



Article scientifique

Article

2024

Published version

Open Access

This is the published version of the publication, made available in accordance with the publisher's policy.

---

## Risk factors for bloodstream infections due to carbapenem-resistant Enterobacterales : a nested case-control-control study

---

Zhou, Hongyu; Buetti, Niccolo; Pérez-Galera, Salvador; Bravo-Ferrer, Jose; Gutiérrez-Gutiérrez, Belén; Paniagua-García, María; Feifel, Jan; Sauser, Julien; Kostyanov, Tomi; Canton, Rafael; Tan, Lionel K; Basoulis, Dimitris; Pintado, Vicente; Roilides, Emmanuel [and 9 more]







### How to cite

ZHOU, Hongyu et al. Risk factors for bloodstream infections due to carbapenem-resistant Enterobacterales : a nested case-control-control study. In: Journal of antimicrobial chemotherapy, 2024, vol. 79, n° 9, p. 2132–2141. doi: 10.1093/jac/dkae157

This publication URL: <https://archive-ouverte.unige.ch/unige:180924>

Publication DOI: [10.1093/jac/dkae157](https://doi.org/10.1093/jac/dkae157)

## Risk factors for bloodstream infections due to carbapenem-resistant Enterobacterales: a nested case-control-control study

Hongyu Zhou<sup>1,2</sup>, Niccolò Buetti <sup>1,3\*</sup>, Salvador Pérez-Galera<sup>4,5</sup>, Jose Bravo-Ferrer<sup>5,6</sup>, Belén Gutiérrez-Gutiérrez <sup>5,6,7</sup>, María Paniagua-García<sup>5,7,8</sup>, Jan Feifel<sup>9</sup>, Julien Sauter<sup>1</sup>, Tomi Kostyanov<sup>10,11</sup>, Rafael Canton <sup>7,12</sup>, Lionel K. Tan<sup>13</sup>, Dimitris Basoulis<sup>14</sup>, Vicente Pintado<sup>7,15</sup>, Emmanuel Roilides<sup>16</sup>, Gorana Dragovac<sup>17</sup>, Julian Torre-Cisneros<sup>7,18</sup>, Deana Mediç<sup>17</sup>, Murat Akova <sup>19</sup>, Herman Goossens<sup>10</sup>, Marc Bonten<sup>20</sup>, Stephan Harbarth<sup>1</sup>, Jesus Rodriguez-Baño <sup>5,6,7†</sup> and Marlieke E.A. De Kraker <sup>1†</sup>; on behalf of the entire COMBACTE- EURECA project team‡

<sup>1</sup>Infection Control Program, Geneva University Hospitals and Faculty of Medicine, Geneva, Switzerland; <sup>2</sup>Department of Hospital Infection Control, The First Affiliated Hospital of Chongqing Medical University, Chongqing, China; <sup>3</sup>Infection Antimicrobials Modeling Evolution (IAME) U 1137, INSERM, Université Paris-Cité, Paris, France; <sup>4</sup>Unidad Clínica de Medicina Interna, Hospital Universitario Virgen Macarena, Seville, Spain; <sup>5</sup>Instituto de Biomedicina de Sevilla (IBIS)/CSIC/Departamento de Medicina, Universidad de Sevilla, Seville, Spain; <sup>6</sup>Unidad Clínica de Enfermedades Infecciosas y Microbiología, Hospital Universitario Virgen Macarena, Seville, Spain; <sup>7</sup>CIBER de Enfermedades Infecciosas (CIBERINFEC), Instituto de Salud Carlos III, Madrid, Spain; <sup>8</sup>Department of Infectious Diseases, Microbiology and Parasitology, Virgen del Rocío University Hospital, Seville, Spain; <sup>9</sup>Institute of Statistics, Ulm University, Ulm, Germany; <sup>10</sup>Laboratory of Medical Microbiology, University of Antwerp, Antwerp, Belgium; <sup>11</sup>National Food Institute, Technical University of Denmark, Lyngby, Denmark; <sup>12</sup>Servicio de Microbiología, Hospital Universitario Ramón y Cajal and Instituto Ramón y Cajal de Investigación Sanitaria, Madrid, Spain; <sup>13</sup>Research and Development, GlaxoSmithKline, London, UK; <sup>14</sup>First Department of Internal Medicine, Laiko General Hospital, Athens, Greece; <sup>15</sup>Servicio de Enfermedades Infecciosas, Hospital Universitario Ramón y Cajal and Instituto Ramón y Cajal de Investigación Sanitaria (IRYCIS), Madrid, Spain; <sup>16</sup>Faculty of Health Sciences, Hippokratia General Hospital of Thessaloniki, School of Medicine, Aristotle University of Thessaloniki, Thessaloniki, Greece; <sup>17</sup>Faculty of Medicine and Institute of Public Health of Vojvodina, University of Novi Sad, Novi Sad, Serbia; <sup>18</sup>Departamento de Ciencias Médicas y Quirúrgicas, Servicio de Enfermedades Infecciosas Hospital Universitario Reina Sofía/Instituto Maimónides de Investigación Biomédica de Córdoba (IMIBIC)/Universidad de Córdoba, Córdoba, Spain; <sup>19</sup>Department of Infectious Diseases and Clinical Microbiology, Hacettepe University Faculty of Medicine, Sıhhiye, Ankara, Turkey; <sup>20</sup>Julius Center for Health Sciences and Primary Care and University Medical Center Utrecht, Utrecht University, Utrecht, Netherlands

\*Corresponding author. E-mail: niccolo.buetti@hcuge.ch

†Equal contributions.

‡Members are listed in the Acknowledgements section.

Received 18 December 2023; accepted 2 May 2024

**Background:** Carbapenem-resistant Enterobacterales (CRE) bloodstream infections (BSIs) are a major threat to patients. To date, data on risk factors have been limited, with low internal and external validity. In this multicentre study, risk factors for CRE BSI were determined by comparison with two control groups: patients with carbapenem-susceptible Enterobacterales (CSE) BSI, and patients without Enterobacterales infection (uninfected patients).

**Methods:** A multicentre, case-control-control study was nested in a European prospective cohort study on CRE (EURECA). CRE BSI:CSE BSI matching was 1:1, CRE BSI:Uninfected patients matching was 1:3, based on hospital, ward and length of stay. Conditional logistic regression was applied.

**Results:** From March 2016 to November 2018, 73 CRE BSIs, 73 CSE BSIs and 219 uninfected patients were included from 18 European hospitals. For CRE versus CSE BSI, previous CRE colonization/infection [incidence rate ratio (IRR) 7.32; 95% CI 1.65–32.38] increased the risk. For CRE versus uninfected controls, independent risk factors included: older age (IRR 1.03; 95% CI 1.01–1.06), patient referral (long-term care facility: IRR 7.19; 95% CI 1.51–34.24; acute care hospital: IRR 5.26; 95% CI 1.61–17.11), previous colonization/infection with other MDR organisms (MDROs) (IRR 9.71; 95% CI 2.33–40.56), haemodialysis (IRR 8.59; 95% CI 1.82–40.53), invasive procedures (IRR 5.66; 95% CI 2.11–15.16), and  $\beta$ -lactam/ $\beta$ -lactamase inhibitor combinations (IRR 3.92; 95% CI 1.68–9.13) or third/fourth generation cephalosporin (IRR 2.75; 95% CI 1.06–7.11) exposure within 3 months before enrolment.

**Conclusions:** Evidence of previous CRE colonization/infection was a major risk factor for carbapenem resistance among Enterobacterales BSI. Compared with uninfected patients, evidence of previous MDRO colonization/infection and healthcare exposure were important risk factors for CRE BSI. Targeted screening, infection prevention and antimicrobial stewardship should focus on these high-risk patients.

## Introduction

Bloodstream infections (BSIs) are among the most severe hospital-acquired infections, with Enterobacterales being the most frequently identified causative pathogens. Increases in resistance rates of Enterobacterales, especially to carbapenems, progressively complicate treatment strategies of infected patients.<sup>1</sup> In Europe, in 2015, it was estimated that more than 2000 patients died because of carbapenem-resistant Enterobacterales (CRE) infections, mostly BSIs, and the number of attributable deaths increased more than six times from 2007 to 2015.<sup>2</sup>

Effective strategies to prevent or reduce the number of CRE BSIs are essential; however, to date, no harmonized strategy for CRE BSI prevention is available and data on modifiable risk factors are scarce.<sup>3</sup> Most risk factor studies have been small, single-centre studies with a retrospective design.<sup>4–7</sup> Moreover, these studies often selected patients with BSIs due to carbapenem-susceptible Enterobacterales (CSE) as controls. However, directly comparing CRE versus CSE can introduce selection bias, resulting in falsely identifying antibiotics as risk factor, or overestimating the OR of the resistance-defining antibiotic.<sup>8–10</sup> The best way to overcome this bias is to include two control groups: patients with CSE, and patients without Enterobacterales infection (uninfected patients).

This study was part of the EUropean prospective cohort study on Enterobacteriaceae showing REsistance to CARbapenems (EURECA).<sup>11</sup> Through a nested, matched case-control-control study, we determined risk factors for (i) carbapenem resistance among hospitalized patients with Enterobacterales BSI, and (ii) CRE BSI among uninfected, hospitalized patients in six European countries.

## Methods

### Ethics

The study was approved by the Ethics Committee of the Hospital Universitario Virgen Macarena (FIS-ATB-2015-01). The need to obtain written informed consent was waived due to the observational and epidemiological nature of the study. Approval was also gained at the participating centres according to local requirements. The study was conducted according to the principles of the Declaration of Helsinki and in accordance with the Medical Research Involving Human Subjects Act (WMO) and local guidelines in the participating countries.

### Study design

EURECA (trial registration number: NCT02709408) is a prospective, multi-national, multicentre study that aims to characterize hospitalized patients with CRE infections in Europe.<sup>11,12</sup> From March 2016 to November 2018, a cohort of 732 adult patients with CRE infections were enrolled. For a nested case-control-control study, additional CSE-infected patients and uninfected patients were recruited, for a randomly selected subset of 235 patients, matched 1:1 to CSE-infected, and 1:3 to uninfected patients, to be able to assess at least 20 risk factors (Figure 1). Our study focused on the subpopulation of 73 adult hospitalized patients with CRE

BSI, and their matched controls (73 CSE BSI and 219 uninfected controls) (Figure 1), which provided a large enough sample size to simultaneously include around seven risk factors. Matching variables were hospital, type of hospital service and length of stay (LOS) before CRE BSI of the case (minus 0–3 days, or minus 0–7 days if LOS of CRE >14 days, or LOS of minimum 30 days if LOS of CRE >30 days). For matching of CRE:CSE, type of acquisition (community or nosocomial) and source of bacteraemia were considered as well. All patients were followed for a period of 30 days after inclusion.

### Setting

Fifty hospitals participated in EURECA from 10 countries across Europe. Sites were selected based on rates of infection due to CRE, clinical and laboratory capacity, and experience in clinical studies. Patients selected for the current study were enrolled from 18 hospitals, in Italy ( $n=5$ ), Spain ( $n=4$ ), Serbia ( $n=4$ ), Greece ( $n=3$ ), Romania ( $n=1$ ) and Turkey ( $n=1$ ).

### Inclusion and exclusion criteria

Patients were included if they met the following criteria: (i)  $\geq 18$  years; (ii) signed informed consent form if requested by the local Institutional Review Board. Additionally, for patients with Enterobacterales BSI: (iii) patients had an Enterobacterales BSI, defined as a positive blood culture with isolation of CRE or CSE in patients fulfilling systemic inflammatory response syndrome criteria<sup>13</sup> of sepsis, and for uninfected patients: (iv) patients without Enterobacterales infection during the selected hospitalization.

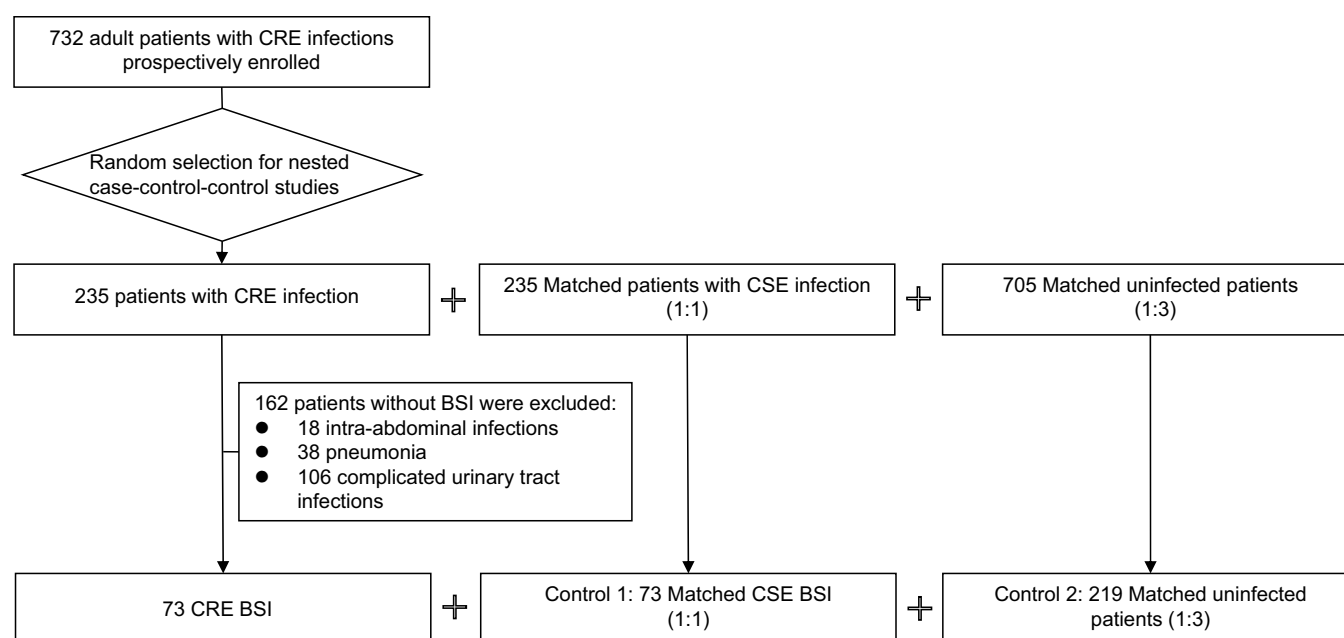
Exclusion criteria included: (i) patients with do-not-resuscitate orders or with a life expectancy of <30 days. Additional exclusion criteria for patients with Enterobacterales BSI: (ii) the infection was considered to be polymicrobial according to standard microbiological interpretations of culture results; (iii) participation in a trial that included active treatment for Enterobacterales BSI; and (iv) previously included in the EURECA CRE cohort.

### Data collection

Data were collected by dedicated onsite investigators in each of the sites, through a standardized, electronic case report form, with internal validity checks to improve data quality. Data consistency and completeness were checked regularly and issues were resolved through integrated patient-specific queries. Data included demographics, hospital admission characteristics, clinical characteristics, antimicrobial exposure, colonization status [MDR organisms (MDROs)] and microbiological characteristics. Possible CRE exposure risk was also recorded, and included travel, contact with animals, hospital contact, contact with CRE-colonized people, and evidence of previous infection/colonization by CRE. The latter is defined as confirmed CRE infection/colonization documented in the patient's microbiological records (no time limit); if no previous CRE culture was recorded, it was considered as no evidence. For definitions see Table S1 (available as [Supplementary data](#) at JAC Online). Antimicrobial susceptibility was phenotypically and genotypically confirmed at a central laboratory in Antwerp, Belgium. STROBE recommendations for reporting results of observational studies were followed.

### Statistical analysis

Descriptive statistics are displayed separately for matched CRE BSI, CSE BSI and uninfected patients, summarized by median and IQR, or absolute



**Figure 1.** Flow chart for patient enrolment in EURECA between March 2016 and November 2018, including the final selection for the nested case-control-control study focusing on risk factors for carbapenem-resistant Enterobacteriales (CRE) bloodstream infections (BSIs). CSE, carbapenem-susceptible Enterobacteriales.

numbers and proportions as appropriate. *P* values are based on conditional logistic regression to consider matching.

Analyses compared CRE BSI versus CSE BSI, and CRE BSI versus uninfected controls. All clinically relevant variables were analysed with univariable conditional logistic regression to determine their association with CRE BSI. For continuous variables, linearity was checked using likelihood ratio tests comparing models applying natural cubic splines versus a linear relationship; cut-offs were based on the lowest Akaike information criteria (AIC) values. All variables with  $P < 0.10$  in the univariable analysis were considered for the conditional multivariable logistic regression. Collinearity was checked by the variance inflation factor; if needed, the clinically most relevant variable was selected. The final model was selected using the best subset method based on AIC values. Because missing data were sparse, complete case analysis was applied, the impact of which was assessed in sensitivity analyses. The coefficients from the conditional logistic regression are interpreted as incidence rate ratios (IRRs), due to the specific study design—a case-control study nested in an open cohort, where controls were matched on LOS before enrolment of the case.<sup>14</sup>

Sensitivity analyses were performed to check stability of results: (i) we examined the impact of complete case analysis, applying worst-case (all patients with missing data were positive) and best-case scenarios (all patients with missing data were negative) for two variables with missing data ‘evidence of previous colonization/infection with CRE’ and ‘evidence of previous colonization/infection with other MDROs’; (ii) we determined risk factors specifically for hospital-associated CRE BSI.

A two-sided  $P < 0.05$  was considered statistically significant; 95% CIs are reported. The analyses were performed using R software, version 4.1.0.

## Results

Overall, 73 case patients with CRE BSIs could be selected from the EURECA dataset, matched to 73 control patients with CSE BSI

(CSE group) and 219 uninfected patients (Figure 1). All matches fulfilled the preset matching criteria, with seven minor exceptions for LOS before enrolment. For 6/292 (2%) controls LOS before enrolment was 1–2 days compared with CRE BSI on admission, whereas 1/73 (1.4%) CSE BSI controls had 11 days of stay before infection compared with a CRE case with 21 days (max. 7 days difference). As such, this variable was considered for multivariable analysis.

### Patients infected by CRE BSI versus CSE BSI

#### Patient characteristics

Most CRE and CSE BSI patients came from Spain (56/146), Greece (32/146) and Serbia (31/146); had a similar median age, 69.0 (IQR 59.5–77.0) years and 70.0 (IQR 59.0–80.0), respectively; were male [CRE: 40/73 (54.8%) and CSE: 38/73 (52.1%)], were overweight or obese [CRE: 38/69 (55.1%) and CSE: 41/72 (57.0%)], and were admitted from home [CRE: 50/73 (68.5%) and CSE: 60/73 (82.2%)]. Evidence of previous colonization/infection with CRE was significantly different between CRE and CSE patients [26.5% (18/68) versus 4.2% (3/72), respectively,  $P = 0.004$ ]. Exposures to carbapenems (28.8% versus 11.0%,  $P = 0.011$ ) and antimicrobials only active against Gram-positive pathogens (30.1% versus 16.4%,  $P = 0.004$ ) were significantly higher for CRE compared with CSE BSI as well (Table 1). Infection sources and microbiological characteristics are described in Table 2.

#### Risk factor analysis

A total of 140/146 (95.9%) patients could be included in risk factor analysis. Patient referral, moderate or severe kidney disease, evidence of previous colonization/infection with CRE, and

**Table 1.** Baseline characteristics of adult hospitalized patients with carbapenem-resistant bloodstream infections and matched controls with carbapenem-susceptible bloodstream infection, or without Enterobacterales infection, admitted to 18 European hospitals between March 2016 and November 2018

Variables	Patients with CRE BSI (N=73)	Matched patients with CSE BSI (N=73)	Matched patients without Enterobacterales infection (N=219)	Comparison between CRE and CSE BSI patients P value <sup>a</sup>	Comparison between CRE and uninfected patients P value <sup>a</sup>
<b>Demographic information</b>					
Age, y, median (IQR)	69.0 (59.5–77.0)	70.0 (59.0–80.0)	64.0 (51.0–75.0)	0.639	0.009
Male sex, n (%)	40 (54.8)	38 (52.1)	131 (59.8)	0.732	0.447
BMI, kg/m <sup>2</sup> , median (IQR) <sup>b</sup>	25.5 (23.7–29.2)	25.4 (21.8–27.9)	25.1 (22.9–28.7)	0.182	0.977
BMI <25 kg/m <sup>2</sup> , n (%)	31 (44.9)	31 (43.1)	104 (48.1)	0.931	0.806
BMI 25–29 kg/m <sup>2</sup> , n (%)	27 (39.1)	31 (43.1)	73 (33.8)		
BMI ≥30 kg/m <sup>2</sup> , n (%)	11 (15.9)	10 (13.9)	39 (18.1)		
Country of origin, n (%)					
Spain	27 (37.0)	29 (39.7)	88 (40.2)	0.503	0.155
Greece	16 (21.9)	16 (21.9)	44 (20.1)		
Serbia	16 (21.9)	15 (20.5)	44 (20.1)		
Italy	7 (9.6)	7 (9.6)	15 (6.8)		
Turkey	3 (4.1)	2 (2.7)	9 (4.1)		
Romania	2 (2.7)	1 (1.4)	5 (2.3)		
Other	2 (2.7)	3 (4.1)	14 (6.4)		
<b>Hospital admission characteristics, n (%)</b>					
Patient referral					
Home	50 (68.5)	60 (82.2)	191 (87.2)	0.071	<0.001
Long-term care facility	9 (12.3)	4 (5.5)	11 (5.0)		
Another acute care hospital	14 (19.2)	9 (12.3)	17 (7.8)		
Emergency admission	2 (2.7)	3 (4.1)	13 (5.9)	0.657	0.206
Hospital service on enrollment <sup>c</sup>					
Medical	46 (63.0)	46 (63.0)	138 (63.0)	1.000	1.000
Surgical	13 (17.8)	13 (17.8)	39 (17.8)		
ICU	14 (19.2)	14 (19.2)	42 (19.2)		
Length of hospital stay before enrolment ≥1 d	51 (69.9)	48 (65.8)	141 (64.4)	0.327	0.023
<b>Clinical characteristics</b>					
<b>Comorbidities</b>					
Charlson comorbidity index, median (IQR)	2.0 (1.0–3.0)	2.0 (1.0–4.0)	2.0 (1.0–3.0)	0.683	0.203
Myocardial infarction, n (%)	9 (12.3)	9 (12.3)	17 (7.8)	1.000	0.230
Congestive heart failure: NYHA grade ≥2, n (%)	10 (13.7)	8 (11.0)	31 (14.2)	0.618	0.909
Peripheral artery disease, n (%)	14 (19.2)	12 (16.4)	46 (21.0)	0.618	0.713
Cerebrovascular disease, n (%)	5 (6.8)	5 (6.8)	10 (4.8)	1.000	0.402
Dementia, n (%)	6 (8.2)	7 (9.6)	7 (3.2)	0.763	0.090
Chronic pulmonary disease, n (%)	6 (8.2)	11 (15.1)	30 (13.7)	0.206	0.206
Connective tissue disease, n (%)	4 (5.5)	2 (2.7)	10 (4.6)	0.423	0.752
Ulcerative disease, n (%)	2 (2.7)	3 (4.1)	9 (4.1)	0.571	0.580
Mild liver disease, n (%)	5 (6.8)	2 (2.7)	9 (4.1)	0.215	0.336
Severe liver disease, n (%)	1 (1.4)	1 (1.4)	8 (3.7)	1.000	0.318
Diabetes mellitus without organ damage, n (%)	17 (23.3)	18 (24.7)	40 (18.3)	0.827	0.334
Diabetes with target organ damage, n (%)	5 (6.8)	10 (13.7)	15 (6.8)	0.206	1.000
Hemiplegia, n (%)	4 (5.5)	3 (4.1)	4 (1.8)	0.706	0.120
Moderate or severe kidney disease, n (%)	15 (20.5)	8 (11.0)	31 (14.2)	0.083	0.108
Metastatic solid tumour, n (%)	3 (4.1)	5 (6.8)	8 (3.7)	0.484	0.847
Any tumour, not metastatic, n (%)	14 (19.2)	13 (17.8)	30 (13.7)	0.827	0.214
Leukaemia, n (%)	4 (5.5)	5 (6.8)	11 (5.0)	0.706	0.842
Lymphoma, n (%)	4 (5.5)	4 (5.5)	12 (5.5)	1.000	1.000

Continued

**Table 1.** Continued

Variables	Patients with CRE BSI (N=73)	Matched patients with CSE BSI (N=73)	Matched patients without Enterobacteriales infection (N=219)	Comparison between CRE and CSE BSI patients P value <sup>a</sup>	Comparison between CRE and uninfected patients P value <sup>a</sup>
AIDS, n (%)	0 (0.0)	1 (1.4)	3 (1.4)	0.998	0.998
HIV infection with <200 CD4/mm <sup>3</sup> , n (%)	0/72 (0.0)	1/71 (1.4)	4/218 (1.8)	0.998	0.998
Immunosuppression <sup>d</sup> , n (%)	20 (27.4)	23 (31.5)	54 (24.7)	0.514	0.549
Invasive procedures within 3 mo before enrolment, n (%)	51 (69.9)	46 (63.0)	96 (43.8)	0.321	<0.001
Surgery during the previous month, n (%)	22 (30.1)	23 (31.5)	53 (24.2)	0.796	0.176
Endoscopic procedure in the week before enrolment, n (%)	5 (6.8)	8 (11.0)	12 (5.5)	0.372	0.623
CRE exposure risk, n (%)					
CRE exposure risk in community in the last 6 mo					
Travel abroad	2/71 (2.8)	1/73 (1.4)	17/218 (7.8)	0.571	0.175
Contact with pets at home	9/71 (12.7)	9/70 (12.9)	34/209 (16.3)	1.000	0.315
Frequent contact with livestock	0/71 (0.0)	0/72 (0.0)	5/212 (2.4)	—	0.997
Ambulatory contact with persons known to be colonized by CRE	6/57 (10.5)	2/56 (3.6)	4/177 (2.3)	0.998	0.998
CRE exposure risk in healthcare facility					
Patient worked as healthcare worker or caregiver during last year	1 (1.4)	0 (0.0)	2/215 (0.9)	0.998	0.741
Another patient/s with CRE in the same ward during present admission	29/70 (41.4)	27/69 (39.1)	86/217 (39.6)	0.514	0.579
Previous hospitalization during the last 6 mo	40 (54.8)	32 (43.8)	72 (32.9)	0.174	0.001
Nursing home or other long term-care facility residency during the last 6 mo	10 (13.8)	9 (12.3)	9 (4.1)	0.796	0.005
Chronic dialysis	8 (11.0)	5 (6.8)	14 (6.4)	0.372	0.140
Haemodialysis	7 (9.6)	5 (6.8)	10 (4.6)	0.530	0.061
Peritoneal dialysis	1 (1.4)	0 (0.0)	4 (1.8)	0.998	0.782
Evidence of previous colonization/infection with CRE	18/68 (26.5)	3/72 (4.2)	1/217 (0.5)	0.004	<0.001
Evidence of previous colonization/infection with other MDROs (MRSA, VRE, ESBL-producer)	12/71 (16.9)	7/71 (9.9)	14/217 (6.5)	0.147	0.005
Antimicrobial exposure within 3 mo before enrolment	53 (72.6)	48 (65.8)	123 (56.2)	0.339	0.008
Colistin	7 (9.6)	7 (9.6)	11 (5.0)	1.000	0.061
Aminoglycosides	8 (11.0)	6 (8.2)	13 (5.9)	0.566	0.131
Quinolones	21 (28.8)	15 (20.5)	50 (22.8)	0.277	0.298
Macrolides	2 (2.7)	3 (4.1)	4 (1.8)	0.657	0.640
Cephalosporins, first/second generation	9 (12.3)	13 (17.8)	20 (9.1)	0.292	0.339
Cephalosporins, third/fourth generation	20 (27.4)	15 (20.5)	38 (17.4)	0.321	0.043
Carbapenems	21 (28.8)	8 (11.0)	25 (11.4)	0.011	<0.001
β-lactam + β-lactamase inhibitor	28 (38.4)	21 (28.8)	44 (20.1)	0.183	0.001
Other β-lactam antibiotics	7 (9.6)	10 (13.7)	4 (1.8)	0.372	0.007
Active against Gram-positive only	22 (30.1)	12 (16.4)	28 (12.8)	0.004	0.001
Other	14 (19.2)	17 (23.3)	35 (16.0)	0.549	0.454

BSI, bloodstream infection; CRE, carbapenem-resistant Enterobacteriales; CSE, carbapenem-susceptible Enterobacteriales; MDROs, multidrug-resistant organisms; NYHA, New York Heart Association.

<sup>a</sup>Based on univariable conditional logistic regression, in case of categorical variables it refers to the likelihood ratio test.

<sup>b</sup>The denominators for patients with CRE BSI, CSE BSI and without Enterobacteriales infection are 69, 72 and 216, respectively.

<sup>c</sup>Matching variable for patients with CRE BSI versus patients with CSE BSI and patients without Enterobacteriales infection, respectively.

<sup>d</sup>Immunosuppression was defined as the receipt of solid organ transplantation, bone marrow/stem cell transplantation or immunosuppressive drugs (including cancer chemotherapy, classic immunosuppressants, biologicals or steroids) within 3 mo before enrolment, or with neutropenia (<500 cells/mm<sup>3</sup>) on enrolment.



**Table 2.** Clinical and microbiological characteristics associated with bloodstream infection among adult hospitalized patients with carbapenem-resistant bloodstream infections (CRE-BSIs) and matched controls with carbapenem-susceptible bloodstream infections (CSE-BSIs) admitted to 18 European hospitals between March 2016 and November 2018

Variables	Patients with CRE BSI (N=73) n (%)	Matched patients with CSE BSI (N=73) n (%)	P value <sup>a</sup>
Type of BSI acquisition <sup>b</sup>			
Nosocomial	47 (64.4)	47 (64.4)	1.000
Community-onset healthcare-associated	20 (27.4)	20 (27.4)	
Strict community-acquired	6 (8.2)	6 (8.2)	
BSI sources <sup>b</sup>			
Urinary tract	27 (37.0)	27 (37.0)	1.000
Pneumonia	6 (8.2)	6 (8.2)	
Intra-abdominal	11 (15.1)	11 (15.1)	
Intravascular catheter	10 (13.7)	10 (13.7)	
Other	3 (4.1)	3 (4.1)	
Unknown source	16 (21.9)	16 (21.9)	
Pathogen			
<i>Escherichia coli</i>	5 (6.8)	30 (41.1)	<0.001
<i>Klebsiella pneumoniae</i>	60 (82.2)	25 (34.2)	
<i>Enterobacter cloacae</i>	3 (4.1)	7 (9.6)	
Other Enterobacteriales <sup>c</sup>	5 (6.8)	11 (15.1)	
Carbapenemase producer among CRE	70 (95.9)		
Type of carbapenemase			
OXA-48	35 (50.0)		
KPC (2/3)	22 (31.4)		
NDM-1	6 (8.6)		
VIM (1/4)	3 (4.3)		
Two types identified <sup>d</sup>	4 (5.7)		

BSI, bloodstream infection; CRE, carbapenem-resistant Enterobacteriales; CSE, carbapenem-susceptible Enterobacteriales.

<sup>a</sup>Based on univariable conditional logistic regression.

<sup>b</sup>Matching variable.

<sup>c</sup>For patients with CRE BSI, other Enterobacteriales included *Klebsiella* species not *K. pneumoniae* (*n*=1), *Enterobacter* species not *E. cloacae* (*n*=1), *Serratia* species (*n*=1), *Proteus mirabilis* (*n*=1) and *Citrobacter freundii* (*n*=1). For matched patients with CSE BSI, other Enterobacteriaceae included *Klebsiella oxytoca* (*n*=1), *Enterobacter aerogenes* (*n*=1), *Serratia marcescens* (*n*=3), *P. mirabilis* (*n*=3), *Citrobacter* species (*n*=1), *Morganella morganii* (*n*=1) and *Providencia rettgeri* (*n*=1).

<sup>d</sup>OXA-48 and NDM-1 (*n*=2), KPC-2 and VIM-1 (*n*=1), and KPC-3 and NDM-1 (*n*=1).

exposure to carbapenems and antimicrobials active against Gram-positive pathogens within 3 months before enrolment were selected in univariable analysis (Table S2). The final multivariable model included evidence of previous colonization/infection with CRE (IRR 7.32; 95% CI 1.65–32.38), and carbapenem exposure within 3 months before enrolment (IRR 2.76; 95% CI 0.95–7.99) as independent, significant risk factors for carbapenem resistance among Enterobacteriales BSI.

### Patients with CRE BSI versus uninfected patients

#### Patient characteristics

In CRE BSI and uninfected controls, over half of the patients were male [40/73 (54.8%) and 131/219 (59.8%), respectively], and overweight or obese [38/69 (55.1%) and 112/216 (51.9%), respectively]. The median age of CRE BSI patients, 69.0 (IQR 59.5–77.0) years, was significantly higher than that of uninfected patients (64.0, IQR 51.0–75.0). CRE BSI patients compared with

uninfected patients, were more often transferred from a long-term care facility [LTCF; 9/73 (12.3%) versus 11/219 (5.0%), *P*=0.003] or another acute care hospital [ACH, 14/73 (19.2%) versus 17/219 (7.8), *P*=0.001]. Contact with healthcare was more frequent for CRE BSI patients as well, including previous invasive procedures, hospitalization and LTCF residency, evidence of colonization/infection with other MDROs, and antimicrobial use. Overall, 18/68 (26.5%) of CRE BSI cases had evidence of previous colonization/infection with CRE versus only one uninfected patient [1/217 (0.5%), *P*<0.001] (Table 1).

#### Risk factor analysis

A total of 288/292 (98.6%) patients could be included in risk factor analysis. In total, 14 variables were selected in the univariable analysis including age, patient referral, LOS before enrolment, dementia, invasive procedures, previous hospitalization, chronic haemodialysis, evidence of previous colonization/infection with other MDROs, and exposure to specific antimicrobials (Table S2).

**Table 3.** Multivariable, conditional logistic regression analysis (AIC=141.77) for risk factors of carbapenem-resistant Enterobacterales bloodstream infection among adult hospitalized patients, by comparing cases with carbapenem-resistant bloodstream infections with matched controls without Enterobacterales infection admitted to 18 European hospitals between March 2016 and November 2018 (n=288)

Variables	Incidence rate ratio (95% CI)	P value
Age, y	1.03 (1.01–1.06)	0.019
Patient referral		
Admission from home	Reference	
Transfer from long-term care facility	7.19 (1.51–34.24)	0.013
Transfer from another acute care hospital	5.26 (1.61–17.11)	0.006
Invasive procedures <sup>a</sup>	5.66 (2.11–15.16)	0.001
Chronic haemodialysis	8.59 (1.82–40.53)	0.007
Evidence of previous colonization/infection with other MDROs (MRSA, VRE, ESBL-producer)	9.71 (2.33–40.56)	0.002
β-Lactam + β-lactamase inhibitor exposure	3.92 (1.68–9.13)	0.002
Third/fourth-generation cephalosporin exposure	2.75 (1.06–7.11)	0.037

BSI, bloodstream infection; CRE, carbapenem-resistant Enterobacterales; MDROs, multidrug-resistant organisms.  
<sup>a</sup>Central venous catheter, urinary catheter or mechanical ventilation within 3 mo before enrolment.

For multivariable analysis, LTCF residency, chronic dialysis and exposure to any antimicrobial were not included because of col-linearity with patient referral, chronic haemodialysis and exposure to specific antimicrobials, respectively. Evidence of previous colonization/infection with CRE was not included in the multivariable model to prevent sparse-data bias (1/217 uninfected patients was positive).  
In the final, multivariable model the independent risk factors for acquiring CRE BSI with the highest values included evidence of previous colonization/infection with other MDROs (IRR 9.71; 95% CI 2.33–40.56), chronic haemodialysis (IRR 8.59; 95% CI 1.82–40.53), patient referral (LTCF: IRR 7.19; 95% CI 1.51–34.24, another ACH: IRR 5.26; 95% CI 1.61–17.11), and invasive procedures within 3 months before enrolment (IRR 5.66; 95% CI 2.11–15.16) (Table 3).  
**Comparison of risk factors between the two multivariable models**  
Evidence of previous colonization/infection with CRE was a significant risk factor in both comparisons, strengthening the assumption that this is an important risk factor for CRE BSI. Carbapenem use, the resistance-defining antibiotic, was only identified as a risk factor ( $P=0.062$ ) in the comparison CRE versus CSE BSI, which means it should be considered as a spurious finding due to control group selection. In the comparison CRE BSI versus uninfected, many additional risk factors were identified, underlining the

strong association between healthcare exposure and risk of Enterobacterales BSI in general, including chronic haemodialysis, transfer from LTCFs and antimicrobial use.  
**Sensitivity analyses**  
In the risk factor model for CRE BSI versus CSE BSI, imputing missing data for ‘evidence of previous colonization/infection with CRE’, applying worst- or best-case scenario, carbapenem exposure within 3 months before enrolment changed from borderline to fully significant in both scenarios (Table S3). In the risk factor model for CRE BSI versus uninfected patients, imputing missing data for ‘evidence of previous colonization/infection with other MDROs’, applying worst- or best-case scenario, the significant variables remained the same (Table S4).  
Focusing on hospital-associated CRE BSIs, chronic pulmonary disease was detected as an additional factor associated with decreased risk for carbapenem resistance among patients with Enterobacterales BSI (Table S5). For the comparison CRE BSI versus uninfected, evidence of previous colonization/infection with other MDROs was no longer included as a risk factor, whereas third/fourth-generation cephalosporin and other β-lactam antibiotic exposures were now borderline significant (Table S6).

**Discussion**  
**Main findings**

In this prospective, European, multicentre, nested case-control study, a comprehensive set of patient characteristics could be compared between patients with CRE BSI, CSE BSI and without Enterobacterales infection. Our study validated prior hypotheses, while distinguishing risk factors associated with carbapenem resistance specifically and Enterobacterales infections in general. We identified evidence of previous colonization/infection with CRE as the most important risk factor for carbapenem resistance among Enterobacterales BSI in adult hospitalized patients. In addition, evidence of previous colonization/infection with other MDROs (MRSA, VRE, ESBL-producer), healthcare exposure risk, including chronic haemodialysis, invasive procedures within 3 months before enrolment, transfer from LTCF/ACH and exposure to antibiotics were important risk factors for Enterobacterales infections in general.

**Previous colonization/infection with CRE**

Our study highlights the strong association between evidence of previous colonization/infection with CRE and subsequent CRE BSI, which was found in the comparison of CRE BSI cases with CSE BSI cases, as well as with uninfected controls. Analysis of risk factors for CRE infections in general (EURECA), also confirmed the importance of colonization.<sup>12</sup> Other previous studies support the association between CRE colonization and CRE BSI among critically ill patients, mainly through comparison of CRE BSI versus uninfected patients.<sup>6,15,16</sup> In patients with liver transplantation<sup>15</sup> or haematological malignancies,<sup>6</sup> effect estimates as high as 16.6 (HR) and 11.1 (OR) were identified. This highlights the significance of regular screening of high-risk patients for presence of CRE, especially considering that in this study only 27% of patients with CRE BSI were known CRE carriers, which included information



from colonization/infection during previous hospitalizations. Other studies have found percentages as high as 54% colonization among patients developing CRE infection. In this study, 16/18 hospitals had active screening strategies for CRE, mostly for ICU patients ( $n=12$ ) and haematology, transplant or other high-risk patients ( $n=7$ ); some also screened transferred patients ( $n=2$ ), surgical patients ( $n=2$ ) or all patients ( $n=1$ ). The results of this study suggest that screening strategies might need to be extended to a larger patient population, whereby transferred patients especially would be an important target group. In addition to the patient population, the local epidemiological level of CRE plays a crucial role for active screening strategies. Only one assessment at admission could not be enough in hospitals with endemic CRE. Indeed, CRE carriage acquisition during hospital stay can occur and it is strongly associated with CRE BSI development in the following days.<sup>17,18</sup>

### **Carbapenem exposure: a spurious risk factor**

In previous studies with a case-control design, carbapenem exposure was a common risk factor for carbapenem resistance among adult hospitalized patients with Enterobacterales BSI.<sup>4,5,19–22</sup> In the current study, using the case-control-control design, qualitative comparison of the two models indicated that the association between carbapenem use and carbapenem exposure was a spurious finding associated with control group selection bias.<sup>10,23</sup> If patients with CSE BSI are selected as controls, it is unlikely that these patients would have had exposure to carbapenems, as this would have eliminated colonization by any CSE, and thus would have greatly reduced the likelihood of development of CSE BSI. Carbapenem exposure was not identified as a risk factor in the comparison between CRE BSI and uninfected patients, where this selection bias does not play a role, underlining the importance of control group selection in these types of studies.<sup>8,9</sup> On the other hand, identification of risk factors may also depend on the mechanisms of carbapenem resistance considered. A multicentre case-control study in Singapore indicated that carbapenem exposure was an independent risk factor for non-carbapenemase-producing CRE versus carbapenemase-producing Enterobacteriaceae.<sup>24</sup> This further supports the conclusion that carbapenem exposure was not a risk factor in this study, where 96% of CRE were associated with carbapenemase production.

### **Study limitations**

Our study has a few limitations. Firstly, despite recruiting from multiple healthcare facilities, only 73 CRE BSI cases could be enrolled, limiting the number of variables that could be considered in the multivariable model. Secondly, matching criteria for LOS before enrolment were not met for five controls, possibly confounding results. To mitigate this, LOS before enrolment was considered for risk factor analysis. Thirdly, for evidence of previous CRE colonization/infection, we had to depend on local screening and culturing practices. Nevertheless, this reflects the true daily practice. Finally, evidence of previous colonization/infection with CRE could not be included in the multivariable model for the comparison between CRE BSI and uninfected patients due to sparse-data bias;<sup>25</sup> only one positive, uninfected patient was detected.

### **Conclusions**

In conclusion, based on a multicentre, matched, case-control-control study, which considered a variety of possible risk factors, evidence of previous colonization/infection with CRE was identified as a major risk factor for CRE BSI. For Enterobacterales BSI in general, other important high-risk patient characteristics include higher age, evidence of previous colonization/infection with other MDROs, or previous healthcare exposure, including chronic haemodialysis, invasive procedures during the past 3 months, transfer from LTCF/ACH, and specific antibiotic exposure. These risk factors should be considered to inform targeted screening and infection control measures to reduce CRE BSI risk. Novel approaches to successfully decolonize CRE carriers would be vital to further improve patient safety.

### **Acknowledgements**

We thank Joost Schotsman MSc from Utrecht University for his support in data management. We thank all onsite investigators for dedicating their time to field investigation, data collection and microbiological diagnosis: Jesus Sojo-Dorado, Almudena de la Serna, Sophie Monteau, Virginia Palomo, Elena Soriano, David Gutiérrez, Elisa Moreno, Zaira Palacios, Isabel Morales and Natalia Maldonado (from Hospital Universitario Virgen Macarena, Seville, Spain); Jose Maria Reguera, Lucia Valiente de Santis, Antonio Plata Ciezar, Juan Diego Ruiz Mesa, Beatriz Sobrino Diaz, Ignacio Marquez Gomez and Ines Perez Camacho (from Servicio de Enfermedades Infecciosas, Hospital Regional Universitario de Málaga. Ibima, Málaga, Spain); Begoña Palop (from Servicio de Microbiología, Hospital Regional Universitario de Málaga, Ibima, Málaga, Spain); Julian Torre-Cisneros, Angela Cano, Azahara Frutos-Adame, Julia Guzman-Puche, Irene Gracia-Ahufinger, Elena Perez-Nadales and Julian Torre-Gimenez (from Hospital Universitario Reina Sofia, Cordoba, Spain); Athina Pyrpasopoulou (from 2nd Propedeutic Department of Internal Medicine, Aristotle University, Hippokration Hospital, Thessaloniki, Greece); Elias Iosifidis and Elsa Chorafa (from 3rd Department of Pediatrics, Aristotle University, Hippokration Hospital, Thessaloniki, Greece); Biljana Carevic and Snezana Jovanovic (from Clinical Center of Serbia, Belgrade, Serbia); Ivana Radovanovic, Sladjana Petrovic and Slavica Cvetkovi (from Department of Hospital Epidemiology, Clinical Center of Serbia, Belgrade, Serbia); Lili Radulovic, Srdjan-Sanja Melentijevic, Natasa Miljkovic and Ana Perucica (from Zvezdara University Medical Center, Belgrade, Serbia); Cenk Kirakli, Can Bicmen and Gunes Senol (from Dr Suat Seren Chest Diseases and Surgery Training Hospital, Izmir, Turkey); Evelyn Shaw [from Department of Infectious Diseases, Hospital Universitari de Bellvitge, Institut d'Investigacions Biomèdiques de Bellvitge/Institut Investigacions Biomèdiques de Bellvitge (IDIBELL)]; Fe Tubau and Jordi Camara [from Microbiology Department, Hospital Universitari de Bellvitge, IDIBELL, Barcelona, Spain and Ciber de Enfermedades Respiratorias (CIBERes), ISCIII, Madrid, Spain]; Victor Daniel Gumucio (from Intensive Care Unit, Hospital Universitari de Bellvitge, IDIBELL, Barcelona, Spain); George L. Daikos and John Deliolas (from Laiko General Hospital, Athens, Greece); Matthew E. Falagas (from Henry Dunant Hospital and Metropolitan General Hospital, Athens, Greece); Vassiliki Ch. Pitiriga, Nikolaos Triarides and Efstathia Argiti (from Henry Dunant Hospital, Athens, Greece); Nikolaos J. Legakis and Kyriakidou Margarita (from Metropolitan General Hospital, Athens, Greece); Desirée Gijón-Cordero and Patricia Ruiz-Garbajosa [from Hospital Universitario Ramón y Cajal and Instituto Ramón y Cajal de Investigación Sanitaria (IRYCIS), Madrid, Spain]; Amaya Suarez (from Hospital Universitario Ramón y Cajal, Madrid, Spain); Alessandro Bartoloni and Gian Maria Rossolini (from Florence University Hospital, Florence, Italy); Simin-Aysel Florescu and

Maria Nica (from Infectious and Tropical Diseases Hospital ‘Dr Victor Babes’, Bucharest, Romania); Serban Benea and Daniela Talapan (from The National Institute of Infectious Diseases Matei Bals, Bucharest, Romania); Adriana Hristea (from University of Medicine and Pharmacy ‘Carol Davila’, Bucharest, Romania); Sanja Maričić Prijić (from University of Novi Sad, Faculty of Medicine and Clinical Center of Vojvodina, Novi Sad, Serbia); Anita Jovetic and Marija Zivanovic Milenkovic (from Institute of Public Health of Vojvodina, Novi Sad, Serbia); Angel Asensio, Mireia Cantero Caballero, Lina M. Parra Ramirez and Belen Ruiz-Antoran (from Preventive Medicine Department, Hospital Universitario Puerta del Hierro, Madrid, Spain); Rocio Layunta-Acero and Belen Ruiz-Antoran (from Clinical Pharmacology Department, Hospital Universitario Puerta del Hierro, Madrid, Spain); Volkan Korten, Hüseyin Bilgin and Ufuk Hasdemir (from Marmara University School of Medicine, Istanbul, Turkey); George N. Dalekos, Aggelos Stefanos and Efthymia Petinaki (from University Hospital of Larissa, Larissa, Greece); Nikolaos Spyridis (from Aglaia Kyriakou Children’s Hospital, Athens, Greece); Athanasios Michos (from Aghia Sophia Children’s Hospital, National and Kapodistrian University of Athens, Athens, Greece); Francesco Giuseppe De Rosa and Rossana Cavallo (from Molinette Teaching Hospital, Torino, Italy); Nicola Petrosillo and Antonio Dicaro (from National Institute for Infectious Diseases Lazzaro Spallanzani, Rome, Italy); Pierluigi Viale and Maria Paola Landini (from Policlinico Sant’Orsola Malpighi, Bologna, Italy); Marta Luisa Ciofi degli Atti (from Ospedale Pediatrico Bambino Gesù, Rome, Italy); Mileva Masanovic, Dusan Matkovic, Dragan Satic and Milena Lopacic (from Clinical Center of Montenegro, Institute of Public Health from Montenegro, Montenegro); Sotirios Tsioudras and Loukia Zerva (from Attikon General Hospital, National and Kapodistrian University of Athens, Athens, Greece); Francesco Blasi, Marta Di Pasquale and Milena Arghittu (from IRCCS Fondazione Ca Granda Ospedale Maggiore Policlinico, Milano, Italy); Claudio Viscoli, Daniele Roberto Giacobbe and Anna Marchese (from IRCCS Ospedale Policlinico San Martino, Genova, Italy); Andrei Vata and Olivia Dorneanu (from Clinical Hospital of Infectious Diseases of Iasi, Iasi, Romania); Perlat Kapiszyi (from University Hospital of Lung Diseases ‘Shefqet Ndroqi’, Tirana, Albania); Silva Tafaj (from Microbiology Department, University Hospital of Lung Diseases ‘Shefqet Ndroqi’, Tirana, Albania); Adriana Vince (from University Hospital for Infectious Diseases, Zagreb, Croatia); Arjana Tambic Andrasevic and Iva Butic (from Department of Clinical Microbiology of University Hospital for Infectious Diseases, Zagreb, Croatia); Evdokia Tsigou, Alexandra Gavala and Theodora Biniari (from Agioi Anargiroi Hospital, Athens, Greece); Efstratios Maltezos (from University Hospital of Alexandroupolis, Alexandroupolis, Greece); Apostolos Komnos, Spyros Karagiannis, Maria Tsalakou and Ioanna Voulgaridi (from General Hospital of Larissa, Larissa, Greece); Charalampos Gogos and Iris Spiliopoulou (from University Hospital of Patras, Patras, Greece); Fabio Franzetti and Sara Rimoldi (from Hospital Luigi Sacco, Milan, Italy); Massimo Antonelli, Gennaro De Pascale, Valentina Di Gravio and Teresa Spanu (from Policlinico Universitario Agostino Gemelli, Rome, Italy); Mihaela Lupse and Mirela Flonta (from Cluj Napoca Infectious Diseases Clinical Hospital, Cluj Napoca, Romania); Dan Corneci and Mariana Buzea (from Elias University Emergency Hospital, Bucharest, Romania); Dana Tomescu, Alexandra Marcu and Camelia Ghita (from Fundeni Clinical Hospital, Bucharest, Romania); Anca Georgescu, Leonard Azamfirei and Edit Székely (from Mures County Clinical Emergency Hospital, Targo Mures, Romania); Goran Mitrović, Ljiljana Bukarica and Teodora Vitorovic (from Clinical Center of Dragisa Misovic, Belgrade, Serbia); Nataša Lukić Krstić, Goran Mitrovic, Branislava Kocic and Marina Dinic (from Clinical Center Niš, Nis, Serbia); Lul Raka (from University of Prishtina ‘Hasan Prishtina’ and National Institute of Public Health of Kosovo, Prishtina, Kosovo); Arsim Kurti (from National Institute of Public Health of Kosovo, Prishtina, Kosovo); Beatriz Diaz-Pollán, Belen Loeches and Jose Ramón Arribas López [from IdiPAZ (La Paz Institute for Health Research) and Hospital Universitario La Paz and CIBERINFEC, Madrid, Spain]; Julia Origuen

Sabater and Fernando Chaves (from Hospital Universitario 12 de Octubre, Madrid, Spain); Patricia Muñoz (from Hospital Gregorio Marañón, Madrid, Spain); Alpay Azap, Ceren Karahan (from Ankara University Faculty of Medicine, Ankara, Turkey); Banu Sancak and Arife Sahin (from Hacettepe University School of Medicine, Ankara, Turkey); Halis Akalin and Cüneyt Ozakin (from Uludag University, Bursa, Turkey).

The authors submitted an abstract to the 32nd European Congress of Clinical Microbiology and Infectious Diseases (ECCMID; 23–26 April 2022), and gave an oral presentation (Abstract/Presentation Number: 3077/00825).

## Funding

Innovative Medicines Initiative Joint Undertaking through the COMBACTE-NET (grant agreement no. 115523) and COMBACTE-CARE (grant agreement no. 115620) projects, resources of which are composed of financial contributions from the European Union’s Seventh Framework Programme (FP7/2007–2013) and EFPIA companies’ in kind contribution. S.P.-G., J.B.-F., M.P.-G., R.C., J.T.-C., V.P., B.G.-G. and J.R.-B. receive overarching funding for research by Plan Nacional de I+D+I, Instituto de Salud Carlos III, Subdirección General de Redes y Centros de Investigación Cooperativa, Ministerio de Ciencia, Innovación y Universidades, Spanish Network for Research in Infectious Diseases (REIPI RD16/0016/0001, RD16/0016/0008, RD16/0016/0011) and CIBERINFEC (21/13/00012, 21/13/00048, 21/13/00084) co-financed by the European Development Regional Fund ‘A way to achieve Europe’, Operative Program Intelligence Growth 2014–2020. N.B. received a grant from the Swiss National Science Foundation (Grant Number: P4P4PM\_194449). H.Z. received a grant from the Joint Project of Chongqing Science and Technology Bureau and Chongqing Health Commission of China (Grant Number: 2020FYYX005).

## Transparency declarations

E.R. has served as consultant to Amplyx, Astellas, Gilead, MSD, Pfizer, Scynexis, GSK and Shionogi. J.T.-C. reports supports from MSD, Pfizer, Menarini and Shionogi, has served as consultant to MSD, participated on Advisory Boards from Shionogi and MSD, and reports travel supports from Gilead, Shionogi and Pfizer. L.K.T. is an employee of, and holds stocks and shares in, GlaxoSmithKline. M.A. reports research support from Pfizer and speaker fees for educational activities paid to the University accounts by Pfizer, Gilead and Sanofi. V.P. has participated in accredited educational activities sponsored by MSD, Pfizer and Shionogi and has been a consultant for Pfizer, Shionogi and Correvio. M.B. reports grants paid to his institution from Janssen Vaccines, Novartis, CureVac and Merck; participation in Advisory Boards with payment to his institution from Spherycydes, Pfizer, Merck and Astra-Zeneca, and participation in Data Safety Monitoring Boards with payment to his institution from Sanofi. All other authors have no conflicts to declare.

## Author contributions

Conceptualization: J.R.-B., B.G.-G., J.B.-F., M.E.A.dK., J.F., R.C., H.G. and M.B. Data curation: S.P.-G., M.P.-G., J.B.-F., M.E.A.dK., J.S., J.F., H.Z. and T.K. Formal analysis: H.Z., M.E.A.dK., J.S., N.B., B.G.-G., S.P.-G., J.B.-F., J.F. and J.R.-B. Funding acquisition: J.R.-B., L.K.T., H.G. and M.B. Investigation: S.P.-G., J.B.-F., B.G.-G., M.P.-G., T.K., R.C., L.K.T., D.B., V.P., E.R., G.D., J.T.-C., D.M. and M.A. Methodology: J.R.-B., M.E.A.dK., N.B., J.S., B.G.-G., J.B.-F., T.K., J.F., H.G. and M.B. Project administration: J.B.-F., L.K.T., H.G., M.B. and J.R.-B. Resources: R.C., D.B., V.P., E.R., G.D., J.T.-C., D.M., M.A., H.G., M.B. and J.R.-B. Software: J.B.-F. Supervision: M.E.A.dK., N.B., S.H., J.R.-B., H.G. and M.B. Validation: M.E.A.dK., N.B., J.B.-F. and J.R.-B. Visualization: H.Z., S.P.-G., J.B.-F., N.B., M.E.A.dK. and J.R.B. Writing—original draft: H.Z., M.E.A.dK., N.B., J.B.-F. and J.R.-B. Writing—review & editing: H.Z., N.B.,

S.P.-G., J.B.-F., B.G.-G., M.P., J.F., J.S., T.K., R.C., L.K.T., D.B., V.P., E.R., G.D., J.T.-C., D.M., M.A., H.G., M.B., S.H., J.R.-B. and M.E.A.dK. All authors read and approved the final version of the manuscript.

## Supplementary data

Tables S1 to S6 are available as [Supplementary data](#) at JAC Online.

## References

- World Health Organization. Guidelines for the prevention and control of carbapenem-resistant Enterobacteriaceae, *Acinetobacter baumannii* and *Pseudomonas aeruginosa* in health care facilities. WHO, 2017. <https://iris.who.int/bitstream/handle/10665/259462/9789241550178-eng.pdf?sequence=1&isAllowed=y>
- Cassini A, Hogberg LD, Plachouras D *et al.* Attributable deaths and disability-adjusted life-years caused by infections with antibiotic-resistant bacteria in the EU and the European economic area in 2015: a population-level modelling analysis. *Lancet Infect Dis* 2019; **19**: 56–66. [https://doi.org/10.1016/S1473-3099\(18\)30605-4](https://doi.org/10.1016/S1473-3099(18)30605-4)
- Tomczyk S, Zanichelli V, Grayson ML *et al.* Control of carbapenem-resistant Enterobacteriaceae, *Acinetobacter baumannii*, and *Pseudomonas aeruginosa* in healthcare facilities: a systematic review and reanalysis of quasi-experimental studies. *Clin Infect Dis* 2019; **68**: 873–84. <https://doi.org/10.1093/cid/ciy752>
- Gupta P, Bollam N, Mehta Y *et al.* Risk factors associated with carbapenem-resistant *Klebsiella pneumoniae* bloodstream infections in a tertiary-care hospital in India. *Infect Control Hosp Epidemiol* 2021; **42**: 1418–20. <https://doi.org/10.1017/ice.2020.1280>
- Hsu JY, Chuang YC, Wang JT *et al.* Healthcare-associated carbapenem-resistant *Klebsiella pneumoniae* bloodstream infections: risk factors, mortality, and antimicrobial susceptibility, 2017–2019. *J Formos Med Assoc* 2021; **120**: 1994–2002. <https://doi.org/10.1016/j.jfma.2021.04.014>
- Zhang PP, Wang J, Hu HB *et al.* Clinical characteristics and risk factors for bloodstream infection due to carbapenem-resistant *Klebsiella pneumoniae* in patients with hematologic malignancies. *Infect Drug Resist* 2020; **13**: 3233–42. <https://doi.org/10.2147/IDR.S272217>
- Zheng X, Wang JF, Xu WL *et al.* Clinical and molecular characteristics, risk factors and outcomes of carbapenem-resistant *Klebsiella pneumoniae* bloodstream infections in the intensive care unit. *Antimicrob Resist Infect Control* 2017; **6**: 102. <https://doi.org/10.1186/s13756-017-0256-2>
- Behar PRP, Teixeira PJZ, Fachel JMG *et al.* The effect of control group selection in the analysis of risk factors for extended spectrum beta-lactamase-producing *Klebsiella pneumoniae* infections. A prospective controlled study. *J Hosp Infect* 2008; **68**: 123–9. <https://doi.org/10.1016/j.jhin.2007.10.022>
- Harris AD, Samore MH, Lipsitch M *et al.* Control-group selection importance in studies of antimicrobial resistance: examples applied to *Pseudomonas aeruginosa*, enterococci, and *Escherichia coli*. *Clin Infect Dis* 2002; **34**: 1558–63. <https://doi.org/10.1086/340533>
- Schechner V, Temkin E, Harbarth S *et al.* Epidemiological interpretation of studies examining the effect of antibiotic usage on resistance. *Clin Microbiol Rev* 2013; **26**: 289–307. <https://doi.org/10.1128/CMR.00001-13>
- Gutierrez-Gutierrez B, Sojo-Dorado J, Bravo-Ferrer J *et al.* European prospective cohort study on Enterobacteriaceae showing REsistance to Carbapenems (EURECA): a protocol of a European multicentre observational study. *BMJ Open* 2017; **7**: e015365. <https://doi.org/10.1136/bmjopen-2016-015365>
- Pérez-Galera S, Bravo-Ferrer JM, Paniagua M *et al.* Risk factors for infections caused by carbapenem-resistant Enterobacteriales: an international matched case-control study (EURECA). *EClinicalMedicine* 2023; **57**: 101871. <https://doi.org/10.1016/j.eclinm.2023.101871>
- American College of Chest Physicians/Society of Critical Care Medicine Consensus Conference: definitions for sepsis and organ failure and guidelines for the use of innovative therapies in sepsis. *Crit Care Med* 1992; **20**: 864–74. <https://doi.org/10.1097/00003246-199206000-00025>
- Labrecque JA, Hunink MMG, Ikram MA *et al.* Do case-control studies always estimate odds ratios? *Am J Epidemiol* 2021; **190**: 318–21. <https://doi.org/10.1093/aje/kwaa167>
- Giannella M, Bartoletti M, Morelli MC *et al.* Risk factors for infection with carbapenem-resistant *Klebsiella pneumoniae* after liver transplantation: the importance of pre- and posttransplant colonization. *Am J Transplant* 2015; **15**: 1708–15. <https://doi.org/10.1111/ajt.13136>
- Kontopoulou K, Iosifidis E, Antoniadou E *et al.* The clinical significance of carbapenem-resistant *Klebsiella pneumoniae* rectal colonization in critically ill patients: from colonization to bloodstream infection. *J Med Microbiol* 2019; **68**: 326–35. <https://doi.org/10.1099/jmm.0.000921>
- Cano Á, Gutiérrez-Gutiérrez B, Machuca I *et al.* Association between timing of colonization and risk of developing *Klebsiella pneumoniae* carbapenemase-producing *K. pneumoniae* infection in hospitalized patients. *Microbiol Spectr* 2022; **10**: e0197021. <https://doi.org/10.1128/spectrum.01970-21>
- Bredin S, Charpentier J, Mira JP *et al.* Impact of colonization with multidrug-resistant bacteria on the risk of ventilator-associated pneumonia in septic shock. *J Crit Care* 2022; **71**: 154068. <https://doi.org/10.1016/j.jcrc.2022.154068>
- Li YY, Li JH, Hu T *et al.* Five-year change of prevalence and risk factors for infection and mortality of carbapenem-resistant *Klebsiella pneumoniae* bloodstream infection in a tertiary hospital in North China. *Antimicrob Resist Infect Control* 2020; **9**: 79. <https://doi.org/10.1186/s13756-020-00728-3>
- Yuan Y, Wang J, Yao Z *et al.* Risk factors for carbapenem-resistant *Klebsiella pneumoniae* bloodstream infections and outcomes. *Infect Drug Resist* 2020; **13**: 207–15. <https://doi.org/10.2147/IDR.S223243>
- Xiao T, Zhu Y, Zhang S *et al.* A retrospective analysis of risk factors and outcomes of carbapenem-resistant *Klebsiella pneumoniae* bacteremia in nontransplant patients. *J Infect Dis* 2020; **221**: S174–83. <https://doi.org/10.1093/infdis/jiz559>
- Liu J, Wang H, Huang Z *et al.* Risk factors and outcomes for carbapenem-resistant *Klebsiella pneumoniae* bacteremia in onco-hematological patients. *J Infect Dev Ctries* 2019; **13**: 357–64. <https://doi.org/10.3855/jidc.11189>
- Harris AD, Carmeli Y, Samore MH *et al.* Impact of severity of illness bias and control group misclassification bias in case-control studies of antimicrobial-resistant organisms. *Infect Control Hosp Epidemiol* 2005; **26**: 342–5. <https://doi.org/10.1086/502549>
- Marimuthu K, Ng OT, Chong BPZ *et al.* Antecedent carbapenem exposure as a risk factor for non-carbapenemase-producing carbapenem-resistant Enterobacteriaceae and carbapenemase-producing Enterobacteriaceae. *Antimicrob Agents Chemother* 2019; **63**: e00845-19. <https://doi.org/10.1128/AAC.00845-19>
- Greenland S, Schwartzbaum JA, Finkle WD. Problems due to small samples and sparse data in conditional logistic regression analysis. *Am J Epidemiol* 2000; **151**: 531–9. <https://doi.org/10.1093/oxfordjournals.aje.a010240>