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Data Governance in AI, FinTech and LegalTech

Law and Regulation in the Financial Sector

Edited by

Joseph Lee

*Reader in Corporate and Financial Law, University of
Manchester, UK*

Aline Darbellay

*Assistant Professor, Faculty of Law, University of Geneva,
Switzerland*



Cheltenham, UK • Northampton, MA, USA

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Preface

Data governance has been one of the most discussed issues in the digital economy. As digital finance is beginning to play a big role in the digital economy and global trade, data governance in the finance services sector will become a critical infrastructure in supporting the development of the global digital economy. This book is the result of a research project titled ‘Digital Financial Services: Law, Regulation, and Governance’ convened by Dr Joseph Lee of the University of Manchester (and when he was at the University of Exeter), Professor Aline Darbellay of the University of Geneva, and Professor Joeri Vananroye of KU Leuven. This book is valuable for a wide audience. It offers insights relevant to both research and practice. National particularities are depicted through examples chosen from selected key jurisdictions, including the European Union, Japan, Singapore, the United Kingdom and the United States.

The project benefited from the support of the GenEx Joint Seed Funding stemming from a strategic partnership between the University of Exeter and the University of Geneva. The project is also supported by the European Network Fund, a University of Exeter Global Partnerships Award, to collaborate with KU Leuven. All chapters were peer-reviewed. We would like to thank the following persons for providing institutional and personal support to this research project: Professor Luc Thévenoz, Director of the Centre of Banking and Financial Law, University of Geneva; Professor Christian Bovet, University of Geneva; Professor Richard Moorhead, University of Exeter; Professor Philippe Cullet, Director of the Law, Environment and Development Centre at the University of London, School of Oriental & African Studies; Dr Jochen Dürr and Ms Nadine Ehrler of SIX Switzerland; Ms Tina Maria Hilgarth, CEO of New Way Consulting Zurich; Dr Miguel Vaz of Börse Stuttgart; Professor Thomas Lambert of the Erasmus University Rotterdam; Mr Arnaud Van Caenegem of KU Leuven; Dr Stefano Alderighi of the UK Endorsement Board; Mr Marcus Tsai of the Taiwan Financial Supervisory Commission; Mr Philip Tremble, Dr Giles Spungin, Mr Ted Sheils of HSBC; and Mr Nick Chavasse, Assistant Head of Global Partnerships (Europe) at the University of Exeter. Last but not least, we are grateful to Dr Yonghui Bao, Mr

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Dr Joseph Lee, London

Professor Aline Darbellay, Geneva