



Article
scientifique

Rapport de
cas

2005

Published
version

Open
Access

This is the published version of the publication, made available in accordance with the publisher's policy.

Changes induced by levodopa and subthalamic nucleus stimulation on parkinsonian speech

Pinto, Serge; Gentil, Michele; Krack, Paul; Sauleau, Paul; Fraix, Valerie; Benabid, Alim-Louis; Pollak, Pierre

How to cite

PINTO, Serge et al. Changes induced by levodopa and subthalamic nucleus stimulation on parkinsonian speech. In: Movement disorders, 2005, vol. 20, n° 11, p. 1507–1515. doi: 10.1002/mds.20601

This publication URL: <https://archive-ouverte.unige.ch/unige:95895>

Publication DOI: [10.1002/mds.20601](https://doi.org/10.1002/mds.20601)

28. DiMatteo MR, Lepper HS, Croghan TW. Depression is a risk factor for noncompliance with medical treatment: meta-analysis of the effects of anxiety and depression on patient adherence. *Arch Intern Med* 2000;160:2101–2107.
29. Global Parkinson's Disease Survey Steering Committee. Factors impacting on quality of life in Parkinson's disease: results from an international survey. *Mov Disord* 2002;17:60–67.
30. Schrag A, Jahanshahi M, Quinn N. What contributes to quality of life in patients with Parkinson's disease? *J Neurol Neurosurg Psychiatry* 2000;69:308–312.
31. Chaudhuri KR, Taurah LS, MacMahon DG, et al. PD LIFE: a prospective multi-centre longitudinal audit of quality of life in Parkinson's disease across the UK [abstract]. *J Neurol Neurosurg Psychiatry* 2004;75:516.
32. Heath I. A wolf in sheep's clothing: a critical look at the ethics of drug taking. *Br Med J* 2003;327:856–858.
33. Stephenson BJ, Rowe BH, Haynes RB, et al. The rational clinical examination: is this patient taking the treatment as prescribed? *JAMA* 1993;269:2779–2781.
34. Cramer JA. A systematic review of adherence with medications for diabetes. *Diabetes Care* 2004;27:1218–1224.
35. Buck D, Jacoby A, Baker GA, et al. Factors influencing compliance with antiepileptic drug regimes. *Seizure* 1997;6:87–93.
36. Horne R. Compliance, adherence and concordance. In: Taylor K, Harding G, editors. *Pharmacy practice*. New York: Taylor and Francis; 2001. p 165–184.
37. Salas M, In't Veld BA, van der Linden PD, et al. Impaired cognitive function and compliance with antihypertensive drugs in elderly: the Rotterdam study. *Clin Pharmacol Ther* 2001;70:561–566.
38. Morrell RW, Park DC, Kidder DP, et al. Adherence to antihypertensive medications across the life span. *Gerontologist* 1997;37:609–619.
39. Leventhal EA, Crouch M. Are there differentials in perceptions of illness across life-span? In: Petrie KJ, Weinman J, editors. *Perceptions of health and illness*. Amsterdam: Harwood Academic; 1997. p 77–102.
40. Dobson JK, Rodnitzky RL, Uc EY. Compliance among clinical trial participants in Parkinson's disease: can it be predicted [abstract]? *Mov Disord* 2004;19:S245.
41. Claxton AJ, Cramer J, Pierce C. A systematic review of the associations between dose regimens and medication compliance. *Clin Ther* 2001;23:1296–1310.
42. Richter A, Anton SE, Koch P, et al. The impact of reducing dose frequency on health outcomes. *Clin Ther* 2003;25:2307–2335.
43. Rudd P, Ahmed S, Zachary V, et al. Improved compliance measures: applications in an ambulatory hypertensive drug trial. *Clin Pharmacol Ther* 1990;48:676–685.
44. Cramer J, Vachon L, Desforges C, et al. Dose frequency and dose interval compliance with multiple antiepileptic medications during a controlled clinical trial. *Epilepsia* 1995;36:1111–1117.
45. Bezard E, Brotchie JM, Gross CE. Pathophysiology of levodopa-induced dyskinesia: potential for new therapies. *Nat Rev Neurosci* 2001;2:577–588.
46. Jenner P. Pathophysiology and biochemistry of dyskinesia: clues for the development of non-dopaminergic treatments. *J Neurol* 2000;247(Suppl. 2):II43–II50.
47. Grace AA. Phasic versus tonic dopamine release and the modulation of dopamine system responsivity: a hypothesis for the etiology of schizophrenia. *Neuroscience* 1991;41:1–24.
48. Onn SP, West AR, Grace AA. Dopamine-mediated regulation of striatal neuronal and network interactions. *Trends Neurosci* 2000;23:S48–S56.
49. Spencer SE, Wooten GF. Altered pharmacokinetics of L-dopa metabolism in rat striatum deprived of dopaminergic innervation. *Neurology* 1984;34:1105–1108.

Changes Induced by Levodopa and Subthalamic Nucleus Stimulation on Parkinsonian Speech

Serge Pinto, PhD,^{1*} Michèle Gentil, PhD,¹
Paul Krack, MD,^{1,2} Paul Sauleau, MD,^{1,3}
Valérie Fraix, MD,^{1,2}
Alim-Louis Benabid, MD, PhD,^{1,4}
and Pierre Pollak, MD,^{1,2}

¹Neurosciences Précliniques, INSERM Unité 318, Grenoble, France; ²Département de Neurologie, Grenoble University Hospital, Grenoble, France; ³Service des Explorations Fonctionnelles Neurologiques, Rennes University Hospital, Rennes, France; ⁴Département de Neurochirurgie, Grenoble University Hospital, Grenoble, France

Abstract: Levodopa (L-dopa) and subthalamic nucleus (STN) stimulation treatments have been associated with both improvement and exacerbation of dysarthria in Parkinson's disease (PD). We report four cases illustrating variant responses of dysarthria to dopaminergic and STN stimulation therapies. Patients' motor disability and dysarthria were perceptually rated by the Unified Parkinson's Disease Rating Scale (UPDRS) in four conditions according to medication and STN stimulation. Dedicated software packages allowed acquisition and analysis of acoustic recordings. Case 1, who had a severe off period aphonia, experienced improvement of speech induced by both levodopa and STN stimulation. In Case 2, both treatments worsened speech due to the appearance of dyskinesias. Case 3 had a dysarthria exacerbation induced by STN stimulation with parameters above optimal levels, interpreted as current diffusion from the STN to corticobulbar fibers. In Case 4, dysarthria exacerbation occurred with stimulation at an electrode contact located caudally to the target, also arguing for current diffusion as a potential mechanism of speech worsening. The presented cases demonstrated variant effects in relation to L-dopa and STN stimulation on speech. It seems that motor speech subcomponents can be improved like other limb motor aspect, but that complex coordination of all speech anatomical substrates is not responsive to STN stimulation. These hypotheses may be helpful for better understanding and management of STN stimulation effects on motor speech and skeleton-motor subsystems. © 2005 Movement Disorder Society

*Correspondence to: Dr. Serge Pinto, Sobell Department of Motor Neuroscience and Movement Disorders, Institute of Neurology, 8/11 Queen Square, London WC1N 3BG, United Kingdom.
E-mail: s.pinto@ion.ucl.ac.uk

Received 24 November 2004; Revised 20 January and 11 February 2005; Accepted 17 February 2005

Published online 21 July 2005 in Wiley InterScience (www.interscience.wiley.com). DOI: 10.1002/mds.20601

TABLE 1. Clinical and perceptual assessments of global motor state and speech by means of the UPDRS

Patient no.	Age (yr)	PD duration (yr)	Presurgery				Postsurgery							
			Off medication		On medication		Off medication/ OFF stimulation		Off medication/ ON stimulation		On medication/ OFF stimulation		On medication/ ON stimulation	
			UPDRS	Speech	UPDRS	Speech	UPDRS	Speech	UPDRS	Speech	UPDRS	Speech	UPDRS	Speech
1	43	14	52	4	15	3	67	4	39	3	19	2	8	2
2	56	24	61	4	27	3	59	3	29	1	24	2	24	2
3	47	8	63	1	12	0	59	3	20	2	25	2	16	3
4	60	12	37	1	24	2	72	2	30	1	60	2	22	1

Clinical assessments and acoustic recordings were made at 3, 5, 5, and 3 years after the surgery for Patients 1, 2, 3, and 4, respectively. The age of the patients and the PD duration correspond to those at the time of surgery. The maximal score of the global motor UPDRS is 108, and the maximal score of item 18 is 4. On medication refers to a state reached using a supratherapeutic dose of L-dopa, and ON stimulation state refers to optimal electrical parameters allowing beneficial therapeutic effects based on limb motor assessment.

Key words: Parkinson's disease; dysarthria; speech; L-dopa; subthalamic nucleus stimulation

Dysarthria in Parkinson's disease (PD) can frequently appear in the later stages of the disease,¹ which is often concomitant with the appearance of motor fluctuations induced by levodopa (L-dopa). Axial signs such as dysarthria are known to be less responsive to L-dopa administration than the other symptoms.^{2,3} After 10 or years or longer of dopatherapy, axial signs including dysarthria, freezing of gait, and postural reflexes for most of the PD patients worsen, unlike the limb tremor, rigidity, or akinesia, which can still be improved by dopamine replacement therapy.³ Regarding dysarthria, L-dopa has been associated with improvements^{4–6} and exacerbations^{7–9} of speech. These variable effects could be related to the partial involvement of the dopaminergic system and basal ganglia in speech production, as well as degeneration of nondopaminergic structures.² Functional neuroimaging studies have revealed an underactivation of the main motor cerebral regions (primary motor cortex, cerebellum) and an overactivation of premotor and prefrontal cortices to represent the cerebral basis of parkinsonian dysarthria.¹⁰

The results of surgery in the basal ganglia for the treatment of PD depend on the structure targeted and the surgical technique employed.¹¹ It has been recognized that lesions of the ventral intermediate nucleus of the thalamus (VIM),¹² the internal globus pallidus (GPi),¹³ or subthalamic nucleus (STN),¹⁴ which alleviate PD symptoms, can induce a worsening of speech,^{14–19} especially if surgery is performed bilaterally. This is most likely because of the proximity of all three targets to the corticobulbar fibers. Deep brain stimulation (DBS) of the thalamus was introduced to avoid the side effects^{20,21} induced by the lesion,²² but VIM stimulation has also been shown to induce a worsening of speech.²³ As stim-

ulation parameters can be adjusted, exacerbation of dysarthria following VIM stimulation would generally not be severe. However, some speech impairment might be accepted by patients as a compromise for a better tremor control.^{24,25} Ventroposterolateral pallidotomy,²⁶ dorsal subthalamotomy,²⁷ and stimulation of both targets^{28,29} have been proposed more recently in parkinsonian patients to improve not only tremor, but also akinesia. If akinesia is improved, then parkinsonian dysarthria might also be expected to improve. However, neither ablative surgeries^{30,31} nor stimulation of the pallidum³² result in any significant improvement in terms of speech. Regarding stimulation of the STN, beneficial effects on specific speech components have been observed,^{33–36} such as phonation^{33,34,36} and articulation.³⁵ No beneficial effect of STN stimulation has been reported on intelligibility.^{36–39}

Thus, even if the use of pharmacological or surgical therapies is generally beneficial for the treatment of akinesia, rigidity, and tremor of the limbs, this effect is not always observed on parkinsonian speech.¹¹ In particular, it is not well understood why the response of speech to STN stimulation differs from that of other parkinsonian signs. In this study, we report four illustrative cases that demonstrate variable, including oppositional, speech effects in response to L-dopa therapy and STN stimulation. Hypotheses pertaining to responsible underlying neural mechanisms have been discussed.

PATIENTS AND METHODS

Preoperatively, the patients' global motor disability was rated using part 3 (maximal score, 108) of the Unified Parkinson's Disease Rating Scale (UPDRS⁴⁰) in *on* and *off* L-dopa conditions. In this scale, dysarthria was rated perceptually by item 18, and speech impairment was scored from 0 (normal) to 4 (unintelligible). Clinical characteristics of the patients have been reported in Table 1. All four patients had electrodes implanted for STN

TABLE 2. *Therapeutic parameters of STN stimulation*

Patient no.	Left side of the brain				Right side of the brain			
	Voltage (V)	Frequency (Hz)	Pulse width (μ s)	Contact	Voltage (V)	Frequency (Hz)	Pulse width (μ s)	Contact
1	3.6	145	60	3	3.6	130	90	2
2	4	160	60	2	4	130	60	3
3	3.3	170	60	0	3.3	185	60	0
4	3.6	185	60	3	3.6	185	60	3

These parameters were adjusted in order to reach optimal effects in terms of limb motor control. The contact (negative; the case was positive) refers to the localization of the stimulation, chosen among the four possibilities offered by the quadripolar electrode (type 3387 for Patient 3 and type 3389 for Patients 1, 2, and 4; Medtronic, Minneapolis, MN).

stimulation according to the surgical procedure previously described.^{28,38} Postoperatively, patients' global motor disability and dysarthria were perceptually rated utilizing the UPDRS in the four following conditions: *off* medication/OFF stimulation, *off* medication/ON stimulation, *on* medication/ON stimulation, and *on* medication/OFF stimulation (Table 1). The *on* medication conditions corresponded to states reached with a suprathreshold dose of L-dopa, and the ON stimulation conditions referred to the chronic optimal parameter settings (Table 2). These assessments were conducted 3, 5, 5, and 3 years post-surgery for Patients 1, 2, 3, and 4, respectively.

Acoustic recordings were obtained for each patient with a head-worn microphone (ATM 71; Audio Technica, Stow, OH). Voice was recorded at a 16 kHz sample frequency using a computerized acquisition technique (Phonédit; SQ Lab, Aix-en-Provence, France) and analyzed by means of dedicated software (CSL 4150; Kay Elemetrics, Lincoln Park, NJ). The patients were asked to sustain the vowel /a/ for as long as possible on a single deep breath. This task provided the data for further analysis of relative speech intensity and phonation time during the different examination conditions. In the absence of absolute sound pressure level measurements, which is the case in this study, the relationship between the vertical scale of the displayed signal on the one hand, and sound intensity (or loudness) on the other hand, is ambiguous. However, the same mouth-microphone distance and recording levels were used across measurements, and the signals could thus be compared with each other, allowing for the evaluation of intraindividual improvement or deterioration of relative speech intensity. Patients 1, 2, and 3, native French speakers, were also asked to repeat during 30 s the sentence "Le petit chat joue avec la balle" (the little cat plays with the ball) at a conversational rate.^{34,41} Patient 4 was a native English speaker and was asked to repeat during 30 s the sentence "Buy Bobby a puppy." This second task (repetition of sentences) allowed us to assess particularly changes in

speech rate during the different examination conditions. These acoustic recordings were conducted at the same time as the clinical assessments, established as 3, 5, 5, and 3 years postsurgery for Patients 1, 2, 3, and 4, respectively. These recordings were obtained during the classical drug and STN stimulation postoperative adjustment period.

Case 1: Improvement Induced by L-Dopa and/or STN Stimulation

Preoperatively, Patient 1 suffered from a severe *off* period dysarthria, up to complete aphonia, which responded well to L-dopa. The complete aphonia in this patient was possibly linked to laryngeal dystonia. Dysarthria was rated 4/4 and 3/4 by item 18 of the UPDRS in the *off* and *on* medication conditions. After the surgery, dysarthria of Patient 1 remained severe in the *off* medication/OFF stimulation condition (Table 1). In this state, acoustic analysis revealed aphonic speech: no sustained phonation of the vowel could be produced (Fig. 1A). For the same task, an improvement was observed either ON stimulation or *on* medication conditions. Stability and amplitude of loudness were two parameters that demonstrated improvement following STN stimulation despite a short phonation time (Fig. 1B). An improvement of speech intensity induced by a suprathreshold dose of L-dopa was observed (Fig. 1C) but was not as effective as those changes observed in loudness stability following STN stimulation. No further improvement was observed in the combined *on* medication/ON stimulation condition (Fig. 1D) compared to the two previous conditions.

In this case, STN stimulation mimics the effect of L-dopa, which was effective for the *off* period aphonia.

Case 2: Impairment Related to L-Dopa-Induced Dyskinesias

For Patient 2, acoustic recordings in the *off* medication/OFF stimulation condition (Fig. 2A) revealed a dysarthria mainly characterized by a reduced vocal loudness

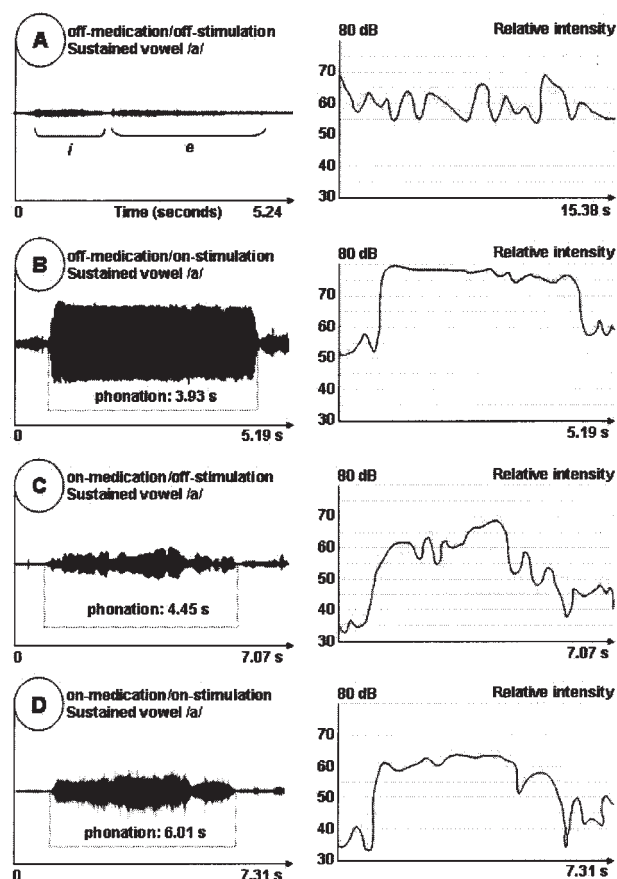


FIG. 1. Acoustic signal obtained during sustained phonation of the vowel /a/ by Patient 1 during the *off* medication/OFF stimulation (A), *off* medication/ON stimulation (B), *on* medication/OFF stimulation (C), and *on* medication/ON stimulation (D) conditions. A illustrates the *off* period aphonia of the patient whose noisy breathiness was the only production possible. With STN stimulation (B), improvement of speech was notably observed in vowel relative intensity, which reached normal values in this state. With L-dopa (C), speech was improved compared to the *off*/OFF state, but the improvement was not as significant as the one reached with STN stimulation in terms of relative intensity stability. Combination of the two treatments (D) did not reveal any better improvement than the *off* medication/ON stimulation condition. On the left side of the figure, the amplitude of the waveform data illustrates the speech recording signal; the related measure of speech loudness (relative intensity) is shown on the right. *On* medication refers to a peak-dose state after administration of a supratherapeutic dose of L-dopa; ON stimulation parameters were the following: 3.6 V, 145 Hz, 60 μ s, contact 3 (negative; case, positive) for the left side of the brain, and 3.6 V, 130 Hz, 90 μ s, contact 2 (negative; case, positive) for the right side of the brain. i and e: inspiration and expiration related to a phonation attempt in the *off*/OFF state.

and relatively preserved articulation (rated 3/4 by item 18 of the UPDRS; Table 1). Dysarthria was exacerbated by STN stimulation, characterized by increased variability in both rate and loudness (Fig. 2B). Following a supratherapeutic L-dopa administration, the acoustic analysis in the *on* medication/OFF stimulation condition revealed a mild worsening of speech compared to the *off*

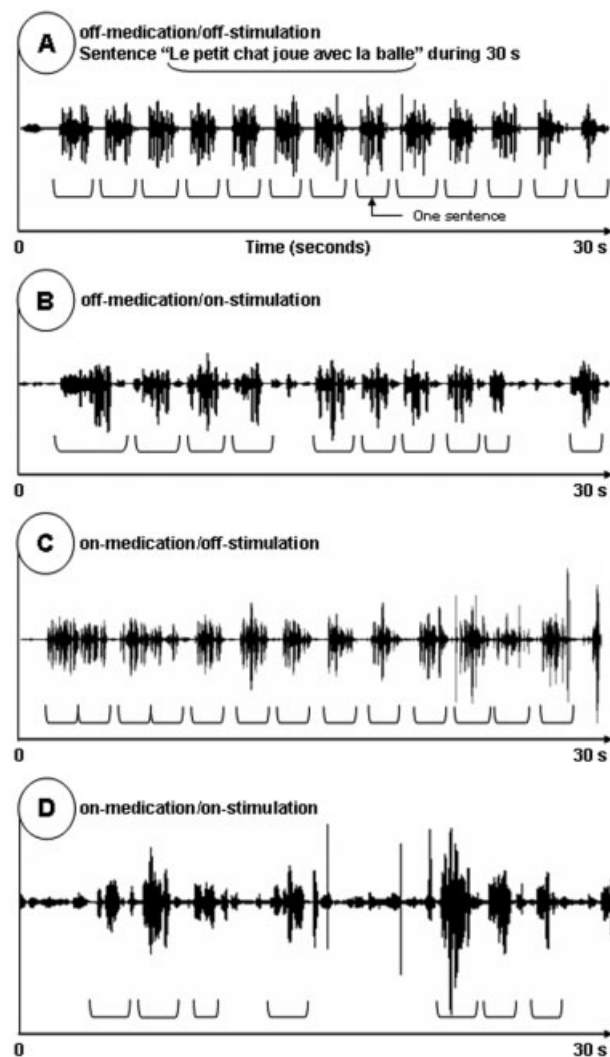


FIG. 2. Acoustic signal obtained during repetition of a short simple sentence by Patient 2 during the *off* medication/OFF stimulation (A), *off* medication/ON stimulation (B), *on* medication/OFF stimulation (C), and *on* medication/ON stimulation conditions (D). Compared to the *off*/OFF state (A), voice quality was impaired by both treatments: (1) number of sentences produced decreased following STN stimulation (13 sentences during state A vs. 10 sentences during state B) but the voice amplitude was relatively conserved; (2) no change on the produced sentence number was observed following L-dopa administration (13 sentences in both states A and C), but the voice amplitude was more affected and a fatigability of the production was noticed at the end of the task. In the *on*/ON state (D), with seven sentences produced and a marked impairment of voice quality, a greater speech difficulty was demonstrated when L-dopa and STN stimulation were combined. The amplitude of the waveform data illustrates the speech recording signal. *On* medication refers to a peak-dose state after administration of a supratherapeutic dose of L-dopa; ON stimulation parameters were bilaterally the following: 4 V, 130 Hz (right), 160 Hz (left), 60 μ s, contacts 3 (negative; case, positive; right), 2 (negative; case, positive; left).

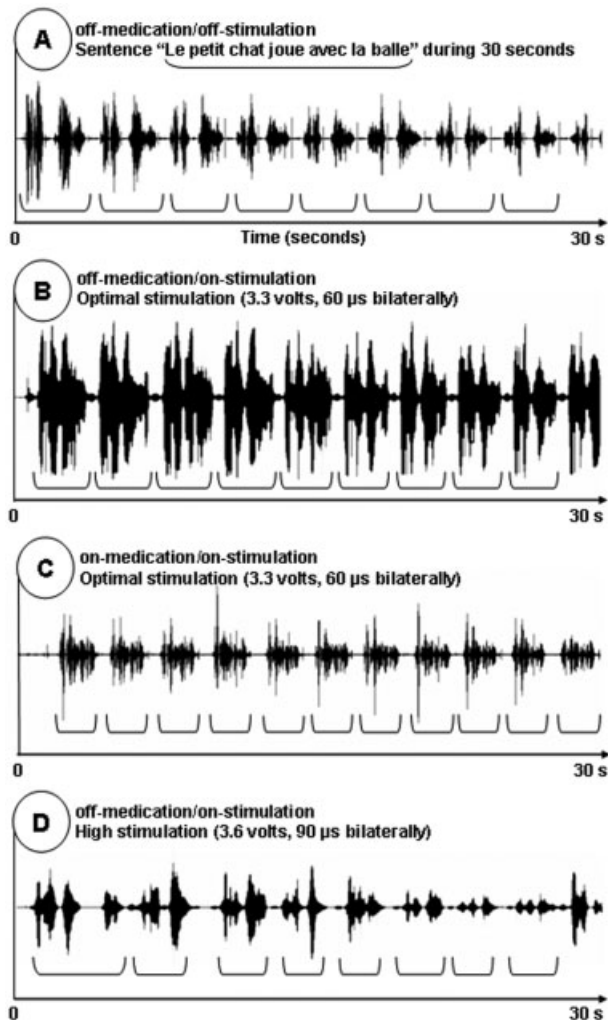


FIG. 3. Acoustic signal obtained during the repetition of a short simple sentence by Patient 3 during the *off* medication/OFF stimulation (A), *off* medication/ON stimulation (B), and *on* medication/ON stimulation (C) conditions when using therapeutic stimulation parameters and *off* medication/ON stimulation (D) condition when using above-level stimulation parameters. Compared to the *off*/OFF state (A), voice quality was improved (B) when stimulation parameters were adjusted to optimal levels [3.3 V, 170 Hz (left), 185 Hz (right), 60 μ s, contacts 0 (negative; case, positive)]. This improvement referred to both increase of sentence production number (from seven to nine) and speech signal amplitude. Combination of this treatment with L-dopa (C) worsened the voice quality, but not the number of sentences produced (11), which was superior compared to those of in the *off*/OFF (7) and *off*/ON (9) states. This was very similar to what happened with Patient 2. We also observed that speech was worsened (D) when using voltage and pulse width parameters above therapeutic levels [3.6 V, 170 Hz (left), 185 Hz (right), 90 μ s, contacts 0 (negative; case, positive)]. The amplitude of the waveform data illustrates the speech recording signal. *On* medication refers to a peak-dose state after administration of a suprathreshold dose of L-dopa.

medication/OFF stimulation state. This slight aggravation was mostly due to the appearance of dyskinesias that affected speech production, especially in the last

four sentences of the task (Fig. 2C). A more severe exacerbation of dysarthria was observed during the *on* medication/ON stimulation condition: even if speech loudness could achieve an acceptable level, speech rate decreased drastically, reflecting greater speech difficulty (Fig. 2D).

In this patient, both L-dopa and STN stimulation exacerbated dysarthria, possibly associated with the evocation of dyskinesias.

Case 3: Impairment Induced With STN Stimulation Above Optimal Level

Patient 3 suffered from a mild L-dopa-responsive dysarthria, rated 1/4 and 0/4 by item 18 of the UPDRS in the preoperative *off* and *on* medication conditions (Table 1). Following 5 years of surgery, dysarthria worsened in the *off* medication/OFF stimulation condition (Fig. 3A), characterized by a reduction of speech loudness and inspiratory volume and a high degree of fatigability. Dysarthria responded well to the STN stimulation (Fig. 3B): vocal loudness increased and pauses during sentence production disappeared. A dysarthria exacerbation (marked decrease in loudness, long pauses between sentences, alteration of articulatory quality), however, appeared to be induced subsequent to administration of a suprathreshold dose of L-dopa (Fig. 3C), as well as when voltage and pulse width stimulation parameters were raised to above optimal parameters (from 3.3 V/60 μ s to 3.6 V/90 μ s; Fig. 3D).

For this patient, exacerbation of dysarthria when using parameters above the optimal level could be explained by current diffusion outside the target.

Case 4: Impairment Corresponding to Stimulation of a Contact Located Outside Target

Patient 4 suffered from a mild dysarthria preoperatively, rated 1/4 and 2/4 in the preoperative *off* and *on* medication conditions (Table 1). Following the surgery, speech was stable in the *off* medication/OFF stimulation condition, although Patient 4 had severe generalized akinesia (Fig. 4A). In the ON stimulation condition, using adequate electrode contact (located at the dorsal border of the STN and the zona incerta) and optimal parameters (Table 2), a mild exacerbation of dysarthria was observed. Coordination between respiration and phonation was more difficult in this state, leading to an altered stability of speech loudness during the sustained phonation and an increased fatigue (Fig. 4B). Indeed, speech worsening represented a subjective complaint from the patient, which was reportedly more obvious with increasing voltage levels. Speech remained impaired in the same way when L-dopa was administered

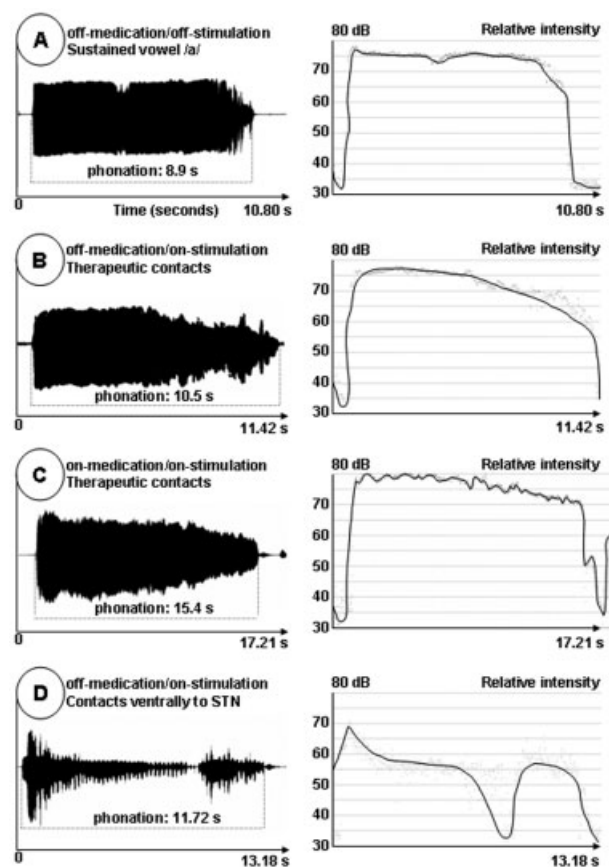


FIG. 4. Acoustic signal obtained during the sustained phonation of the vowel /a/ by Patient 4 during the *off* medication/OFF stimulation (A), *off* medication/ON stimulation (B), and *on* medication/OFF stimulation (C) conditions when using an adequate electrode contact location and *off* medication/ON stimulation (D) condition when using an inadequate electrode contact location. Compared to *off*/OFF state (A), voice quality was improved (B) when the optimal electrode contact was chosen for stimulation [3.6 V, 185 Hz, 60 μ s, contacts 3 (negative; case, positive)]. Improved speech was observed when stimulation was coupled with L-dopa (C). Speech worsened (D), however, when a more caudal contact was selected [3.6 V, 185 Hz, 60 μ s, contacts 0 (negative; case, positive)]; both vocal loudness and stability decreased. On the left side of the figure, the amplitude of the waveform data illustrates the speech recording signal; the related measure of speech loudness (relative intensity) is shown on the right. *On* medication refers to a peak-dose state after administration of a suprathreshold dose of L-dopa.

(Fig. 4C). With the patient *off* medication, bilaterally altering stimulation contacts to the more caudal ones (contacts = 0, whose centers are located 6 mm more caudally than contacts 3, which means below the target) led to a severe exacerbation of dysarthria (Fig. 4D). Speech became almost inaudible and a tremor of the limbs and voice was also observed.

This case illustrated a worsening of speech related to stimulation outside the target, underlining that exacerbation of parkinsonian dysarthria may be related to current application outside the STN.

DISCUSSION

The four cases we reported illustrated variable changes in dysarthria following L-dopa and STN stimulation treatments for PD. In Patient 1, STN stimulation mimicked the effect of L-dopa. In Patient 2, both L-dopa and STN stimulation induced an exacerbation of dysarthria that can be explained by the generation of dyskinesias induced by both treatments. For Patients 3 and 4, a worsening of speech has been observed with STN stimulation when using above-optimal voltage and pulse width levels relative to limb control (Case 3) or when stimulating a contact electrode outside the STN (Case 4). These exacerbations were interpreted to represent the effects of possible current diffusion outside the target, to neighboring structures such as the corticobulbar fibers.⁴² It is noteworthy that frequent discrepancies occurred between clinical ratings and acoustic data, underlying the inconsistent conclusions that assessment of intelligibility and speech subsystems may lead to.¹¹

Dopaminergic deprivation induced by the nigrostriatal denervation leads to development of parkinsonian signs,⁴³ including speech impairment.⁴⁴ However, dysarthria and other axial signs are symptoms that might also be linked to the nondopaminergic lesions that characterize disease progression.² This is the most commonly accepted explanation as to why dysarthria only partly responds to L-dopa, and much less so than parkinsonism of the limbs.² On the other hand, akinesia affects complex motor programs such as speech and handwriting to a greater extent than simple motor tasks.^{45,46} Speech is indeed probably the most complex motor task that we routinely use. It implies coordination of many muscle groups, face, jaw, tongue, pharynx, larynx, and respiratory muscles. This complexity requires various cerebral activations that, compared to hand movements, seem to be differently altered in PD. This therefore may also explain the less effective response of speech to L-dopa and STN stimulation¹⁰ compared to less complex limb movement. L-dopa-induced dyskinesias can also have deleterious effects on speech,^{47,48} so too may STN stimulation as illustrated by Case 2.

It is commonly accepted that functional neurosurgical procedures that involve lesioning of subcortical structures typically leads to a worsening of speech in PD patients. This side effect has been observed following lesions of the thalamus,^{15,19,20,49} the pallidum,^{16,17,30,50,51} and the STN.^{14,18,31} In these cases, worsening of speech may be explained by the proximity of the corticobulbar fibers to surgical targets. Lesions that are too large or misplaced would lead to a pseudobulbar syndrome, especially in bilateral surgery. Some studies have shown, how-

ever, improvements in speech following ablative neurosurgical procedures.^{52,53} With DBS, current diffusion to the corticobulbar and corticospinal fibers can lead to contractions of facial, tongue, pharyngeal, laryngeal, or respiratory muscles, also resulting in dysarthria.⁴² For that reason, as opposed to DBS-induced improvement of limb akinesia, improvement of speech is still debated.^{17,37,38,54–56} STN is generally considered the most efficient target for PD treatment, and beneficial effects on speech components have been observed following STN stimulation.^{33–35,41} By contrast, some studies using perceptual scales such as the UPDRS generally reveal either no significant speech improvement³⁸ or even worsening of speech.^{37,39,57,58} In order to understand these seemingly conflicting results, the different mechanisms illustrated in our case reports need to be considered: (1) respiratory, phonatory, and articulatory components of speech can be improved like other limb motor function (speech subcomponents are improved); (2) complex coordination of all anatomical substrates involved in speech might not be responsive to STN stimulation (intelligibility is not improved); and (3) current diffusion outside the target or target-related dyskinesias may lead to a worsening of speech intelligibility and seems to be a frequent fact (intelligibility can worsen).^{36,39} In other words, item 18 of the UPDRS does not adequately evaluate the often complex speech changes that may result from L-dopa treatment or STN stimulation.

Thus, assessment and treatment of dysarthria in PD is still a challenge for the clinician. First, the UPDRS is insufficient to characterize the dysarthria of PD. Alternative perceptual scales^{36,59,60} may be used to allow a more accurate description of the presenting dysarthria, including the examination of individual speech sub-systems.^{61,62} Second, self-evaluation of patients' speech must be taken into account, since the patient's perception of voice seems to reveal more details that can be heard by the clinician. Third, acoustic recording might be a helpful tool to assess changes of phonatory and respiratory subcomponents of speech following treatment. Improvement of speech should not be systematically expected with introduction of L-dopa due to the partial involvement of the dopaminergic system and the basal ganglia in speech function. If STN stimulation is then proposed to the patient, multiple contradictory effects would make it difficult to predict the outcome of the treatment's impact on speech. In the postoperative management of patients, it is important to determine the stimulation threshold that induces an exacerbation of the presenting dysarthria and to stay, if possible, underneath this threshold. In some patients, a compromise between optimal antiparkinsonian

effect and acceptable worsening of speech may have to be chosen.¹¹

To conclude, we should say that L-dopa therapy and STN stimulation have similar effects on parkinsonian dysarthria: (1) variable improvement probably inherent to the nature, location, and degree of the denervation; (2) less improvement for dysarthria compared to simpler motor tasks; (3) possible worsening resulting from the appearance of dyskinesias induced by L-dopa or STN stimulation; and (4) STN stimulation may worsen speech related to diffusion outside the target. In that case, ceasing stimulation may reverse these exacerbations, which may be accepted as a therapeutic compromise.

Acknowledgments: This study was supported by Institut National de la Santé et de la Recherche Médicale (France), Fédération Française des Groupements de Parkinsoniens (France), Fondation Simone et Cino Del Duca (France), Ministère de la Recherche (France), and Wellcome Trust (U.K.). We thank Dr. Stephen Tisch for helpful comments and English language revision of the manuscript.

REFERENCES

- Hartelius L, Svensson P. Speech and swallowing symptoms associated with Parkinson's disease and multiple sclerosis: a survey. *Folia Phoniatr Logop* 1994;46:9–17.
- Bonnet AM, Loria Y, Saint-Hilaire MH, Lhermitte F, Agid Y. Does long-term aggravation of Parkinson's disease result from nondopaminergic lesions? *Neurology* 1987;37:1539–1542.
- Klawans HL. Individual manifestations of Parkinson's disease after ten or more years of levodopa. *Mov Disord* 1986;1:187–192.
- Cahill LM, Murdoch BE, Theodoros DG, Triggs EJ, Charles BG, Yao AA. Effect of oral levodopa treatment on articulatory function in Parkinson's disease: preliminary results. *Motor Control* 1998;2:161–172.
- Nakano KK, Zubick H, Tyler HR. Speech defects of parkinsonian patients: effects of levodopa therapy on speech intelligibility. *Neurology* 1973;23:865–870.
- Sanabria J, Ruiz PG, Gutierrez R, Marquez F, Escobar P, Gentil M, Cenfor C. The effect of levodopa on vocal function in Parkinson's disease. *Clin Neuropharmacol* 2001;24:99–102.
- De Letter M, Santens P, Van Borsel J. The effects of levodopa on tongue strength and endurance in patients with Parkinson's disease. *Acta Neurol Belg* 2003;103:35–38.
- Gentil M, Tournier CL, Perrin S, Pollak P. Effects of levodopa on finger and orofacial movements in Parkinson's disease. *Prog Neuro-Psychopharmacol Biol Psychiatry* 1998;22:1261–1274.
- Goberman AM, Blomgren M. Parkinsonian speech disfluencies: effects of L-dopa-related fluctuations. *J Fluency Disord* 2003;28:55–70.
- Pinto S, Thobois S, Costes N, et al. Subthalamic nucleus stimulation and dysarthria in Parkinson's disease: a PET study. *Brain* 2004;127:602–615.
- Pinto S, Ozsancak C, Tripoliti E, Thobois S, Limousin-Dowsey P, Auzou P. Parkinson's disease treatments and dysarthria. *Lancet Neurol* 2004;3:547–556.
- Narabayashi H. Physiological analysis of ventrolateral thalamotomy for rigidity and tremor. *Confin Neurol* 1965;26:264–268.
- Narabayashi H, Okuma T. Procaine-oil blocking of the globus pallidus in the treatment of rigidity and tremor of parkinsonism. *Psychiatr Neurol (Jpn)* 1954;56:471–495.

14. Meier MJ, Story J, French LA, Chou SN. Quantitative assessment of behavioral changes following subthalamotomy in the treatment of Parkinson's disease. *Confin Neurol* 1966;27:154–161.
15. Bell DS. Speech functions of the thalamus inferred from the effects of thalamotomy. *Brain* 1968;91:619–638.
16. Buck JF, Cooper IS. Speech problems in parkinsonian patients undergoing anterior choroidal artery occlusion or chemopallidectomy. *J Am Geriatr Soc* 1956;4:1285–1290.
17. Hariz MI, De Salles AA. The side-effects and complications of posteroventral pallidotomy. *Acta Neurochir (Wien)* 1997;68(Suppl.):42–48.
18. Patel NK, Heywood P, O'Sullivan K, McCarter R, Love S, Gill SS. Unilateral subthalamotomy in the treatment of Parkinson's disease. *Brain* 2003;126:1136–1145.
19. Stracciari A, Guarino M, Cirignotta F, Pazzaglia P. Development of palilalia after stereotaxic thalamotomy in Parkinson's disease. *Eur Neurol* 1993;33:275–276.
20. Tasker RR, Siqueira J, Hawrylyshyn P, Organ LW. What happened to VIM thalamotomy for Parkinson's disease? *Appl Neurophysiol* 1983;46:68–83.
21. Benabid AL, Pollak P, Louveau A, Henry S, de Rougemont J. Combined (thalamotomy and stimulation) stereotactic surgery of the VIM thalamic nucleus for bilateral Parkinson disease. *Appl Neurophysiol* 1987;50:344–346.
22. Benabid AL, Pollak P, Gervason C, et al. Long-term suppression of tremor by chronic stimulation of the ventral intermediate thalamic nucleus. *Lancet* 1991;337:403–406.
23. Gentil M, Garcia-Ruiz P, Pollak P, Benabid AL. Effect of bilateral deep-brain stimulation on oral control of patients with parkinsonism. *Eur Neurol* 2000;44:147–152.
24. Benabid AL, Pollak P, Gao D, et al. Chronic electrical stimulation of the ventralis intermedius nucleus of the thalamus as a treatment of movement disorders. *J Neurosurg* 1996;84:203–214.
25. Schuurman PR, Bosch DA, Bossuyt PM, et al. A comparison of continuous thalamic stimulation and thalamotomy for suppression of severe tremor. *N Engl J Med* 2000;342:461–468.
26. Laitinen LV, Bergenheim AT, Hariz MI. Ventroposterolateral pallidotomy can abolish all parkinsonian symptoms. *Stereotact Funct Neurosurg* 1992;58:14–21.
27. Alvarez L, Macias R, Guridi J, et al. Dorsal subthalamotomy for Parkinson's disease. *Mov Disord* 2001;16:72–78.
28. Limousin P, Pollak P, Benazzouz A, Hoffmann D, Broussolle E, Perret JE, Benabid AL. Bilateral subthalamic nucleus stimulation for severe Parkinson's disease. *Mov Disord* 1995;10:672–674.
29. Siegfried J, Lippitz B. Bilateral chronic electrostimulation of ventroposterolateral pallidum: a new therapeutic approach for alleviating all parkinsonian symptoms. *Neurosurgery* 1994;35:1126–1129.
30. Ghika J, Ghika-Schmid F, Fankhauser H, et al. Bilateral contemporaneous posteroventral pallidotomy for the treatment of Parkinson's disease: neuropsychological and neurological side effects: report of four cases and review of the literature. *J Neurosurg* 1999;91:313–321.
31. Parkin S, Nandi D, Giladi N, et al. Lesioning the subthalamic nucleus in the treatment of Parkinson's disease. *Stereotact Funct Neurosurg* 2001;77:68–72.
32. Gross C, Rougier A, Guehl D, Boraud T, Julien J, Bioulac B. High-frequency stimulation of the globus pallidus internalis in Parkinson's disease: a study of seven cases. *J Neurosurg* 1997;87:491–498.
33. Dromey C, Kumar R, Lang AE, Lozano AM. An investigation of the effects of subthalamic nucleus stimulation on acoustic measures of voice. *Mov Disord* 2000;15:1132–1138.
34. Gentil M, Chauvin P, Pinto S, Pollak P, Benabid AL. Effect of bilateral stimulation of the subthalamic nucleus on parkinsonian voice. *Brain Lang* 2001;78:233–240.
35. Pinto S, Gentil M, Fraix V, et al. Effects of subthalamic nucleus stimulation on oral force control in Parkinson's disease. *J Neurol* 2003;250:179–187.
36. Rousseaux M, Krystkowiak P, Kozlowski O, Ozsancak C, Blond S, Destee A. Effects of subthalamic nucleus stimulation on parkinsonian dysarthria and speech intelligibility. *J Neurol* 2004;251:327–334.
37. Krack P, Batir A, Van Blercom N, et al. Five-year follow-up of bilateral stimulation of the subthalamic nucleus in advanced Parkinson's disease. *N Engl J Med* 2003;349:1925–1934.
38. Limousin P, Krack P, Pollak P, Benazzouz A, Ardouin C, Hoffmann D, Benabid AL. Electrical stimulation of the subthalamic nucleus in advanced Parkinson's disease. *N Engl J Med* 1998;339:1105–1111.
39. Romito LM, Scerrati M, Contarino MF, Iacoangeli M, Bentivoglio AR, Albanese A. Bilateral high frequency subthalamic stimulation in Parkinson's disease: long-term neurological follow-up. *J Neurosurg Sci* 2003;47:119–128.
40. Fahn S, Elton RL, Committee motUd. Unified Parkinson's disease rating scale. In: Fahn S, Marsden CD, Calne DB, editors. *Recent developments in Parkinson's disease*. Florham Park, NJ: MacMillan Health Care Information; 1987. p 153–164.
41. Gentil M, Pinto S, Pollak P, Benabid AL. Effect of bilateral stimulation of the subthalamic nucleus on parkinsonian dysarthria. *Brain Lang* 2003;85:190–196.
42. Krack P, Fraix V, Mendes A, Benabid AL, Pollak P. Postoperative management of subthalamic nucleus stimulation for Parkinson's disease. *Mov Disord* 2002;17(Suppl. 3):S188–S197.
43. Lee CS, Schulzer M, Mak EK, et al. Clinical observations on the rate of progression of idiopathic parkinsonism. *Brain* 1994;117:501–507.
44. Ackermann H, Ziegler W. Articulatory deficits in parkinsonian dysarthria: an acoustic analysis. *J Neurol Neurosurg Psychiatry* 1991;54:1093–1098.
45. Benecke R, Rothwell JC, Dick JP, Day BL, Marsden CD. Disturbance of sequential movements in patients with Parkinson's disease. *Brain* 1987;110:361–379.
46. Marsden CD. The mysterious motor function of the basal ganglia: the Robert Wartenberg Lecture. *Neurology* 1982;32:514–539.
47. Critchley EM. Speech disorders of Parkinsonism: a review. *J Neurol Neurosurg Psychiatr* 1981;44:751–758.
48. Marsden CD, Parkes JD. "On-off" effects in patients with Parkinson's disease on chronic levodopa therapy. *Lancet* 1976;1:292–296.
49. Canter GJ, van Lancker DR. Disturbances of the temporal organization of speech following bilateral thalamic surgery in a patient with Parkinson's disease. *J Commun Disord* 1985;18:329–349.
50. Iacono RP, Shima F, Lonser RR, Kuniyoshi S, Maeda G, Yamada S. The results, indications, and physiology of posteroventral pallidotomy for patients with Parkinson's disease. *Neurosurgery* 1995;36:1118–1125.
51. Troster AI, Woods SP, Fields JA, Hanisch C, Beatty WW. Declines in switching underlie verbal fluency changes after unilateral pallidal surgery in Parkinson's disease. *Brain Cogn* 2002;50:207–217.
52. Barlow SM, Iacono RP, Paseman LA, Biswas A, D'Antonio L. The effects of posteroventral pallidotomy on force and speech aerodynamics in Parkinson's disease. In: Cannito M, Yorkston KM, Beukelman DR, editors. *Neuromotor speech disorders: nature, assessment and management*. Baltimore, MD: Brooks; 1998. p 117–155.
53. Vilela FO, Silva DJ, Souza HA, et al. Stereotactic subthalamic nucleus lesioning for the treatment of Parkinson's disease. *Stereotact Funct Neurosurg* 2001;77:79–86.
54. The Deep Brain Stimulation for Parkinson's Disease Study Group. Deep-brain stimulation of the subthalamic nucleus or the pars interna of the globus pallidus in Parkinson's disease. *N Engl J Med* 2001;345:956–963.
55. Fine J, Duff J, Chen R, Chir B, Hutchison W, Lozano AM, Lang AE. Long-term follow-up of unilateral pallidotomy in advanced Parkinson's disease. *N Engl J Med* 2000;342:1708–1714.
56. Volkmann J, Allert N, Voges J, Weiss PH, Freund HJ, Sturm V. Safety and efficacy of pallidal or subthalamic nucleus stimulation in advanced PD. *Neurology* 2001;56:548–551.
57. Hariz MI, Johansson F, Shamsgovara P, Johansson E, Hariz GM, Fagerlund M. Bilateral subthalamic nucleus stimulation in a parkinsonian patient with preoperative deficits in speech and cogni-

- tion: persistent improvement in mobility but increased dependency: a case study. *Mov Disord* 2000;15:136–139.
58. Moretti R, Torre P, Antonello RM, et al. "Speech initiation hesitation" following subthalamic nucleus stimulation in a patient with Parkinson's disease. *Eur Neurol* 2003;49:251–253.
59. Ozsancak C, Parais AM, Auzou P. Perceptual analysis of dysarthria: presentation and validation of a clinical scale. Preliminary study. *Rev Neurol (Paris)* 2002;158:431–438.
60. Yorkston K, Beukeman D. Assessment of intelligibility of dysarthric speech. Tigard, OR: CC Publications; 1981.
61. Auzou P, Ozsancak C, Jan M, et al. Clinical assessment of dysarthria: presentation and validation of a method. *Rev Neurol (Paris)* 1998;154:523–530.
62. Enderby P. Frenchay dysarthria assessment. Austin, TX: Pro-ED; 1983.

Alpha-Synuclein Immunohistochemistry in Two Cases of Co-occurring Idiopathic Parkinson's Disease and Motor Neuron Disease

Kevin J. Klos, MD,¹ Keith A. Josephs, MST, MD,^{1,2*}
Joseph E. Parisi, MD,³ and Dennis W. Dickson, MD⁴

¹Department of Neurology, Movement Disorder Division, Mayo Clinic, Rochester, Minnesota, USA; ²Department of Behavioral Neurology, Mayo Clinic, Rochester, Minnesota, USA; ³Department of Laboratory Medicine and Pathology, Mayo Clinic, Rochester, Minnesota, USA; ⁴Department of Neuropathology, Mayo Clinic, Jacksonville, Florida, USA

Abstract: We report on two cases of sporadic idiopathic Parkinson's disease with motor neuron disease co-occurring in the same individuals. Pathological analysis revealed the presence of Lewy bodies in brainstem nuclei and basal forebrain consistent with Lewy body disease (LBD), as well as motor neuron degeneration and argyrophilic grain disease. We compared our two cases to all previously published pathological cases of combined LBD and motor neuron degeneration. © 2005 Movement Disorder Society

Key words: sporadic idiopathic Parkinson's disease; motor neuron disease; Lewy bodies; argyrophilic grain disease

Most neurodegenerative diseases are characterized by the predominance of clinical features that result in an

identifying syndrome. Personality change, language dysfunction, and behavioral dyscontrol suggest frontotemporal degeneration (FTD),¹ muscle weakness and atrophy with prominent fasciculations suggest a diagnosis of motor neuron disease (MND),² and resting tremor, bradykinesia, postural instability, and rigidity suggest a diagnosis of idiopathic Parkinson's disease (iPD).³ However, there are neurodegenerative diseases in which combined syndromes coexist and even though they are relatively rare they can be recognized by specific features. Parkinsonism, frontotemporal dementia, and MND co-occurring are features suggestive of frontotemporal dementia and parkinsonism linked to chromosome 17q (FTDP-17) or amyotrophic lateral sclerosis/parkinsonism dementia complex of Guam (ALS/PDC).⁴ Frontotemporal dementia and MND (FTD–MND) also coexist and is relatively easily recognized.⁵

The pathological findings in these diseases are known and can be predicted from the presenting clinical features. The deposition of abnormally phosphorylated τ protein characterizes FTDP-17⁶ and ALS/PDC,⁷ while the nonspecific protein ubiquitin characterizes FTD–MND.⁸ FTDP-17 and ALS/PDC are pathologically characterized by τ -positive intracellular inclusions affecting cortical and subcortical regions. FTD–MND is characterized by the presence of ubiquitin-positive intraneuronal inclusions affecting motor neurons and extramotor neurons in neocortical and hippocampal dentate granular cells.

In this report, we describe the clinical and pathological features of two cases with mixed clinical syndromes that came to autopsy and did not have τ or ubiquitin pathology but were noted to have Lewy bodies and motor neuron degeneration.

PATIENTS AND METHODS

The two cases were seen in our Neurology Department by movement disorders and neuromuscular disease specialists. In both patients, parkinsonism and motor neuron disease were identified on clinical examination. The clinical, laboratory, and imaging data were reviewed.

Neuropathology

At postmortem, the brains of both cases were fixed in 10% formalin for 2 weeks before dissection; 7 μ m sections from wet sections were taken from mid-frontal, superior-temporal, and motor cortices, hippocampus, amygdala, medulla, pons, midbrain, cervical and thoracic spinal cord, and cerebellum. Each section underwent routine histopathological studies, including staining with hematoxylin and eosin (H&E), glial fibrillary acid protein (GFAP), and Gallyas and Bielschowsky silver

*Correspondence to: Dr. Keith A. Josephs, Department of Neurology, Mayo Clinic, 200 First Street SW, Rochester, MN 55905.
E-mail: josephs.keith@mayo.edu

Received 25 January 2005; Revised 15 February 2005; Accepted 23 February 2005

Published online 20 July 2005 in Wiley InterScience (www.interscience.wiley.com). DOI: 10.1002/mds.20604