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Article

2019

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#### How to cite

MANCINI, Valentina et al. Positive psychotic symptoms are associated with divergent developmental trajectories of hippocampal volume during late adolescence in patients with 22q11DS. In: Molecular Psychiatry, 2019. doi: 10.1038/s41380-019-0443-z

This publication URL: <https://archive-ouverte.unige.ch/unige:126175>

Publication DOI: [10.1038/s41380-019-0443-z](https://doi.org/10.1038/s41380-019-0443-z)



# Positive psychotic symptoms are associated with divergent developmental trajectories of hippocampal volume during late adolescence in patients with 22q11DS

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Received: 15 January 2019 / Revised: 4 May 2019 / Accepted: 13 May 2019  
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## Abstract

Low hippocampal volume is a consistent finding in schizophrenia and across the psychosis spectrum. However, there is a lack of studies investigating longitudinal hippocampal development and its relationship with psychotic symptoms. The 22q11.2 deletion syndrome (22q11DS) has proven to be a remarkable model for the prospective study of individuals at high risk of schizophrenia to unravel the pathophysiological processes predating the onset of psychosis. Repeated cerebral MRIs were acquired from 140 patients with 22q11DS (53 experiencing moderate-to-severe psychotic symptoms) and 135 healthy controls aged from 6 to 35 years and with up to 5 time points per participant. Hippocampal subfield analysis was conducted using FreeSurfer-v.6 and FIRST-FSL. Then, whole hippocampal and subfield volumes were compared across the groups. Relative to controls, patients with 22q11DS showed a remarkably lower volume of all subfields except for CA2/3. No divergent trajectories in hippocampal development were found. When comparing patients with 22q11DS exhibiting psychotic symptoms to those without psychosis, we detected a volume decrease during late adolescence, starting in CA1 and spreading to other subfields. Our findings suggested that hippocampal volume is consistently smaller in patients with 22q11DS. Moreover, we have demonstrated that patients with 22q11DS and psychotic symptoms undergo a further decrease in volume during adolescence, a vulnerable period for the emergence of psychosis. Interestingly, CA2/3, despite being affected in patients with psychotic symptoms, was the only area not reduced in patients with 22q11DS relative to controls, thus suggesting that its atrophy exclusively correlates with the presence of positive psychotic symptoms.

## Introduction

It is widely acknowledged that the hippocampus plays a crucial role in learning, memory retrieval and imagination [1]. Beyond its involvement in memory, converging lines of evidence supported by MRI [2–7] and postmortem studies [8, 9] has suggested that patients with schizophrenia are characterized by a smaller hippocampus. In fact, a lower hippocampal volume has been found in patients across the psychosis spectrum [3, 10, 11], comprising first-episode psychosis patients (FEP) [12, 13] and subjects at high/ultrahigh (UHR) risk for psychosis [14, 15]. However, a meta-analysis has recently shown that there was no evidence for a significant reduction in the whole hippocampal volume in patients at clinical high risk for psychosis [16], suggesting that only subtler changes might be detectable early in disease progression. Indeed, a dose-response relationship depending on the stage of the disease has been found in individuals at UHR for psychosis [10].

**Supplementary information** The online version of this article (<https://doi.org/10.1038/s41380-019-0443-z>) contains supplementary material, which is available to authorized users.

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To date, many studies have reported the involvement of different combinations of hippocampal subfields—CA1, CA2/3, CA4, dentate gyrus and subiculum—in patients with clinical high risk for psychosis [12, 17, 18]. Consequently, several theories aimed at explaining the relationship between psychotic symptoms and hippocampal volume reduction have devoted great attention to the functional anatomy of the hippocampus [19]. In fact, each hippocampal subfield has a different density of pyramidal neurons [20], diverse synaptic architecture and distinct patterns of connectivity with cortical areas [21]. Notably, dentate gyrus, CA3 and CA1 are part of the trisynaptic circuitry responsible for encoding episodic memory [22], whereas the subiculum extends the persistence of such information conveying it to the neocortex [23].

Even though there is no consensus on which subfield is central to the development of psychosis, CA3 and CA1 are very likely to be involved [24].

One theory posits that CA3 hyperactivity is instrumental to the onset of psychotic symptoms [25]. CA3 has a pivotal role in the hippocampal autoassociative network responsible for memory encoding and retrieval [26], so it might be involved in the generation of false memories, perceived as hallucinations by schizophrenic patients [25, 27].

On the other hand, CA1 appeared to be the earliest affected area in UHR [28, 29] and FEP [18] patients. One study demonstrated that increased cerebral blood volume (CBV) in the left CA1 of UHR patients predicted its atrophy and the development of psychosis [28]. Similarly, in mouse models of ketamine-induced schizophrenia, CA1 exhibited the highest CBV, paralleled by an increase in extracellular glutamate concentration [30]. Therefore, elevated hippocampal activity and subsequent excitotoxicity might have a mechanistic role in the development of atrophy [31]. Finally, another study showed that UHR patients who developed schizophrenia had, at the first assessment, a lower right hippocampal and CA1 volume and a steeper CA3 volume decline over time [29], suggesting that CA1 and CA3 might have different roles in the development of psychosis.

Overall, a volume decrease in specific subfields has been demonstrated cross-sectionally [10–12, 15] and longitudinally [13, 14, 29, 30] in patients at clinical risk of psychosis. Subjects with a genetic risk, such as siblings of psychotic patients, also presented hippocampal abnormalities [32–36], and hippocampal volume was demonstrated to be highly heritable [37]. Therefore, lower hippocampal volume is considered to be a putative endophenotype for psychosis [38].

However, even though the clinical high risk phase of psychosis has been extensively studied, there is a lack of studies investigating the previous period [11], i.e., that premorbid phase when possible brain dysfunctions are not

yet accompanied by overt symptoms [39]. It is still debated as to whether a lower hippocampal volume is a cause or a consequence of psychotic symptoms [11], or whether this relationship is even more complex, with psychosis and volume decreases being bounded by reciprocal causation. In this regard, the study of populations with a genetic risk of psychosis provides a unique opportunity to evaluate patients from childhood to clarify the temporal relationship between hippocampal development and the onset of psychosis.

The 22q11.2 deletion syndrome (22q11DS)—a neurodevelopmental disorder caused by a 1.5–3 Mb deletion on the long arm of chromosome 22—is considered to be among the most important genetic risk factors for psychosis [40]. As up to 41% of patients with 22q11DS will develop a psychotic disorder by adulthood [41], the syndrome has been recognized as a valuable model for detecting early psychosis biomarkers. Furthermore, predictive measures of conversion to psychosis, such as UHR status, have been validated in patients with 22q11DS [42]. Deletion carriers have cognitive and learning deficits and are more prone to developing psychiatric disorders, such as attention-deficit/hyperactivity disorder (ADHD), anxiety and obsessive-compulsive disorder (OCD) [43]. A wide range of medical conditions, including congenital heart defects (CHD) and T-cell immunodeficiency, can accompany neuropsychiatric manifestations [43]. Brain abnormalities are also a common feature of the syndrome, as patients have an average 11% total brain volume decrease [44] and reduced gyrfication in the frontal and parietal lobes [45]. Moreover, lower hippocampal volume—either driven by the hippocampal head [46] or body [47–49]—has been found [50], and the size of the hippocampal head has been positively correlated to the onset of hallucinations [49]. However, it remains unclear at which point during development the hippocampal volume of patients with 22q11DS diverges from healthy subjects, i.e., if hippocampal volume is already reduced in patients during childhood or whether it occurs later, during adolescent brain maturation.

Consequently, the first objective of the present study was to investigate the developmental trajectory of hippocampal volume in a large cohort of patients with 22q11DS over a wide timespan using a longitudinal design. The second aim was to analyze the association between hippocampal development and the onset of positive psychotic symptoms. A longitudinal approach was chosen to provide insights into whether a smaller hippocampus at baseline and/or a further volume decrease is specific to 22q11DS patients experiencing symptoms of psychosis.

We employed a recently developed automated segmentation technique from FreeSurfer v6.0, which allows a better delineation of the hippocampal subfields [51] than its previous version [52]. Furthermore, given previous studies highlighting the existence of selective morphological

149 abnormalities in the anterior and mid-body hippocampus  
150 across the psychosis spectrum [7, 13, 15, 53] and in patients  
151 with 22q11DS [46–49], we complemented the analysis of  
152 subfields with FIRST-FSL [54], another widely used tech-  
153 nique, which provides information about the shape of the  
154 hippocampus along the anteroposterior axis.

155 According to previous studies [46–50], we hypothesized  
156 that by using these techniques, we would detect a global and  
157 robust difference between patients with 22q11DS and  
158 healthy controls. In light of the findings reported with UHR  
159 patients [10, 13, 14, 29], we further proposed that 22q11DS  
160 patients with moderate-to-severe psychotic symptoms  
161 would have a volume reduction in critical subfields, such as  
162 CA1 and CA3. Understanding the timing of hippocampal  
163 development in patients with 22q11DS could help to predict  
164 the emergence of psychotic symptoms in at-risk  
165 populations.

## 166 Materials and methods

### 167 Participants

168 One hundred forty individuals with a genetically confirmed  
169 diagnosis of 22q11DS and 135 healthy controls (HC) were  
170 recruited in the context of an ongoing longitudinal study  
171 being carried out in Geneva since 2001 (additional details in  
172 Supplementary Information and Supplementary Table 1).

173 The age of the patients and HC ranged from 6 to 35  
174 years, and the two groups were matched for age and sex. On  
175 average, each participant was assessed at 2.14 time points,  
176 which varied from 1 to 5 across participants (Table 1). The  
177 presence of axis I disorders according to DSM-IV criteria  
178 and current use of psychotropic medication in the group of  
179 patients with 22q11DS are listed in Table 2.

180 Written informed consent was obtained from participants  
181 and/or their parents. The study was approved by the can-  
182 tonal ethics committee and conducted according to the  
183 Declaration of Helsinki.

### 184 Psychiatric assessment

185 Patients with 22q11DS experience subthreshold psychotic  
186 symptoms to a greater extent than the general population;  
187 [55] therefore, they are a compelling model to explore the  
188 underlying neurobiology. The presence of moderate-to-  
189 severe psychotic symptoms was assessed at each time point  
190 by means of the Structured Interview for Psychosis-Risk  
191 Syndromes (SIPS), as the SIPS is a well-validated diag-  
192 nostic tool for assessing psychotic symptoms in deletion  
193 carriers [56, 57]. Patients with 22q11DS were categorized  
194 as experiencing positive symptoms of psychosis, using a  
195 cutoff score of 3 or higher in at least one of the

196 corresponding items. Together with time and frequency  
197 criteria, this intensity threshold has been proven by several  
198 studies to be the most sensitive at detecting prodromal risk  
199 syndromes [58]. Negative symptoms of psychosis, with a  
200 score of 3 or higher in at least one negative SIPS subscale,  
201 were taken into account separately to enable clarification of  
202 the relative contribution of positive and negative symptoms  
203 to hippocampal development.

204 Due to their young age, 33 patients were unable to  
205 complete the SIPS, thus reducing the sample group to 107  
206 patients. Negative symptoms were present in 72 patients,  
207 while positive symptoms were present in 52 patients,  
208 including 13 with a diagnosis of schizophrenia and 2 with  
209 schizoaffective disorder. Specifically, 15 patients had a  
210 score of 6 on one or more positive subscales at one or more  
211 time points.

212 The inclusion of a heterogeneous group of deletions  
213 carriers with various degrees of positive psychotic symp-  
214 toms allowed us to compare larger subgroups to discover  
215 putative brain abnormalities underlying the presence of such  
216 symptoms. From now onwards, all the patients with  
217 moderate-to-severe positive psychotic symptoms will be  
218 referred to as 22q11DS psy+ patients.

### 219 MRI acquisition

220 Due to the wide timespan of this study, the scans were  
221 acquired with three different scanners: a 1.5T Philips Intera  
222 scanner was used for the first 151 scans, a 3T Siemens Trio  
223 for the subsequent 294 scans and a 3T Siemens Prisma for  
224 the remaining 138 scans. T1-weighted images were  
225 acquired at the Center for Biomedical Imaging (CIBM) in  
226 Geneva with a three-dimensional volumetric pulse. The  
227 1.5T scanner parameters were TR = 35 ms, TE = 6 ms, flip  
228 angle = 45°, NEX = 1, matrix size = 256 × 192, field  
229 of view = 24 cm<sup>2</sup>, slice thickness = 1.5 mm, 124 slices. The  
230 parameters for both 3T scanners were TR = 2500 ms, TE =  
231 3 ms, flip angle = 8°, acquisition matrix = 256 × 256, field  
232 of view = 23.5 cm, slice thickness = 3.2 mm, and  
233 192 slices.

234 To avoid possible confounding factors, the scanner  
235 model was entered as a covariate in all the statistical  
236 analyses.

237 T1-weighted images underwent fully automated image  
238 processing with FreeSurfer version 5.3.0, comprising skull  
239 stripping, intensity normalization, reconstruction of the  
240 internal and external cortical surface and parcellation of  
241 subcortical brain regions [59].

### 242 Hippocampal segmentation

243 A recently developed automated segmentation technique  
244 published with FreeSurfer version 6.0 was used to label the

**Table 1** Demographic information

	22q11DS patients	Healthy controls	T-test/ Chi square
Number of subjects (female%)	140 (51.4%)	135 (48.1%)	$p = 0.934$
Number of subjects with 5 visits	8	3	$p = 0.124$
Number of subjects with 4 visits	16	11	$p = 0.313$
Number of subjects with 3 visits	21	19	$p = 1.000$
Number of subjects with 2 visits	42	47	$p = 0.613$
Number of subjects with 1 visit	57	65	$p = 0.785$
Number of scans (total)	308	275	N/A
Number of 1.5 T scans	71	80	$p = 0.09$
Number of 3 T (Trio) scans	162	132	$p = 0.23$
Number of 3 T (Prisma) scans	75	63	$p = 0.75$
Age range	6–35 y.o.	6–35 y.o.	N/A
Mean age	16.24 ± 6.44	15.48 ± 5.87	$p = 0.089$
Mean age at first visit	13.53 ± 6.44	13.26 ± 5.33	$p = 0.713$
Mean distance between visits	3.80 ± 1.07	3.72 ± 1.55	$p = 0.724$

**Table 2** Medical history comprising psychiatric disorders and medications in the whole group of patients with 22q11DS and in the subgroups with and without SIPS positive score > 3

	All 22q11DS	22q11DS SIPS positive > 3	22q11DS SIPS positive < 3	p-value
Number of subjects (%f)	140 (51.4%)	53 (50.94%)	54 (48.15%)	0.7725
Mean age	16.33 ± 6.44	17.64 ± 6.25	17.43 ± 6.18	0.7882
Number of scans (total)	308	134	125	N/A
Number of 1.5 T scans	71	33	27	0.564
Number of 3 T (Trio) scans	162	72	70	0.7139
Number of 3 T (Prisma) scans	75	29	28	0.883
Subjects medicated	67 (47.85%)	32 (60.38%)	26 (48.15%)	0.0767
Methylphenidate	37 (26.43%)	12 (22.64%)	17 (31.48%)	0.2089
Antidepressants	26 (18.57%)	14 (26.41%)	9 (16.7%)	0.0728
Antipsychotics	15 (10.71%)	15 (28.3%)	0	<0.001
Anxiolytics	17 (12.14%)	10 (18.87%)	6 (11.11%)	0.0742
Antiepileptic drugs	7 (5%)	4 (7.55%)	3 (5.56%)	0.5210
More than one class of medication	21 (15%)	13 (24.53%)	7 (12.96%)	0.0120
Subjects meeting criteria for psychiatric diagnosis	97 (69.28%)	45 (84.9%)	33 (61.11%)	0.0010
ADHD	52 (37.14%)	24 (45.28%)	20 (37.04%)	0.2153
Anxiety disorders	46 (33.57%)	23 (42.59%)	17 (31.48%)	0.0784
Mood disorders	28 (20%)	13 (24.53%)	9 (16.67%)	0.1266
Psychotic disorders	20 (14.28%)	20 (37.75%)	0	<0.001
OCD	12 (8.57%)	4 (7.55%)	3 (5.56%)	0.5210
More than one diagnosis	40 (28.57%)	22 (41.51%)	13 (24.07%)	0.0025

NB: due to the lack of SIPS data in younger patients, the sum of the two sub-groups does not correspond to the whole group

245 hippocampal subfields. This algorithm employs a prob-  
 246 abilistic atlas built from a combination of ex vivo 7T MRI  
 247 data from autopsied brains and in vivo 3T images of the  
 248 neighboring structures in a Bayesian framework [51].  
 249 Compared to the previous version [52], this technique  
 250 provides a higher resolution and the segmentation of a

larger number of structures, including the *cornu ammonis* 251  
 regions (CA1, CA2/3, CA4 and their molecular layer 252  
 (ML)), the granule cell layer of dentate gyrus (GC-DG), the 253  
 hippocampal tail and fissure. Surrounding regions, such as 254  
 subiculum, parasubiculum, presubiculum, the 255  
 hippocampus-amygdala-transition-area and fimbria, are also 256

included. Given the purpose of the present study, the volume of the whole hippocampus and 7 relevant subfields, CA1, CA2/3, CA4, GC-DG, ML, tail and subiculum, were analyzed. For an example of FreeSurfer segmentation in a patient and an HC, see Fig. 1.

Because we used FreeSurfer v5.3 to preprocess the data and v6.0 to perform hippocampal subfield segmentation, we tested and confirmed the reliability of using different versions of FreeSurfer by means of intraclass correlation coefficient analysis (Supplementary Table 5).

To understand whether the difference between patients with 22q11DS and HC has a specific distribution along the anteroposterior axis, a shape analysis via the FSL software FIRST [54] was also performed. This technique provides a surface mesh of the hippocampus for each subject in a common 3D space, modeled on intensity distribution and vertex analysis. Then, an average mask was created by concatenating all the hippocampal meshes of patients with 22q11DS and controls.

All the obtained images were visually inspected and then excluded from the analysis if the quality of the segmentation was inappropriate. Specifically, we carefully checked in each subject that the hippocampal mask as whole was correctly placed, with no portions of the hippocampus were cut off or shifts of the mask beyond the borders of the hippocampus. Then, we verified that there were no mislabeling of hippocampal subfields and extrahippocampal regions; in this regard, as suggested by the quality control procedure provided by the ENIGMA protocol ([https://pgcptsd.com/wpcontent/uploads/2017/08/PTSD\\_Instructions\\_Subfields\\_part\\_IR\\_II.pdf](https://pgcptsd.com/wpcontent/uploads/2017/08/PTSD_Instructions_Subfields_part_IR_II.pdf)), any mislabeling of single subfields was sufficient to exclude the whole segmentation. We therefore excluded 2 scans of patients with 22q11DS from FreeSurfer segmentation and 5 scans (3 patients and 2 controls) from FIRST-FSL analysis.

## Statistical analyses

Mixed modeling has proven to be an ideal method for handling nested data, such as multiple time points [60]. Considering that participants had a variable number of time points, with an inconstant time interval and age distribution (Supplementary Fig. 1), a mixed model regression analysis, described in previous papers [61, 62], was used to analyze the longitudinal data from FreeSurfer. Briefly, population parameters (age and diagnosis) were modeled as fixed effects and within-subject factors as random effects by using the nlmeFit function in MATLAB R2017a (MathWorks). The normal distribution of data in each group was required and therefore evaluated by our statistical analysis approach. Total intracranial volume, sex, scanner model and antipsychotic medications were included as covariates. Developmental trajectories were estimated by fitting

random-slope models (constant, linear, quadratic or cubic, each corresponding to a different relationship between age and hippocampal volume) to our data, taking into account both within-subject and between-subject effects. Then, the most suitable model order was selected using the Bayesian information criterion, obtaining, e.g., a full quadratic model as follows:

$$Y_{ij} = \beta_0 + \beta_{g1} \cdot g_i + \beta_{a1} \cdot a_{ij} + \beta_{ag1} \cdot g_i \cdot a_{ij} + \beta_{a2} \cdot a_{ij}^2 + \beta_{ag2} \cdot g_i \cdot a_{ij}^2 + u_{i0} + u_{i1} \cdot a_{ij} + \epsilon_{ij}$$

$Y$  : hippocampal volume

$i, j$  : [subjects, scan]index

$\beta_{xn}$  : fixed effects

$g$  : grouping variable

$a$  : age

$u$  : normally distributed random effect

$\epsilon_i$  : normally distributed error term

The significance of the between-group differences in the intercept and in the slope were evaluated by means of a log-likelihood ratio test between the full model and any of the following reduced models:

Reduced group effect model

$$Y_{ij} = \beta_0 + \beta_{a1} \cdot a_{ij} + \beta_{a2} \cdot a_{ij}^2 + u_{i0} + u_{i1} \cdot a_{ij} + \epsilon_{ij}$$

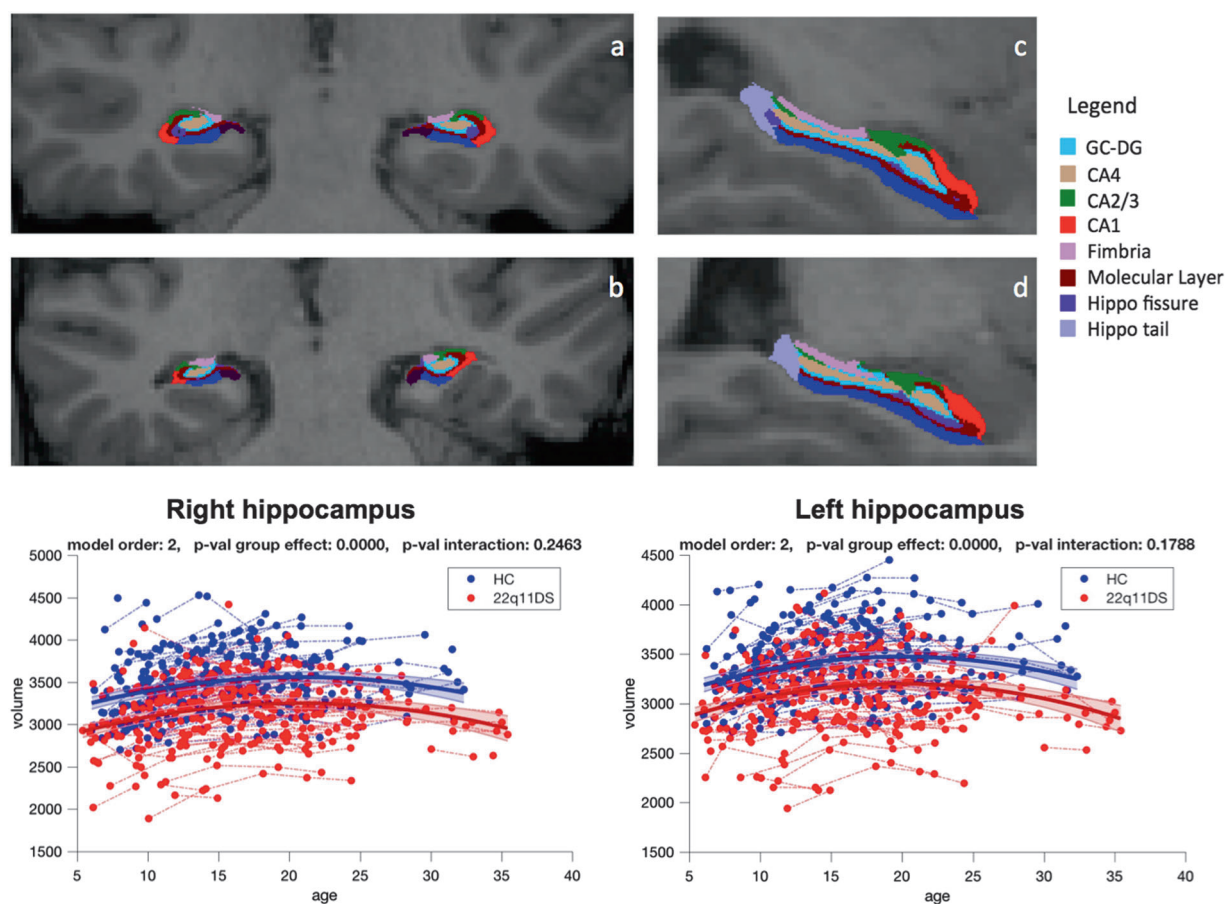
Reduced slope model

$$Y_{ij} = \beta_0 + \beta_{g1} \cdot g_i + \beta_{a1} \cdot a_{ij} + \beta_{a2} \cdot a_{ij}^2 + u_{i0} + u_{i1} \cdot a_{ij} + \epsilon_{ij}$$

Hence, we obtained a comparison between the intercept (group effect) and the slope of developmental trajectories (group  $\times$  age interaction effect) of the hippocampal volume of each group. Finally, the results were adjusted for multiple testing with the false discovery rate correction. Where appropriate (i.e., in quadratic models), the age corresponding to the inflection point of each developmental trajectory was estimated at the intersection between the derivative of the curve in that point and the x-axis.

We further tested whether the degree of psychotic symptoms as measured by the SIPS for each positive subscale (P1: unusual thought content/delusional ideas, P2: suspiciousness/persecutory ideas, P3: grandiose ideas, P4: perceptual abnormalities/hallucinations, P5: disorganized communication) was correlated with hippocampal volume in patients with 22q11DS by using the fitlme function in MATLAB R2017a (MathWorks). The results were covaried for age, age<sup>2</sup>, sex, ICV, antipsychotics and scan type and finally adjusted for multiple comparisons with FDR correction.

FSL data were analyzed cross-sectionally, selecting the first time point for each participant. Statistical maps and analyses were included in FIRST-FSL and obtained following the pipeline described on the FSL website (<https://>



**Fig. 1** Comparison between patients with 22q11Ds and HC. Upper panel: an example of Freesurfer v.6.0 hippocampal segmentation with coronal (a, b) and sagittal (c, d) sections in a healthy control (a, c) and in a patient with 22q11DS (b, d) of the same age. Lower panel: mixed

model analysis of the developmental trajectories showing a marked smaller hippocampal volume in patients with 22q11DS without differences in the shape of the two curves

351 [fsl.fmrib.ox.ac.uk/fsl/fslwiki/FIRST/UserGuide](http://fsl.fmrib.ox.ac.uk/fsl/fslwiki/FIRST/UserGuide)). With the  
352 ‘randomise’ function, significant differences between the  
353 two groups were computed with a cluster-based multiple-  
354 comparison correction, covarying for total intracranial  
355 volume, sex, scanner model, age and antipsychotic medi-  
356 cations. The output was a 3D mask showing a selective  
357 inward or outward displacement in the affected regions,  
358 depending on whether the hippocampus of the patients was  
359 smaller or larger than the controls.

## 360 Results

### 361 Patients with 22q11DS have widespread reductions 362 in hippocampal volume

363 A smaller hippocampal volume was demonstrated bilat-  
364 erally in patients with 22q11DS in comparison to HC by  
365 using data from FreeSurfer and FIRST-FSL segmentations.

366 The mixed model analysis revealed strong group differ-  
367 ences in the whole right and left hippocampal volume ( $p <$

0.001), with no difference in developmental trajectories  
(Fig. 1). Similarly, a consistently lower volume was  
369 detected bilaterally in all subfields ( $p < 0.001$ ), except for  
370 CA2/3 (Table 3). As in a previous study on healthy parti-  
371 cipants [63], all the trajectories had a second-order model,  
372 meaning that the relationship between age and hippocampal  
373 volume was quadratic (Fig. 2).  
374

The statistical map obtained using FIRST-FSL confirmed  
375 a diffuse inward displacement in the hippocampus of  
376 patients with 22q11DS involving the head, the body and the  
377 tail of the hippocampus. In particular, the medial and lateral  
378 surfaces of the right and left hippocampi were more consis-  
379 tently affected, whereas the upper and lower hippo-  
380 campal surfaces showed some unaffected areas along the  
381 midline, irrespective of anatomical boundaries (Fig. 3).  
382

### 383 22q11DS psy+ patients have altered developmental 384 trajectories of specific hippocampal subfields

To further assess the association between the development  
385 of hippocampal volume and psychotic symptoms,  
386

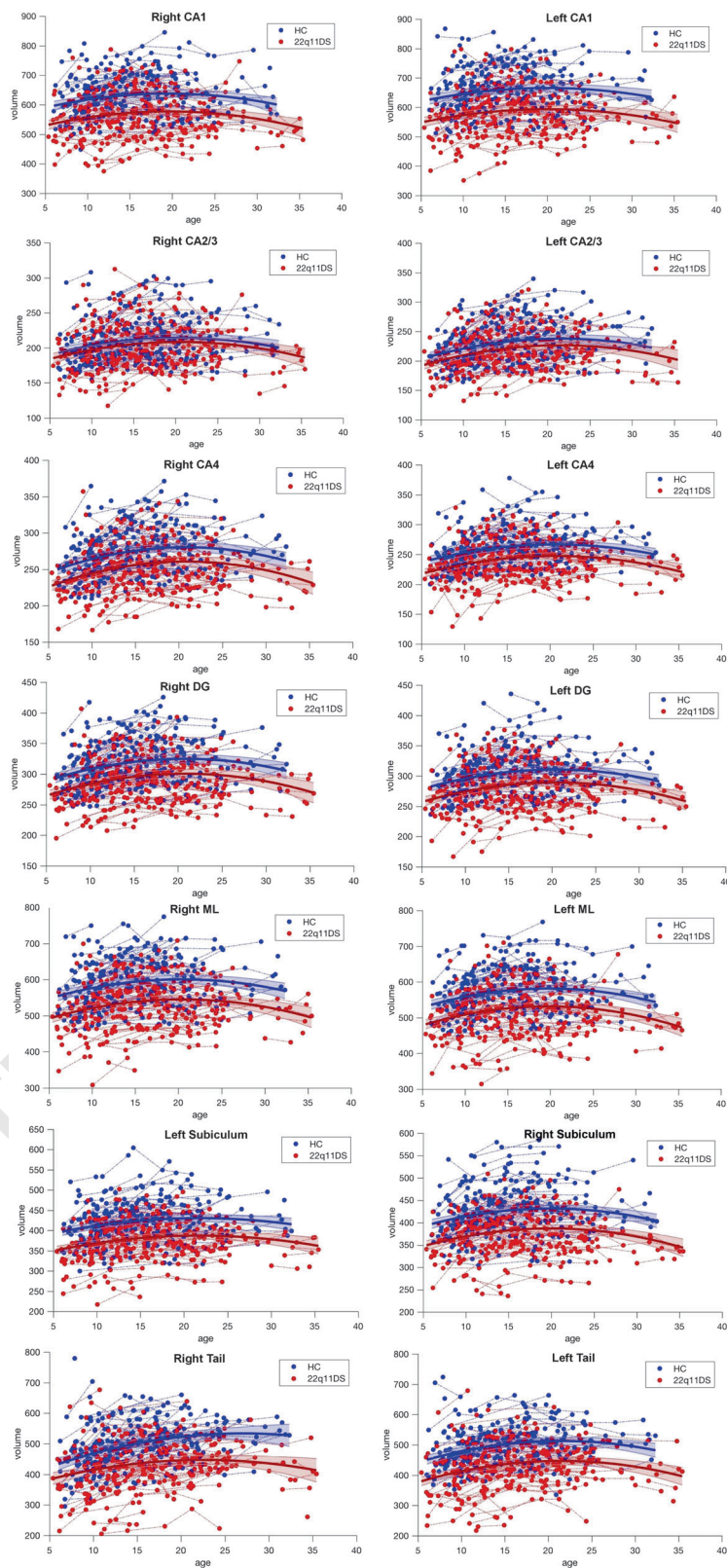
**Table 3** Results of the mixed-model analysis of the longitudinal volumetric comparison in 22q11DS and HC (left) and in 22q11DS patients with and without positive (center) and negative (right) psychotic symptoms

	22q11DS patients vs HC						SIPS positive symptoms						SIPS negative symptoms									
	Model order		p-value		group effect		p-value		interaction		group effect		p-value		interaction		group effect		p-value		interaction	
	Left	Right	2	<0.001	0.1788	0.0035	0.9481	2	0.0035	0.1788	0.0035	0.9481	2	0.0035	0.1788	0.0035	0.9481	2	0.0035	0.1788	0.0035	
Whole	Left	Right	2	<0.001	0.1788	0.0035	0.9481	2	0.0035	0.1788	0.0035	0.9481	2	0.0035	0.1788	0.0035	0.9481	2	0.0035	0.1788	0.0035	
	Right		2	<0.001	0.2463	0.0020	0.0054	2	0.0020	0.2463	0.0020	0.0054	2	0.0020	0.2463	0.0020	0.0054	2	0.0020	0.2463	0.0020	
Subiculum	Left	Right	2	<0.001	0.2206	0.0114	0.4436	2	0.0114	0.2206	0.0114	0.4436	2	0.0114	0.2206	0.0114	0.4436	2	0.0114	0.2206	0.0114	
	Right		2	<0.001	0.0994	0.0900	0.2322	2	0.0900	0.0994	0.0900	0.2322	2	0.0900	0.0994	0.0900	0.2322	2	0.0900	0.0994	0.0900	
CA1	Left	Right	2	<0.001	0.3251	0.0065	0.8213	2	0.0065	0.3251	0.0065	0.8213	2	0.0065	0.3251	0.0065	0.8213	2	0.0065	0.3251	0.0065	
	Right		2	<0.001	0.7646	0.0535	0.0574	2	0.0535	0.7646	0.0535	0.0574	2	0.0535	0.7646	0.0535	0.0574	2	0.0535	0.7646	0.0535	
CA2/3	Left	Right	2	0.3554	0.7290	0.0179	0.8566	2	0.0179	0.7290	0.0179	0.8566	2	0.0179	0.7290	0.0179	0.8566	2	0.0179	0.7290	0.0179	
	Right		2	0.0470	0.6536	0.0035	0.0063	2	0.0035	0.0470	0.0035	0.0063	2	0.0035	0.0470	0.0035	0.0063	2	0.0035	0.0470	0.0035	
CA4	Left	Right	2	<0.001	0.2197	0.0126	0.7546	2	0.0126	0.2197	0.0126	0.7546	2	0.0126	0.2197	0.0126	0.7546	2	0.0126	0.2197	0.0126	
	Right		2	<0.001	0.9006	0.0022	0.0024	2	0.0022	0.9006	0.0022	0.0024	2	0.0022	0.9006	0.0022	0.0024	2	0.0022	0.9006	0.0022	
GC-DG	Left	Right	2	<0.001	0.1667	0.0136	0.9187	2	0.0136	0.1667	0.0136	0.9187	2	0.0136	0.1667	0.0136	0.9187	2	0.0136	0.1667	0.0136	
	Right		2	<0.001	0.7589	0.0023	0.0028	2	0.0023	0.7589	0.0023	0.0028	2	0.0023	0.7589	0.0023	0.0028	2	0.0023	0.7589	0.0023	
Molecular layer	Left	Right	2	<0.001	0.2253	0.1183	0.6901	2	0.1183	0.2253	0.1183	0.6901	2	0.1183	0.2253	0.1183	0.6901	2	0.1183	0.2253	0.1183	
	Right		2	<0.001	0.7880	0.1125	0.0633	2	0.1125	0.7880	0.1125	0.0633	2	0.1125	0.7880	0.1125	0.0633	2	0.1125	0.7880	0.1125	
Tail	Left	Right	2	<0.001	0.9312	0.0736	0.4467	2	0.0736	0.9312	0.0736	0.4467	2	0.0736	0.9312	0.0736	0.4467	2	0.0736	0.9312	0.0736	
	Right		2	<0.001	0.0143	0.0537	0.0721	2	0.0537	0.0143	0.0537	0.0721	2	0.0537	0.0143	0.0537	0.0721	2	0.0537	0.0143	0.0537	

Model order refers to the order of the function that optimally fitted the developmental data; in this case, it is a quadratic function. *p*-value group effect evaluates the mean volume difference between the two groups, while *p*-value interaction refers to the difference in the shape of the developmental trajectory. The significance threshold was set at 0.05; all the *p*-values are corrected for multiple comparisons with the false discovery rate correction. Subfields volumes values for each group are reported in mm<sup>3</sup> with standard deviation



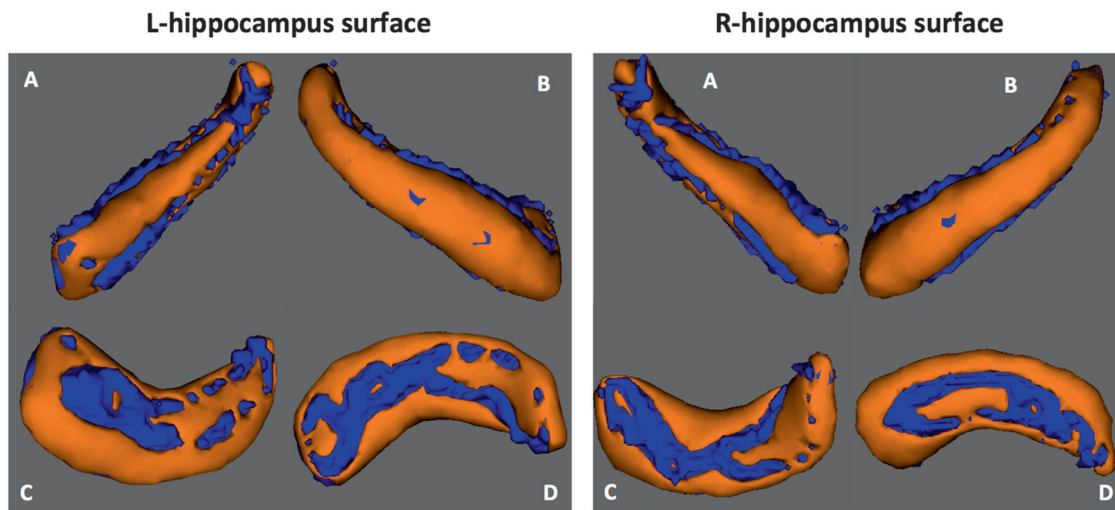
**Fig. 2** . Developmental trajectories of hippocampal subfields in patients with 22q11DS and HC



387 patients with moderate-to-severe positive or negative  
 388 symptoms were compared to patients with low symptom  
 389 scores.

The hippocampal volume of participants with at least one  
 SIPS negative symptom score  $\geq 3$  did not differ from the  
 group without negative symptoms (Table 3). In contrast,

390  
 391  
 392



**Fig. 3** Results of FIRST-FSL vertex analysis of group differences between patients with 22q11DS and HC. The orange overlay indicates the hippocampal regions displaying significant inward displacement in

patients with 22q11DS. **a** medial surface; **b** lateral surface; **c** upper surface; **d** bottom surface

393 22q11DS psy+ patients had a decreased hippocampal  
394 volume ( $p = 0.002$  on the right side and  $p = 0.0035$  on the  
395 left side) (Fig. 4) compared to patients without positive  
396 symptoms. Moreover, 22q11DS psy+ patients had lower  
397 volumes of distinct subfields: bilateral CA2/3, CA4 and  
398 GC-DG and left CA1 and subiculum (Table 3). The right  
399 CA1 approached significance ( $p = 0.053$ ).

400 Slope differences were only detected for the right hip-  
401 pocampus, with a developmental trajectory showing a  
402 volume decrease starting from 18.5 years in 22q11DS psy+  
403 patients (Supplementary fig. 4). Right-side subfields dis-  
404 played similar developmental trajectories across subfields  
405 with an inflection point corresponding to late adolescence:  
406 CA1: 16.5 years, DG: 17.3 years, CA4: 18 years, CA2/3:  
407 18.8 years (Supplementary Fig. 2).

#### 408 **22q11DS patients with hallucinations have aberrant** 409 **developmental trajectories**

410 We evaluated whether hallucinations as measured by SCID-  
411 I or DICA (see Supplemental Information and specifically  
412 Supplementary Table 2 for further details) were specifically  
413 associated with a decreased hippocampal volume. Patients  
414 with 22q11DS who experienced hallucinations had a  
415 bilaterally reduced volume of the whole hippocampus and  
416 of all the subfields, except for the left tail, in comparison to  
417 those without hallucinations. Regarding all the right-side  
418 subfields, except for CA1 and GC-DG, 22q11DS patients  
419 with hallucinations exhibited a significantly different inter-  
420 action effect (Supplementary Fig. 3), comparable to that  
421 found in the group of patients selected according to  
422 SIPS score.

#### 423 **The degree of positive symptoms is not correlated** 424 **with hippocampal volume**

425 We did not find a significant correlation between any of the  
426 positive SIPS subscales and volume of the hippocampal  
427 subfields (Supplementary Table 3). Only the correlation  
428 with the P5 subscale (disorganized communication)  
429 approached significance (left hippocampus  $p = 0.06$ ,  $R =$   
430  $-0.247$ ; right hippocampus  $p = 0.06$ ,  $R = -0.217$ ).

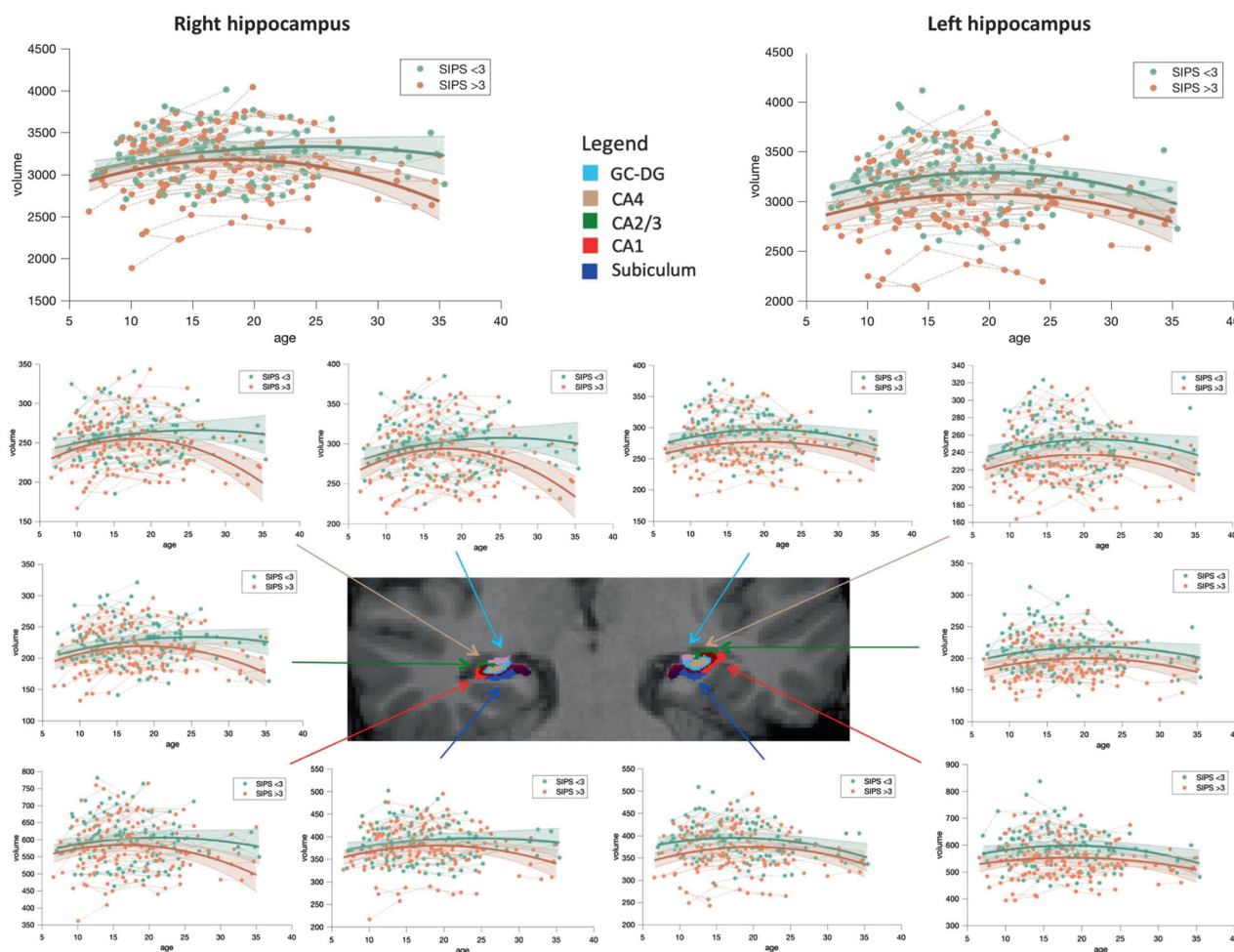
#### 431 **22q11DS patients with CHD have a smaller** 432 **hippocampus**

433 The 22q11DS patients with major CHD who underwent  
434 heart surgery (22q11DS CHD+) had a smaller hippo-  
435 campus than those without cardiac malformations (see  
436 Supplementary Table 4 for demographic information in the  
437 two groups). All the subfields, except for CA2/3, were  
438 bilaterally decreased in 22q11DS CHD+. No interaction  
439 effect was detected, except for the right CA2/3. The left  
440 CA2/3 area did not show a group or interaction effect  
441 (Supplementary Fig. 4).

#### 442 **Discussion**

##### 443 **A smaller hippocampal volume is an anatomical** 444 **trait of patients with 22q11DS**

445 Our findings pointed to a smaller global hippocampal  
446 volume in patients with 22q11DS than HC, broadening the  
447 evidence of a reduced hippocampal head [46] and body  
448 [47–49] previously demonstrated in smaller samples.



**Fig. 4** Comparison between developmental trajectories of 22q11DS psy+ and psy- patients. Upper panel: developmental trajectories of the whole right and left hippocampal volume. Lower panel: each arrow

specifies the subfield showed in the plot according to the color displayed in the legend

449 According to the FIRST-FSL shape analysis, a significant  
 450 volumetric difference without an anteroposterior gradient  
 451 was detected, thus confirming a diffuse volume reduction in  
 452 the group of patients with 22q11DS. Our results are there-  
 453 fore in line with previous MRI studies demonstrating a  
 454 lower hippocampal volume in nonsyndromic UHR patients  
 455 [14] and healthy relatives of schizophrenic patients [32, 33].

456 Similarly, all the subfields displayed a consistently  
 457 smaller volume except for CA2/3. The reason why CA2/3 is  
 458 the only subfield that was not affected remains elusive.  
 459 However, from the observation that CHD could influence  
 460 hippocampal volume in 22q11DS [64], we can formulate  
 461 some hypotheses. CA3 is the most ischemia-resistant area in  
 462 the hippocampus because of its more efficient vasculariza-  
 463 tion, provided by the large dorsal intrahippocampal arteries  
 464 [65]. If CHD had a pivotal role in determining a smaller  
 465 hippocampal volume in 22q11DS, then CA3 vasculariza-  
 466 tion would be a protective factor towards hypoperfusion due  
 467 to hemodynamic instability. Our data partially support this

468 hypothesis, as all the subfields, except for CA2/3, were  
 469 bilaterally reduced in 22q11DS patients with CHD. More-  
 470 over, one study employing magnetic resonance angiography  
 471 reported hypoplasia of the right posterior cerebral artery  
 472 (PCA) in more than half of a sample of patients with  
 473 22q11DS [66]. Notably, PCA is the major source of hip-  
 474 pocal volume in the hippocampus [65], so minor vascular anomalies  
 475 might explain why the whole group of patients with  
 476 22q11DS had a smaller hippocampal volume than the  
 477 HC group.

478 In patients with 22q11DS, the whole hippocampus and  
 479 its subfields showed no divergent volume trajectories.  
 480 Hence, a lower hippocampal volume already characterized  
 481 the group of patients from the age of 6. Developmental  
 482 studies on healthy subjects have documented that the most  
 483 significant increase in hippocampal volume occurs during  
 484 the first years of life [67], especially between the ages of 1  
 485 and 2 [68], while from 4 to 25 years of age, no global  
 486 volume change was detected [69]. Likewise, the notion of

adult hippocampal neurogenesis in humans has recently been disputed [70]. Unfortunately, the timespan of our longitudinal dataset starts from the age of 6, so we cannot exclude a different maturation of the hippocampus before this period. However, patients with 22q11DS are known to carry a wide range of brain abnormalities with prenatal origin, such as cortical folding alterations [45]. A recent study posits the DGCR2 gene, located in the 22q11.2 region, as a pivotal regulator of early stages of corticogenesis in utero [71], implying early embryonic pathological processes conferring vulnerability to schizophrenia. Interestingly, even TBX1, another gene haploinsufficient in 22q11DS and related to CHD, can alter proper neuronal migration and disrupt corticogenesis [72]. However, CHD could have alternatively acted through hemodynamic mechanisms, since the presence of CHD in fetuses inhibited the autoregulation mechanism aimed at maintaining constant cerebral perfusion [73].

In conclusion, we demonstrated a consistently lower hippocampal volume from the age of 6 in patients with 22q11DS, which might, therefore, be an anatomical trait of the syndrome. The results with those with CHD offer exploratory evidence for the role of cardiovascular anomalies in determining a smaller hippocampal volume, presumably during corticogenesis.

### 22q11DS psy+ patients have a further hippocampal volume decrease in specific subfields

We observed that positive but not negative psychotic symptoms are related to a smaller hippocampal volume in patients with 22q11DS. Regarding positive symptoms, several studies have demonstrated a correlation with decreased hippocampal volume [74–77]. However, the results have been conflicting with regard to negative symptoms [17, 74, 78]. This lack of an association between hippocampal volume and negative symptoms could depend on the absence of shared pathophysiological mechanisms involving the hippocampus or on confounding factors peculiar to our sample, such as the high rate of psychiatric comorbidities.

Strikingly, CA2/3 was the only hippocampal subfield that did not differ in the group of 22q11DS psy+ patients when compared with HC, but CA2/3 underwent progressive atrophy in the patients. As such, its later involvement could be directly related to the appearance of positive symptoms. The relationship between positive psychotic symptoms and the hippocampus—especially the CA3 area—lies at the core of theoretical frameworks connecting memory and hallucinations [25, 27] and has been further corroborated by empirical evidence. Tamminga et al. proposed that hallucinations arise from the imbalance between the independent mechanisms of pattern separation and pattern completion,

respectively, involved in distinguishing new sensory inputs that differ slightly from previously stored memories and in the retrieval of memories from fragmented sensory cues [27]. The CA3 area is responsible for both of these processes. If there is a DG hypofunction, which is heavily involved in pattern separation [79], then hyperactivity in CA3-driven pattern completion [80] leads to wrong associations and false memories, possibly resulting in hallucinations [27, 81]. In keeping with this theory, it has been demonstrated that FEP and chronic schizophrenia patients have a selective impairment in pattern separation with respect to healthy controls [82–84]. Subsequently, as shown in other studies, hippocampal hyperactivity can later lead to atrophy [28, 85]. Consistent with this, only those patients with Parkinson's disease experiencing hallucinations exhibited hippocampal atrophy [86]. Furthermore, 21% of patients with selective hippocampal stroke experienced transient hallucinations [87]. Hence, the connection between positive symptoms, especially hallucinations, and a decreased hippocampal volume becoming increasingly accepted in the literature.

Nevertheless, the quest to understand the relationship between hippocampal dysfunction and psychosis is yet to be completed, as we still do not know at what point of development it occurs. Our findings showed that several right-side hippocampal subfields atrophied over time starting from late adolescence in 22q11DS psy+ patients. Accordingly, a multisite study demonstrated the highest rate of subthreshold positive symptoms in patients with 22q11DS during adolescence [56], suggesting that hippocampal volume decreases occurred in a period that was sensitive to the emergence of psychotic symptoms.

Overall, in 22q11DS psy+ patients, atrophy started in the CA1 area and subsequently involved the DG, CA4 and CA2/3 subfields. Interestingly, a similar pattern of progression has been previously described in the early phases of schizophrenia, with hippocampal volumetric deficits spreading over time from CA1 to CA2/3 and DG [18]. Our results not only confirmed such a progression but also specifically associated CA3 volumetric loss with the presence of psychotic symptoms. Therefore, our findings add to the effort of defining the timeframe in which preclinical pathophysiological processes occur, leading to the onset of the first psychotic symptoms.

On the other hand, the left-side hippocampus group differences started from the age of 6 without divergent trajectories. We do not know whether these asymmetrical trajectories were due to inadequate sample size or depend on some functional hemispheric specialization. Hippocampal asymmetry, with a larger right side, has been demonstrated at every stage of healthy development in adults [88], children [89] and infants [90], as well as in patients with schizophrenia [91]. Likewise, in other studies,

591 the right hippocampal volume had a different develop- 639  
 592 mental trajectory from the left [67], increasing more quickly 640  
 593 from childhood to adolescence [92]. Our findings of later- 641  
 594 alized trajectories might, therefore, reflect a different speed 642  
 595 of the pathophysiology of schizophrenia within the right 643  
 596 hippocampus and left hippocampus. A captivating hypoth- 644  
 597 esis is that the asymmetry of carotid and vertebral arteries 645  
 598 reported in deletion carriers [93] and related to the abnormal 646  
 599 development of the derivatives of the third and fourth 647  
 600 branchial arches might partly explain our lateralized find- 648  
 601 ings. However, future studies in larger samples are needed 649  
 602 to test whether asymmetrical trajectories of hippocampal 650  
 603 development are related to the risk of psychosis. 651

### 604 **Lower hippocampal volume is associated with the** 652 605 **presence rather than the degree of positive** 653 606 **symptoms** 654

607 We tested whether the severity of positive symptoms was 655  
 608 correlated with the degree of hippocampal volume loss in 656  
 609 each subfield. Although there is evidence for such a cor- 657  
 610 relation in independent samples of patients with schizo- 658  
 611 phrenia [74, 75], in our group of patients with 22q11DS, we 659  
 612 did not find any significant result. Nonetheless, we 660  
 613 demonstrated subfield-specific progressive involvement 661  
 614 starting in late adolescence, suggesting that there is indeed a 662  
 615 link between disease progression and hippocampal volume 663  
 616 decrease. 664

617 This discrepancy might be explained by the fact that 665  
 618 positive psychotic symptoms have an inherently fluctuating 666  
 619 nature that does not necessarily parallel the general pro- 667  
 620 gression of the disease [94], especially in patients with 668  
 621 22q11DS [42, 95]. 669

### 622 **Hippocampal volume as a vulnerability factor for** 670 623 **psychosis: hypotheses and perspectives** 671

624 Considering our findings, it is worth noting that the volumes 672  
 625 of most of the areas expected to have a role in psychosis, 673  
 626 such as CA1, DG, CA4 and subiculum, were already lower 674  
 627 in the entire 22q11DS group compared to the HC group. 675  
 628 Therefore, we cannot ignore the fact that 22q11DS is per se 676  
 629 a risk factor for the development of psychosis. Furthermore, 677  
 630 22q11DS psy+ patients had a smaller left-side hippo- 678  
 631 campus starting from the age of 6. Taking into account 679  
 632 hallucinations instead of the SIPS score (Supplementary 680  
 633 Information), allowed us to include more patients between 681  
 634 the ages of 6 and 10, showing that patients with halluci- 682  
 635 nations had a smaller right-side hippocampus even during 683  
 636 childhood. Taken together with the observation that these 684  
 637 areas undergo a further decrease during late adolescence, it 685  
 638 is conceivable that a smaller hippocampal volume at 686

639 baseline could be a vulnerability factor for developing 640  
 641 positive psychotic symptoms and hippocampal atrophy. 642

643 We, therefore, propose a framework that could explain 644  
 645 our results in light of some recent findings. Increased hip- 646  
 647 pocampal activity, either in cerebral blood flow [28] or 647  
 648 glutamatergic tone [30, 80], has been shown to precede 648  
 649 atrophy in psychotic patients and mouse models 649  
 650 [28, 30, 96]. The most commonly accepted interpretation is 650  
 651 that enhanced glutamatergic activity requires an increased 651  
 652 blood supply and can lead to atrophy through excitotoxicity 652  
 653 mechanisms [31]. Interestingly, a continuum of increased 653  
 654 glutamate levels has been found from controls to psychotic 654  
 655 22q11DS patients using MRS [97], suggesting that pre- 655  
 656 morbid 22q11DS patients might have an excitatory/inhibi- 656  
 657 tory imbalance that worsens along with the progression of 657  
 658 psychosis. We can speculate that reduced hippocampal 658  
 659 volume at baseline could lead to compensatory mechanisms 659  
 660 involving enhanced glutamatergic transmission. Then, 660  
 661 environmental factors known to interfere with hippocampal 661  
 662 physiology, such as stress [98] and neuroinflammation [99], 662  
 663 may act as a second hit in some patients, resulting in an 663  
 664 abnormally increased demand, which would, in turn, lead to 664  
 665 psychotic symptoms and additional atrophy. 665

666 Further research is required to disentangle the relation- 666  
 667 ship between hippocampal morphology and the excitatory/ 667  
 668 inhibitory imbalance in relevant subfields in patients with 668  
 669 22q11DS. 669

### 666 **Limitations and conclusion** 666

667 Several limitations need to be taken into consideration when 667  
 668 interpreting our results. First, compared to HC, patients with 668  
 669 22q11DS had many psychiatric comorbidities. However, 669  
 670 the aim of this analysis was to explore the overall effect of 670  
 671 the 22q11.2 deletion on hippocampal development, irre- 671  
 672 spective of phenotypic manifestations. Second, 22q11DS 672  
 673 psy+ were comparable to those without positive symptoms 673  
 674 regarding each psychiatric comorbidity, except for having 674  
 675 more than one psychiatric diagnosis and taking anti- 675  
 676 psychotic medications (Table 2). However, covarying for 676  
 677 antipsychotic medications, which were shown in some 677  
 678 studies to decrease hippocampal volume [100], did not 678  
 679 affect any of our findings. Moreover, many other studies 679  
 680 failed to demonstrate a direct relationship between hippo- 680  
 681 campal volume and antipsychotics [2, 10, 17, 18]. To rule 681  
 682 out any interference of CHD and psychiatric comorbidities 682  
 683 as anxiety and mood disorders, we added those variables as 683  
 684 covariates, and there was still a strong effect of psychotic 684  
 685 symptoms, both regarding the group effect and the inter- 685  
 686 action with age (Supplementary Table 6). Furthermore, we 686  
 687 separately took into consideration anxiety and mood dis- 687  
 688 orders and estimated the hippocampal developmental tra- 688  
 689 jectories according to the diagnosis of each of these 689

690 comorbidities. However, we did not find any evidence of an  
691 effect on hippocampal volume (Supplementary Table 7).  
692 Third, only 15 patients formally met the criteria for a  
693 diagnosis of schizophrenia; therefore, we lacked the power  
694 to predict the development of a full-blown disorder. Indeed,  
695 the psychosis literature would greatly benefit from long-  
696 titudinal investigations of hippocampal development pre-  
697 dicting the conversion to psychosis in patients with  
698 22q11DS.

699 Although three different scanners were employed over  
700 time in data collection, the number of 22q11DS patients and  
701 HC acquired with each scanner was comparable (Tables 1  
702 and 2), and the results were covaried according to the  
703 scanner model. Finally, the lack of data before the age of 6  
704 and after 35 prevented us from obtaining a broader picture  
705 of hippocampal development, although adolescence is  
706 considered the most sensitive period for psychosis.

707 In summary, we demonstrated in a large sample of  
708 patients with 22q11DS a decreased hippocampal volume  
709 compared to HC, suggesting that this could be an anatomi-  
710 cal trait of the syndrome. A progressive decrease in the  
711 volume of the right hippocampus starting from late adole-  
712 scence was found in 22q11DS psy+ patients. With regard  
713 to hippocampal subfields, CA1 was the first affected area,  
714 while CA3 was the last, and its atrophy was exclusively  
715 correlated with positive symptoms.

716 As far as we are concerned, no study in the general  
717 population has ever longitudinally evaluated the occurrence  
718 of psychotic symptoms and hippocampal volume changes  
719 over such a broad timespan. Therefore, in light of our  
720 findings and considering that healthy relatives of schizo-  
721 phrenia patients carry hippocampal malformations [32–36],  
722 future studies should address whether a smaller or abnormal  
723 hippocampus is also present from childhood in non-  
724 syndromic subjects who will later develop schizophrenia.

## 725 Code availability

726 The code employed to model hippocampal developmental  
727 trajectories is available upon request.

728 **Acknowledgements** We would like to thank all the families who  
729 contributed to the study, as well as the family associations (Génération  
730 22, Connect 22, Relais 22) for their ongoing support. Special thanks  
731 go to Léa Chambaz and Virginie Pouillard for coordinating the project  
732 and to the MRI operators at the Center of Biomedical Imaging  
733 (CIBM), François Lazeyras, Lydia Dubourg, Maëlle Chambaz, Laura  
734 Juan Galmes, and Joëlle van der Molen for their help in scanning.

735 **Funding** This work was supported by research grants from the Swiss  
736 National Science Foundation (grant numbers 324730\_121996,  
737 324730\_144260 to SE) and The National Centre of Competence in  
738 Research (NCCR) “Synapsy—The Synaptic Bases of Mental Dis-  
739 eases” (grant number 51NF40-158776 to SE). Personal grants by the

Swiss National Science Foundation (grant numbers PZ00P1\_174206 740  
to M.Schn. and 163859 to M.Scha.) also supported the present work. 741

**Conflict of interest** The authors declare that they have no conflict of 742  
interest. 743

**Publisher's note:** Springer Nature remains neutral with regard to 744  
jurisdictional claims in published maps and institutional affiliations. 745

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