_0$  decreases to 0. Thus from the definition of  $u_0$ , i.e.  $v(u_0) = 1$ , we see that  $t^{u_0}$  remains bounded as  $t \to \infty$ , whence, since  $u_0 > 0$ ,  $u_0 = O(1/\log t)$ . With (3.20) it follows that

$$\gamma_{n_0} \ll \phi^{-2n_0} t^{-\phi^{-n_0-1}} \ll u_0^2 \ll \frac{1}{\log^2 t},$$

and this with (3.21) implies (3.17).

Now we show that d satisfies an approximate functional-differential equation of type (3.1). As in Lemma 3 we obtain

$$tJ'(t) + J(t) + J(t^{\phi - 1}) = O\left(\frac{1}{\log t}\right),$$
 (3.22)

and with (3.17) this implies

$$J'(t) = O\left(\frac{1}{t \log t}\right), \quad \text{that is,} \quad j'(u) = O(1). \tag{3.23}$$

With (3.5) we have

$$-c^{-\phi}t^{\phi-2}\int_{2}^{ct^{\phi-1}}d(u)\,du = \frac{d(c^{\phi}t)}{c} - 1 + O(t^{\phi-2})$$
 (3.24)

and, with (3.23) and (3.16),

$$\frac{d(c^{\phi}t)}{c} = \frac{t^{\phi-1}}{\log t} j \left( \frac{\log \log t}{\log \phi} + O\left(\frac{1}{\log t}\right) \right) + O\left(\frac{t^{\phi-1}}{\log^2 t}\right) 
= d(t) + O\left(\frac{t^{\phi-1}}{\log^2 t}\right).$$
(3.25)

Thus Theorem 2 applies to e. But we must ensure that the better estimate (3.6) holds, with (3.7) and (3.8). To that purpose we replace Lemma 5 by

$$J(t) + J(ct^{\phi - 1}) = O\left(\frac{1}{\log t}\right),\tag{3.26}$$

which follows from (3.17) and (3.23). Then with (3.23) instead of (2.30) we replace Lemma 6 by

$$j(x) + j(x - 1) = O(e^{-x \log \phi})$$
 (3.27)

and (with g instead of h) Lemma 7 by

$$j\left(\frac{\log\log t}{\log\phi}\right) = g\left(\frac{\log\log t}{\log\phi}\right) + O\left(\frac{1}{\log t}\right). \tag{3.28}$$

The continuity of g is obtained exactly as in Lemma 8.

There remains to prove (3.9). In fact we prove that

$$g\left(2 + \frac{\log\log\phi}{\log\phi}\right) = g(0.47998\cdots) \neq 0.$$
 (3.29)

We derive a closed formula for  $g(2 + \log \log \phi / \log \phi)$ . As in the proof of (3.17), we show that the series (3.18) for J(t) is an alternating series whose terms in modulus constitute a unimodal sequence. The maximal value of the moduli  $|\alpha_n t^{-\phi^{-n-1}} \log t|$  of the terms in (3.18) is reached when  $n_0$  is the smallest integer such that

$$t_{n_0} \ge t$$
, where  $t_n = \left(\phi \left(1 - \frac{1}{\phi^{n+2}}\right)\right)^{\phi^{n+3}}$ 

Now if we write  $u_n := \log \log t_n / \log \phi$  we clearly have

$$u_n = n + 3 + \frac{\log\log\phi}{\log\phi} + o(1),$$
 (3.30)

and we may write

$$j(u_n) = J(t_n) = \sum_{\ell=0}^{\infty} \alpha_{\ell} t_n^{-\phi^{-\ell-1}} \log t_n$$

$$= \sum_{\ell=-n}^{\infty} \frac{\alpha_{\ell+n}}{\alpha_n} t_n^{-\phi^{-\ell-n-1}} \alpha_n \log t_n =: \sum_{\ell=-\infty}^{\infty} (-1)^{n+\ell} a_{\ell,n} \quad (3.31)$$

(where  $a_{\ell,n} = 0$  if  $\ell < -n$ ). It is not difficult to see that

$$(-1)^{\ell} \frac{\alpha_{\ell+n}}{\alpha_n} = \frac{1}{\phi^{\ell}} \lambda_{\ell,n}, \qquad t_n^{-\phi^{-\ell-n-1}} = \phi^{-\phi^{2-\ell}} \mu_{\ell,n},$$

and

$$(-1)^n \alpha_n \log t_n = \Pi \phi^3 \log \phi v_n$$
, where  $\Pi := \prod \left(1 - \phi^{-k-1}\right)^{-1}$ ,

and where  $\lambda_{\ell,n}$ ,  $\mu_{\ell,n}$  and  $\nu_n$  converge, uniformly in  $\ell$ , to 1 as  $n \to \infty$ . It follows that the terms  $a_{\ell,n}$  of (3.31) converge uniformly in  $\ell$  to  $\Pi \phi^{3-\ell-\phi^{2-\ell}} \log \phi$ , whence

$$\lim_{n\to\infty} (-1)^n j(u_n) = \Pi \phi^3 \log \phi \sum_{\ell=-\infty}^{\infty} (-1)^{\ell} \phi^{-\ell-\phi^{2-\ell}} = 0.001289257 \cdots.$$

Now by (3.28) we have  $j(u_n) = g(u_n) + O(1/\log t_n)$ , and thus, with (3.30),

$$0.001289257 \cdots = \lim_{n \to \infty} (-1)^n j(u_n) = \lim_{n \to \infty} (-1)^n g(u_n) = -g \left( 2 + \frac{\log \log \phi}{\log \phi} \right),$$

and (3.29) is proved.  $\square$ 

Remark 6. It is easy to see that if  $\lambda$  is any real number the exact functional-differential equation

$$d_{\lambda}(t) = -t^{\phi - 2} \int_{0}^{t^{\phi - 1}} d_{\lambda}(u) \, du + \lambda \tag{3.32}$$

has exactly one solution, which is given by

$$d_{\lambda}(t) = \lambda d_{1}(t), \tag{3.33}$$

where  $d_1 = d$  is the solution of (3.5). Returning to the error term E of (1.1), it is tempting to hope that E behaves similarly as  $d_{\lambda}$  for some  $\lambda$ , and in particular that the functions h and g have the same zeros. But experimental data, although supporting the conjecture that there is some  $\beta$  with  $0 < \beta < 1$  such that |g(x)| > 0 for  $x \in (\beta, 1 + \beta)$ , also strongly indicates that probably  $\beta$  is distinct from the  $\alpha$  of Conjecture 3. In the accompanying figure the dotted curve represents the function  $k_1$  of Remark 2 (approximating the function h of Theorem 1), and the continuous line represents the function  $d_{\lambda_0}(t)$  of (3.33), where  $\lambda_0 = 1.054559132 \cdots$  is chosen in such a way that the amplitudes of both functions are equal. Note that it appears unlikely that the limit function h will be a translation of  $d_{\lambda_0}$ . In fact the following result shows that many very different functions  $E_1$  can satisfy an approximate functional equation of type (3.1).

THEOREM 4. If f is a real or complex-valued function defined on the real numbers, satisfying

$$f(x+1) = -f(x)$$
  $(x > 0),$ 

and if f has a Fourier series representation

$$f(x) = \sum_{k \text{ odd}} a_k e^{\pi i k x}$$

with the property that  $\sum_{k \text{ odd}} k|a_k| < \infty$ , then the function

$$E_1(t) = \frac{t^{\phi - 1}}{\log t} f\left(\frac{\log \log t}{\log \phi}\right)$$

satisfies an approximate functional equation of type (3.1).

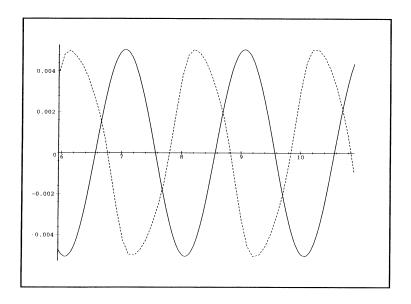


Figure 1

*Proof.* Let  $E_1$  be as in the theorem. Then

$$I := -c^{-\phi} t^{\phi-2} \int_2^{ct^{\phi-1}} E_1(u) \, du = -c^{-\phi} t^{\phi-2} \sum_{k \text{ odd}} a_k \int_2^{ct^{\phi-1}} u^{\phi-1} (\log u)^{\frac{\pi t k}{\log \phi} - 1} \, du.$$

Now the last integral is

$$\frac{u^{\phi}}{\phi} (\log u)^{\frac{\pi i k}{\log \phi} - 1} \Big|_{2}^{ct^{\phi - 1}} - \frac{1}{\phi} \int_{2}^{ct^{\phi - 1}} u^{\phi - 1} \left( \frac{\pi i k}{\log \phi} - 1 \right) (\log u)^{\frac{\pi i k}{\log \phi} - 2} du$$

$$= \frac{c^{\phi} t}{\phi} (\log (ct^{\phi - 1}))^{\frac{\pi i k}{\log \phi} - 1} + O\left(\frac{tk}{\log^{2} t}\right)$$

$$= c^{\phi} \frac{t}{\log t} \left( \frac{\log t}{\phi} \right)^{\frac{\pi i k}{\log \phi}} + O\left(\frac{tk}{\log^{2} t}\right)$$

$$= c^{\phi} \frac{t}{\log t} e^{\pi i k \left(\frac{\log \log t}{\log \phi} - 1\right)} + O\left(\frac{tk}{\log^{2} t}\right).$$

Thus

$$I = -\frac{t^{\phi - 1}}{\log t} \sum_{k \text{ odd}} a_k e^{\pi i k \left(\frac{\log \log t}{\log \phi} - 1\right)} + O\left(\frac{t^{\phi - 1}}{\log^2 t}\right)$$

$$= \frac{t^{\phi - 1}}{\log t} \sum_{k \text{ odd}} a_k e^{\pi i k \left(\frac{\log \log t}{\log \phi}\right)} + O\left(\frac{t^{\phi - 1}}{\log^2 t}\right),$$

and the theorem is proved.  $\Box$ 

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