



Article scientifique

Article

2018

Accepted version

Open Access

This is an author manuscript post-peer-reviewing (accepted version) of the original publication. The layout of the published version may differ .

National environmental limits and footprints based on the Planetary Boundaries framework: The case of Switzerland

Dao, Quoc-Hy; Peduzzi, Pascal; Friot, Damien

How to cite

DAO, Quoc-Hy, PEDUZZI, Pascal, FRIOT, Damien. National environmental limits and footprints based on the Planetary Boundaries framework: The case of Switzerland. In: Global Environmental Change, 2018, vol. 52, p. 49–57. doi: 10.1016/j.gloenvcha.2018.06.005

This publication URL: <https://archive-ouverte.unige.ch/unige:105786>

Publication DOI: [10.1016/j.gloenvcha.2018.06.005](https://doi.org/10.1016/j.gloenvcha.2018.06.005)

National environmental limits and footprints based on the Planetary Boundaries framework: the case of Switzerland.

[Hy Dao](#)^{1,2}, [Pascal Peduzzi](#)^{1,2,3}, [Damien Friot](#)⁴

¹ University of Geneva, Institute for Environmental Sciences, GRID-Geneva, bd Carl-Vogt 66, 1211 Geneva 4, Switzerland

² University of Geneva, Institute for Environmental Sciences, Environmental Governance and Territorial Development, bd Carl-Vogt 66, 1211 Geneva 4, Switzerland

³ United Nations Environment Programme, Science Division, 11, ch. des Anémones, 1219 Châtelaine, Switzerland

⁴ EA - Shaping Environmental Action, rue Faller 2, 1202, Geneva, Switzerland

Corresponding author

Hy Dao

University of Geneva

Institute for Environmental Sciences

bd Carl-Vogt 66

1211 Geneva 4

Switzerland

Tel: +41 22 379 08 78

Fax: +41 22 379 07 89

E-mail: hy.dao@unige.ch

Acknowledgements

Funding: This work was supported by the Swiss Federal Office for the Environment [Research Contract No 12.0042.PJ / M455-0302].

We would like to thank FOEN for funding the research and for facilitating access to data and to experts. Our special thanks to Andreas Hauser and Loa Buchli (FOEN). Their critical review and facilitation in contacting FOEN experts have greatly helped in the success and quality of this research.

The authors also express their thanks to the members of the Advisory group convened by FOEN, to individual experts consulted, as well as to the participants of the workshop held in Bern for their inputs (see list of individual names in supplementary material).

Highlights

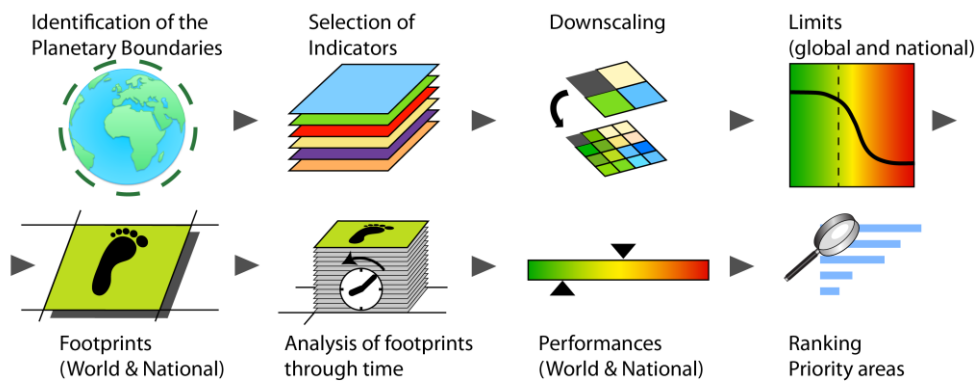
- Planetary Boundaries: going from bio-physical to related socio-economic indicators
- Setting limits at country level considering the role of countries and people needs
- Limits and footprints are computed for the world and for a case study: Switzerland
- Global priorities: Climate Change, Ocean Acidification, Biodiversity, Nitrogen Loss

National environmental limits and footprints based on the Planetary Boundaries framework: the case of Switzerland.

Abstract

The Planetary Boundaries concept is a recent scientific framework, which identifies a set of nine bio-physical limits of the Earth system that should be respected in order to maintain conditions favourable to further human development. Crossing the suggested limits would lead to drastic changes in human society by disrupting some of the ecological bases that underlie the current socio-economic system. As a contribution to the international discussion, and using the case of Switzerland, this study proposes a methodology to apply the Planetary Boundaries concept on the national level. Taking such an approach allows to assess the environmental sustainability of the socio-economic activities (e.g. consumption) by the inhabitants of a country in a long-term global perspective, assuming that past, current and future populations on Earth have similar "rights" to natural resources. The performance of countries is evaluated by comparing the country limits with their environmental footprints according to a consumption-based perspective. An approach was developed to: i) better characterise the Planetary Boundaries and understand which limits can effectively be currently quantified; ii) identify related socio-economic indicators for which both country limits and footprints can be computed; iii) compute values for limits, footprints and performances (at global and country level); and iv) suggest priorities for action based on the assessment of global and national performances. It was found that Switzerland should, as a priority, act on its footprints related to Climate Change, Ocean Acidification, Biodiversity Loss and Nitrogen Loss. The methodology developed herein can be applied to the analysis of other countries or territories, as well as extended to analyse specific economic sectors.

Graphical abstract



26

27 Keywords

28 Planetary Boundaries, Green Economy, national footprints, Switzerland

29

30 1. Introduction

31 Since the 1950s, the extraction of natural resources and related environmental impacts have
32 greatly accelerated worldwide (Steffen et al., 2015a). Human activities now generate ever-more
33 significant pressures on the global environment: climate change, deforestation, biodiversity losses,
34 and decline in air and water quality have been recognised as important issues which need to be
35 addressed (UNEP, 2012).

36 The concept of Planetary Boundaries (PBs) is a fairly recent one (Rockström et al., 2009). The
37 PBs are a set of nine physical and biological limits of the global Earth system that should be
38 respected in order not to leave a “Safe Operating Space” that would put the planet’s human-
39 friendly living conditions in peril. The most known PB is Climate Change, but other global limits
40 have been identified: Stratospheric ozone depletion, Atmospheric aerosol loading, Land system
41 change, Biodiversity loss, Nitrogen and phosphorus inputs to the biosphere and oceans, Global
42 freshwater use and Chemical pollution. The PBs are the most recent scientific framework to
43 consider global environmental limits; the concept was updated in 2015 (Steffen et al., 2015b).

44 The PB framework has a strong potential for guiding the environmental policy discussion. To play
45 such a role, the global biophysical information provided by the PBs has to be converted to
46 information related to human activities at the national level. This is essential due to the fact that,
47 while there exists an international environmental governance regime with more than 500
48 multilateral agreements, actions are led by national governments.

49 The relevance of PBs to national policies was highlighted in April 2017 during the conference
50 “Making the Planetary Boundaries Concept Work” in Berlin (Keppner, 2017), following international
51 workshops in Geneva (2013) and Brussels (2015) with an increasing number of attendees from
52 political institutions, academia and the private sector, showing the growing interest in this concept.

53 Many environmental indicators are already produced by countries as part of their reporting
54 obligations to international agreements. These indicators at national scale are in their vast majority
55 examining the environment from a territorial perspective; e.g., reporting on domestic greenhouse
56 gas emissions under the Kyoto Protocol. Footprints, or consumption-based indicators applying a

Life Cycle Perspective, provide a complementary approach to the Sustainable Development Goals and other sustainability monitoring particularly relevant for the evaluation of the performance of countries with respect to global issues.

Such a perspective (Figure 1) is increasingly relevant in our interlinked global economy (Friot, 2009) since an increasing part of the impacts within a given country or territory is generated to satisfy consumers in other countries.

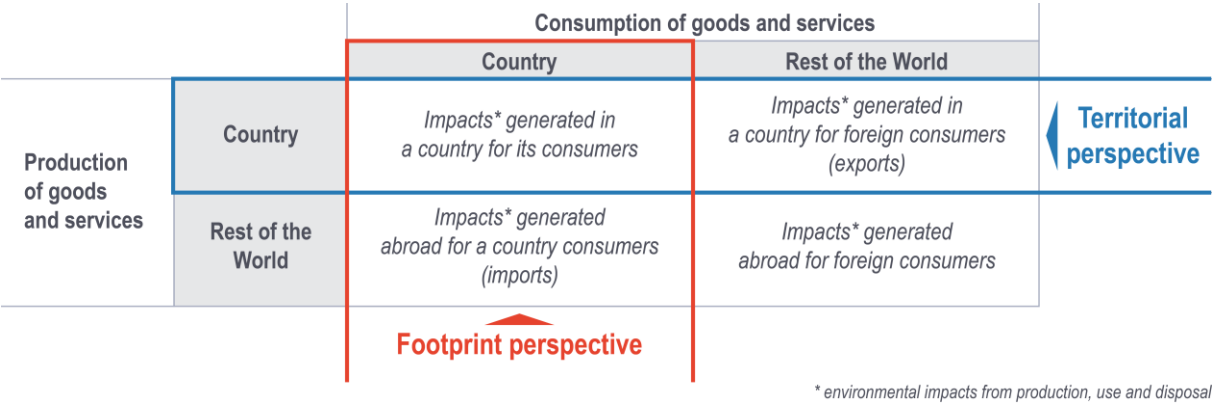


Figure 1. Territorial versus Footprint (or consumption) approach

This is especially the case for small, open and service-oriented economies such as Switzerland. More than half of the environmental impacts induced by the consumption of Swiss residents occur abroad (Jungbluth et al., 2011; Frischknecht et al., 2014). This proportion has been rising from 1996 to 2011 (Frischknecht et al., 2014), and can be explained to a large extent by the fact that Switzerland is a growing economy with a high share of services, but one relying on other parts of the world for production of the goods consumed internally.

This is true for most developed countries. The EU also largely relies on the rest of the world for its consumption as shown by its carbon, water and land footprints. Other countries such as Brazil or China are, on the contrary, providing their resources to other countries (Tukker et al., 2014).

In this paper, we present the first consistent methodology to guide national governments in their reflection about the potential of environmental indicators based on the PB framework. The resulting indicators offer an indication of the environmental sustainability of the socio-economic activities induced by the consumption of the inhabitants of a country in a long-term global perspective.

78 Our present research was developed with the aim that the downscaling of Planetary Boundaries
79 and the quantification of the impacts of consumption can be replicated for any country or territory.
80 It builds up on a preceding partial assessment for Sweden (Nykvist et al., 2013), which was the
81 pioneer study applying the PB framework at the national level. They applied this framework to
82 Sweden to address four policy questions, and thus were applied to four PBs using both territorial
83 and consumption analysis. Fang et al. (2015) proposed another assessment, covering 28
84 countries, but they identified as a limitation of their study a lack of consistency in the choice of the
85 system perspective, concluding that in future assessments both numerator (current footprints) and
86 denominator (limit value) should be either production-based (territorial) or consumption-based.

87 Two other studies used the PB framework and its extension of social well-being, known as "the
88 Safe and Just Operating Space" (Raworth, 2012). One at the national level for South Africa (Cole
89 et al., 2014) is based on national data sets and experts' judgements, while Dearing et al. (2014)
90 produced an analysis for two low-income rural communities in China. These studies consider
91 regional rather than global sustainability. The environmental processes and the limits considered
92 are loosely connected from the original Planetary Boundaries. A study in Europe (Hoff et al., 2014)
93 applied a straightforward equal per capita allocation of the Planetary Boundaries and a
94 consumption based quantification of the European environmental impacts, but did not address the
95 historical responsibility of the footprints.

96 By consistent methodology, we imply: a) the proposition of several types of indicators considering
97 yearly limits and limits over time; b) the consideration of people and countries' needs; c) the
98 conversion of biophysical indicators into indicators that can be related to socio-economic activities
99 enabling the computation of limits and of footprints; and d) the computation of performance
100 indicators relying on quantitative results and long-term trends.

101 This new methodology can be used for computing limits at the national level as well as for
102 estimating the current status of the impacts induced by each country, not only on their territory, but
103 also through the consumption of its inhabitants (footprints). As this research began in 2014, it uses
104 the references and terminology from the initial PB framework as developed by (Rockström et al.,
105 2009). The subsequent PB framework from (Steffen et al., 2015b) provides several improvements

106 and updates, but was published at a stage where the current research was already too advanced.
107 Also, for adapting the PB concept to national entities, the indicators needed to be adapted by
108 moving up in the causal chain, e.g. if we use the DPSIR framework from *States* (Green House
109 Gases (GHG) concentration and radiative forcing) to *Pressure* (emissions of GHG).

110

111 2. Limits of the planet: review from concepts to integration into policy

112 2.1. *Evolution of the international awareness*

113 International awareness of the limits of our planet has been increasing since the 1950s and
114 warnings have been expressed about the dead ends of continuous growth on a finite planet
115 (Boulding, 1966).

116 In the early 1970s, the report from the Club of Rome "The Limits to Growth" (Meadows et al.,
117 1972), using dynamic models, and Georgescu Roegen, who applied the laws of thermodynamics
118 to the economy (Georgescu-Roegen, 1979, 1971), both denounced the impossibility of continuous
119 economic growth based on natural resources. During this same time, international recognition of
120 the importance of the environment took off. For example, the Ramsar Convention on Wetlands
121 was signed in 1971, and the United Nations Conference on the Human Environment was held in
122 1972 in Stockholm, leading to the creation of the United Nations Environment Programme (UNEP)
123 the same year. During these years, the concept of "carrying capacity" was applied to estimate how
124 large a population could be supported by a given area in the long term. Then, to go beyond this
125 neo-Malthusian model of demographic limits and fixed resources, the IPAT equation (Ehrlich and
126 Holdren, 1971) has been proposed. The impacts on the environment (I) are not only a function of
127 population size (P), but also of affluence (A), i.e. consumption per capita, and technology (T).

128 In the mid-1980s, the Chernobyl nuclear accident (1986) and the discovery of the ozone hole (and
129 the subsequent signing of the Montreal Protocol in 1987) demonstrated that environmental impacts
130 do not stop at national borders. The research on global environmental change revealed that a
131 cluster of other concerns, e.g. deforestation, pollution and decline of biodiversity, are global and
132 can threaten the ecosystems that sustain human well-being (Turner II et al., 1990). The

133 sustainable development concept gained broad recognition with the Brundtland Report (United
134 Nations, 1987). Since then, development has no longer been only about economic growth, but
135 includes social and environmental dimensions.

136 The 1990s brought the recognition of global environmental issues. The first report from the Inter-
137 Governmental Panel on Climate Change (IPCC, 1990) and the United Nations Conference on
138 Environment and Development (UNCED, Rio 1992) led to the three main global conventions
139 related to biological diversity (CBD), climate change (UNFCCC) and desertification (UNCCD).
140 During this period, the ecological footprint concept was developed by (Rees, 1992; Wackernagel,
141 1994). It integrates the multiple impacts of human consumption in a normalised unit of “global
142 hectares” that would be needed to regenerate the natural capital consumed (energy, biomass,
143 materials, water, etc.). This ecological footprint is then compared to the biocapacity of the Earth to
144 provide a synthetic perspective of the number of Earths needed to sustain current lifestyle and
145 consumption patterns.

146 Since 2000, the Millennium Development Goals (MDGs) were introduced by the United Nations,
147 with Goal 7 “to ensure environmental sustainability” setting concrete targets and indicators for the
148 period 2000-2015. The post-2015 agenda was adopted in September 2015, including 17
149 Sustainable Development Goals (SDG) with 169 targets; SDG 12 is dedicated to sustainable
150 consumption and production. The year 2015 also saw the greatest progress on climate change
151 policy with the adoption of the Paris Agreement at the COP-21, aiming at keeping global
152 temperature rise between 1.5 and 2°C as compared with pre-industrial temperatures.

153 *2.2. Multiple concepts to address the limits of the Planet*

154 Several concepts have thus been developed to address the limited capacities of the Planet to cope
155 with global environmental impacts, among which are:

- 156 a) Limits (limit to growth, carrying capacity).
- 157 b) Policy targets (MDGs, SDGs, internationally agreed environmental goals drawn from
158 existing international treaties and non-legally binding instruments
159 (<http://geg.informea.org/about>)).

c) Footprints based on a Life Cycle Perspective (carbon footprint, water footprint, biodiversity footprint, land use footprint, et al.).

The concept of PBs, first published in 2009 (Rockström et al., 2009) and later updated in 2015 (Steffen et al., 2015b) is in the first category, i.e. a limit. It is important to stress that limits such as PBs are not targets (category 2): the objective is not to reach them; instead, they act as an upper bound which should not be transgressed. For the PBs that have already been surpassed, returning to the limit may be set as a target. More generally speaking, a limit value is a science-based threshold that could be used by political and business decision makers; but setting targets informed by such limit values depends on political will, perceptions of equity, efficiency and feasibility, amongst others.

While there have been proposals to link the PBs to development goals (Hoff and Lobos Alva, 2017; Raworth, 2017, 2012) and while a growing number of international actors are showing interest in this concept (Häyhä et al., 2016; Hoff, 2017), it is currently not yet formally linked to any policy or reporting framework. Some countries including Switzerland are however moving towards such integration.

2.3. Integration of footprints and the Planetary Boundaries within the Swiss policy framework

The Swiss government adopted a Green Economy Action Plan in 2010 and renewed it in 2013 and 2016. This action plan embedded the PB concept. It entails 23 measures focusing on: (1) the sustainability of consumption; (2) moving towards a circular economy; and (3) overarching instruments including measuring progress in a new way, as well a dialogue on targets.

The Swiss Sustainable Development Strategy 2016-2019, adopted in 2015 by the Swiss government, reflects the 2030 United Nations Agenda for Sustainable Development. Both the PBs and the vision of trying to reduce environmental impacts along the value chain (i.e. a footprint perspective) are mentioned (see e.g. Action area 1 – Consumption and production).

In September 2016, a constitutional amendment (proposed by a popular initiative validated by 112'098 signatures), aiming to set in the Constitution a target of an "Ecological Footprint" of one

187 Earth for Switzerland (when extrapolated to world population) by 2050 (against the current
188 Switzerland's Ecological Footprint of ~3 Earths), was rejected in a public vote (63.6% against). The
189 vote stimulated a public debate on the question as to whether current patterns of consumption are
190 sustainable in the future and confirmed the interest of the Swiss Federal Office for the Environment
191 to assess how to apply the PBs for Switzerland.

192 3. Data and Methods

193 A three-stage approach is applied in order to: a) better characterise the PBs and understand which
194 limits can effectively be currently quantified; b) compute values for limits and footprints as well as
195 global and national performances; and c) suggest priorities for action.

196 *3.1. Identification of the Planetary Boundaries and selection of indicators*

197 The selection of the Planetary Boundaries is based on an in-depth review and consultation of
198 experts. Five PBs have been selected for this study: Climate Change, Ocean Acidification, Land
199 Cover Anthropisation, Biodiversity Loss, Nitrogen and Phosphorus Losses (two different
200 computations, but considered by Rockström et al. (2009) as one PB). The original names of some
201 PBs (Rockström et al., 2009; Steffen et al., 2015b) have been modified in order to be closer to the
202 selected socio-economic related indicators. For example, the indicator provided by Rockström et
203 al., 2009) for climate change (CO₂ concentration in the atmosphere: 350 ppm and radiative
204 forcing: 1 W m⁻²) is a "state" indicator (see DPSIR classification in Kristensen, 2004). To assess
205 the contribution (or the share) of a specific country, one needs to look at what led to this "state"
206 which is the result of GHG emissions. GHG emissions is a "pressure" indicator and can be
207 attributed to specific countries.

208 A summary of our rationales for the selection is provided in the remainder of this chapter.

209 While Rockström et al. (2009), claim that all PBs are global, numerous discussions can be found in
210 the literature concerning the global versus local nature of some included phenomena (see
211 discussion on spatial scale in (Nykvist et al., 2013). We base our selection applying Turner II et al.
212 1990 who differentiate two types of global environmental changes: systemic and cumulative.

213 Hence, within the PB framework, three cases emerge: global systemic, global cumulative or
214 regional issues:

215 1. The global systemic changes include local sources of changes leading to (a) global effect(s) and
216 with a global limit. This is the case for Climate Change, Ocean Acidification and Stratospheric
217 Ozone Depletion.

218 2. The global cumulative changes include multiple transformations having local impacts, but which
219 can nevertheless be considered global because they are occurring on a worldwide scale and can
220 have global consequences. This is the case for Nitrogen and Phosphorus Losses, Land Cover
221 Anthropisation and Biodiversity Loss.

222 3. The third PB category includes issues that, according to current knowledge and data, are at
223 regional scale only: a global limit cannot be identified at the time being. This is the case for
224 Atmospheric Aerosol Loading, Freshwater Use and Chemical Pollution. The term 'regional' does
225 not preclude that regional pollutants can travel or be transported (due to trade) over long distances
226 and can be transboundary, i.e. become a global issue. Rockström et al. (2009) did not characterise
227 Atmospheric Aerosol Loading and Chemical Pollution but Steffen et al. (2015b) proposed
228 indicators. For Freshwater Use, the overuse and/or pollution of freshwater can have significant
229 impacts locally (or regionally, i.e. downstream watersheds), but without compromising other
230 regions outside the watersheds, except maybe for oceans, e.g. the case of plastic/marine litter,
231 which is not (yet) a PB and beyond the scope of this study.

232 We thus selected the PBs from the first two types, for which a global limit can be identified (even if
233 the existence of a global limit in the second case is a matter of discussion, see Nykvist et al. (2013)
234 as well as the refinement of the freshwater and biodiversity boundaries in Steffen et al. (2015b)).

235 While classified “global systemic”, the PB on Stratospheric Ozone has however not been included.
236 This PB is well addressed via the Montreal Protocol, with 98% of Ozone Depleting Substances
237 (ODS) have being phased out globally, compared to 1990 levels (UNEP, 2017) although recent
238 findings shows that monitoring is still required (Montzka et al., 2018).

239 For each of the PBs, the selection of an indicator is then based on three main criteria listed below.
240 Selecting a different indicator than the one proposed by Rockström et al. (2009) and Steffen et al.

(2015b) is required in order to enable linking a PB described in biophysical terms to the socio-economic activities inducing it, i.e. to compute a footprint. While Rockström et al. (2009) and Steffen et al. (2015b) assessed which boundaries are crossed at the current point in time, the indicators selected in this study consider whether current yearly footprints are respecting these boundaries. The criteria are:

- a. The representativeness of the indicator with respect to the PB definition. The indicator should be recognised scientifically as being linked with the boundaries; however, it can be of different types such as state (average biodiversity damage), pressure (CO₂ emissions per year), or driving forces (use of fertilizer with phosphorus per year). For explanations regarding these different levels in the causal chain, we can use the Driving Forces-Pressure-State-Impacts-Response (DPSIR) framework (EEA, 2005; Kristensen, 2004).
- b. Data quality and availability for computing the global and national limits.
- c. Data quality and availability to compute global and national footprints.

Table 1 gives a summary of the indicators selected. A detailed description of indicators is provided in the supplementary material.

Planetary Boundary	Description of the indicators	Units	Type
Climate Change	Remaining cumulative GHG emissions (including land cover changes) for a 50% chance to stay below a 2°C increase by 2100 compared with pre-industrial level.	GtCO ₂ eq/year	Pressure
Ocean Acidification	Remaining cumulative emissions of carbon dioxide (CO ₂) from human activities to maintain an acceptable calcium carbonate saturation state Ω.	GtCO ₂ /year	Pressure
Nitrogen (N) and Phosphorus (P) Losses	N: Loss of reactive N into the environment. Considering losses into soil, water (NO ₃ -) and air (partially, i.e. NH ₃ but not NO _x).. P: Use of fertilizers with Phosphorus.	N: Tg N/year	N: Pressure
		P: Tg P/year	P: Driving-force
Land Cover Anthropisation	Surface of anthropised land, i.e. agricultural and urbanised (sealed) land, as percentage of ice-free land (water bodies excluded).	km ²	State
Biodiversity Loss	Potential damages to biodiversity per land cover types accounting for the level of biodiversity per biome	unitless	State

Table 1 Selection of indicators

258 3.2. *Computing the limits*

259 3.2.1. **Global limits**

260 The PBs are limits at a global scale. They can be understood as the maximum quantities of various
261 resources that could be used on Earth. Resources are usually allocated through economic or
262 political mechanisms (negotiated, voluntary). However, there exists no recognised quantitative
263 mechanism for the allocation of global resources, what- or whomever the beneficiaries (countries,
264 public or private organisations, people) concerned.

265 Limits refer to threshold values (e.g. the concentration GHG in the atmosphere) beyond which
266 unacceptable impacts are much more likely to occur. The limits were determined by science,
267 based on general consensus within the scientific community. Due to the different levels of scientific
268 understanding on the issues covered by the PBs, several types of sources have been used to
269 identify the global limits. A thorough literature review was performed to establish these limits, which
270 were then proposed to a group of experts who provided further advice. The limits were sometimes
271 different from those selected in the initial PB framework (Rockström et al., 2009; Steffen et al.,
272 2015b), since it was necessary to move further up in the causal chain to assess the responsibility
273 of a specific country in a PB. For example, on climate, Rockström et al. (2009) use 350 ppm of
274 CO₂ and 1 W m⁻², which are state indicators and cannot be linked to a specific country. By moving
275 to GHG emissions (a pressure indicator), it becomes possible to identify the role of countries.
276 Further details on this are provided in the supplementary material.

277 3.2.2. **Distributional principles for defining a country's share**

278 Once global limits are computed, setting limits per country requires thus defining a mechanism of
279 allocation that will attribute part of the global limit to each country. A country limit can thus be
280 understood as the exclusive share of the planet's resources as allocated to a given country. An
281 exclusive share means that the total of all country shares sum up to the global limit.

282 An initial straightforward approach to compute shares can be a so-called "equal share per capita",
283 as applied for Sweden in the first conversion of the PBs to the national level by Nykvist et al.
284 (2013). We used the same approach by allocating each individual the same amount of resources.
285 It is computed by dividing the global limit by the global population to obtain a global share per

capita. A country share is obtained by multiplying the global per capita share by the total population of the country. This approach is easy to understand and to compute, but also has certain drawbacks:

- 1) The different needs of the inhabitants of Earth and the different amount of resources needed to satisfy these needs are not always considered. For example, living in Northern European countries requires heating houses for a longer period than in Southern Europe. In addition, the perception of what is required varies in each culture, a factor not easy to take into account.
- 2) Past emissions and use of resources are not considered, while they differ to a great extent between countries/regions of the world.
- 3) The role of countries, being the current main way of allocating resources between people, is not considered.

However, any broadly accepted way of going beyond the "equal share per capita" approach is currently lacking. So-called "ethical approaches" have been applied to climate change in the literature on burden sharing (Shue, 1999; Höhne et al., 2014). For instance, the Greenhouse Development Rights (GDR) Framework (Baer et al., 2008) defines sharing efforts in climate change mitigation, based on justice principles. Starting from the postulate of a right to development, GDR proposes a quantification of responsibilities and capacities to be equally shared between people, once a certain development threshold is attained. The Contraction and Convergence (C&C) model (Meyer and Bruges, 2000) is a framework for defining and negotiating differentiated paths of greenhouse gases reduction (contraction), until per capita emissions reach a level that is equal for all countries (convergence). The Science-Based Targets initiative (<http://sciencebasedtargets.org/>) allocates carbon budgets to companies based on so-called "proportional approaches", considering economy-wide emissions based on IPCC scenarios or so-called "technological approaches" estimating the remediation capabilities of technologies based on long-term International Energy Agency (IEA) scenarios.

A pragmatic approach was thus adopted, since justification for the allocation can be based on various grounds (e.g. ethical, political, economic or legal), and data are often lacking for the computations. Firstly, the allocation can be computed with existing public data, and secondly, the

allocations are based on the principles of Sustainable Development (UN document “Our Common Future, From One Earth to One World”, chapter 3. Sustainable Development), assuming that past, current and future populations of Earth have, by definition, similar rights to resources. Our approach thus adds a temporal dimension to the "equal share per capita" approach, taking into account historical and future resource use where feasible and relevant. A second factor taken into consideration with this pragmatic approach is that people are ultimately the final beneficiaries of the allocation of resources, but only indirectly through the intermediary allocation of resources by countries.

Starting from the previously computed global limits, the PBs are first allocated to people based on the global population at the reference year based on population data from the United Nations (UNPD, 2013): an equal share per capita is thus computed first. Then a country limit is computed for the reference year as its population share with respect to the global population.

Two different approaches are applied depending on whether the PBs are considered as yearly budgets (Land Cover Anthropisation, Biodiversity Loss, Nitrogen and Phosphorus Losses) or budgets over time (Climate Change, Ocean Acidification).

3.2.3. PBs with yearly budgets

For yearly budgets, this country limit remains fixed for all subsequent years. This means that the per capita limit will fluctuate over time according to population changes in a given country (e.g. decrease of per capita limit in the case of a population increase). The national yearly limits per capita thus evolve differently in subsequent years for each country, depending on national demographics.

For budgets over time, the country limit for a given year is computed as the product of the projected country population for that year and the limit per capita.

For the annual budget, a country limit is computed as follows (Equation 1):

Equation 1. Yearly budget

$$Lc = \frac{Pc_y}{Pw_y} \cdot Lw_y$$

341 Where:

342 L_c = Country limit

343 L_{W_y} = World limit at reference year

344 P_{W_y} = World population at reference year

345 P_{C_y} = Country population at reference year

346

347 **3.2.4.PBs with budget over time**

348 Budgets over time are estimated for a period of several years (the period might be different for
349 each PB): a finite amount of a resource is shared among past, current and future beneficiaries.

350 The global budget over time (e.g. the remaining cumulative GHG emissions 1990-2100) is divided
351 by the cumulative sum of the yearly population in the period considered: the global yearly limit per
352 capita is identical each year. Conversely, the global yearly limit varies each year according to the
353 global population.

354 For budgets over time, a country limit is computed as follows, using the example of Climate
355 Change for 2010 (Equation 2):

356 **Equation 2. Budget over time**

357
$$L_{C_{2010_2100}} = \frac{P_{C_{1990}}}{P_{W_{1990}}} \cdot L_{W_{1990_2100}} - U_{C_{1990_2010}}$$

358 Where:

359 $L_{C_{2010_2100}}$ = Country budget remaining for 2015-2100

360 $P_{C_{1990}}$ = Country population 1990

361 $P_{W_{1990}}$ = World population 1990

362 $L_{W_{1990_2100}}$ = World limit 1990-2100, total budget over the period

363 $U_{C_{1990_2010}}$ = Country resource use 1990 to 2014

364 For the Climate Change limit in 2010, the starting date of the period date was fixed at 1990.

365 Rationales for selecting 1990 are: a) knowledge since the first IPCC report was released in 1990,
366 shedding scientific light on this issue; b) 1990 is the reference date used in international
367 negotiations; and c) accessible data of good quality are available from 1990. The end of the period
368 is 2100, in order to match IPCC scenarios. The global yearly per capita limit is identical each year:
369 the global budget over time (remaining cumulative GHG emissions 1990-2100) is divided by the
370 cumulative sum of the yearly population in the period considered (UNPD, 2013). Conversely, the
371 global yearly limit varies each year according to the global population.

372 In the case of Switzerland, the Swiss share of the global limit over time (Equation 2) is defined
 373 relative to the Swiss share of the global population at the reference year (1990), i.e. 0.125%. The
 374 Swiss share is fixed over the period 1990-2100. The country limit for a given year is calculated by
 375 subtracting from the 1990-2100 budget the resources used since the beginning of the period. The
 376 per capita limit is fixed over the remaining period (i.e. 2015-2100). It is obtained by dividing the
 377 country budget by the sum of the country population each year over the remaining period, based
 378 on UNPD demographic projections (UNPD, 2013). The country budget for a given year varies
 379 according to the country's population in that year.

Planetary Boundaries	Global Limit	Swiss limit
Climate Change	12.3 GtCO ₂ eq	4.8 MtCO ₂ eq
Ocean Acidification	7.6 GtCO ₂	5.7 MtCO ₂
Biodiversity Loss	0.16 (unitless)	0.16 (unitless)
Nitrogen Losses	47.6 Tg	53.8 t
Phosphorus Losses	31 Tg	43.6 Kt
Landcover Anthropisation	1'936'200 km ²	21'900 Km ²

380 **Table 2 Limits used at Global and Swiss levels**

381 *3.3. Computing country footprints*

382 The footprints are the current use of resources (or the cumulative use of resources, if the PB is of a
 383 budget type). To stay within planetary boundaries, the footprints should be smaller than the PBs.
 384 Footprint indicators are tools for measuring actual environmental impacts in a synthetic manner
 385 (Hoekstra and Wiedmann, 2014) going beyond the classical territorial perspective. Footprints
 386 quantify environmental impacts occurring in- and outside a country due to domestic consumption.
 387 Footprints are based on scientifically validated rationales and apply an approach called Life Cycle
 388 Thinking.

389 Ideally, the country footprint should be computed with the same set of data as the global footprint
 390 to ensure a coherent overview and compatibility between the assessments of countries. In our
 391 case study on Switzerland, however, a proprietary environmental database was used from the
 392 Swiss Federal Office for the Environment, developed for existing assessments of the Swiss
 393 footprint (Frischknecht et al., 2014, 2013). This database combines official Swiss territorial data
 394 and modelled environmental data for imports and exports, using the ecoinvent 2.0 database. Life

395 Cycle Impact Assessment approaches were then used to convert this inventory into values
396 compatible with the computed limits when required.

397 *3.4. Performance and priority assessment*

398 Since uncertainties are large in this type of assessment, the performance and priority assessment
399 combine quantitative results with a qualitative evaluation on data quality and long-term global
400 trends. For each PB, global and national, quantitative scores are first computed as the ratio of the
401 yearly footprint over the yearly limit (scores between 1 and 2 are clasified as "small to medium",
402 above 2 as large). Then, taking into account a judgement (based on own knowledge and views
403 from consulted experts) on the uncertainty and the trend (rapidity of the degradation) of the global
404 footprint, scores are classified into one of the four following categories: Clearly safe, Safe, Unsafe,
405 Clearly unsafe. The four categories of performance are shown in Table 3.

<i>Performance</i>	<i>Score</i>	<i>Confidence in Score</i>	<i>Trend</i>
Clearly unsafe	Large overshoot	High	Rapidly deteriorating
	Small to medium overshoot	Medium to low	Rapidly deteriorating
Unsafe	Small to medium overshoot	Medium to low	Slow evolution
	No overshoot	Medium to low	Rapidly deteriorating
Safe	No overshoot	Medium to low	Slow evolution
Clearly safe	No overshoot	High	Slow evolution

406 **Table 3 A performance defined with four categories**

407

408 **3.4.1. Principles for setting priorities**

409 The proposed analysis identifies potential issues at two different scales (global and country scale).
410 Two types of situations can be identified based on the combination of the performances at these
411 two scales:

412 PBs to be considered as a priority: PBs with a Clearly Unsafe or Unsafe performance at global
413 scale are clearly a first priority, since current global socio-economic activities are putting the
414 current global Safe Operating Space in jeopardy. International discussions and scientific
415 developments in regard to these issues should be promoted. Every country is concerned, whatever
416 size their footprint is. Countries overshooting these PBs should, in addition, take national action to
417 reduce their footprint.

418 PBs that are not a priority: PBs with a Safe or Clearly Safe performance at global scale are not a
419 priority. While some countries are overshooting these PBs, the PB framework cannot be used as a
420 justification to take national action in order to reduce these footprints (some other frameworks or
421 local considerations could however offer valid arguments).

422 4. Results

423 4.1. *Limits, current footprints and performances*

424 As shown on Figure 2, from a global perspective, three of the six computed performances show a
425 Clearly Unsafe situation, either because of a large overshoot of current global yearly footprints
426 over yearly global limits (Climate Change and Ocean Acidification), or because of an overshoot
427 combined with a rapidly deteriorating trend (Biodiversity Loss). One performance is qualified as
428 Unsafe because there is an overshoot combined with a slowly evolving situation (Nitrogen Losses),
429 and two performances are considered as Safe (Land Cover Anthropisation and Phosphorus
430 Losses).

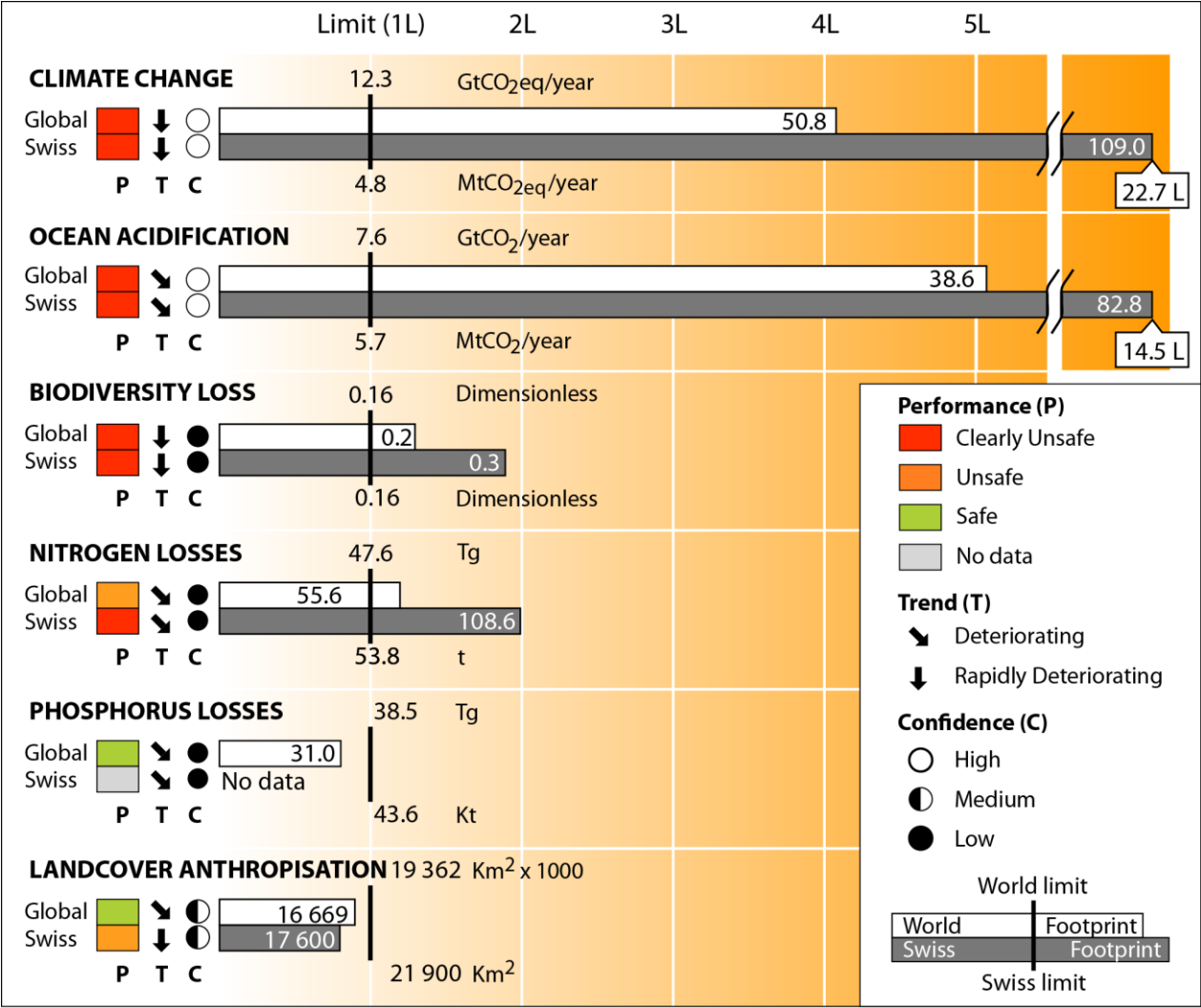
431 The performance was not computed for four PBs (Stratospheric Ozone Depletion, Atmospheric
432 Aerosol Loading, Global Freshwater Use and Chemical Pollution). While further research is
433 needed to assess their performance, there is no evidence in the literature that the limits of these
434 PBs are currently exceeded and in the case of ozone depletion, thanks to the actions following the
435 Montreal Protocol, one observes an ongoing recovery of the stratospheric ozone layer.

436 As a result, one concludes that the global yearly limits are crossed for four out of nine PBs
437 (considering N and P as one PB as in Rockström et al. (2009)), with a Clearly Unsafe situation for
438 three of them (Climate Change, Ocean Acidification and Biodiversity Loss). These results in terms
439 of the level of current socio-economic practices are thus in line with results based on the crossing
440 of the biophysical global limits (Steffen et al., 2015b) for Biodiversity Loss and Land Cover
441 Anthropisation. The current results show, however, greater urgency for Climate Change and much
442 greater urgency for Ocean Acidification, while showing a lower urgency for Nitrogen and
443 Phosphorus Losses. The difference for Phosphorus with Steffen et al. (2015) results from three
444 aspects. First, as mentioned in the supplementary materials, the estimates of global P releases

445 differ among global models. Due to the fact that a global anoxic event has not happened yet, we
446 estimate that the current limit is not overshoot by definition and selected the model with the lower
447 bound accordingly. Second, Steffen et al. (2015) propose a limit for the global P release going from
448 11 to 100 Tg P per year. Even assuming that the models with the higher P releases are correct
449 (i.e. 22 Tg P per year) as in Steffen et al. (2015), a possible overshoot would only happen in the
450 lower part of the range of the limit. Thirdly, Bouwman et al. (2013) project that releases will be up
451 to 23 P per year in 2050, which is still in the lower part of the limit range.

452 As shown in Figure 2, the situation for Switzerland is very similar to the global situation for three
453 PBs, while two are worse and one is unknown: the situation is worse for Nitrogen Losses (large
454 overshoot); i.e., a Clearly Unsafe situation, as well as for Land Cover Anthropisation; i.e., Unsafe,
455 due to a rapidly evolving footprint.

456



457 Figure 2. Summary of the results

458 Results for climate change are based on a target of +2°C and a likelihood of the outcome of 50%.
459 While values would be different if selecting a global temperature change of +1.5° (i.e. resulting in a
460 smaller budget overtime) and/or a 33% or 66% confidence level (the higher the confidence, the
461 smaller the budget), the message would be the same: even under a conservative approach as
462 selected here, the current footprints strongly exceed the limits, by a factor 4.1 for the World and
463 22.7 for Switzerland.

464 4.2. *Thinking ahead with Business As Usual scenarios*

465 Simple projections can be made with respect to the projected evolution of the population. The
466 global and Swiss populations will evolve similarly. Thus, for indicators considered as yearly
467 budgets, the limits per capita will be reduced by around 10% in 2020, 18% in 2030 and 29% in
468 2050. Maintaining the same global and Swiss performances in the future thus requires reducing
469 the yearly per capita footprints by the same amount. Due to population growth, and assuming a
470 constant footprint per capita, the limit will be attained for all PBs assessed with a yearly budget
471 before 20 years.

472 For PBs with indicators considered as budgets over time, the evolution of the future population is
473 already considered in the computations. Assuming a constant footprint per capita, the budget over
474 time for Climate Change will be reduced to 0 in 2020 (Switzerland) and 2041 (globally). For Ocean
475 Acidification, the biophysical limit will be attained in 2021 (Switzerland) and 2035 (globally).

476 4.3. *Priority assessment*

477 From the above assessment, it can be recommended that Climate Change, Ocean Acidification,
478 Biodiversity Loss due to land use and Nitrogen Losses are considered as priorities: these PBs with
479 a "Clearly Unsafe" or "Unsafe" performance at global scale should be managed. Global current
480 footprints are above an ecologically sustainable level, and thus international discussions and
481 scientific developments on these issues should be promoted. This is also the case for Switzerland,
482 which should take action to reduce its footprints.

483 Land Cover Anthropisation and Phosphorus Losses have not yet reached the limit, and are
484 therefore not at the same level of priority, despite the fact that their trends are declining.

485 5. Discussion

486 The generated values are based on modelling which by definition implies making simplifications
487 and assumptions to answer a specific set of questions. The validity of the indicators is thus limited
488 to the scope of these questions.

489 The generated indicators and values are adequate to identify large overshoots, orders of
490 magnitude and analyse long-term trends, i.e. relative differences over five to 10 year periods of
491 aggregated values. They are not adequate to monitor precise values nor for identifying small
492 overshoots, and monitor small variations (e.g. 10%) over short periods (e.g. yearly variations). The
493 indicators are thus not appropriate to set operational target values linked to the importation of a
494 specific product, e.g. palm oil. More disaggregated data or models, a narrower focus on specific
495 products and a focus on Driving Forces should be used for these purposes.

496 5.1. *Allocation*

497 The choice of the allocation mechanism can potentially largely influence the results per country.
498 While science can provide information to compare the mechanisms, the selection of the allocation
499 mechanism is ultimately a policy decision.

500 5.2. *Historical contributions*

501 Considering past resource uses and pollutions is a well-known subject of debate in the context of
502 environmental negotiations. Taking into account historical environmental impacts is, however, not
503 a straightforward task. Setting a starting date for past contributions may depend on various criteria
504 such as the availability of data, awareness of the problems, date of political decisions or access to
505 means for reducing impacts potentially leading to different results. In the present study, historical
506 contributions were included for PBs considered as budgets over time (Climate Change and Ocean
507 Acidification). The chosen starting date of 1990 responds to several of the above criteria, but would
508 certainly be relevant to test other starting dates further in the past, which would require some
509 estimations of country data. In terms of Climate Change, considering past emissions thus reduces
510 the current limit per capita to 0.6 t CO₂-eq per capita in 2011, instead of 1.7 t CO₂-eq per capita
511 without considering them.

512 5.3. *Limits for climate change: political values.*

513 In this study, the computation for climate change has been carried out for 2°C (50% confidence).
514 However, the 2°C limit and the 1.5 °C limit since the COP-21 (Paris Agreement) are political limits
515 resulting from negotiations, not biophysical limits. In the original article by Rockström et al. (2009),
516 the limit was set to 350 ppm CO₂ and 1 W/m². A pathway to return to a 350 ppm level by 2100 has
517 been evaluated by Hansen et al., 2013. .This pathway would necessitate restricting emissions from
518 fossil fuel emissions to 129 GtC by 2050 and to 14 GtC by 2100, while at the same time trapping
519 100 GtC in forest and soils through reforestation and agricultural practices. Such an approach
520 would result in a budget over time of 43 GtC compared to the computed 305 GtC, i.e. more than
521 seven times lower.

522 5.4. *Linkages between PBs*

523 Rockström et al. (2009) explain that the limits are valid while they are respected for all the PBs. In
524 addition, PBs are computed independently from each other while they are, in reality, not
525 independent and influence each other. For example, deforestation (land cover change) has direct
526 impact on climate change (CO₂ emissions, change in albedo), on biodiversity (through habitat
527 losses) and also affects precipitation hence freshwater. Given their interconnectivity, the level of
528 pressure on one PB is likely to be more severe if pressures on other related PBs are considered,
529 as compared with any given PB being evaluated separately.

530 Fortunately, this works both ways: if policies are set to reduce the pressures on one PB, they can
531 also reduce the pressure on other linked PBs. For example, reforestation will help to absorb more
532 CO₂, restore precipitation regimes and support biodiversity (if the appropriate species are planted).
533 Thus, this is likely to reduce pressures on the Climate, Biodiversity and Freshwater PBs.

534 6. Conclusions

535 6.1. *Added-value of the approach*

536 This research confirms the already well-known importance of acting to manage Climate Change
537 and Biodiversity Loss. It adds Ocean Acidification and Nitrogen Losses to the list of the key topics,

538 Thanks to its focus on the consumption-based quantification of the environmental impacts resulting
539 from current socio-economic practices.

540 Combining PBs and footprinting provides a complementary perspective to existing analyses at
541 national scale. It uses a multi-criteria assessment and identifies other global priorities than Climate
542 Change, allowing actions to be taken on these at national or more local scales. If applied to all
543 countries, it could help to better understand the role of specific countries vis-a-vis these global
544 priorities. Such a quantitative approach allows to compare the footprint against the absolute limits
545 to compute a given country's performance. This can be then used as a benchmark to identify
546 progress. It offers a more detailed alternative to the Ecological Footprint. Specific assessments
547 could be performed on environmental domains, economic sectors or even for a single company.
548 It should also be emphasized that this paper focuses only on globally significant environmental
549 processes. Some regional environmental issues may require actions at a global policy level, but
550 these were outside the scope of the current study and hence were not included.

551 Some of these regional environmental issues are thus subject to international protocols such as
552 the Convention on Long-Range Transboundary Air Pollution (13 November 1979). In addition,
553 issues not mentioned as first priority in this specific analysis may be of high priority for other
554 reasons, such as being a key input for the agro-industrial system, e.g. phosphorus, or for local
555 health, e.g. mercury (Minamata Convention).

556 6.2. *Lesson learned: a new way of thinking*

557 PBs are not straightforward to grasp because they require thinking differently: in terms of spatial
558 scope first (the global Earth system versus the national territory), and then because their focus
559 may differ from national preoccupations for the same environmental issues: e.g. the PB Land
560 Cover Anthropisation is primarily about global carbon sequestration and albedo, not about land-
561 planning or the quality of landscapes.

562 The study opens the path to establishing a new mindset based on the recognition of global
563 environmental limits, the possibility to quantify these limits as well as the footprints of nations. It

564 has the potential to change the way we practice environmental assessments and environmental
565 policies, both at the global and national levels.

566 6.3. *Recommendations for further research*

567 This exploratory work shows the interest - as well as the limits - of the current understanding of the
568 PB concept and outlines the need for further developments. Firstly, indicators and limits are still to
569 be identified for three PBs (Atmospheric Aerosol Loading, Freshwater Use and Chemical
570 Pollution).

571 Secondly, a large number of approximations are performed in studies in the literature, as in this
572 work, to compute the global limits and footprints. Better indicators, better defined models and more
573 data would enable reducing them for all PBs.

574 Thirdly, the distributional aspects for the downscaling of global limits to countries' limits are limited
575 to simple aspects computable with the available data. Further developments are needed to explore
576 in more detail the quantitative differences induced by the existing distributional concepts.

577 Fourth, the application of the methodology to other countries faces the challenge of finding
578 appropriate data for footprints. Several multi-regional/national databases exist and can already be
579 used, even if the current methodology has to be slightly adapted.

580 Five, further developments should attempt to make footprint indicators more spatially explicit where
581 relevant (e.g. for biodiversity and freshwater scarcity; see Chaudhary et al., 2016 and Frischknecht
582 et al., 2016), and also aim at highlighting which economic sectors contribute most to the status of
583 each PB. This can be useful to prioritise operational measures for reducing national footprints.

584 Finally, questions of technical and economic feasibility of future reductions of the Global and
585 country footprints should be addressed. The potential to reduce Carbon, Biodiversity and Nitrogen
586 footprints should be evaluated within different domains of consumption and production.

587

588 7. References

- 589 Baer, P., Athanasiou, with T., Kartha, S., Kemp-Benedict, E., 2009. Greenhouse Development
590 Rights: A Proposal for a Fair Global Climate Treaty. *Ethics, Place & Environment* 12, 267–
591 281. <https://doi.org/10.1080/13668790903195495>
- 592 Boulding, K.E., 1966. The economics of the coming spaceship earth. *Environ. Qual. Issues Grow.*
593 *Econ.*
- 594 Bouwman, L., Goldewijk, K.K., Hoek, K.W.V.D., Beusen, A.H.W., Vuuren, D.P.V., Willems, J.,
595 Rufino, M.C., Stehfest, E., 2013. Exploring global changes in nitrogen and phosphorus
596 cycles in agriculture induced by livestock production over the 1900–2050 period. *Proc. Natl.*
597 *Acad. Sci.* 110, 20882–20887. <https://doi.org/10.1073/pnas.1012878108>
- 598 Chaudhary, A., Pfister, S., Hellweg, S., 2016. Spatially Explicit Analysis of Biodiversity Loss Due to
599 Global Agriculture, Pasture and Forest Land Use from a Producer and Consumer
600 Perspective. *Environ. Sci. Technol.* 50, 3928–3936.
601 <https://doi.org/10.1021/acs.est.5b06153>
- 602 Cole, M.J., Bailey, R.M., New, M.G., 2014. Tracking sustainable development with a national
603 barometer for South Africa using a downscaled “safe and just space” framework. *Proc.*
604 *Natl. Acad. Sci.* 111, E4399–E4408. <https://doi.org/10.1073/pnas.1400985111>
- 605 EEA, 2005. EEA core set of indicators. Guide, EEA Technical report. EEA, Luxembourg.
- 606 Ehrlich, P.R., Holdren, J.P., 1971. Impact of population growth.
- 607 Friot, D., 2009. Environmental Accounting and globalisation. Which models to tackle new
608 challenges? Applying Economics-Environment-Impacts models to evaluate environmental
609 impacts induced by Europe in China, and EU carbon tariffs, *Environmental Sciences. Ecole*
610 *Nationale Supérieure des Mines de Paris, Paris.*
- 611 Frischknecht, R., Fantke, P., Tschümperlin, L., Niero, M., Antón, A., Bare, J., Boulay, A.-M.,
612 Cherubini, F., Hauschild, M.Z., Henderson, A., Levasseur, A., McKone, T.E., Michelsen, O.,
613 Canals, L.M. i, Pfister, S., Ridoutt, B., Rosenbaum, R.K., Verones, F., Vigon, B., Jolliet, O.,
614 2016. Global guidance on environmental life cycle impact assessment indicators: progress

615 and case study. *Int. J. Life Cycle Assess.* 21, 429–442. <https://doi.org/10.1007/s11367-015->
616 1025-1

617 Frischknecht, R., Itten, R., Büsser Knöpfel, S., 2013. Tracking important Environmental Impacts
618 Related to Domestic Consumption. Federal Office for the Environment FOEN, Bern,
619 Switzerland.

620 Frischknecht, R., Nathani, C., Büsser Knöpfel, S., Itten, R., Wyss, F., Hellmüller, P., 2014.
621 Development of Switzerland’s worldwide environmental impact, *Umwelt-Wissen*. Federal
622 Office for the Environment FOEN, Bern.

623 Georgescu-Roegen, N., 1979. *Demain la décroissance: entropie, écologie, économie*, En question.
624 P.-M. Favre, Paris ; Lausanne.

625 Georgescu-Roegen, N., 1971. *The entropy law and the economic process*. Harvard University
626 Press, Cambridge Mass.

627 Hansen, J., Kharecha, P., Sato, M., Masson-Delmotte, V., Ackerman, F., Beerling, D.J., Hearty,
628 P.J., Hoegh-Guldberg, O., Hsu, S.-L., Parmesan, C., Rockstrom, J., Rohling, E.J., Sachs,
629 J., Smith, P., Steffen, K., Van Susteren, L., von Schuckmann, K., Zachos, J.C., 2013.
630 Assessing “Dangerous Climate Change”: Required Reduction of Carbon Emissions to
631 Protect Young People, Future Generations and Nature. *PLoS ONE* 8, e81648.
632 <https://doi.org/10.1371/journal.pone.0081648>

633 Häyhä, T., Lucas, P.L., van Vuuren, D.P., Cornell, S.E., Hoff, H., 2016. From Planetary Boundaries
634 to national fair shares of the global safe operating space — How can the scales be
635 bridged? *Glob. Environ. Change* 40, 60–72.
636 <https://doi.org/10.1016/j.gloenvcha.2016.06.008>

637 Hoekstra, A.Y., Wiedmann, T.O., 2014. Humanity’s unsustainable environmental footprint. *Science*
638 344, 1114–1117. <https://doi.org/10.1126/science.1248365>

639 Hoff, H., 2017. Bringing EU policy into line with the Planetary Boundaries, SEI Policy Brief.
640 Stockholm Environment Institute, Stockholm.

641 Hoff, H., Lobos Alva, I., 2017. How the Planetary Boundaries framework can support national
642 implementation of the 2030 Agenda, SEI Policy Brief. Stockholm Environment Institute,
643 Stockholm.

644 Hoff, H., Nykvist, B., Carson, M., 2014. Living well, within the limits of our planet? Measuring
645 Europe's growing external footprint (Working Paper). Stockholm Environment Institute,
646 Stockholm.

647 Höhne, N., Elzen, M. den, Escalante, D., 2014. Regional GHG reduction targets based on effort
648 sharing: a comparison of studies. *Climate Policy* 14, 122–147.
649 <https://doi.org/10.1080/14693062.2014.849452>

650 IPCC, 1990. *Climate Change: The IPCC Scientific Assessment*, Cambridge University Press. ed.
651 Cambridge, Great Britain, New York, NY, USA and Melbourne, Australia.

652 Keppner, B., 2017. Outcomes of the International Conference "Making the planetary boundaries
653 concept work, 24 - 25 April 2017 Berlin. Bundesministerium für Umwelt, Naturschutz, Bau
654 und Reaktorsicherheit (BMUB), Umweltbundesamt (UBA), Deutsche Bundesstiftung
655 Umwelt (DBU), Berlin.

656 Kristensen, P., 2004. The DPSIR framework. *Natl. Environ. Res. Inst. Den.* 10.

657 Meadows, D.H., Meadows, D.L., Randers, J., Behrens III, W.W., 1972. *The Limits to Growth*. A
658 Report for the Club of Rome's Project on the Predicament of Mankind. Universe Books,
659 New York.

660 Meyer, A., Bruges, J., 2000. *Contraction & Convergence: The Global Solution to Climate Change*.
661 UIT Cambridge Ltd., Totnes, Devon.

662 Montzka, S.A., Dutton, G.S., Yu, P., Ray, E., Portmann, R.W., Daniel, J.S., Kuijpers, L., Hall, B.D.,
663 Mondeel, D., Siso, C., Nance, J.D., Rigby, M., Manning, A.J., Hu, L., Moore, F., Miller, B.R.,
664 Elkins, J.W., 2018. An unexpected and persistent increase in global emissions of ozone-
665 depleting CFC-11. *Nature* 557, 413–417. <https://doi.org/10.1038/s41586-018-0106-2>

666 Nykvist, B., Persson, Å., Moberg, F., Persson, L., Cornell, S., Rockström, J., 2013. National
667 Environmental Performance on Planetary Boundaries. A study for the Swedish
668 Environmental Protection Agency (No. 6576).

669 Raworth, K., 2017. Doughnut Economics: Seven Ways to Think Like a 21st-Century Economist.
670 Random House Business, London.

671 Raworth, K., 2012. A safe and just space for humanity: can we live within the doughnut. Oxfam
672 Policy Pract. Clim. Change Resil. 8, 1–26.

673 Rees, W.E., 1992. Ecological footprints and appropriated carrying capacity: what urban economics
674 leaves out. Environ. Urban. 4, 121–130. <https://doi.org/10.1177/095624789200400212>

675 Rockström, J., Steffen, W., Noone, K., Persson, Å., Chapin, F.S., Lambin, E.F., Lenton, T.M.,
676 Scheffer, M., Folke, C., Schellnhuber, H.J., Nykvist, B., de Wit, C.A., Hughes, T., van der
677 Leeuw, S., Rodhe, H., Sörlin, S., Snyder, P.K., Costanza, R., Svedin, U., Falkenmark, M.,
678 Karlberg, L., Corell, R.W., Fabry, V.J., Hansen, J., Walker, B., Liverman, D., Richardson,
679 K., Crutzen, P., Foley, J.A., 2009. A safe operating space for humanity. Nature 461, 472–
680 475. <https://doi.org/10.1038/461472a>

681 Shue, H., 1999. Global Environment and International Inequality. International Affairs 75, 531–545.
682 <https://doi.org/10.1111/1468-2346.00092>

683 Steffen, W., Broadgate, W., Deutsch, L., Gaffney, O., Ludwig, C., 2015a. The trajectory of the
684 Anthropocene: the great acceleration. Anthr. Rev. 2, 81–98.

685 Steffen, W., Richardson, K., Rockström, J., Cornell, S.E., Fetzer, I., Bennett, E.M., Biggs, R.,
686 Carpenter, S.R., de Vries, W., de Wit, C.A., 2015b. Planetary boundaries: Guiding human
687 development on a changing planet. Science 347, 1259855.

688 Tukker, A., Bulavskaya, T., Giljum, S., de Koning, A., Lutter, S., Simas, M., Stadler, K., Wood, R.,
689 2014. The Global Resource Footprint of Nations. Carbon, water, land and materials
690 embodied in trade and final consumption calculated with EXIOBASE 2.1. Organisation for
691 Applied Scientific Research / Vienna University of Economics and Business / Norwegian
692 University of Science and Technology, Leiden/Delft/Vienna/Trondheim.

693 Turner II, B.L., Kasperson, R.E., Meyer, W.B., Dow, K.M., Golding, D., Kasperson, J.X., Mitchell,
694 R.C., Ratick, S.J., 1990. Two types of global environmental change: Definitional and
695 spatial-scale issues in their human dimensions. *Glob. Environ. Change* 1, 14–22.
696 [https://doi.org/10.1016/0959-3780\(90\)90004-S](https://doi.org/10.1016/0959-3780(90)90004-S)

697 UNEP, 2017. About Montreal Protocol [WWW Document]. OzonAction. URL
698 <http://web.unep.org/ozonaction/who-we-are/about-montreal-protocol> (accessed 1.27.18).

699 UNEP, 2012. Global Environment Outlook 5 (GEO-5). UNEP, Nairobi, Kenya.

700 United Nations, 1987. Our common future, Reprinted. ed. Oxford University Press, Oxford ; New
701 York.

702 UNPD, 2013. World Population Prospects: The 2012 Revision. United Nations, Department of
703 Economic and Social Affairs, Population Division, New York.

704 Wackernagel, M., 1994. Ecological footprint and appropriated carrying capacity : a tool for planning
705 toward sustainability. The University of British Columbia, Vancouver.

706

National environmental limits and footprints based on the Planetary Boundaries framework: the case of Switzerland.

Supplementary material

Table of Content

A1.	Definitions of concepts	3
1.1.	<i>Limits</i>	3
1.2.	<i>Targets</i>	3
1.3.	<i>Footprints</i>	4
A2.	The nine Planetary Boundaries (2009)	5
A3.	Limits, footprints & performances for Switzerland and the World	7
3.1.	<i>Climate Change</i>	7
3.2.	<i>Ocean Acidification</i>	17
3.3.	<i>Nitrogen and Phosphorus Losses</i>	26
3.4.	<i>Land Cover Anthropisation</i>	44
3.5.	<i>Biodiversity Loss</i>	53
A4.	Experts consulted	65
A5.	References	69

Acronyms

BDP	Biodiversity Damage Potential
C&C	Contraction & Convergence model
CBD	Convention on Biological Diversity
DPSIR	Driving forces, pressures, states, impacts, responses (model)
ENA	European Nitrogen Assessment
FOEN	Swiss Federal Office for the Environment

FSO	Swiss Federal Statistical Office
GDP	Gross domestic product
GDR	Greenhouse Development Rights framework
GEG	Global Environmental Goals
GHG	Greenhouse gas
GRID	Global Resource Information Database
IPCC	Inter-Governmental Panel on Climate Change
LCA	Life Cycle Assessment
LPI	Living Planet Index
MDG	Millennium Development Goals
MRIO	Multi-regional Environmentally Extended Input-Output Model
SDG	Sustainable Development Goals
UNEP	United Nations Environment Programme

20 A1. Definitions of concepts

21 1.1. Limits

22 Limits refer to threshold values (e.g. the concentration of CO₂ in the atmosphere) beyond which
23 unacceptable impacts are much more likely to occur. The threshold values should be determined
24 by science, based on a large agreement from the scientific community, even if the uncertainty
25 range is large. The impacts must be specifically defined: e.g. effects on the ecosystem stability
26 (e.g. global warming), on the provision of resources and services (e.g. food production), or on
27 human health (e.g. disaster risk).

28 At local scale and in specific domains, these limits are sometimes expressed as “critical levels”
29 (e.g. concentration of atmospheric pollutants) and/or “critical loads” (e.g. deposition of atmospheric
30 pollutants on ecosystems).

31 In the field of global change the term “tipping point” is often used for a value at which a system
32 changes from one stable (steady) state to another.

33 1.2. Targets

34 A target can be defined as “a value that the indicator should reach, accompanied or not by a
35 deadline to achieve this value (target year)” (Eurostat, 2014). Targets are set through policy
36 processes with short-term and achievable objectives in mind. They may be based on scientific
37 evidences, but not only. Targets are most often the results of negotiations, which relate other
38 dimensions such as power relations, economic considerations, public pressure, social values and
39 perceptions.

40 The link between limits and targets is not straightforward. Even if a scientific limit is identified, it
41 does not directly translate into an identical policy target, either, for instance, because the limit is
42 seen as too difficult to attain (e.g. too expensive in economic terms), or because the limit is a value
43 to be avoided rather than to be reached.

1.3. Footprints

Footprint indicators are tools for measuring actual environmental impacts in a synthetic manner (Hoekstra and Wiedmann, 2014) going beyond the classical territorial perspective. Footprints quantify environmental impacts occurring in- and outside a country due to domestic consumption. Footprints are based on scientifically validated rationales and they apply an approach called Life Cycle Thinking.

Footprints have started to be more known to the general public in the 2000's (e.g. carbon footprint, water footprint). Due to the large development of the last 15 years, footprints can now be computed for thousands of different releases to the environment and resource uses mainly using top-down (Environmentally Extended Input-Output Analysis) (Sue, 2009) or bottom-up approaches (mainly process Life Cycle Assessment, see e.g. European Commission - Joint Research Centre - Institute for Environment and Sustainability, 2010)¹. The interest in footprint indicators for the quantification of environmental objectives is recognised (BIO Intelligence Service and Institute for Social Ecology and Sustainable Europe Research Institute, 2013, 2012). Data is however still scarce outside Europe and the aggregation of data into meaningful figures requires generally large amount of work.

¹ For an evaluation on recent footprint indicators see also (Frischknecht et al., 2013).

61 A2. The nine Planetary Boundaries (2009)

Earth System process	Control variable	Threshold avoided or influenced by slow variable	Planetary boundary (zone of uncertainty)	State of knowledge*
Climate change	Atmospheric CO ₂ concentration, ppm; Energy imbalance at Earth's surface, W m ⁻² .	Loss of polar ice sheets. Regional climate disruptions. Loss of glacial freshwater supplies. Weakening of carbon sinks.	Atmospheric CO ₂ concentration: 350 ppm (350-550 ppm) Energy imbalance: +1 W m ⁻² (+1.0 – +1.5 W m ⁻²).	1. Ample scientific evidence. 2. Multiple sub-system thresholds. 3. Debate on position of boundary.
Ocean acidification	Carbonate ion concentration, average global surface ocean saturation state with respect to aragonite (Ω_{arag}).	Conversion of coral reefs to algal-dominated systems. Regional elimination of some aragonite- and high-magnesium calcite-forming marine biota. Slow variable affecting marine carbon sink.	Sustain $\geq 80\%$ of the preindustrial aragonite saturation state of mean surface ocean, including natural diel and seasonal variability ($\geq 80\%$ - $\geq 70\%$).	1. Geophysical processes well-known. 2. Threshold likely. 3. Boundary position uncertain due to unclear ecosystem response.
Stratospheric ozone depletion	Stratospheric O ₃ concentration, DU.	Severe and irreversible UV-B radiation effects on human health and ecosystems.	<5% reduction from preindustrial level of 290 DU (5 - 10 %).	1. Ample scientific evidence. 2. Threshold well established. 3. Boundary position implicitly agreed and respected.
Atmospheric aerosol loading	Overall particulate concentration in the atmosphere, on a regional basis.	Disruption of monsoon systems. Human health effects. Interacts with climate change and freshwater boundaries.	To be determined	1. Ample scientific evidence. 2. Global threshold behaviour unknown. 3. Unable to suggest boundary yet.
Nitrogen and phosphorus inputs to the biosphere and oceans	P: inflow of phosphorus to ocean, increase compared to natural background weathering N: amount of N ₂ removed from atmosphere for human use, Mt N yr ⁻¹	P: avoid a major oceanic anoxic event (including regional), with impacts on marine ecosystems. N: slow variable affecting overall resilience of ecosystems via acidification of terrestrial ecosystems and eutrophication of coastal and freshwater systems.	P: < 10 $\times$ (10 $\times$ - 100 $\times$) N: Limit industrial and agricultural fixation of N ₂ to 35 Mt N yr ⁻¹ , which is ~ 25% of the total amount of N ₂ fixed per annum naturally by terrestrial ecosystems (25- 35%)	P: (1) Limited knowledge on ecosystem responses; (2) High probability of threshold but timing is very uncertain; (3) Boundary position highly uncertain. N: (1) Some ecosystem responses known; (2) Acts as a slow variable, existence of global thresholds unknown; (3) Boundary position highly uncertain.
Global freshwater use	Consumptive blue water use, km ³ yr ⁻¹ .	Could affect regional climate patterns (e.g., monsoon behaviour). Primarily slow variable affecting moisture feedback, biomass production, carbon uptake by terrestrial systems and reducing biodiversity	< 4,000 km ³ yr ⁻¹ (4,000 - 6,000 km ³ yr ⁻¹)	1. Scientific evidence of ecosystem response but incomplete and fragmented. 2. Slow variable, regional or subsystem thresholds exist. 3.. Proposed boundary value is a global aggregate, spatial distribution determines regional thresholds.
Land system change	Percentage of global land cover converted to cropland.	Trigger of irreversible & widespread conversion of biomes to undesired states. Primarily acts as a slow variable affecting carbon storage and resilience via changes in biodiversity and landscape heterogeneity.	$\leq 15\%$ of global ice-free land surface converted to cropland (15 – 20%).	1. Ample scientific evidence of impacts of land cover change on ecosystems, largely local and regional. 2. Slow variable, global threshold unlikely but regional thresholds likely. 3. Boundary is a

Earth System process	Control variable	Threshold avoided or influenced by slow variable	Planetary boundary (zone of uncertainty)	State of knowledge*
				global aggregate with high uncertainty, regional distribution of land system change is critical.
Biodiversity loss	Extinction rate , extinctions per million species per year (E/MSY).	Slow variable affecting ecosystem functioning at continental and ocean basin scales. Impact on many other boundaries – C storage, freshwater, N and P cycles, land systems. Massive loss of biodiversity unacceptable for ethical reasons.	< 10 E/MSY (10 – 100 E/MSY)	1. Incomplete knowledge on the role of biodiversity for ecosystem functioning across scales. 2. Thresholds likely at local and regional scales 3. Boundary position highly uncertain.
Chemical pollution	For example, emissions, concentrations, or effects on ecosystem and Earth system functioning of persistent organic pollutants (POPs), plastics, endocrine disruptors, heavy metals, and nuclear wastet.	Thresholds leading to unacceptable impacts on human health and ecosystem functioning possible but largely unknown. May act as a slow variable undermining resilience and increase risk of crossing other threshold.	To be determined	1. Ample scientific evidence on individual chemicals but lacks an aggregate, global-level analysis. 2. Slow variable, large-scale thresholds unknown. 3. Unable to suggest boundary yet.

* State of knowledge regarding three factors: 1. Basic understanding of Earth system process. 2. Existence of threshold behaviour. 3. Position of the boundary

Source : Stockholm Resilience Centre <http://www.stockholmresilience.org/research/planetary-boundaries/planetary-boundaries/about-the-research/quantitative-evolution-of-boundaries.html>

An update of the Planetary Boundaries has been published in 2015 (Steffen et al., 2015), While their number remain unchanged, some names (and descriptions) have evolved: Climate Change, Ocean acidification, Stratospheric ozone depletion, Atmospheric aerosol loading, Nitrogen and phosphorus flows to the biosphere and oceans, Freshwater consumption and the global hydrological cycle, Land system change, Loss of biosphere integrity (biodiversity loss and extinctions), Chemical pollution and the release of novel entities.

73 A3. Limits, footprints & performances for Switzerland and the World

74 3.1. Climate Change

75 Our climate is changing due to anthropogenic emissions of greenhouse gases (GHG) as well as
76 changes in land cover (IPCC, 2013). Due to the long term residence of GHG emissions in the
77 atmosphere (multi-century to millennial time scale), elevated temperatures will remain for many
78 centuries after a complete cessation of net anthropogenic GHG emissions (IPCC, 2013). This
79 climate change will induce significant social, economic and environmental long-term impacts, and
80 a wide range of sectors will be affected (IPCC, 2014).

81 3.1.1. Description

82 This Planetary Boundary is set to avoid regional modifications at global scale including, among
83 others: climate disruptions; reduction of land glaciers mass and related threat to water supply;
84 complete loss of arctic sea ice, and weakening of carbon sinks; increase impacts from extreme
85 events; changes in temperatures and precipitation patterns; shift in biodiversity and agriculture, as
86 well as sea level rise and related coastal erosion.

87 Climate Change is a global issue since GHG emissions are accumulating in the atmosphere
88 whatever their location of origin. The global limit for Climate Change is set with an indicator
89 expressed in terms of *the remaining cumulative GHG emissions (including land cover changes) for*
90 *a 50% chance to stay below a 2°C increase by 2100 compared with pre-industrial level.*

91 3.1.2. Methodology

92 *Selection of the indicator*

93 Several references and limits have been suggested in the literature: CO₂ concentration in the
94 atmosphere, with a limit of 350 ppm; a Radiative Force (RF) of 1 W/m² (Hansen et al., 2013; Johan
95 Rockström et al., 2009) or a global temperature increase of 1.5 or 2°C.

96 According to Hansen et al. (2013), a limit of 350 ppm, compatible with a target of 1°C temperature
97 increase, corresponds to a “Safe Operating Space”. No new evidences were found which
98 contradict this limit, and therefore this value is kept as the theoretical reference. This theoretical

99 reference of 350 ppm has already been exceeded, the current value (April 2014) being 401.3 ppm
100 (NOAA, 2014). Keeping the global temperature increase under 1°C is thus extremely unlikely, i.e.
101 0-5% chances. This is however still possible following IPCC RCP2.6 scenario (IPCC, 2013).

102 The target of a 2°C temperature increase as compared with pre-industrial time is the main target
103 currently discussed (Stocker et al., 2013). IPCC (2013) warns however that “there are already
104 clear indications of undesirable impacts at the current level of warming and that 2°C warming
105 would have major deleterious consequences”. These impacts are well described in IPCC AR5,
106 WG2 report (IPCC, 2014). Here are some examples of these consequences:

- 107 • Negative impacts to agriculture (although individual locations may benefits).
- 108 • Global mean sea level rise for 2081–2100 relative to 1986–2005 will likely be in the range
109 of 0.44 m (0.26 to 0.55).
- 110 • A reduction of 70% of Northern Hemisphere September sea ice extent as compared with
111 2005.
- 112 • Biodiversity losses, with many species and systems with limited adaptive capacity subject
113 to very high risks, particularly in polar, mountainous and coral-reef systems.

114 Despite the fact that the 2°C target does not correspond to a truly “Safe Operating Space”, the
115 objective to keep the global temperature below a 2°C increase over pre-industrial level by 2100 is
116 selected as the reference since selecting a more stringent objective (e.g. the 2°C at 66%
117 probability mentioned at the COP21) would not add much due to the severity of the current
118 situation and the already very large changes required to reduce GHG emissions in order to respect
119 the limit of this Planetary Boundary. Keeping the global temperature increase below the 2°C limit
120 will already be very difficult to achieve.

121 To assess this Planetary Boundary, an indicator of yearly GHG emissions is selected. Climate
122 Change being a largely studied issue, the link between the increase in global temperature, the
123 increase in atmospheric carbon concentration, the GHG emissions and other land cover changes
124 are now well known. “It is extremely likely that human influence has been the dominant cause of

125 the observed warming since the mid-20th century” (IPCC, 2013).² A limit computed with an
126 indicator at the Pressure level in the DPSIR framework (EEA, 2005) can thus be based on strong
127 scientific evidence. In addition, good data on GHG emissions from human activities are available
128 enabling the computation of national footprints.

129 *Setting limits*

130 The global limit is computed first and then downscaled to compute the Swiss limit. The global limit
131 per capita represents an equal share perspective. The global limit accounts for future emissions
132 while the Swiss limit accounts for future emissions and for part of the historical emissions. Limits
133 are expressed in terms of average yearly values corresponding to a theoretically acceptable rate of
134 exhaustion of the budget of the remaining GHG emissions. The exhaustion of emissions is set in
135 2100.

136 *Global limit*

137 According to IPCC (2013), limiting temperature increase can be achieved by limiting the
138 cumulative GHG emissions from human activities and land cover changes, with the addition of
139 GHG mitigating action. Due to the complexity of the climate systems and uncertainties, the amount
140 of greenhouse gases (GHG) emissions for staying below 2°C warming is a question of level of
141 confidence.

142 Knowing that 515 GtC have already been emitted between the industrial revolution and 2011
143 (IPCC, 2013), the remaining emissions estimated for the different levels of confidence are shown
144 in Table 1. These values represent cumulative emissions of CO₂ equivalent and can be considered
145 as a budget over time.

146

147

Level of confidence	Max. emissions accounting for non-CO ₂ forcing	Stock of remaining emissions	
> 33%	900	385	in GtC
> 50%	820	305	in GtC
> 66%	790	275	in GtC

[PP1]

² Extremely likely expresses a level of likelihood comprised between 95% and 100% probability.

149 **Table 1. Level of emissions (in GtC) according to the different levels of confidence.**

150 For the selected indicator, *the remaining cumulative emissions (including land cover changes) for a*
151 *50% chance³ to stay below a 2°C increase by 2100 compared with pre-industrial level*, remaining
152 emissions are 305 GtC, corresponding⁴ to 1473 GtCO₂eq in 2010. The current global budget for
153 2015 is equal to 1315.6 GtCO₂eq, computed by subtracting global emissions from 2011 to 2014
154 (extrapolated from 2010 values).

155 On average, this results in 15.5 GtCO₂eq GHG yearly emissions until the exhaustion of the budget
156 in 2100, i.e. in 85 years.

157 Computing an *equal share per capita* value requires considering current and future populations of
158 the Earth. Dividing the budget by the sum of all yearly inhabitants until 2100⁵ results in a global per
159 capita yearly limit of 1.7 tCO₂eq. The global per capita value is fixed over time but the yearly global
160 limit varies according to the yearly global population. The resulting limit for the world is 12.3
161 GtCO₂eq for 2015. This is smaller than the average yearly value since the world population will be
162 larger in the future.

163 **Comparison with earlier studies**

164 In the original Planetary Boundaries by Rockström et al. (2009), the limit was set to 350 ppm CO₂
165 and 1 W/m². A pathway and return to 350 ppm level by 2100 is described by Hansen et al. (2013).
166 This pathway would require to restrict emissions from fossil fuel emissions to 129 GtC by 2050 and
167 to 14 GtC by 2100, while, at the same time, trapping 100 GtC in forest and soils through
168 reforestation and agricultural practices. Such approach results in a budget of 43 GtC compared to

³ The 50% chance is selected for its compatibility with Swiss climate policies.

⁴ The GHG total, expressed in MtCO₂ equivalent is calculated using the GWP100 metric of UNFCCC (IPCC, 1996). The GHG are composed of CO₂ totals excluding short-cycle biomass burning (such as agricultural waste burning and savannah burning) but including other biomass burning (such as forest fires, post-burn decay, peat fires and decay of drained peatlands), all anthropogenic CH₄ sources, N₂O sources and F-gases (HFCs, PFCs and SF₆).

⁵ The sum of inhabitants over the years is computed using the United Nations Population Division (UNPD, 2013) estimation of the world population until 2050, then assuming a stable population until 2100. The computation is 7.32 billion in 2015 + 7.40 in 2016 + ... + 9.55 in 2050 + ... 9.55 in 2100 = 784.8 billion people-year.

169 the here computed 305 GtC. The computed limit is thus around 7 times larger than the
170 implementation of the proposal from Rockström et al. (2009).

171 In the first computation of national limits, Nykvist et al. (2013) have selected the yearly emissions
172 of CO₂ based on a budget over time. The methodology presented here extends this application in
173 three ways by considering:

- 174 • GHG emissions as well as land cover changes rather than CO₂ only
- 175 • Past emissions

176 Limit for Switzerland

177 Switzerland, like all developed economies, has already emitted a large amount of GHG emissions.
178 To account for part of the historical contributions, the limit for Switzerland is set by downscaling the
179 global limit with the hybrid-allocation approach described in chapter 4: the Swiss share of the
180 global GHG emissions over time is defined relatively to the Swiss share of the global population at
181 a past reference date. The Swiss share is fixed over time. For Climate Change, this reference date
182 is 1990. Rationales for selecting 1990 are (a) knowledge since the first IPCC report was released
183 in 1990, shedding the scientific light on this issue, (b) 1990 is the reference date used in
184 international negotiations, and (c) accessible data of quality is available from 1990.

185 As shown in Equation 1, the budget over time (maximum future emissions) of Switzerland is
186 computed by:

- 187 • Computing the global GHG budget over time in 1990 by adding global past emissions to
188 the budget over time computed for 2010.
- 189 • Getting the Swiss share of this budget using the share of the Swiss population as
190 compared to the world population in 1990 (0.125%).
- 191 • Deducting the past Swiss emissions from a footprint perspective to get the current Swiss
192 budget over time.

193

$$194 \quad FE_{CH} = \frac{CHP_{1990}}{WP_{1990}} (FE_W + PE_W) - PE_{CH} \quad \text{Equation 1}$$

195

196	Where,	
197	FE_{CH}	Maximum future emissions for Switzerland (from 2015 onward)
198	CHP_{1990}	Swiss population in 1990
199	WP_{1990}	World population in 1990
200	FE_W	Maximum future emissions for the World (from 2015 onward)
201	PE_W	World past emissions (1990 to 2014)
202	PE_{CH}	Past emissions induced by the Swiss consumption (1990 to 2014)

203

204 The computed budget over time of GHG emissions for Switzerland in 1990 is 3.03 GtCO₂eq.
 205 Subtracting historical footprint emissions for 1990-2014, the budget over time for Switzerland is
 206 0.52 GtCO₂eq. Values for 1990 to 1995 have been taken from (Jungbluth et al., 2011) and values
 207 for 1996-2011 from (Frischknecht et al., 2013). On average, this results in yearly emissions
 208 equivalent to 6.1 MtCO₂eq GHG until the exhaustion of the budget in 2100, i.e. in 85 years. This
 209 means that Switzerland becomes carbon neutral after this date.

210 The Swiss per capita limit is computed by considering the current and future population of
 211 Switzerland. The Swiss budget is divided by the sum of all the yearly inhabitants of Switzerland
 212 until 2100⁶ resulting in a Swiss per capita yearly limit of 0.6 tCO₂eq. The Swiss per capita value is
 213 fixed over time but the yearly Swiss limit varies according to the yearly Swiss population. The
 214 resulting limit for Switzerland is 4.8 MtCO₂eq for 2015. The value is smaller than the yearly
 215 average since the Swiss population will be larger in the future.

216 Data sources & evaluation of the indicator

217 The data sources for the limits and the global footprint are presented in Table 2.

⁶ The sum of inhabitants over the years is computed using the United Nations Population Division (UNPD, 2013) estimation of the Swiss population until 2050, then assuming a stable population until 2100. The sum equals 896 million people-year.

Data	Data sources	Units
Limit of future emissions (global)	Hansen et al 2013, Stocker et al. 2013	C
National (territorial and footprint) emissions from 1990 to current	For 1990-1995: Jungbluth et al. 2011, for 1996-2011: Frischknecht et al. 2013	CO ₂
World emissions of all GHG from 1990 to current	EDGAR 2011	CO ₂
National population (1990 - 2050) - UN medium	UN Dep. of Econ. and Social Affairs	Inhabitants
World population (1990 - 2050) - UN medium	UN Dep. of Econ. and Social Affairs	Inhabitants

Table 2. Climate Change: data sources for global values.

The evaluation of the indicator with respect to eight criteria is presented in Table 3. Climate Change being a largely studied issue, the overall quality of the assessment can be considered as high.

Quality assessment			
PB relevance	++		
Focus on the overall picture	++		
Reliability (data, models)	+		
Transparency	++		
Communication	+ / -	The limit is different from the one defined by (Rockstöm et al 2009).	
Coherence / comparability	+		
Availability of information	++		
Timely	++		
Legend : ++ : High + : Acceptable + / - : Potentially problematic - : Problematic			

Table 3. Climate Change: quality assessment.

Computing current footprints

The general description of the computation of the footprints is presented in the main part of the article. The specificities for Climate Change are presented in this supplementary document.

The global emissions (equivalent to the global footprint) are based on the EDGAR database (2011). Data is extrapolated for 2011 based on the average growth rate for 2006-2010.

The Swiss footprint is based on the FOEN proprietary database. Footprints are computed for the years 1996-2011. The inventory of GHG emissions contains all anthropogenic CO₂, CH₄ sources, N₂O sources and F-gases (HFCs, PFCs and SF₆). The inventory is converted to CO₂eq with the conversion factors provided for GWP100 by Forster et. (2007).

234 **3.1.3.Current performance**

235 The Planetary Boundary Climate Change is largely overshoot globally and from a Swiss perspective
236 and the evolution is very rapid. Confidence in the results is high. The global and Swiss
237 performances are thus qualified as Clearly Unsafe.

238 Results⁷ are presented for 2015 limits and for current footprints (global: 2014, Switzerland: 2011) in
239 Figure 1. The global yearly limits consider current and future populations, i.e. they represent the
240 equal share perspective. The limits for Switzerland consider, in addition, past Swiss emissions.



242 **Figure 1. Climate Change: global and Swiss performances.**

243 At global scale, the global limit is at 12.3 GtCO₂eq for 2015 due to a per capita limit set at 1.7
244 tCO₂eq. With a current global footprint estimated to be 50.8 GtCO₂eq for 2014, and a per capita
245 footprint at 7.3 tCO₂eq, the limit for Climate Change is globally exceeded by a factor 4 for 2014.
246 The situation is clearly an overshoot. This is also the case when comparing the footprint with the
247 average yearly limit value (15.5 GtCO₂eq) until 2100.
248 For Switzerland, the pattern is similar and the Swiss footprint is largely over its long-term
249 acceptable average. The Swiss limit is at 4.8 MtCO₂eq for 2105 due to a per capita limit set at 0.6

⁷ The values consider all greenhouse gases while the results for Ocean Acidification consider CO₂ only.

250 tCO₂eq. With current footprint emissions estimated to be 109 MtCO₂eq for 2011 for Switzerland,
251 and a current per capita footprint at 13.7 tCO₂eq (Frischknecht et al., 2014) this limit is exceeded
252 for Switzerland by a factor 23 for 2011. If the past would not be considered, i.e. in an equal share
253 perspective, the limit would still be exceeded by a factor 8 for 2011.

254 Setting a shorter term duration for the use of the budget, for example with an exhaustion in 2050,
255 the situation would appear, at first, less dramatic. The underlying assumption is however drastic
256 since this duration implies that there won't be any emissions of GHG starting in 2050. In such a
257 context, the 2015-2050 average yearly limit for Switzerland would be 14.9 MtCO₂, i.e. an overshoot
258 by a factor 3.

259 Since the limits are based on a 2015-2100 budget, several options are possible to spend this
260 budget. The Swiss budget of GHG emissions corresponds to:

- 261 • *4.8 years of emissions* (until mid-2019) at the current Swiss yearly emissions rate.
- 262 • *Less than 7 years* with an ongoing yearly reduction of 10%. This would result in cumulative
263 long-term emissions at 980 rather than 500 MtCO₂eq.
- 264 • *Less than 11 years* with an ongoing yearly reduction of 15%. This would result in
265 cumulative long-term emissions at 618 rather than 500 MtCO₂eq.
- 266 • *Sustainable* with an ongoing yearly reduction of 17.5%, resulting in cumulative long-term
267 emissions at 513 MtCO₂eq.

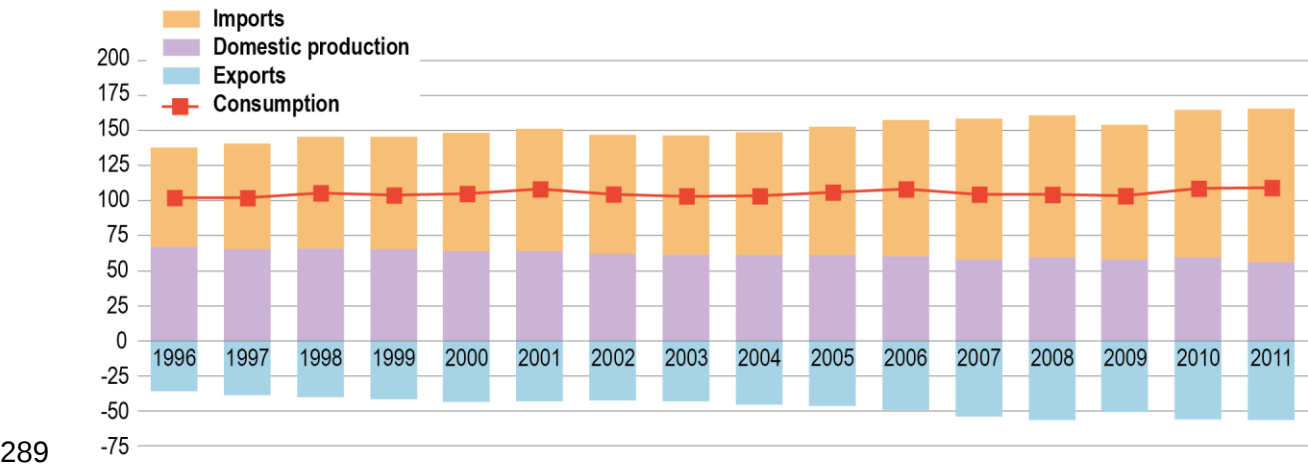
268 **3.1.4.Discussion: Climate Change**

269 The theoretically correct limit set by Hansen et al. (2013) and Rockström et al. (2009b) to stay in a
270 “Safe Operating Space” being extremely unlikely (Stocker et al., 2013), another limit based on the
271 remaining budget of GHG emissions has been set. This limit is based on a potentially (50%
272 chance) achievable objective, a 2°C target. Respecting this limit will require tremendous efforts
273 since the Swiss footprint is currently drastically larger than the average yearly limit. This is
274 notwithstanding the fact that such results are computed with a 50% chance only, representing thus
275 a significant risk with respect to the issues at stake.

276 Considering the global budget as a whole and a yearly rate of global emissions similar to the
277 current rate, the global budget would be exhausted in 26 years, by 2041, i.e. 20 years after the
278 exhaustion of the Swiss share of the budget.

279 Looking at the historical situation over 1996-2011 shows a cumulative increase of the Swiss
280 footprint of 7% (+ 0.5% yearly). Domestic efforts to reduce carbon emissions are visible (- 16.9%
281 for the part of the Swiss production consumed in Switzerland) but are more than counteracted by
282 the GHG emissions due to imports (+ 56%). Figure 2 shows the GHG emissions induced by the
283 Swiss consumption, i.e. the domestic emissions from the Swiss production minus domestic
284 emissions for exports plus the foreign emissions for imports.

285 To respect the yearly limit, the reduction in Switzerland should thus increase in pace (a yearly
286 decrease equivalent to the total decrease over the period 1996-2011 would be adequate) and the
287 tendency for the emissions due to imports be inverted. Such inversion can be performed in two
288 ways: by reducing the quantity of imports and by reducing their carbon intensity.



290 **Figure 2. GHG emissions (in MtCO₂eq.) induced by the Swiss consumption.**

291 Comparing the Swiss GHG footprint to Swiss territorial emissions for 2011 show that both values
292 are almost equal, but that over time the difference is increasing (4% of difference in 2011).

293 Assuming a territorial perspective, as it is usually the case in the international climate negotiations,
294 rather than a footprint perspective as in this study, would thus result in the same the conclusion.

295 With respect to potential solutions, any additional emissions over the limit can be considered in two
296 ways in the present international environmental regime: through local reduction or through

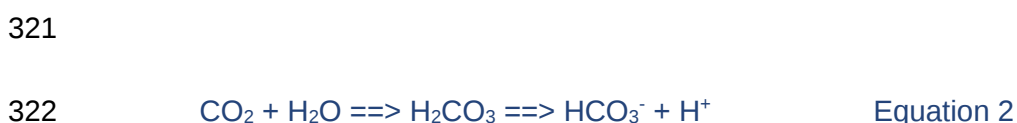
offsetting by either negative emissions (e.g. reforestation and improved agricultural practices) or by supporting other countries in limiting an equivalent amount of GHG emissions.

Current offsetting practices are however not sufficient: current forest policies aim at using wood in a sustainable way, not at storing carbon over the long term. "Afforestation and reforestation remove CO₂ from the atmosphere, and result in a net accumulation of carbon in living biomass. However, if the forest is subsequently destroyed the carbon will be released, so this option depends on addressing issues of long term forest management." (Meadowcroft, 2013). For this reason, many scenarios for remaining below the 2°C target assume negative emissions (i.e. carbon storage) in the second half of the century (Guivarch and Hallegatte, 2013; Peters et al., 2011). Deforestation has led to a production of 100 GtC and reforestation as well as improved agricultural practices could be used to store part of the CO₂ back in forest and soils (Hansen et al., 2013).

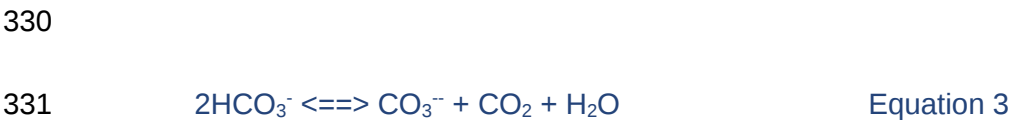
3.2. Ocean Acidification

Ocean acidification is sometimes referred to as "the other CO₂ problem" (Doney et al., 2009). Ocean acidification is not caused by climate change, however, both issues share the same origin, i.e. the amount of anthropogenic CO₂ emitted into the atmosphere. The two issues need however to be treated separately since their limits and underlying causes differ: ocean acidification is nearly entirely caused by CO₂ emissions, whereas climate change is induced by all greenhouse gases as well as by changes in land cover.

From all the CO₂ emitted, 43% (± 2%) remains in the atmosphere and contributes to climate change. Another 29% is stored in forests and soils. The remaining 28% (± 5%) enters oceans (Stocker et al., 2013) and interacts with water to generate carbonic acid (H₂CO₃). It dissociates into H⁺ ions and bicarbonate HCO₃⁻ (see Equation 2) and leads to ocean acidification (Doney et al., 2009; Feely et al., 2009; Steinacher et al., 2009).



324 More CO₂ may be beneficial to some species capable of photosynthesis, e.g. algae. The issue is
325 for organisms using aragonite, i.e. calcium carbonate (CaCO₃) to form their shells, e.g. molluscs,
326 or their calcareous exoskeleton, e.g. corals. With more CO₂, the chemical equilibrium described in
327 Equation 3 is shifted to the left, resulting in a lesser concentration of ions CO₃²⁻. Below a critical
328 concentration of carbonate ions, aragonite shells or exoskeleton produced by marine organisms
329 dissolve spontaneously (Bopp et al., 2013; Feely et al., 2009).



332

333 This Planetary Boundary has a strong connection with climate, but also with marine biodiversity.
334 The main impacts from ocean acidification being on marine fauna and flora, affecting carbon sinks
335 as well as on industries linked with marine resources, e.g. fisheries and tourism.

336 **3.2.1.Description**

337 This Planetary Boundary is set to avoid the conversion of coral reefs to algal-dominated systems,
338 the regional elimination of some aragonite - and high-magnesium calcite - forming marine biota.

339 Ocean Acidification is a global issue since CO₂ emissions are accumulating in the oceans
340 whatever their location of origin. It is global, albeit with regional variations: the solubility of
341 aragonite, governed by the concentration of CO₂ in the ocean, varies with contextual parameters.
342 The solubility of aragonite increases at lower water temperature, with higher depth (pressure) and
343 higher salinity. Because of this, the impacts from the increase concentration of CO₂ into the
344 atmosphere will differ from one location to another. E.g., cold water (polar) will be more rapidly
345 affected given its higher capacity to dissolve CO₂ (Steinacher et al., 2009). In certain regions, the
346 isocline (depth at aragonite saturation) could reach surface water.

347 The global limit for Ocean Acidification is set with an indicator expressed in terms of *the remaining*
348 *cumulative emissions of carbon dioxide (CO₂) from human activities to maintain an acceptable*
349 *calcium carbonate saturation state Ω.*

3.2.2. Methodology

Selection of the indicator

Several ways were explored to assess ocean acidification. First, by looking at pH. Since 1750, the ocean pH has decreased from 8.2 to 8.1.⁸ Depending on scenarios, the pH is expected to be reduced⁹ by 0.2 to 0.4 by the end of 21st century.

Second, by looking specifically at one of the main consequences of ocean acidification. Rockström et al. (2009) use the concentration of aragonite as an indicator. Based on the calcium carbonate saturation state Ω , they fixed the limit at $2.75 \Omega_{\text{arag}}$. The current value of Ω is 2.90, meaning that the limit has not yet been exceeded (a higher Ω is better).

This limit with respect to the concentration of aragonite ($2.75 \Omega_{\text{arag}}$) in oceans can be related to the increase in atmospheric CO₂ concentration. The tipping point has been identified¹⁰ at 450 ppm (McNeil and Matear, 2008). This choice is compatible with (IPCC, 2013): “surface waters may become seasonally corrosive to aragonite in parts of the Arctic and in some coastal upwelling systems within a decade, and in parts of the Southern Ocean within 1 to 3 decades in most scenarios. Aragonite undersaturation becomes widespread in these regions at atmospheric CO₂ levels of 500 to 600 ppm”.

To assess this Planetary Boundary, an indicator of yearly CO₂ emissions has been selected since the link between CO₂ emissions and the atmospheric CO₂ concentration is based on strong scientific evidence. This indicator is thus a Pressure within the DPSIR framework (EEA, 2005). Good data on CO₂ emissions from human activities are available enabling the computation of national footprints.

⁸ The term acidification doesn't mean that the ocean will become acidic (pH < 7), but that the pH trend is toward lower pH values.

⁹ The pH is a logarithmic scale: 1 unit corresponds to a tenfold change in hydrogen ion concentration.

¹⁰ Literature review and personal contact with an expert in ocean acidification (Doney, 2014).

371 *Setting limits*

372 The global limit is computed first and then downscaled to compute the Swiss limit. The global limit
373 per capita represents an equal share perspective. The global limit account for future emissions
374 while the Swiss limit accounts for future emissions and for part of the historical emissions. Limits
375 are expressed in terms of average yearly values corresponding to a theoretically acceptable rate of
376 exhaustion of the budget of the remaining CO₂ emissions. Exhaustion is assumed in 2100.

377 *Global limit*

378 CO₂ emissions accumulate within the atmosphere, having a long-term residence. Since the
379 reference with respect to the concentration of aragonite ($2.75 \Omega_{arag}$) in oceans can be related to the
380 equivalent atmospheric concentration of CO₂ (450 CO₂ ppm), the limit is based on the cumulative
381 CO₂ emissions until the maximum concentration corresponding to a “Safe Operating Space” is
382 achieved. A concentration just below the 450 ppm, at 445 CO₂ ppm has been selected.

383 The remaining emissions can be considered as a budget over time. The computed budget,
384 expressed in GtCO₂, includes CO₂ emissions from fossil fuel, cement production and land cover
385 changes (forest fires, peat fires and decay of drained peatlands).

386 Between 1990 (353.6 ppm) and 2010 (388.4 ppm), the concentration of CO₂ increased by 34.8
387 ppm (Stocker et al., 2013, p. 1401-1402). During the same period an amount of 644.1 GtCO₂
388 (EDGAR, 2011) was emitted. Hence, on average 18.5 GtCO₂ (5 GtC) emitted leads to one
389 additional atmospheric CO₂ ppm.¹¹ Using the 18.5 GtCO₂ factor, the budget corresponding to the
390 maximum possible emissions to reach the reference of 445 CO₂ ppm is computed as in Equation
391 4.

392

393
$$E_1 = (ppm_i - ppm_c) \cdot C$$
 Equation 4[PP2]

394 Where,

¹¹ The theoretical conversions of 1 ppm atmospheric CO₂ is 2.12 GtC atmospheric (Hansen et al., 2013) or 2.13 GtC (CDIAC, 2012). Knowing that 43% (± 2%) of CO₂ remains in the atmosphere, these theoretical values confirm this figure (2.13/0.43 = 4.95 GtC emissions per ppm).

395 E_i = Maximum emissions of CO₂ to reach the limit
396 ppm_i = Selected limit of atmospheric CO₂ (here 445 ppm)
397 ppm_c = Current CO₂ atmospheric concentration (401 ppm)
398 C = Quantity of CO₂ emissions leading to an additional CO₂ ppm

399

400 The current concentration is 401 ppm (NOAA, 2014), this is 44 ppm below the reference of 445
401 ppm. For the selected indicator, *the remaining cumulative emissions of carbon dioxide (CO₂) from*
402 *human activities to maintain an acceptable calcium carbonate saturation state Ω* , the world
403 remaining emissions are 814 GtCO₂ in 2014. On average, this results in 9.6 GtCO₂ global yearly
404 emissions until the exhaustion of the budget in 2100, i.e. in 85 years.

405 Computing an *equal share per capita* requires considering current and future populations of Earth.
406 Dividing the budget over time by the sum of all yearly inhabitants until 2100¹² results in a global
407 yearly limit per capita¹³ of 1 tCO₂. The global per capita value is fixed over time but the yearly
408 global limit varies according to the yearly global population. The resulting limit for the world is 7.6
409 GtCO₂ for 2015. This is smaller than the average yearly value since the world population will be
410 larger in the future.

411 Comparison with earlier studies

412 In the first application to compute national limits, Nykvist et al. (2013) do not consider Ocean
413 Acidification as a specific Planetary Boundary.

414 Limit for Switzerland

415 Switzerland, like all developed economies, has already emitted a large amount of CO₂ emissions.
416 To account for part of the historical contributions, the limit for Switzerland is set by downscaling the
417 global limit with the hybrid-allocation approach described in the main part of the article: the Swiss
418 share of the global CO₂ emissions over time is defined relatively to the Swiss share of the global

¹² The sum of inhabitants over the years is computed using United Nations Population Division (UNPD, 2013) estimation of the world population until 2050, then assuming a stable population until 2100. The computation is 7.32 billion in 2015 + 7.40 in 2016 + ... + 9.55 in 2050 + ... 9.55 in 2100 = 784.8 billion people-year.

¹³ Reminder: Unlike the limit on Climate Change, this limit is set on CO₂ only (other greenhouse gases are not relevant).

419 population at a past reference date. The Swiss share is fixed over time. For Ocean Acidification,
420 this reference date is 2005 since it is the turning point in term of awareness.¹⁴

421 As shown in Equation 5, the budget over time (maximum future emissions) of Switzerland is
422 computed by:

- 423 • Computing the global CO₂ budget over time in 2005 by adding global past emissions to the
424 budget over time computed for 2014.
- 425 • Getting the Swiss share of this budget using the share of the Swiss population as
426 compared to the world population in 2005.
- 427 • Deducting the past Swiss emissions from a footprint perspective to get the current Swiss
428 budget over time.

429

430
$$FE_{CH} = \frac{CHP_{2005}}{WP_{2005}} (FE_W + PE_W) - PE_{CH}$$
 Equation 5

431 Where,

432	FE_{CH}	Maximum future emissions for Switzerland (from 2015 onward)
433	CHP_{2005}	Swiss population in 2005
434	WP_{2005}	World population in 2005
435	FE_W	Maximum future emissions for the World (from 2015 onward)
436	PE_W	World past emissions (2005 to 2014)
437	PE_{CH}	Past emissions from Swiss consumption (2005 to 2014)

438

439 The computed budget of GHG emissions for Switzerland in 2005 is 1.45 GtCO₂. Having already
440 emitted 0.96 GtCO₂ from 2006 to 2014, as extrapolated from Jungbluth et al. (2011) (for period
441 1990-1995) and Frischknecht et al. (2013), equivalent to 66% of its budget, the remaining budget
442 in 2014 is 489 MtCO₂. On average, this results in 5.7 MtCO₂ yearly emissions until the exhaustion
443 of the budget in 2100, i.e. in 85 years. This means that Switzerland becomes carbon neutral after
444 this date.

¹⁴ http://www.oceanacidification.org.uk/pdf/IOA_KnowledgeBase-pdf.pdf

445 The Swiss per capita limit is computed by considering the current and future population of
 446 Switzerland. The Swiss budget is divided by the sum of all the yearly inhabitants of Switzerland
 447 until 2100¹⁵ resulting in a Swiss per capita yearly limit of 0.5 tCO₂. The Swiss per capita value is
 448 fixed over time but the yearly Swiss limit varies according to the yearly Swiss population. The
 449 resulting limit for Switzerland is 4.5 MtCO₂ for 2015. The value is smaller than the average since
 450 the Swiss population will be larger in the future.

451 **3.2.3.Data sources & evaluation of the indicator**

452 The data sources concerning the limits and the global footprint are presented in Table 4. Other
 453 sources of data on CO₂ emissions could have been taken for an increased precision. The choice
 454 was to take EDGAR world emissions for all greenhouse gases (EDGAR, 2011) and using a
 455 correcting factor of 76% – as used in Nykvist et al. (2013) – in order to take into account all the
 456 CO₂ emissions and to be comparable with the computation for the Climate Change Planetary
 457 Boundary.

Data	Data sources	Units
CO ₂ ppm concentration to aragonite saturation	CDIAC 2012, McNeil and Mearns 2008	CO ₂ ppm
Limit of future emissions (global)	IPCC AR5 2013, Hansen et al. 2013	C
National (territorial and footprint) emissions from 1990 to current	For 1990-1995: Jungbluth et al. 2011, for 1996-2011: Frischknecht et al. 2013	CO ₂
World emissions of all GHG from 1990 to current	EDGAR 2011	CO ₂
National population (1990 - 2050) - UN medium	UN Dep. of Econ. and Social Affairs	Inhabitants
World population (1990 - 2050) - UN medium	UN Dep. of Econ. and Social Affairs	Inhabitants

459 **Table 4. Ocean Acidification: data sources for global values.**

460 The evaluation of the indicator with respect to eight criteria is presented in Table 5. The overall
 461 quality of the assessment can be considered as high.

¹⁵ The sum of inhabitants over the years is computed using the United Nations Population Division (UNPD, 2013) estimation of the Swiss population until 2050, then assuming a stable population until 2100. The sum = 896 million people-year.

Quality assessment

PB relevance	++	
Focus on the overall picture	++	
Reliability (data, models)	+	
Transparency	++	
Communication	+ / -	The limit is different from the one defined by (Rockström et al 2009).
Coherence / comparability	+	
Availability of information	++	
Timely	++	

Legend ++ : High + : Acceptable +/- : Potentially problematic - : Problematic

Table 5. Ocean Acidification: quality assessment.

1.1.1.1. Computing footprints

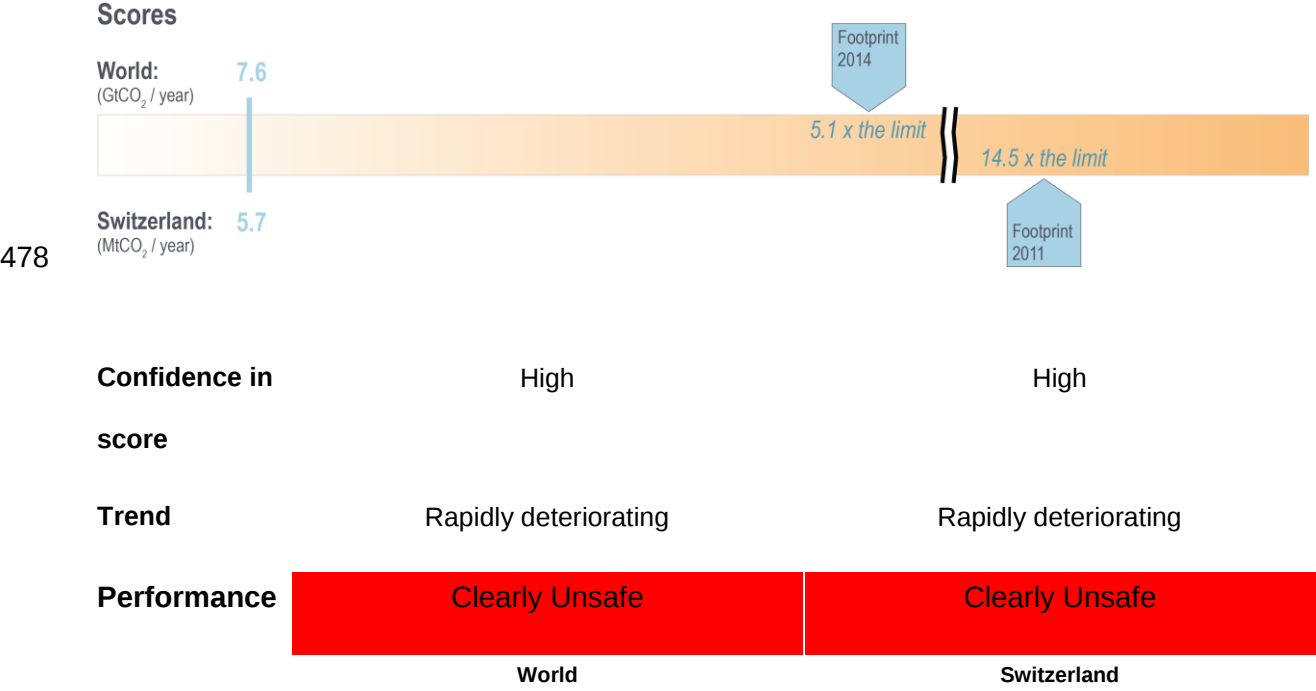
The general description of the computation of the footprints is presented in the main part of the article. The specificities for Ocean Acidification are presented here.

The global footprint is based on the EDGAR database (2011). Data is extrapolated for 2011 based on the average growth rate for 2006-2010. The Swiss footprint is based on the FOEN proprietary database. Footprints are computed for the years 1996-2011.

1.1.2. Current performance

The Planetary Boundary Ocean Acidification is largely overshoot globally and from a Swiss perspective and the evolution is rapid. Confidence in the results is high. The global and Swiss performances are thus qualified as Clearly Unsafe.

Results are presented for 2015 limits and for 2011 footprints in Figure 3. The global yearly limits represent the equal share perspective. They consider current and future populations. The limits for Switzerland consider, in addition, past Swiss emissions.



479 **Figure 3. Ocean Acidification: global and Swiss performances.**

480 At global scale, the global limit is at 7.6 GtCO₂ for 2015 due to a per capita limit set at 1 tCO₂. With
481 a current global footprint estimated to be 38.6 GtCO₂ for 2011, and a per capita footprint at 5.5
482 tCO₂, the limit is globally exceeded by a factor 5.5 for 2011. The situation is clearly an overshoot.
483 This is also the case when comparing the footprint with the average yearly limit value (9.6 GtCO₂)
484 until 2100.

485 For Switzerland, the pattern is similar and the Swiss footprint is largely over its long-term
486 acceptable average. The Swiss limit is at 5.7 MtCO₂ for 2015 due to a per capita limit set at 0.5
487 tCO₂. With current footprint emissions estimated to be 82.8 MtCO₂ for 2011 for Switzerland, and a
488 per capita footprint at 10.1 tCO₂ (Frischknecht et al. 2014), this limit is exceeded for Switzerland by
489 a factor 14.5 for 2011. If the past would not be considered, i.e. in an equal share perspective, the
490 limit would still be exceeded by a factor 10 for 2011.

491 Since the limits are based on a 2015-2100 budget, several options are possible to spend this
492 budget. The Swiss budget of CO₂ emissions corresponds to:

- 493
- 6 years of emissions (until end 2020) at the current Swiss yearly emissions rate.
 - More than 8 years with an ongoing yearly reduction of 10%. This would result in cumulative
- 495 long-term emissions at 745 rather than 489 MtCO₂.

- *Sustainable* with an ongoing yearly reduction of 15%. This would result in cumulative long-term emissions at 470 rather than 489 MtCO₂.

1.1.3. Discussion: Ocean Acidification

Based on scientific evidence, it was possible to relate the reference value for Ocean Acidification (445 ppm) with CO₂ emissions, enabling the link with the global and Swiss footprints. Using a budget over time approach, yearly limits have been computed for the remaining emissions. These yearly limits are very largely overshoot at global and at Swiss level showing the far from acceptable yearly rate of use of the budget.

Considering the global budget and a yearly rate of global emissions similar to the current rate, the global budget corresponding to the 445 ppm would be exhausted in 20 years, by 2035, i.e. 15 years after the exhaustion of the Swiss share of the budget. Being a landlocked country, Switzerland will not be affected directly by ocean acidification. However, indirectly there will be economic impacts on industries related to fisheries and tourism.

The reference date happens to be critical to compute the country limit. If the reference date had been set in 1990, the Swiss budget 2015-2100 would have been almost divided by two (162 instead of 489 MtCO₂), as would have been the Swiss yearly limits. The overshoot would thus be doubled. The choice of the reference date does not however modify the conclusions due to the very large Swiss overshoot.

The situation is thus very comparable to Climate Change, however this is because the 2° target was selected for Climate Change rather than the initial 350 ppm limit as defined by (Johan Rockström et al., 2009).

Respecting the limit to stay in a Safe Operating Space will thus require tremendous effort. Since CO₂ emissions are the main gas of greenhouse gases emissions in CO₂eq (around 76%). The reader can refer to the discussion on Climate Change for further information on historical values.

3.3. Nitrogen and Phosphorus Losses

Nitrogen and phosphorus are two essential nutrients for plants and all other living organisms. Their bioavailability in the environment has largely increased in the past century: the bioavailability of

523 nitrogen (N) has doubled and the bioavailability of phosphorus (P) has tripled (Howarth and
524 Ramakrishna 2005). Agriculture, wastewater and sewage as well as fossil fuel combustion are the
525 most common anthropogenic source of P and N delivery to freshwater systems (Liu et al 2011). As
526 a result, eutrophication has become a serious threat to freshwater quality. The same can be said
527 for water quality in coastal areas (Selman et al. 2008).

528 Nitrogen

529 Over the past century the conversion, i.e. the fixation, of atmospheric biologically unavailable
530 nitrogen (N₂) into reactive compounds¹⁶ (Nr) by humans has caused unprecedented changes to
531 the global nitrogen cycle. Reactive forms of nitrogen are those capable of cascading through the
532 environment and causing an impact through global warming, the formation of tropospheric ozone
533 as well as eutrophication and acidification of ecosystems leading to biodiversity loss. The total
534 yearly fixation of N has more than doubled globally and more than tripled in Europe during this
535 period. N is a key input for the agriculture and N fertilisers are one of the key aspects for global
536 food security, allowing nourishing around half of the world population. They are also a key
537 component of self-sufficiency in cereals in the EU (Sutton 2011). Fertilisers represented 75% of the
538 EU industrial production of N in 2008. Fertilisers represent 63% of the N₂ global conversion
539 (natural or industrial) in 2005. The second important source of N fixation is the current industrial
540 and transport system (13% of worldwide N fixation in 2005) that releases large quantities of NO_x
541 emissions due to the combustion of fuels (Sutton 2011).¹⁷

542 The situation is however unevenly distributed around the globe. Europe can be considered as an
543 area with excess nitrogen. Some parts of the USA, China, India and Latin America are in a similar
544 situation while some developing regions like Africa are clearly lacking nitrogen for food production
545 (Sutton 2011). The consequences of nitrogen losses to the environment are thus more visible in
546 Europe and are, on average, larger in this region than in the rest of the world. While the annual
547 nitrogen inputs have been decreasing in Europe since a peak in 1980, nitrogen loss is still

¹⁶ Reactive nitrogen compounds include nitrous oxide (N₂O), nitric oxides (NO_x), nitrate (NO₃⁻), ammonia (NH₃), and ammonium (NH₄⁺).

¹⁷ The remaining 24% are natural biological fixation.

548 considered a threat to European water, air and soil quality as well as a threat to the EU
549 greenhouse gas balance, terrestrial ecosystems and biodiversity (Sutton 2011).

550 Phosphorus

551 Phosphorus (P) is an essential element for all life, and also one of the key limiting factors for
552 agricultural production. Its inorganic form as rock phosphate, which is the ingredient for all
553 chemical P-based fertilisers, is a non-renewable resource. The finite supply of P is a key concern
554 because there are no substitutes (Cordell et al., 2009). The overuse of P resources is thus both a
555 threat to food security and to downstream ecosystems: excessive P losses to aquatic ecosystems
556 through runoff and erosion have caused the eutrophication of many lakes and coastal systems.
557 Sources of P losses in the environment come from fertilisers, detergents additives, animal feed
558 supplements and other industrial uses. P in rivers and lakes stems almost entirely from P fertiliser,
559 manure and untreated sewage (Bouwman et al., 2013; Carpenter and Bennett, 2011; Cordell et al.,
560 2009; Seitzinger et al., 2010). The situation varies according to regions (Potter et al., 2010).

561 1.1.4. Description

562 The Nitrogen and Phosphorus Losses Planetary Boundaries are discussed jointly. A specific
563 indicator is proposed for each of them but N and P nutrients are considered jointly for setting the
564 global limits, hence for the assessment of the global performance.

565 The objective of the Nitrogen Losses Planetary Boundary is to reduce the impacts of reactive
566 nitrogen losses to the environment leading to eutrophication and acidification of terrestrial and
567 coastal ecosystems causing loss of biodiversity, climate change and formation of high ozone
568 concentrations in the lower atmosphere. The Phosphorus Losses Planetary Boundary is defined
569 more narrowly by Rockström et al. (2009). The objective is to avoid a major oceanic anoxic event
570 (including regional), with impacts on marine ecosystems. Phosphorus (P) inflow to the oceans has
571 been suggested as the key driver behind global-scale ocean anoxic events, potentially explaining
572 past mass extinctions of marine life (Handoh and Lenton 2003). "It is uncertain what qualitative
573 changes and regional state changes such a sustained inflow would trigger, however, current

574 evidence suggests that it would induce major state changes at local and regional levels, including
575 widespread anoxia in some coastal and shelf seas.” (Rockström et al. 2009).

576 Nitrogen and phosphorus are usually considered regional rather than global issues since effects
577 occur at a local or regional scale. A global perspective could however be adopted if nitrogen and
578 phosphorus losses to the environment affect the earth system. Due to the spatial variability of the
579 impacts, the existence of a global threshold is however difficult to prove with certainty (Rockström
580 et al. 2009). Nordhaus (2012) and Lewis (2012), cited in de Vries (2013), criticise the notion of a
581 global limit for nitrogen.

582 The Nitrogen Losses Planetary Boundary is thus conceptually conceived as an aggregation of
583 regional thresholds. The global limit for Nitrogen is set with an indicator expressed in terms of
584 *agricultural N losses from N-fertilisers and manure*. The same type of reasoning is applied to the
585 Phosphorus Losses Planetary Boundary: the limit is conceived as a sum of aggregated regional
586 thresholds. The global limit for Phosphorus Losses is set with an indicator expressed in terms of
587 *the consumption of P-fertilisers*.

588 **1.1.5. Methodology**

589 *Selection of the indicators*

590 *Nitrogen*

591 In the European Nitrogen Assessment (ENA), Sutton et al. (2011) develop the first integrated
592 nitrogen budget for Europe. The situation is described for Switzerland in (Heldstab et al., 2010;
593 Heldstab, J. et al., 2013). This budget provides a synthetic perspective of how nitrogen diffuses
594 into environmental media in a cascading-like effect, leading to several recognised environmental
595 impacts. The lack of recognition of this nitrogen cascade in current environmental policies,
596 established in a fragmented way (air, water, soil compartments), is a key reason of their inability to
597 achieve objectives yet (Sutton et al., 2011). Due to the cascade, a comprehensive assessment at
598 the level of the State, e.g. concentrations, as defined in the DPSIR framework (EEA, 2005) is also
599 very difficult to implement, while such an indicator would be the preferred level of measurement for

600 a Planetary Boundary. An indirect indicator has thus to be defined, at the level of Driving-Forces or
601 Pressures.

602 The original definition by Rockström et al. (2009) focuses on the N fixation by human activities
603 (industry and agriculture). Nykvist et al. (2013) propose an indicator that depicts a Driving Force (N
604 fertilisers use). This indicator is the simplest to compute since data is available but the impacts of
605 reactive nitrogen are not linearly related to N₂ fixation or to the use of N. This indicator is thus a
606 poor proxy for damages. The level of Pressure, i.e. the N losses to the environment, is an
607 intermediary position between damages and N₂ fixation or N use. This is the preferred level of
608 assessment in this study.

609 An aggregated value for N losses should ideally be computed with a bottom-up approach to
610 consider the spatial variations in the quantity of applied active nitrogen, in the vulnerability of
611 receptors, and the cascading effect. This means having knowledge on regional conditions for the
612 whole globe or, in the context of this study, on all regions involved in the production of goods
613 imported in Switzerland.

614 Knowing that data on imports for computing footprints is only available on a country basis¹⁸, a
615 detailed regional knowledge on losses is however not directly exploitable to generate a detailed
616 country footprint. More aggregated values, e.g. the current global average or averages for large
617 areas or countries, can be used as proxy as it is usual in Life Cycle Assessment.

618 Phosphorus

619 The fate of phosphorus into the environment also extends into multiple environmental
620 compartments. The fate of P in the environment differs from the fate of N because part of the P
621 surplus in soils accumulates in soil, where it can be used by crops years later or result in later
622 environmental loss through runoff. According to Bouwman et al. (2013), the global N surplus in
623 soils almost doubled between 1900 and 1950. During this period, the global P surplus increased

¹⁸ This situation will perpetuate for figures at meso and macro levels since modeling global supply chains at a detailed regional level is barely feasible since (a) it requires an enormous amount of work, (b) it should be updated very regularly to reflect constantly evolving supply chains. The situation is different for specific products that can be modeled with details.

624 eight times. Aggregated values should thus, similarly as in the N case, ideally be computed with
625 knowledge on regional conditions for the whole globe.

626 Since the limitations for import data (availability at country level only) to compute the P footprint of
627 countries also applies similarly to N, a detailed country footprint would be however difficult to
628 compute and some proxy has to be identified.

629 *Selected indicators*

630 For the Nitrogen Losses Planetary Boundary, the preference¹⁹ has been given to an indicator in
631 terms of agricultural N losses into the environment considering losses into soil, water (NO_3^-) and air
632 (partially, i.e. NH_3 but not NO_x). This indicator covers *agricultural N losses from N-fertilisers and*
633 *manure* but does not include further losses resulting from crop residues. Neither industrial
634 emissions nor emissions from combustion are considered.

635 The selected indicator for the Phosphorus Losses Planetary Boundary is the *use of P-fertilisers*.
636 This indicator is computed back from the ratio of P-fertilisers to P entering into the oceans based
637 on the global values from the NEWS model (Seitzinger et al., 2010). The provided values are a
638 rough approximation but they allow computing the data with existing sources, e.g. FAO fertiliser
639 use.

640 *Setting the global limit for nitrogen*

641 The global limits are computed first and then downscaled to compute the Swiss limits. Global and
642 Swiss limits are expressed in terms of yearly budget corresponding to (a) theoretically acceptable
643 nitrogen losses, and (b) theoretically acceptable uses of P-fertiliser. The global and Swiss limits
644 are constant over time and the per capita values evolve according to the global/Swiss population
645 size. The global limit per capita represents an equal share perspective.

646 *Global limit for nitrogen: the rationales*

647 Rockström et al. (2009) stipulate that the limit expressed in terms of N fixation was already
648 exceeded, setting the limit at 25% of its current value, i.e. at 35 Tg N yr^{-1} . This limit is clearly

¹⁹ This choice is mainly based on data availability but is supported by conclusion 5 on page 44.

649 declared a "first guess" and that "given the implications of trying to reach this target, much more
 650 research and synthesis of information is required to determine a more informed boundary."

651 De Vries et al. (2013) suggest a way to go beyond this first guess while being clear that this is only
 652 a first trial that should be refined. They focus on the exceedance of local limits (in terms of
 653 concentration) for two reactive N compounds: ammonia (NH₃) and N runoff to surface water,
 654 considering the whole globe based on a spatially explicit model (IMAGE) used by IPCC for 2000.
 655 Averaging the local exceedances, they generate global average ratios of N losses to limit for NH₃
 656 and for N runoff. Then, using these ratios and the N flows, as well as the related "intended²⁰ N-
 657 fixation", for 2000, they backcompute the global limits in terms of N losses and in terms of apparent
 658 intended N fixation. They also compute a global limit for nitrous oxide (N₂O) with another approach
 659 based on Radiative Forcing.

660 The local limits identified by de Vries et al. (2013) are:²¹

- 661 • Atmospheric NH₃ concentrations in view of adverse biodiversity effects²² (1-3 mg per m³).
- 662 • Dissolved inorganic N concentrations (1-2.5 mg per liter) related to eutrophication or
 663 acidification.
- 664 • Radiative Forcing²³ (1-2.6 W per m²).

665 The related computed losses and N fixations are presented in Table 6.

	Losses (in Tg N y ⁻¹)	N fixation (in Tg N y ⁻¹)
NH ₃	24.9 - 32.1	89 - 115
N runoff to surface water	0.8 - 5.3	62 - 82
N ₂ O	5.4 - 7.2	20 - 133

666 **Table 6. Limit values (in Tg N y⁻¹) in terms of N losses and N-fixation computed by de Vries et al. (2013).**

²⁰ The "intended N-fixation" considered includes fertiliser use and N fixation by crops. It left out unintended fixation by combustion processes: NO_x emissions from fossil fuels in the industry and for transportation.

²¹ Two values are proposed per limit : a lower and an upper-bound.

²² De Vries is omitting the critical loads for N deposition which lead to more stringent targets than NH₃ concentration limit values in Switzerland (Heldstab, J. et al., 2013).

²³ With respect to the contribution of N to global warming, for Europe there is an estimated overall cooling effect (Sutton et al., 2011).

667 Referring to Dentener et al. (Dentener et al., 2006), they assess that for the year 2000, the losses
668 for NH_3 (34 Tg N y^{-1}) and N to surface water (10.7 Tg N y^{-1}) are globally exceeding the limits. By
669 comparing the limits with values from Bouwman et al. (2013) for N fixation (121.5 Tg) in 2000, they
670 arrive at the same conclusion. By comparing these values with the level of N fixation needed in
671 view of food security based on current N use efficiencies (80 Tg N y^{-1}), they conclude that the limit
672 set by Rockström et al. (2009) at 35 Tg N y^{-1} is below the quantity needed to feed the global
673 population.²⁴

674 Based on their results, they conclude that a limit in the 60 - 100 Tg N y^{-1} seems appropriate for N-
675 fixation (with the exception of the lower-bound for N_2O).

676 The global N budget

677 Looking at the global N budget allows getting a better understanding of the limits proposed by
678 Rockström et al. (2009) and de Vries et al. (2011).

679 Bouwman et al. (2013) propose a global agricultural N budget from 1900 to 2050 with future
680 scenarios based on IAASTD²⁵ projections. NH_3 volatilisation is estimated at 24 Tg N y^{-1} in 2000,
681 close to the lower-bound for N losses for NH_3 . N_2O emissions are estimated at 7 Tg N y^{-1} for the
682 same year, close to the proposed upper-bound for N losses. N leaching and runoff is estimated at
683 57 Tg N y^{-1} , much larger than the boundary for NO_3 . Liu et al. (2011) confirm the situation for N in
684 water flows. They compute the water pollution level for past and future trends of N and P inputs
685 into major rivers around the world. Using the global NEWS model (Seitzinger et al., 2010) to
686 compute grey water footprints (Hoekstra et al. 2011), they show that:

- 687 • In 2000, the pollution assimilation capacity of two thirds of the basins has been exceeded.
- 688 • This situation is quite stable since 1970 (global increase of 0.5%) but with regional
689 differences. Scenarios for 2050 based on (Alcamo et al. 2006) show a limited potential
690 increase of excess between 5 and 9%.

²⁴ With a possible 25% improvement in N use efficiency, this quantity would reduce to 50 Tg N y^{-1} .

²⁵ The International Assessment of Agricultural Knowledge, Science and Technology for Development was an intergovernmental process running from 2005 to 2007 under the co-sponsorship of the FAO, GEF, UNDP, UNEP, UNESCO, the World Bank and WHO.

691 The global projections from Bouwman et al. (2013) show that the global N situation from
692 agriculture will evolve in a much closer range over the next 50 years than between 1950 and 2000
693 (the increase was 380%): N fertiliser inputs will increase by around 31%, the total N inputs will
694 increase by around 40%, and the total N budget will increase by around 23% (meaning that total N
695 withdrawal will increase faster than additional N inputs). These projections contrast with past global
696 N creation computed by Galloway et al. (2008), showing a continuous acceleration in the period
697 going from 1995 to 2005 from 156 Tg to 187 Tg (among which a production with the Haber-Bosch
698 process going from 100 to 121 Tg). Over this period NO_x emissions were however already stable
699 at around 25 Tg per year. These projections also contrast with the fast increase in N fertiliser use
700 shown by FAO data, going from 89 Tg in 2002 to 122 Tg in 2012.

701 Based on Bouwman et al. (2013) and Liu et al. (2011), we can conclude that:

- 702 1. For losses, the limit proposed by de Vries et al. (2011) is almost reached or exceeded for
703 NH₃ and N₂O and exceeded for N in water flows.
- 704 2. The future N budget for agriculture and losses to the environment will evolve in a much
705 closer range (20-40%) during the coming 50 years than during the same period in the past.
706 This range is very probably within the range of uncertainty of the proposed limit values by
707 de Vries et al. (2011).

708 Taking a larger perspective, Fowler et al. (2013) show that half (210 Tg N) of the yearly global N
709 contribution (413 Tg) to terrestrial and marine ecosystems is from anthropogenic origin.²⁶ The
710 fixation of nitrogen through Haber-Bosch amounts to 120 Tg in 2010, among which 80% is used as
711 fertilisers and 20% is used in the chemical industry. Fixation by crops amounts to around 60 Tg
712 while 40 Tg are emitted by the industry as NO_x. Emissions to the air amount to 100 Tg, with 40 Tg
713 in the form of NO_x and 60 Tg in the form of NH₃. Run-off and leaching ending into coastal areas
714 and the open ocean are in the 40-70 Tg range (NO₃⁻), and an additional 30 Tg is entering the
715 oceans through atmospheric deposition.

716 According to IPCC scenarios discussed in Fowler et al. (2013), global NO_x emissions are projected
717 to stay constant at 40 Tg until 2040 and then reduce to 30 Tg. Reduced nitrogen (NH₃) emissions

²⁶ The detailed numbers sum to to 120 Tg (and not to 110 Tg) similarly to the publication by Fowler et al. (2013).

will increase from 60 to 70-80 Tg by 2100, i.e. a 15 to 30% increase. Due to temperature increase, such values could however be close to 130 NH₃ Tg (Sutton et al., 2011).

Based on Fowler et al. (2013), we can conclude that:

3. The current global situation is (a) a doubling of the global cycling of nitrogen, (b) a doubling of the marine biological fixation (140 Tg), (c) an industrial fixation which is the double of the natural terrestrial sources of N (63 Tg).
4. The global footprint comparable with the proposal of the global limit proposed by de Vries et al. (2011) is equal to 154 Tg (use of fertilisers, i.e. 80% of the N₂ fixed with the Haber-Bosch process (96 Tg) and the N fixation by crops²⁷ (60 Tg)). Similarly than for the data from Bouwman et al (2013), this amount is larger than the upper-bound of the 60-100 Tg proposal.
5. The unintended N₂ anthropogenic fixation not considered by de Vries et al. (2011) amounts to 29 % of anthropogenic fixation. This value is considered almost constant over time in the literature:
 - N fixation in the chemical industry: 24 Tg.
 - NO_x emissions: 40 Tg, expected to stay constant until 2040 and then reduced by 25%.

Global processing of N by the Earth system

Looking at the global processing of N by the earth system is a second way to get a better understanding of the limits proposed by Rockström et al. (2009) and de Vries et al. (2011).

According to Fowler et al. (2013), knowledge about processing of N by the earth system is much lower than for the N budget and many uncertainties remain. They provide however some estimates with respect to the terrestrial processing of N (240 Tg), the processing by oceans (230 Tg) and the atmospheric processing (100 Tg). We sum this processing capacity to 570 Tg with an uncertainty of 25%, i.e. a range going from 428 to 712 Tg.²⁸

²⁷ Fixation by crops is a value with high uncertainty (Bouwman et al., 2013).

²⁸ In their article, Fowler et al. (2013) do not sum these capacities and do not make any comparison with the values they propose for the yearly global N contribution from anthropogenic origin to terrestrial and marine ecosystems.

743 Based on Fowler et al. (2013), we can conclude that:

744 6. Based on a very rough estimate of the processing capacity of the earth system, the current
745 yearly global N contribution from anthropogenic origin (413 Tg) to terrestrial and marine
746 ecosystems is close to the lower bound of the computed processing capacity.

747 Computing the global limit for nitrogen

748 In the light of the preceding six conclusions, and since there is no evidence that a global limit exist
749 for N and that it has been reached, we propose a new value for the global limit.

750 This proposal is based on (a) the rationales for the computation of N losses proposed by de Vries
751 et al. (2013), and (b) the fact that the upper-bound of their proposal in terms of N fixation seems
752 the most representative of the current global situation. The proposed limit is thus based on a
753 bottom-up approach and is compatible with the fact that important regional issues due to N losses
754 are occurring all over the world, with regional limits overshoots in many places.

755 De Vries et al. (2013) apply the global average computed from regional data to set the relationship
756 between N losses and N fixation. It is thus an apparent relationship. We keep this approach
757 because it avoids computing N fixation from N losses for each region. Since there is no linear
758 relationship between N losses and N fixation (N losses depend on nitrogen use efficiency and
759 application of best available techniques for emission reduction), this is a clear advantage. Their
760 focus on agriculture is also of interest because it reduces the amount of data needed and seems
761 acceptable: the unintended N_2 anthropogenic fixation (i.e. industrial N fixation and combustion
762 processes) amounts to 29% of anthropogenic fixation and is considered almost constant over time.
763 The N from wastewater is also absent from this approach. It is estimated by Dreht et al. (2009) to
764 be around 6.4 Tg.

765 In this study, a preference is given to computing a limit in terms of N losses rather than focusing on
766 intended fixation (N fertilisers and N fixation by crops) as in de Vries et al. (2013). The relationship
767 between inputs and losses is shown in Equations 1 to 3 for a simplified soil budget similar to
768 Bouwman et al. (2013). The model is a simplified one that does not consider, for example, N from
769 crop residues (which amount for 33% of N fixation by crops at EU scale (Sutton et al., 2011)).

770 A simplified N budget for soils:

771
$$N_{\text{inputs}} = N_{\text{fertilisers}} + N_{\text{manure}} + N_{\text{fixation by crops}} + N_{\text{deposition}}$$
 Equation 6

772
$$N_{\text{losses}} = \text{NH}_3 \text{ volatilisation} + \text{NO}_2 + \text{NO} + N_{\text{leaching and run-off}}$$
 Equation 7

773
$$N_{\text{budget}} = N_{\text{inputs}} - N_{\text{withdrawal}} = N_{\text{losses}} + N_{\text{denitrification}}$$
 Equation 8

774

775 Where,

776 $N_{\text{NH}_3 \text{ volatilisation}}$ Volatilisation of NH_3 from fertilisers and manure applications

777 $N_{\text{withdrawal}}$ Withdrawal through harvest of crops

778

779 We also differ from de Vries et al. (2013) in the type of N losses considered. To consider the
780 importance of manure, not considered explicitly²⁹ by de Vries et al. (2013), but nevertheless a key³⁰
781 input of N for the agriculture, we compute N use in terms of application, i.e. N-fertilisers and N-
782 manure, rather than in terms of N fixation, i.e. N-fertilisers and N fixation by crops. This approach
783 enables also increasing the compatibility with LCA databases and global data from FAO.

784 We compute the value for the N application³¹ using the same dataset as by Vries et al. (2013) to
785 compute N fixation. According to Bouwman et al. (2013) 175 Tg³² of N-fertilisers and N-manure
786 have been applied in 2000. To compute N losses from the global application, volatilisation to NH_3
787 and N losses to soil have to be considered separately for N-fertilisers and N-manure. The
788 applications values, conversion factors and resulting losses in 2000 (56.6 Tg) are presented in
789 Table 7. The conversion factors to NH_3 are from Bouwman et al. (2002), used in Bouwman et al.
790 (2013) as well as in the official European recommendation (European Commission - Joint
791 Research Centre - Institute for Environment and Sustainability, 2010) for computing N

²⁹ Manure is not considered since it is not a source of N fixation. N contained in manure comes from animal feeds and is thus implicitly considered in fixation by crop (as are N losses from crop wastes).

³⁰ Around 20% larger than N-fertiliser in 2000 according to Bouwman et al. (2013).

³¹ To compute the global limit for other years than 2000, there is a need to compute first a value in terms of N use and then to compute the resulting losses.

³² Tg stands for Teragram, i.e. 10^{12} grams. It is equivalent to 1 Megaton.

eutrophication in Life Cycle Impact Assessment using the RECIPE methodology (Goedkoop et al., 2009). The conversion from inputs to leaching is based on a value selected between the value computed from Bouwman et al. (2013) (25%) and the net/gross conversion values for Europe as proposed in RECIPE (11.7% for N-fertilisers and 7% for manure). This value corresponds to leaching from loam in arable and natural land proposed by RECIPE for the rest of the world based on values from (Potting and Hauschild, 2005).

	Application	Conversion factors to NH ₃ losses	Conversion factors to run-off
N-fertilisers	83 Tg	7% = 5.8 Tg	18% = 14.9 Tg
N-manure	92 Tg	21% = 19.3 Tg	18% = 16.6 Tg

Table 7. Global applications of N-fertilisers and N-manure (Bouwman et al. 2013), conversion factors to inputs and losses for the year 2000.

Knowing the global N losses from agriculture for 2000 from the preceding computation, we can compute the global limit, in terms of agricultural N losses, by multiplying the losses with a modified global average ratio³³ of losses to limits computed by de Vries et al. (2013). The global limit for agricultural N losses equal to 47.6 Tg.

Setting the global limit for Phosphorus [PP3]

Global limit for phosphorus: ocean anoxic conditions

Rockström et al. (2009) suggest a boundary based on oceanic conditions. They propose the limit to be ten times the pre-industrial flows to the oceans, i.e. 11 Tg P y⁻¹.

The evaluation of the P flows in the literature, all based on models, vary by a factor of three.

Bouwman et al. (2013) propose a P budget for agriculture for the period 1900 to 2050. Future

³³ de Vries et al. (2013) propose two values (up and lower bound) to compute the local limits: the modified ratio applied in this study (0.84) is the mid value between the ratio for the lower (0.73) and upper-bound (0.95) for NH₃. This is compatible with the conclusion on page 45 that the upper-bound leads to a value which is too high compared to the apparently appropriate limit for N fixation (60 - 100 Tg N y⁻¹).

811 scenarios are based on IAASTD³⁴ projections. They compute that 3 Tg of P fertilisers were used
812 globally in 1950, increasing to 14 Tg in 2000. Projections show an additional increase up to 18-24
813 Tg for 2050, i.e. a 29-71% increase. The main increase in inputs is coming from manure, going
814 from 17 Tg in 2000 to a range between 25 Tg and 29 Tg in 2050. Considering withdrawals, the
815 projected global increase of the P budget is around 50% over the same period of time. The
816 resulting P runoff is also projected to grow by 50% from 2000 to 2050. This runoff increase
817 contrasts strongly with the increase during the 1950-2000 period, an estimated four times growth
818 (4 Tg in 2000).

819 Adding urban wastewater to consider P in detergents allows for building a more complete picture.
820 Dreht et al. (2009) estimate the P content of wastewater releases to freshwater to be 1.3 Tg in
821 2000 and projections of 2.4-3.1 Tg in 2050. The P run-off from agriculture are thus 10 times larger
822 than P from urban wastewater.

823 Seitzinger et al. (2010) compute a value of 7.6 Tg of P transported by rivers to the ocean for 2000.
824 This is much lower than earlier estimates close to 20 Tg of P. Carpenter and Bennett (2011)
825 estimate that current (around year 2000) flows to the oceans are three times pre-industrial flows
826 (22 Tg vs 8 Tg P y⁻¹) to which a sedimentation of around 20% should be retired to get the value
827 entering the oceans. Current flows are higher because the P stored in soils subject to leaching and
828 runoff has increased due to the inputs of P from mining (18.5 Tg P) and a natural weathering of
829 rocks (10 to 15 Tg) now complemented by human induced weathering (resulting in around 15-20
830 Tg per year).

831 The lesson we can get from these values is that the current flows are either larger than the limit
832 proposed by Rockström et al. (2009) by a factor of two or close to the limit. Due to the fact that
833 regional anoxic events are regularly observed but that this is not the case for an anoxic event at
834 global scale, we can conclude that the current real global flows are below the real global limit.

³⁴ The International Assessment of Agricultural Knowledge, Science and Technology for Development was an intergovernmental process running from 2005 to 2007 under the co-sponsorship of the FAO, GEF, UNDP, UNEP, UNESCO, the World Bank and WHO.

835 Since the limit proposed by Rockström et al. (2009) is the only limit identified in the literature and
836 since the newest studies tend to propose values for global flows lower than this limit, keeping the
837 limit proposed by Rockström et al. (2009) is compatible with what is observed. The limit proposed
838 by Rockström et al. (2009) is thus taken as a reference in this study.

839 The linkage between P fertiliser use and P entering the ocean is largely dependent on regional
840 conditions (land cover, type of soils and water flows). Computing a global ratio of P fertiliser use to
841 P runoff is thus a very rough approximation. It is however representative for the average conditions
842 on earth and can be taken as the best approximation with the information available to date.

843 Using the global values from Bouwman et al. (2011), we compute the apparent³⁵ ratio of global P
844 fertiliser to global P runoff for 2000 and 2050 for the different scenarios. Computed values are in
845 the 3.5-3.83 range. Taking the lower bound, we set a limit in terms of fertiliser use by multiplying
846 the limit proposed by Rockström et al. (2009) by 3.5. The global yearly limit value for fertiliser
847 consumption applied in this study is thus 38.5 Tg P per year.

848 Limit for Switzerland (N and P)

849 The limit for Switzerland is set by downscaling the global limit with the hybrid-allocation approach
850 described in chapter 5 in order to consider the past: the Swiss shares of the yearly global N losses
851 and of the yearly global P fertiliser use are defined relatively to the Swiss share of the global
852 population at a past reference date. For Nitrogen and Phosphorus Losses, this reference date is
853 2011, resulting in a Swiss share of 0.113%. The year 2011 has been selected because it is the
854 latest year with available data for Switzerland and there is no evidence that knowledge of the issue
855 was important in the past. The Swiss limits are fixed over time and the per capita limits evolve
856 according to the yearly Swiss population.

857 For agricultural N losses, the resulting yearly limit for Switzerland is 53.8 kilotons of N losses. The
858 Swiss per capita limit is 6.8 kg of N losses in 2011.

³⁵ The so-called “apparent ratio” is so called because it is the ratio of one input of the model (P-fertilisers) over one output of the model (P runoff) but the output is also influenced by the other P inputs like manure.

859 For P fertilisers use, the resulting yearly limit for Switzerland is 43.6 kilotons of P. The Swiss per
 860 capita limit is 5.5 kg of P in 2011.

861 Data sources & evaluation of the indicator

862 The data sources concerning the limits and the global footprint are and (Bouwman et al., 2009,
 863 2013) for N and (Bouwman et al., 2009, 2013) as well as (J. Rockström et al., 2009) for P.
 864 The evaluation of the indicators with respect to eight criteria is presented in Table 10 Nitrogen and
 865 Phosphorus Losses being assessed with a basic approach based on a reduced set of data from
 866 the literature, the overall quality of the assessment can be considered as low.

Quality assessment

PB relevance	+ / -	Not State level
Focus on the overall picture	++	
Reliability (data, models)	+ / -	
Transparency	++	
Communication	+ / -	Two indicators combined in one PB
Coherence / comparability	++	
Availability of information	+ / -	
Timely	+ / -	

867 Legend : ++ : High + : Acceptable + / - : Potentially problematic - : Problematic

868 **Table 8. Nitrogen and Phosphorus Losses: quality assessment.**

869 *Computing footprints*

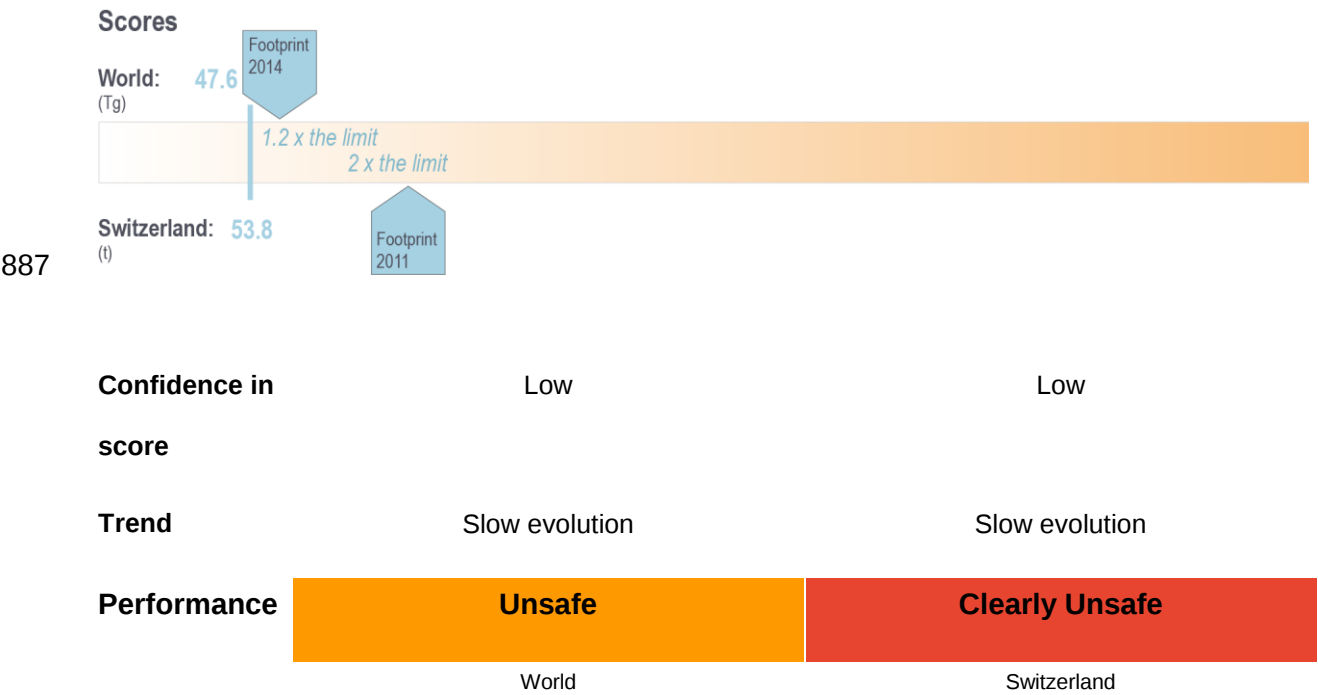
870 The general description of the computation of the footprints is presented in chapter 5. The
 871 specificities for Nitrogen and Phosphorus Losses are presented here.
 872 The global footprints are based on the same source than for computing the global limits. The Swiss
 873 footprint for agricultural N losses is based on the FOEN proprietary database. The conversion
 874 factors from Recipe (Goedkoop et al., 2009) are applied³⁶ to compute values in term of N.
 875 Footprints are computed for the years 1996-2011.

³⁶ The releases (according to the ecoinvent terminology) considered are (a) to air: ammonium, ammonia, nitrate, nitrogen dioxide, nitric oxides, (b) to soil: manure applied and fertiliser applied, and (c) to water: ammonia, nitrogen, ammonium, nitrate, nitrite, nitrogen organic bound and cyanide.

876 We were unable to compute the Swiss footprint for P based on the FOEN proprietary database at
877 hand. This should however be possible with a more complete version of the database.

878 **3.3.1.Current performance**

879 The global footprint for the Nitrogen Losses Planetary Boundary is above the global limit while the
880 global footprint for the Phosphorus Losses Planetary Boundary is below the global limit. The Swiss
881 footprint for N is largely above the Swiss limit while the situation is unknown for P. Confidence in
882 the results is low for the global and Swiss values. The global and Swiss footprints are evolving
883 slower than in the past. The global performance for N (Unsafe) and P (Safe) combined is qualified
884 as Unsafe while the combined Swiss performance is qualified as Clearly Unsafe.
885 Results are presented for yearly limits and yearly footprints computed for 2000 (global) and 2011
886 (Switzerland) in Figure 4. The yearly global limit per capita represents the equal share perspective.



888 **Figure 4. Nitrogen Losses: global and Swiss performances.**

889 At global scale, the global limit computed in terms of N losses is 47.6 Tg (computed for 2000),
890 corresponding to a limit in term of application of fertilizers and manure equivalent to 147 Tg of N.
891 This limit considers NH₃ losses as well as run-off and leaching from N-fertilisers and N-manure
892 application. The resulting limit per capita, equivalent to the equal share perspective is 7.8 kg in

893 2000 and 6.9 kg in 2011. With a global footprint estimated to be 55.6 Tg for 2000 and a per capita
894 footprint at 9 kg, the global footprint is 17% above the limit in 2000.

895 For N losses, the Swiss footprint is largely over its long-term acceptable average. The Swiss limit
896 is at 53.8 kilotons (computed for 2011) and the resulting per capita limit is set at 6.9 kg for 2011.

897 With a current (2011) footprint estimated to be 108.6 kilotons in 2011 for Switzerland, and a per
898 capita footprint at 13.7 kg, the Swiss footprint is about two times above the limit for Switzerland.

899 At global scale, the global limit computed in terms of P use is 38.5 Tg for 2000. The resulting
900 global limit per capita, equivalent to the equal share perspective is 6.3 kilos in 2000. With a global
901 footprint estimated to be 31 Tg for 2000 and a per capita footprint at 5, the footprint is around 25%
902 below the limit in 2000.

903 **3.3.2.Discussion: Nitrogen and Phosphorus Losses**

904 Setting the global limits for Nitrogen and Phosphorus Losses has been a challenge. However,
905 while results should be improved in future assessments, the proposed results are in line with the
906 existing assessments in the literature.

907 Figure 5 show the Nitrogen Losses induced by the Swiss consumption, i.e. the domestic inputs
908 from the Swiss production minus the domestic inputs for exports plus the foreign inputs for imports.
909 The computed Swiss footprint for Nitrogen Losses has been growing over the last six years after
910 almost ten years of stability. Its cumulative growth is 10% between 2005 and 2011. On the long run
911 the overall situation is considered as slowly deteriorating. While the domestic part of the footprint
912 has been decreasing (-5.6%) over the last fifteen years, imports (57%) have been growing more
913 rapidly than exports (46%). The largest share of the footprint for Nitrogen is thus occurring outside
914 of Switzerland.

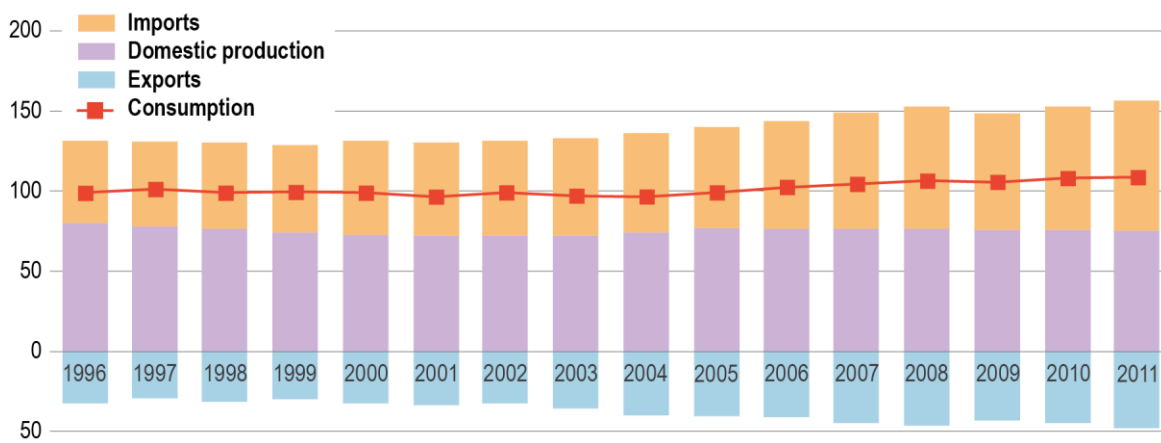


Figure 5. Nitrogen Losses (in kilotons) induced by the Swiss consumption.

The limit for the Phosphorus Losses is much less drastic (by a factor three) than the first guess of Rockström et al. (2009). This is coherent with the fact that our analysis did not allow to confirm a global overshoot of the magnitude (four times the limit as a first guess) proposed by Rockström et al. (2009). Since our proposal is based on limit adapted from de Vries et al. (2013), qualified by the authors as 'a first trial', the same qualification applies to the limit computed here, i.e. it is subject to change.

3.4. Land Cover Anthropisation

Land use and land cover changes started in pre-history as direct and indirect consequences of human actions to secure essential resources. Initiated with land burning to enhance the availability of food, land cover changes accelerated with the birth of agriculture. In 1750, an estimated 6 to 7% of the global land surface was under cultivation or pasture mainly in Europe, India and China (IPCC, 2007). During industrialisation, agriculture intensified and human populations concentrated within growing urban and sealed areas. Croplands and pasture expanded until 1950. Since then, an opposite trend can be observed in Europe and China, where cropland areas have been stabilising or decreasing. Reforestation has also been observed in Western Europe and North America. Tropical areas are nevertheless still facing rapid deforestation.

Land cover changes result in today's widespread anthropised landscape and cleared land.

Croplands and pasture represent 37% of the original land cover while forests extend over 31% of

935 the global land area (40 mio km²) (FAO, 2010) in comparison with an estimated pre-industrial state
936 of 41-42% (53-54 mio km²) (IPCC, 2007).

937 **3.4.1.Description**

938 The objective of the Planetary Boundary Land Cover Anthropisation is to avoid irreversible and
939 widespread conversion of biomes to undesired states by limiting the expansion of anthropised
940 areas. Anthropisation of land (through deforestation, cultivation and soil sealing) acts as a slow
941 variable affecting several environmental aspects such as climate, soil, landscape, water,
942 biodiversity.

943 Land cover is usually considered a regional issue rather than a global issue since changes occur
944 at a local or regional scale. A global perspective can however be adopted when considering how
945 land cover changes affect the global Earth system, in particular through their impacts on climate
946 change (UNEP, 2012) as well as on global biodiversity. Since 1959, land accounts for around 28%
947 of the carbon sequestration by global carbon sinks (45% is stored in the atmosphere and 27% in
948 the oceans) (Le Quéré et al., 2014). Over the period 2000-2009, land cover changes contributed to
949 an estimated 12.5% of the total carbon emissions (Friedlingstein et al., 2010). Land cover is
950 currently considered as a net carbon sink despite emissions due to land cover changes (Houghton
951 et al., 2012). Another impact of land cover change, in particular deforestation, is on global
952 temperature that will in the future “depend largely on the relative importance of increased surface
953 albedo in winter and spring (exerting a cooling) and reduced evaporation in summer and in the
954 tropics (exerting a warming)” (IPCC, 2007). The modification of land cover towards less natural
955 states (in particular through deforestation, soil sealing, monocultures) also affects negatively
956 biodiversity but this aspect is treated in a specific Planetary Boundary (Biodiversity Loss).

957 The global limit for Land Cover Anthropisation is set with an indicator expressed in terms of *the*
958 *surface of anthropised land, i.e. agricultural and urbanised (sealed) land, as percentage of ice-free*
959 *land (water bodies excluded).*

3.4.2. Methodology

Selection of the indicator

Two types of indicators have been explored. First, an indicator related to the type of land cover, e.g. the percentage of anthropised surface or the percentage of forest (with possibly rates of change as well as a distinction between forest types) has been explored. Such an approach provides a basic synthetic view without making any quantitative assumptions about the relationship between land cover types and the potentially affected environmental dimensions.³⁷

A second type of indicator, focusing on the functions of land cover, has been explored. The objective was to test the construction of a composite indicator measuring the different influences of land cover on climate: the two aspects mentioned by Rockström et al. (2009), i.e. carbon sequestration and albedo, have been considered.³⁸

The second type of indicator could be interesting for further developments but we were unable to generate an indicator of enough quality. Albedo taken from Teggi et al. (2008) showed very similar values per type of land cover which would not allow for sufficient differentiation between the different types of land cover required in this study. The same issue happened for carbon sequestration. The available global data did not provide enough relevant discrimination of the land cover types considered and the carbon sequestration by soils is missing. In addition, the precise modelling of effects of land cover related processes (forest fires, peat fires and decay of drained peatlands) was beyond the scope of this study.

Selected indicator

The selected indicator focuses on the anthropised surface. This indicator has been preferred to forested areas, because better data is available and the anthropised surface can be linked to human activities, enabling thus the computation of footprints.

³⁷ This means that no weighting is applied between the different types of land cover. Taking into account ecosystem services would be one of the possible approach to set weights. Many issues should however be solved to enable such approach, e.g. many ecosystem services are not global and some of them are indirectly captured in the dedicated Planetary Boundary Biodiversity Loss.

³⁸ Biodiversity, the third aspect mentioned by Rockström (2009), has been left out because it is the subject of a dedicated Planetary Boundary (number 8).

983 Similarly to Rockström et al. (2009), the selected indicator can thus be understood as a rough
984 proxy for albedo and for carbon storage through the measure of the share of the land cover types
985 having a low carbon sequestration potential and high albedo, i.e. agricultural land and urban land.
986 The effect of land cover change on biodiversity is not considered here since it is approached with a
987 similar methodology in the dedicated 8th Planetary Boundary.

988 From a conceptual point of view, this indicator measures a State of the Planetary Boundary, which
989 is the preferred level of measurement in this study. From an empirical point of view, global data are
990 available, among which time series on agricultural land per country from FAO and data to compute
991 the footprints.

992 *Setting limits*

993 The global limit is computed first and then downscaled to compute the Swiss limit. Global and
994 Swiss limits are expressed in terms of yearly values corresponding to a theoretically acceptable
995 share of anthropised land cover. The global and Swiss limits are constant over the years but the
996 per capita values evolve according to population size. The global limit per capita represents an
997 equal share perspective.

998 *Global limit*

999 The surface of anthropised land considered in this study covers agricultural land (arable land and
1000 permanent crops, e.g. grapes) and urbanised land (considered as sealed land). A third type of
1001 anthropised agricultural land, pastures and meadows, has not been considered since the
1002 distinction between natural and anthropised meadows is not clear enough, leading to
1003 inconsistencies across the datasets at hand. The surface is computed as a percentage of ice-free
1004 global land, excluding water bodies. Since the indicator is a proxy, the exact relationship between
1005 the types of land cover and albedo/carbon storage is not quantified.

1006 A logic similar to Rockström et al. (2009) is applied to compute a new limit accounting for the types
1007 of land considered in this study: starting from the current situation, maximum desirable changes
1008 are set. The limit is set based on two policy objectives: (a) a stable surface of urban area per
1009 capita until 2050, resulting in an estimated additional share of urban area of 0.8% (from 1% to

1010 1.8% of the global area) by 2050, and (b) a respect of the call published by UNEP (Trumper et al.,
1011 2009) to cut the current global deforestation rate by two until 2050 and to stabilise beyond,
1012 resulting in a maximum additional loss of forest cover of 1% by 2050.

1013 The current anthropised land is computed as 16'669'000 km² for 2010, equivalent to 12.9% of the
1014 global land cover (own calculation based on data from FAOSTAT and Schneider et al. (2009). For
1015 the selected indicator, *the surface of anthropised land, i.e. agricultural and urbanised (sealed) land,*
1016 *as percentage of ice-free land (water bodies excluded),* the global limit is set³⁹ at 15% of the global
1017 land cover[PP4], which happens to be a value similar to Rockström proposal (based on changes in
1018 the albedo effect). The global limit is equivalent to 19'362'000 km². For 2010, the global limit per
1019 capita is 2'800 m².

1020 Comparison with earlier studies

1021 The original Planetary Boundary by Rockström et al. (2009) is named "Land system change". The
1022 name has been modified to be closer to the selected indicator. The influence on biodiversity,
1023 mentioned (and dealt with implicitly) by Rockström is not considered in this indicator since it is the
1024 focus of a specific Planetary Boundary on its own.

1025 The first application by Nykvist et al. (2013) considered cropland only. The methodology presented
1026 here is an extension of this approach including an additional type of land cover type (urbanised
1027 land) with a strong impact on albedo and carbon storage. The proposed limit has the same
1028 limitations, i.e. it is a proxy only and does not consider the different uses of the land, among others.

1029 Limit for Switzerland

1030 The limit for Switzerland is set by downscaling the global limit with the hybrid-allocation approach
1031 described in chapter 5 in order to consider the past: the Swiss share of the global anthropised land
1032 cover is defined relatively to the Swiss share of the global population at a past reference date. For
1033 Land Cover, this reference date is 2010, resulting in a Swiss share of 0.113%. The year 2010 has

³⁹ 13% + 0.8% + 1% = 14.8% Note: this equation assumes that urban expansion occurs exclusively on non forest lands. In many places urbanisation takes place at the expense of agricultural land. In Switzerland this is the case due to a strictly enforced legislation on forests. But the assumption should certainly be nuanced country by country.

1034 been selected because it is the year of the Global Forest Resource Assessment by FAO (FAO,
 1035 2010), serving as reference for the computation of the UNEP objective for the reduction of the
 1036 deforestation (Trumper et al., 2009).

1037 The Swiss limit is fixed and the per capita limit evolves according to the yearly Swiss population.
 1038 The resulting yearly limit for Switzerland is 21'900 km². The Swiss per capita limit is 2'770 m² in
 1039 2011, which is very close to the global limit of 2'800 m² per capita.

1040 **Data sources & evaluation of the indicator**

1041 The data sources concerning the limits and the global footprint are presented in Table 9. Data for
 1042 urban areas have been adapted from the map of urban extent by Schneider et al. (2009). Due to
 1043 the resolution of the map (500m), only large continuous areas are represented, e.g. roads are
 1044 missing, and values are known to underestimate the real urban areas. After a comparison with the
 1045 Swiss national data, values have been doubled to take into account the other types of sealed
 1046 areas.

Data	Data sources	Units
Cropland area	FAOSTAT http://faostat.fao.org	ha x 1 000
Ice and permanent snow	GlobCover (300m spatial resolution) http://due.esrin.esa.int/globcover	23 land cover classes
Urbanized land	Global 500m MODIS map of urban extent (Schneider et al. 2003)	Binary values
National (territorial and footprint) land use	Frischknecht et al. 2013	m ² x year
National population (1990 - 2050) - UN medium	UN Dep. of Econ. and Social Affairs	Inhabitants
World population (1990 - 2050) - UN medium	UN Dep. of Econ. and Social Affairs	Inhabitants

1048 **Table 9. Land Cover Anthropisation: data sources for global values.**

1049 The evaluation of the indicator with respect to eight criteria is presented in Table 10. Land Cover
 1050 Anthropisation being assessed with a basic approach based on well-known datasets, the overall
 1051 quality of the assessment can be considered as medium. For the sake of comparison, the indicator
 1052 computed with our approach for the Swiss territory is equal to 6'900 km², i.e. 8.8% less than the
 1053 7'600 km² computed with the FOEN database (based on FSO land cover figures).⁴⁰

⁴⁰ For the Swiss domestic part, the estimated footprint will thus be closer to the limit that if it would have been computed with global data. However, such information cannot be used to infer the overall quality of the assessment since the

1054

Quality assessment

PB relevance	+ / -	Basic approach. A composite indicator of more focused aspects could be better.
Focus on the overall picture	++	
Reliability (data, models)	+	FAO: at national level and few categories only. Limited spatial resolution (500m) for urban areas
Transparency	++	FAO classification and methods are published, same for the global map of urban extent
Communication	+ / -	Risk of confusion with current national land use indicators
Coherence / comparability	++	
Availability of information	++	
Timely	+ / -	Urban extent: 2009 only; irregular updates of GlobCover with poor comparability

1055

Legend ++ : High + : Acceptable +/- : Potentially problematic - : Problematic

1056

Table 10. Land Cover Anthropisation: quality assessment.

1057

Computing footprints

1058

The general description of the computation of the footprints is presented in chapter 5. The

1059

specificities for Land Cover Anthropisation are presented here.

1060

The global footprint is based on the same dataset than for computing the global limit. Data is

1061

extrapolated for urban areas for 2011 based on projections of urban population (UNPD, 2013)

1062

assuming a constant urban area per capita. The Swiss footprint is based on the FOEN proprietary

1063

database. Footprints are computed for the years 1996-2011.

1064

3.4.3.Current performance

1065

The global footprint for the Planetary Boundary Land Cover Anthropisation is below the global limit.

1066

This is the logical outcome of the way the limit has been set, i.e. as a relative increase from the

1067

current situation based on global policy objectives. The situation is similar for Switzerland.

1068

Confidence in the results is medium for the global and Swiss values. The evolution is however

1069

different: the evolution of the global footprint is slow but the evolution of the Swiss footprint is rapid.

1070

The global performance is thus qualified as Safe while the Swiss performance is qualified as

1071

Unsafe.

major part of the Swiss footprint is occurring outside Switzerland (imports) and is based on modelling, not on Swiss data.

Results are presented for yearly limits and yearly footprints computed for 2010 (global) and 2011 (Switzerland) in Figure 6. The yearly global limit per capita represents the equal share perspective.

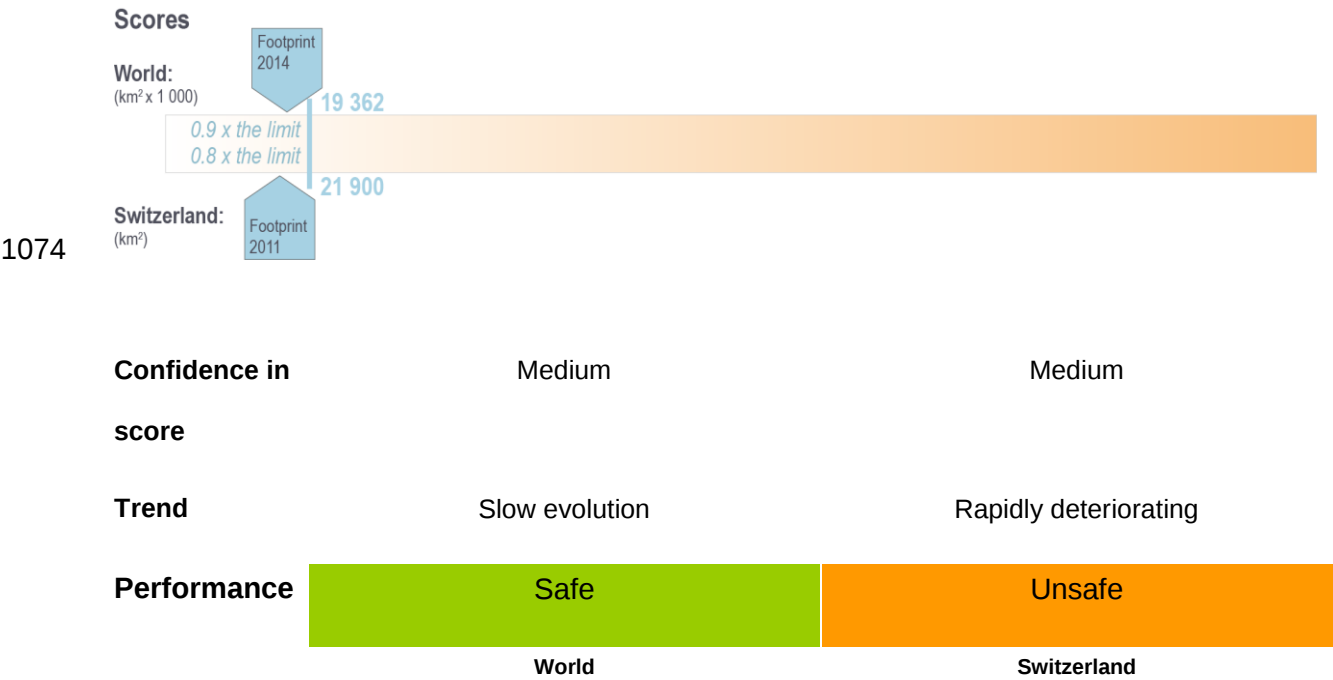


Figure 6. Land Cover Anthropisation: global and Swiss performances.

At global scale, the global limit is 19'362'000 km² (computed for 2010) and the resulting per capita limit is set at 2'800 m². With a current global footprint estimated to be 19'669'000 km² for 2010 and a per capita footprint at 2'712 m², the global footprint is 14% below the limit.

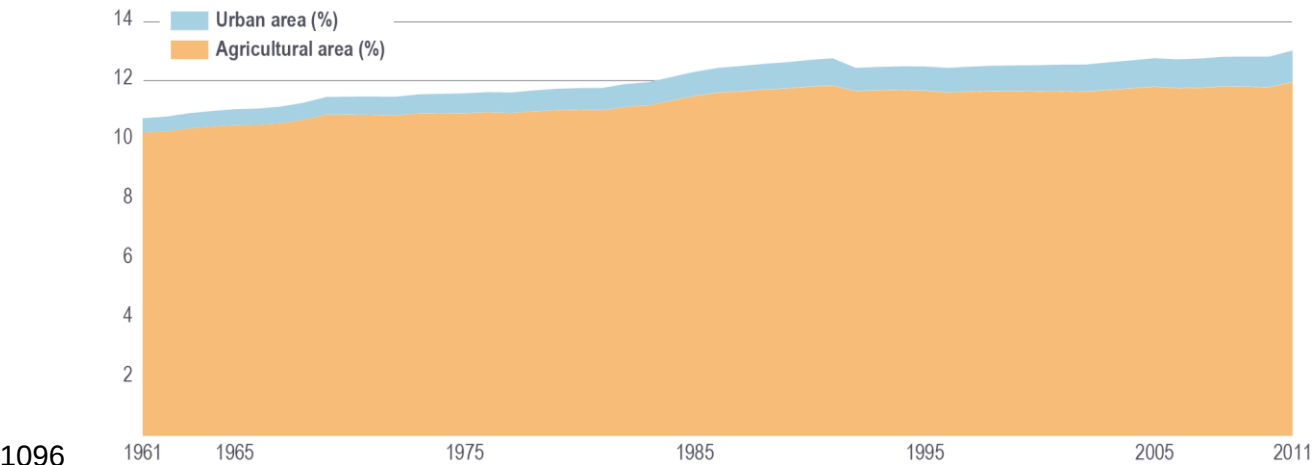
For Switzerland, the pattern is similar and the Swiss footprint is below its long-term acceptable average. The Swiss limit is at 21'900 km² (computed for 2010) and the resulting per capita limit is set at 2'770 m² for 2011. With a current (2011) footprint estimated to be 17'600 km² in 2011 for Switzerland, and a per capita footprint at 2'224 m², the Swiss footprint is 20% below the limit for Switzerland. The footprint figures presented in Figure 6 for Switzerland and for the world are not based on the same dataset and are thus difficult to compare. Both performances can be considered similar.

3.4.4.Discussion: Land Cover Anthropisation

The Planetary Boundary Land Cover Anthropisation is assessed with a rough proxy for albedo and carbon sequestration. The limit is, by definition, currently neither crossed globally nor for Switzerland. It could, however, be discussed whether the limit for Land Cover Anthropisation is

1090 strict enough, given its relevance for the Boundaries of Climate Change and Ocean Acidification
1091 that are largely overshoot.

1092 The evolution of the global footprint over the period 1961-2011 is shown in Figure 7.⁴¹ The
1093 cumulative growth over this period is 21%. This is equivalent to an average growth rate of 0.42%.
1094 Assuming a future global growth rate equivalent to the average growth rate of the last 15 years
1095 (0.3%), the global limit will be reached in 45 years.



1096
1097 **Figure 7. Anthropised Land Cover (in % of of global area w/o ice and snow) - global footprint.**

1098 The Swiss footprint for Land Cover Anthropisation has been rapidly growing over the last 15 years.
1099 Its cumulative growth is 26 % over 1996 to 2011, i.e. a yearly average growth rate of 1.7%. The
1100 Swiss footprint is thus growing much more rapidly than the global footprint. At the average growth
1101 of rate over this period, the Swiss limit will be attained in less than 10 years.

1102 In 2011, the largest share of the Swiss footprint was occurring outside of Switzerland (see Figure
1103 8). The size of the footprint due to import is more than twice as large as the part of the footprint⁴²
1104 for the production consumed domestically. The anthropised land cover of the production part for
1105 domestic consumption has been stable between 1996 and 2011 and the footprint increase is due
1106 to the larger increase of imports over exports. Imports have been growing slightly more rapidly
1107 than exports (with a cumulative growth of 56% vs. 51% respectively) and have a larger basis.

⁴¹ Values for urban areas have been computed with a 2009 basis and extrapolated based on the evolution of the urban population with a constant urban area per capita.

⁴² The Swiss footprint is equivalent to production (domestic + imports) plus imports minus exports.

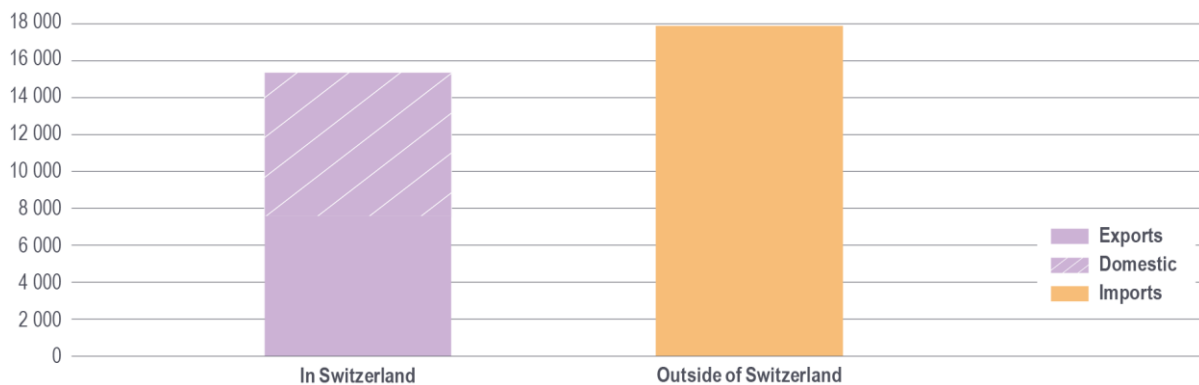


Figure 8. Anthropised Land Cover (in km²) - Swiss footprint.

In Figure 9, the evolution of the shares per land cover type is shown for the Swiss footprint from 1996 to 2011. Shares are rather stable with a dominance of arable land (60%), an increasing share of permanent land (+ 4%) and a slightly reduced share of urban land (- 2%). The stability of urban land is probably due to the underlying dataset since it does not account for the increase in sealing over the years.

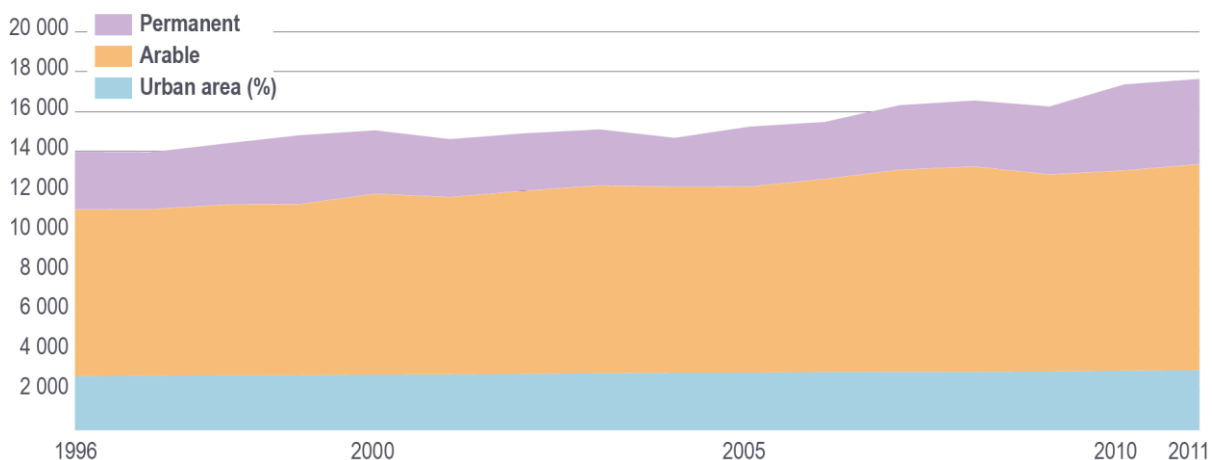


Figure 9. Anthropised Land Cover (in km²) - Swiss footprint: share per type of area.

3.5. Biodiversity Loss

Cardinale et al. (2012) summarise the current knowledge about how loss of biological diversity will alter the functioning of ecosystems and the resulting impacts on their provision of goods and services to society. They define biodiversity as "the variety of life, including variation among genes, species and functional traits", ecosystem functions as "ecological processes that control the fluxes

1123 of energy, nutrients and organic matter through an environment" and ecosystem services as "the
1124 suite of benefits that ecosystems provide to humanity. (...) Provisioning services involve the
1125 production of renewable resources (for example food, wood, fresh water). Regulating services are
1126 those that lessen environmental change (for example climate regulation, pest/disease control)."

1127 The loss of biodiversity in the current era is enormous (Millennium Ecosystem Assessment, 2005)
1128 and seems to have comparable impacts as other global drivers of change, such as warming,
1129 ozone and acidification (Hooper et al., 2012). The global Living Planet Index (LPI) shows that the
1130 population of wild vertebrate species fell by an average of nearly one-third globally between 1970
1131 and 2006. The decline has been particularly severe in the tropics (around 60%) while a recovery
1132 (around 15%) can be seen in the temperate zone (Secretariat of the Convention on Biological
1133 Diversity, 2010).

1134 **3.5.1.Description**

1135 The objective of the Planetary Boundary Biodiversity Loss is to avoid a level of biodiversity loss
1136 that would lead to irreversible and widespread undesired states of ecosystems. Biodiversity acts as
1137 a slow variable affecting the resilience of ecosystems, hence the services they provide, e.g. carbon
1138 storage or freshwater. Habitat loss and degradation are two of the main causes of biodiversity loss
1139 (Brook et al., 2008). However, there are other important biodiversity pressures (climate change,
1140 invasive species, nutrient inputs, etc.).

1141 Biodiversity is usually considered a regional issue rather than a global issue since changes occur
1142 at a local or regional scale. A global perspective can however be adopted since evidence for the
1143 important role of biodiversity for ecosystem functioning and human well-being is considerable
1144 (Cardinale et al., 2012; Estes et al., 2011; Hooper et al., 2005).

1145 The global limit for Biodiversity Loss is set with an indicator expressed in terms of *the potential*
1146 *damages to biodiversity per land cover types accounting for the level of biodiversity per biome.*

3.5.2. Methodology

Selection of the indicator

(Barnosky et al., 2012) assume that a planetary-scale tipping point of the biosphere is plausible and (Rockström, 2009) suppose in the concept of Planetary Boundaries that biodiversity loss has already passed the critical boundary. It is however still a matter of intense research to which degree a global boundary (critical range) can really be delimited for biodiversity loss (and probably for the other dimensions as well), or whether functions will gradually decrease with increasing loss of biodiversity (or change of other dimensions) (Mace et al., 2014). Huitric et al. (2010) also acknowledged the difficult endeavour to find suitable indicators and to set limits for biodiversity from a functional perspective. (Huitric et al., 2010)

Similarly to other Planetary Boundaries, an indicator that is as direct as possible, i.e. a State would be the best option. Possibilities would be an indicator based on the Red Lists of species, the rate of extinction as in the publication by (Rockström, 2009) or - in the future - an indicator based on Red Lists of habitat types that Rodriguez et al. (2011) have begun to develop.⁴³ However, for a footprint-based indicator, it should be possible to relate it to consumption, as it is the case for a Pressure indicator like land use. Land cover types can be understood as a rough proxy for biodiversity loss since "habitat change and land use are among the main drivers of current and projected future biodiversity loss" (Baan et al., 2013; Rodríguez et al., 2011).

Selected indicator

Following the approach from Frischknecht et al. (2013), we used a modified version of the average Biodiversity Damage Potential (BDP) from de Baan et al. (2013). The indicator is an estimation of the species richness relative to a (semi-)natural reference situation. The approach to calculate BDP applies weights, representing estimates of the potential negative impact of land cover types on the relative richness of species, to the different land cover types in different biomes. Both natural and anthropised types of terrestrial land are considered. Aquatic (freshwater and marine)

⁴³ None of these potential indicators consider the intrinsic value of biodiversity. If intrinsic value is weighed very high, every loss of species or other biodiversity components is unacceptable.

1172 biodiversity is however not considered while it accounts for large parts of life on earth and is
1173 endangered by many factors, e.g. ocean acidification or overfishing. The global and Swiss limits
1174 and footprints are computed as the average values of BDP per land cover type weighted by areas.
1175 The advantage of this approach is to exploit further land use information to get an indicator at the
1176 State level (potential biodiversity loss) within the DPSIR framework (EEA, 2005), computable at the
1177 global scale and allowing a comparison of countries' performances due to the use of global
1178 datasets. This indicator also allows the computation of national footprints.

1179 The constructed indicator is clearly a rough approximation of biodiversity since the number of land
1180 cover types is limited and the relationship with biodiversity damages is modelled with limited data
1181 (see the section on the evaluation of the indicator for more information) and high uncertainties.

1182 This approach is however clearly in line with current practices in Life Cycle Assessment (the main
1183 approach for footprinting) and is applied in the Ecological Scarcity Method 2013 published by the
1184 Swiss Federal Office for the Environment (Frischknecht et al., 2013).

1185 **Setting limits**

1186 The global limit is an index (a relative value of species richness as compared to a (semi-)natural
1187 reference situation). In this case, given the nature of the indicator chosen, the allocation
1188 mechanism based on population was not applied: the same relative value is used for the global,
1189 the Swiss and the per capita limits. In further studies, computation of absolute quantities based on
1190 BPD values (Frischknecht and Büsser Knöpfel, 2013) could be explored.

1191 **Global & Swiss limits**

1192 Considering the difficulty to set a limit for biodiversity based on well-accepted scientific evidence,
1193 an approach based on policy targets has been adopted, using the Aichi Biodiversity Targets of the
1194 Convention on Biological Diversity (CBD). Three targets related to land cover (under Strategic
1195 Goal B and C)⁴⁴ were selected:

⁴⁴ <http://www.cbd.int/sp/targets/#GoalB>

- "Target 5: By 2020, the rate of loss of all natural habitats, including forests, is at least halved and where feasible brought close to zero, and degradation and fragmentation is significantly reduced".
- "Target 7: By 2020 areas under agriculture, aquaculture and forestry are managed sustainably, ensuring conservation of biodiversity".
- "Target 15: By 2020, ecosystem resilience and the contribution of biodiversity to carbon stocks has been enhanced, through conservation and restoration, including restoration of at least 15 per cent of degraded ecosystems, thereby contributing to climate change mitigation and adaptation and to combating desertification."

Based on these objectives, the limit is simulated by modifying the global dataset representing the current situation, i.e. the current global footprint, generated with the most recent global land-cover map (Globcover 2009) and BDP factors from Frischknecht and Büsser (2013).

Frischknecht and Büsser (2013) use the values for the global mean of the various types of land use (e.g. forest – broad-leafed, arable land, permanent crops, etc.) according to de Baan et al. (2012) for the BDPs of the biome 5 (temperate coniferous forests), updating the BDP for forests to account for managed forests based on the Swiss situation. They compute the BDPs factors for the other biomes with single multiplying factors per biome representing the ratio of species densities from Kier et al. (2005). BDPs are thus available for the 14 Biomes defined by Olson et al. (2001).

BDP factors were applied to each pixel of the Globcover raster dataset, according to the land cover type and the biome it belongs to get the potential damages to biodiversity of each area.

To account for organic agricultural areas, not modelled in the Globcover dataset, the share of organic areas can be computed from FAO data. Due to the low global share of organic agricultural areas (around 2% of permanent and arable crops) the correction has however not been applied because results at global scale would have been modified only marginally. Urban (sealed) areas are known to be underestimated in Globcover. They represent around 1% of global land use: using more precise data would thus not lead to significant changes in the results at global scale and better data has thus not been integrated. It should however be better integrated in future studies. Managed forests have not been considered either. While not yet fully harmonised at global scale,

some data is available from the FAO and should be considered in future updates of the study.

The global BDP index was then computed as the global area weighted by the average of BDP values. A schematic description of the process is shown in Figure 10.⁴⁵

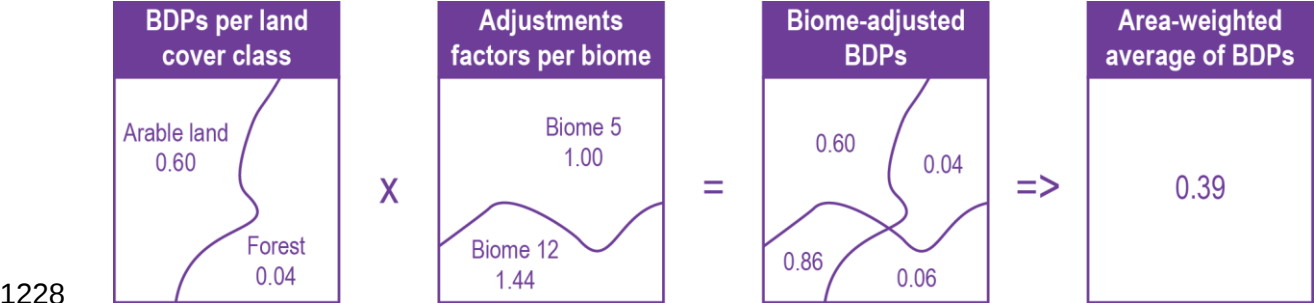


Figure 10. Biodiversity Damage Potential: schematic description.

The computed current (2009) global average Biodiversity Damage Potential is 0.2. This BDP is, by definition, also equivalent to the current global footprint.

Starting from the situation of 2009 (date of the existing dataset), and with the dataset at hand, the limit is computed by applying the following changes:

- The zero-loss objective (Target 5) is modelled by keeping stable the situation of 2009.
- The sustainable management (Target 7) and improved ecosystem resilience (Target 15) are approximated with a full conversion of conventional agricultural land to organic land.

As shown in Table 11, the applied changes are a reduction of the BDP (for biome 5: temperate coniferous forests) of agricultural land by 65% in accordance with the ratio between the BDP conventional land use and organic use.

	Conventional	Organic	Ratio
Arable land	0.60	0.21	0.35
Permanent crops	0.42	0.15	0.36
Pastures and meadows	0.33	0.12	0.36

⁴⁵ The calculations reproduce only partly the approach by Frischknecht and Büsser (2013). BDP values are not converted in equivalent built-up area, nor in eco-factors. The two latter do not provide additional information in our case (they are linearly linked to BDP). Above all, this is to avoid irrelevant comparisons with the results of the two mentioned studies that used more detailed land cover data, and also to avoid confusion with the land areas displayed in the 7th Planetary Boundary Land Cover Anthropisation.

1241 **Table 11. Comparison of the BDP for conventional and organic agricultural areas in biome 5 (temperate**
1242 **coniferous forests).**

1243 Simulating the objectives of Aichi with this approach we get a global BDP value of 0.16 which is
1244 taken as the global limit. The global limit is thus 20% below the current global BDP, i.e. 20% below
1245 the current global footprint. The same limit is applied to Switzerland since it is an index.

1246 Comparison with earlier studies

1247 The original Planetary Boundaries by Rockström et al. (2009) is named "Rate of biodiversity loss".
1248 The suggested indicator is the "extinctions per million species-years (E/MSY)", with a limit set at 10
1249 E/MSY.

1250 The selected indicator has been preferred to the following three possible indicators suggested by
1251 Nykvist et al. (2013):

- 1252 • The number of species threatened within the national territory per million capita, using data
1253 from Lenzen et al. (2012). (Lenzen et al., 2012)
- 1254 • The number of species threatened globally through consumption including international
1255 trade, using data from Lenzen et al. (2012).
- 1256 • The percentage of marine and terrestrial areas protected, using data from IUCN and
1257 UNEP/WCMC.

1258 The first two were not considered because the way the Red List species are modelled⁴⁶ in Lenzen
1259 et al. (2012) provides, to our perspective and in the current version of the model, a false sense of
1260 precision while causality is rather weak. The protected areas are interesting because they
1261 correspond to an important Aichi Biodiversity Target. They do not reflect however the actual
1262 biodiversity level as they are a Response (in the DPSIR framework). Moreover, biodiversity exists
1263 outside of protected areas as well. In addition, they are spatially restricted and cannot be linked to
1264 human activities for computing footprints.

⁴⁶ Red List species are linked to industries in a binary way: they are affected or not by an industry. A normalisation is then performed based on production values. As a result, the number of species affected is directly proportional to the values of imported goods.

1265 Mace et al. (2014) propose other alternatives but data is not available yet. Recent updated
 1266 estimations of biodiversity impacts from habitat changes should be explored in future studies (e.g.
 1267 Chaudhary et al., 2015).

1268 Data sources & evaluation of the indicator

1269 The data sources concerning the limits and the global footprint are presented in Table 12.

Data	Data sources	Units
National land use from 1996 to current	Frischknecht et al. 2013 (1996-2011)	ha x 1 000
Land-cover classes	GlobCover (300m spatial resolution) http://due.esrin.esa.int/globcover	23 land cover classes
BDP values, per land-cover type and per biome	Frischknecht et al. 2013, Frischknecht et al. 2014	%
National population (1990 - 2050) - UN medium	UN Dep. of Econ. and Social Affairs	Inhabitants
World population (1990 - 2050) - UN medium	UN Dep. of Econ. and Social Affairs	Inhabitants

1271 **Table 12. Biodiversity Loss: data sources for global values.**

1272 The evaluation of the indicator with respect to eight criteria is presented in Table 13. Biodiversity
 1273 Loss is assessed with an approach relying on a simple model and can only be taken as a rough
 1274 approximation. The overall quality of the assessment is thus low.

1275 The main critical points regarding the BDP approach (Baan et al., 2013) are:

- 1276 • The uncertainty on the density factors used in the BDP method can be very high, especially
 1277 in areas under conversion (deforestation, regeneration).
- 1278 • Only impacts from occupation are considered and not impacts from transformation.
- 1279 • The temporal dynamics of ecosystems are not considered.
- 1280 • A default equal weight is given to all species.
- 1281 • There is no or very little biodiversity data for five out of the fourteen biomes.

1282 In addition, the dataset for land cover is coarse (300 meters spatial resolution and accuracy
 1283 limitations (Bontemps et al., 2011)), and the average values of BDP per land cover category are
 1284 only rough estimates.

1285 In terms of communication, BDP is less straightforward and known than biodiversity for the general
 1286 public, but it still remains understandable.

1287

Quality assessment		
PB relevance	++	
Focus on the overall picture	+ / -	Biodiversity in aquatic ecosystems and beta-biodiversity are not considered
Reliability (data, models)	-	Very basic approach of biodiversity with coarse datasets
Transparency	++	
Communication	+ / -	The concept of BDP is not easy to understand outside of the LCA community
Coherence / comparability	++	
Availability of information	++	
Timely	-	Irregular updates of the GlobCover with poor comparability
Legend ++ : High + : Acceptable +/- : Potentially problematic - : Problematic		

1288

1289 **Table 13. Biodiversity Loss: quality assessment.**

1290 *Computing footprints*

1291 The general description of the computation of the footprints is presented in chapter 5, whereas the
1292 specificities for the Planetary Boundary Biodiversity Loss are presented here.

1293 The global footprint is based on the same dataset as the global limit. The Swiss footprint is based
1294 on a modified version of the FOEN database resulting from Frischknecht et al. (2014) since the
1295 location of land use induced by imports cannot be identified from the database. These locations
1296 have been inferred from the results of the CREEA project for Europe (Tukker et al., 2014). An
1297 average BDP has also been computed for each of the regions of origin to enable the computation
1298 of a weighted BDP for imports. The conclusion based on these assumptions is considered robust
1299 for imports based on the results of a sensitivity analysis.⁴⁷

1300 The computed global BDP does not account for organic agriculture (2% of global areas) nor for
1301 managed forests (which have a BDP of 0.04 compared to 0 for non-managed forests). Another
1302 sensitivity analysis has been performed on organic areas and managed forest for the exports and
1303 results can be considered as robust.⁴⁸

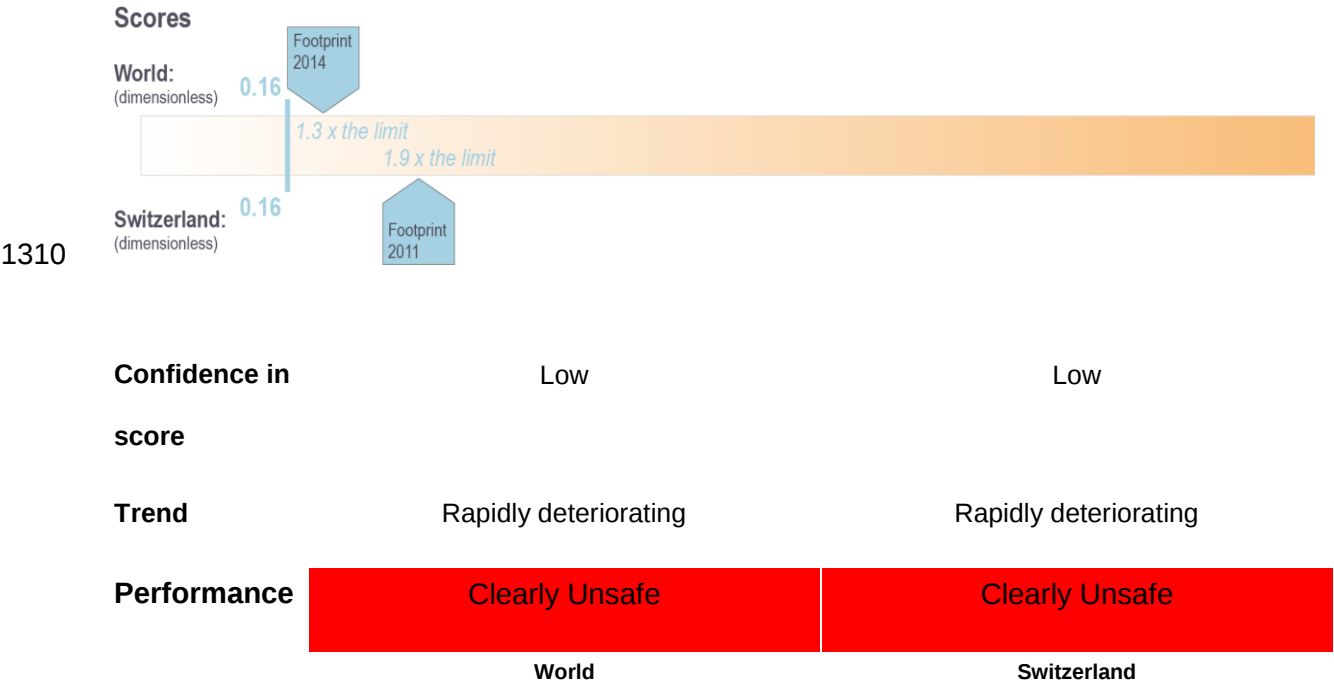
⁴⁷ The computed BDP for imports varies in a range of 0.24 to 0.27.

⁴⁸ The average BDP varies by only 1% when considering them or not.

1304 **3.5.3.Current performance**

1305 The footprint for the Planetary Boundary Biodiversity Loss is overshooting the limit. The confidence
1306 in results is low. The evolution is very rapid. The global and Swiss performances are thus qualified
1307 as Clearly Unsafe.

1308 Results are presented for yearly limits and yearly footprints computed for 2009 (global values) and
1309 2011 (Swiss values) in Figure 11.



1311 **Figure 11. Biodiversity Loss: global and Swiss performances.**

1312 At global scale, the global limit is at 0.16 (computed for 2009) and the resulting per capita limit is
1313 similar, by definition. With a current global footprint estimated to be at 0.2 for 2009, the footprint
1314 was 25% above the limit.

1315 For Switzerland, the pattern is similar and the Swiss footprint is above its long-term acceptable
1316 average. The Swiss limit is also at 0.16, by definition, as is the resulting per capita limit. With a
1317 current (2011) footprint estimated to be at 0.3 in 2011 for Switzerland the Swiss footprint was 87%
1318 above the limit for Switzerland.

3.5.4.Discussion: Biodiversity Loss

Facing the impossible task of setting a scientifically validated limit for biodiversity loss, well-accepted global policy targets at the international level have been selected to model a limit with the available datasets.

The computed global footprint is larger than the global limit. However, comparing this result to Rockström et al. (2009), estimating a global extinction rate, the computed overshoot is much smaller (10 times). The capability of representing adequately biodiversity loss with the presented indicator is thus subject to discussion and should be taken with caution (as it is the case for the current other proposals for computing footprints).

While Switzerland's footprint is also much larger than its limit, the analysis of the relative performance of Switzerland compared to the world is not straightforward due to the difference in the datasets. However, the conclusions are considered robust based on sensitivity analyses.

Firstly, we performed a sensitivity analysis with alternative datasets in order to get an idea of the accuracy of the used global spatial dataset. The Swiss territorial BDP computed with our approach is 0.24. Using the database resulting from Frischknecht et al. (2014), we get a value of 0.25, whereas using official⁴⁹ Swiss land cover data a value of 0.22. The average BDP for the domestic part of the Swiss footprint can thus be considered larger than the world average when domestic organic agricultural areas are not considered. Considering organic agricultural areas (7.5% of Swiss agricultural areas in 2011 according to FAO (11% according to Swiss national data) does not change the conclusion since the Swiss territorial BDP is reduced by only 1%. The global average BDP would neither be modified significantly by considering the 1-2% global organic areas: the territorial Swiss average BDP is thus probably very close (slightly higher) to the global BDP when considering organic areas.

Secondly, for the two other components of the Swiss footprint, i.e. exports and imports, computed with the database resulting from Frischknecht et al. (2014) with imports modified, the average BDPs are 0.20 and 0.27 respectively. Because the Swiss footprint is computed as a domestic part

⁴⁹ <http://www.bfs.admin.ch/bfs/portal/fr/index/themen/02/03/blank/data/01.html>

1345 plus imports minus exports and since the area for imports is larger than the area for export, the
1346 Swiss footprint has a higher BDP than the Swiss territorial BDP.

1347 The larger BDP of the Swiss footprint compared to the global footprint cannot be validated with
1348 certainty due to the different databases but seems a plausible explication due to the structure of
1349 Swiss imports (industrial and agricultural goods) and their origin (biomes with higher multipliers
1350 than the biome in which Switzerland is located (temperate coniferous forests)).

1351 Due to the overshoot of the global and Swiss limits, actions are needed in Switzerland itself as well
1352 as abroad. Respectively the most important actions would be:

- 1353 • To stop or at least greatly slow down the conversion of natural habitats.
- 1354 • To switch to much more sustainable land use practices respectively to reduce the negative
1355 impacts of the current practices.
- 1356 • To restore ecosystems (on a large scale), especially the ones playing the most important
1357 roles for global processes

1358 Furthermore it has to be considered that the concept of the Planetary Boundaries addresses only
1359 aspects of biodiversity that are relevant for global processes. Intrinsic values, local biodiversity
1360 values and effects on local ecosystem services that can be directly experienced by local people
1361 are not addressed here.

1362

1363 A4. Experts consulted

1364 **Members of the Advisory group convened by FOEN**

1365 Andreas Hauser (lead), Adrian Aeschlimann, Andreas Bachmann, Loa Buchli, Paul Filliger, Peter
1366 Gerber, Hans Gujer, Klaus Kammer, Anik Kohli, Nicolas Merky, Silvia Rauch, Ruedi Stähli, Gaston
1367 Theis, Markus Wüest (all Federal Office for the Environment FOEN), Anne-Marie Mayerat (Federal
1368 Statistical Office FSO).

1369 **Other experts consulted**

1370 Markus Fischer and Jodok Guntern (Swiss Biodiversity Forum), Josef Tremp and Martin Pfaundler
1371 (Federal Office for the Environment FOEN), Rolf Frischknecht (Treeze)

1372 **Participants of the workshop held in Bern (17 March 2014)**

1373 A workshop was organised and held in Bern on 17 March 2014. Its overall objective was to
1374 validate reference values, downscaling options and take stock of recommendations on resource
1375 sharing. More than forty experts participated. Four sessions were organized (climate change, land
1376 system changes, nitrogen and freshwater use) allowing to canvass expert's opinions on strategies
1377 to be taken for computing the Swiss limits and on how to take into consideration the historical
1378 contribution of Switzerland.

Beat Achermann Federal Office for the Environment (FOEN) / Air Quality Management Section Switzerland	Adrian Aeschlimann Federal Office for the Environment (FOEN) / Economics Section Switzerland
Andreas Bachmann Federal Office for the Environment (FOEN) / Biotechnology Section Switzerland	Martin Bruckner Research fellow Vienna University of Economics and Business (WU) Austria
Loa Buchli	Sibylle Büsser

Head of section Federal Office for the Environment (FOEN) / Economics Section Switzerland	Project Manager Treeze Switzerland
Bruno Chatenoux GIS Officer GRID-Geneva Switzerland	Hy Dao Head of unit GRID-Geneva / Socio-economics and metadata unit Switzerland
Andrea De Bono Data Officer GRID-Geneva Switzerland	Arthur W.M. Eijs Policy advisor Ministry of Infrastructure & Environment / Department of International Affairs The Netherlands
Paul Filliger Head of section Federal Office for the Environment (FOEN) / Climate Reporting and Adaptation Section Switzerland	Damien Friot Shaping Environmental Action Switzerland
Peter Gerber Deputy head of section Federal Office for the Environment (FOEN) / Consumption and Products Section Switzerland	Bastien Girod Senior researcher ETHZ / Department of Management, Technology, and Economics Switzerland
Michel Gressot Senior Economist Global Footprint Network Switzerland	Martin Grosjean Director University of Berne / Oeschger Centre for Climate Change Research

	Switzerland
Nicole Grunewald, Ph.D. Research Economist Global Footprint Network	Hans Gujer Federal Office for the Environment (FOEN) / Landscape Management Section Switzerland
Andreas Hauser Federal Office for the Environment (FOEN) / Economics Section Switzerland	Niels Jungbluth Managing Director ESU-Services Switzerland
Klaus Kammer Federal Office for the Environment (FOEN) / Environmental Monitoring Section Switzerland	Anik Kohli Federal Office for the Environment (FOEN) / Global Affairs Section Switzerland
Pius Krüttli Co-chair of NSSI group ETHZ, Institute for Environmental Decisions Switzerland	Paul Lucas Researcher Netherlands Environmental Assessment Agency (PBL) The Netherlands
Anne-Marie Mayerat Head of section Federal Statistical Office (FSO) / Sektion Umwelt, Nachhaltige Entwicklung, Raum Switzerland	Nicolas Merky Federal Office for the Environment (FOEN) / Economics Section Switzerland
Lucas Meyer Professor University of Graz Austria	Carsten Nathani Rütter+Partner Switzerland
Björn Nykvist	Walter Ott

Research Associate Stockholm Resilience Center Sweden	Partner econcept AG -Forschung, Beratung, Evaluation Switzerland
Pascal Peduzzi Director GRID-Geneva United Nations Environment Programme Switzerland	Ana Priceputu Programme Officer UNEP, Chemicals Switzerland
Silvia Rauch Federal Office for the Environment (FOEN) / Species and habitats Section Switzerland	Regine Roethlisberger Deputy head of section Federal Office for the Environment (FOEN) / Climate Reporting and Adaptation Section Switzerland
Stefan Schwarzer GRID-Geneva Switzerland	Ruedi Staehli Federal Office for the Environment (FOEN) / Soil Section Switzerland
Thomas Stocker Professor / Co-chair IPCC WG I University of Berne / IPCC Switzerland	Gaston Theis Federal Office for the Environment (FOEN) / Air Quality Management Section Switzerland
Markus Wüest Head of section Federal Office for the Environment (FOEN) /Environmental Monitoring Section Switzerland	

1380 A5. References

- 1381 Baan, L. de, Alkemade, R., Koellner, T., 2013. Land use impacts on biodiversity in LCA: a global
1382 approach. *Int. J. Life Cycle Assess.* 18, 1216–1230. [https://doi.org/10.1007/s11367-012-](https://doi.org/10.1007/s11367-012-0412-0)
1383 0412-0
- 1384 Barnosky, A.D., Hadly, E. a, Bascompte, J., Berlow, E.L., Brown, J.H., Fortelius, M., Getz, W.M.,
1385 Harte, J., Hastings, A., Marquet, P. a, Martinez, N.D., Mooers, A., Roopnarine, P., Vermeij,
1386 G., Williams, J.W., Gillespie, R., Kitzes, J., Marshall, C., Matzke, N., Mindell, D.P., Revilla,
1387 E., Smith, A.B., 2012. Approaching a state shift in Earth's biosphere. *Nature* 486, 52–8.
1388 <https://doi.org/10.1038/nature11018>
- 1389 BIO Intelligence Service, Institute for Social Ecology and Sustainable Europe Research Institute,
1390 2013. Modelling of Milestones for achieving Resource Efficiency. Task1: Turning
1391 milestones into quantified objectives.
- 1392 BIO Intelligence Service, Institute for Social Ecology and Sustainable Europe Research Institute,
1393 2012. Assessment of resource efficiency indicators and targets. Final report prepared
1394 for the European Commission, DG Environment.
- 1395 Bontemps, S., Defourny, P., Van Bogaert, E., Arino, O., Kalogirou, V., Perez, J.R., 2011.
1396 GLOBCOVER 2009: Products Description and Validation Report. ESA, UCL.
- 1397 Bopp, L., Resplandy, L., Orr, J.C., Doney, S.C., Dunne, J.P., Gehlen, M., Halloran, P., Heinze, C.,
1398 Ilyina, T., Séférian, R., Tjiputra, J., Vichi, M., 2013. Multiple stressors of ocean ecosystems
1399 in the 21st century: projections with CMIP5 models. *Biogeosciences* 10, 6225–6245.
1400 <https://doi.org/10.5194/bg-10-6225-2013>
- 1401 Bouwman, A.F., Beusen, A.H.W., Billen, G., 2009. Human alteration of the global nitrogen and
1402 phosphorus soil balances for the period 1970–2050. *Glob. Biogeochem. Cycles* 23,
1403 GB0A04. <https://doi.org/10.1029/2009GB003576>
- 1404 Bouwman, L., Goldewijk, K.K., Hoek, K.W.V.D., Beusen, A.H.W., Vuuren, D.P.V., Willems, J.,
1405 Rufino, M.C., Stehfest, E., 2013. Exploring global changes in nitrogen and phosphorus
1406 cycles in agriculture induced by livestock production over the 1900–2050 period. *Proc. Natl.*
1407 *Acad. Sci.* 110, 20882–20887. <https://doi.org/10.1073/pnas.1012878108>
- 1408 Brook, B.W., Sodhi, N.S., Bradshaw, C.J.A., 2008. Synergies among extinction drivers under
1409 global change. *Trends Ecol. Evol.* 23, 453–460. <https://doi.org/10.1016/j.tree.2008.03.011>
- 1410 Cardinale, B.J., Duffy, J.E., Gonzalez, A., Hooper, D.U., Perrings, C., Venail, P., Narwani, A.,
1411 Mace, G.M., Tilman, D., Wardle, D.A., Kinzig, A.P., Daily, G.C., Loreau, M., Grace, J.B.,
1412 Larigauderie, A., Srivastava, D.S., Naeem, S., 2012. Biodiversity loss and its impact on
1413 humanity. *Nature* 486, 59–67. <https://doi.org/10.1038/nature11148>
- 1414 Carpenter, S.R., Bennett, E.M., 2011. Reconsideration of the planetary boundary for phosphorus.
1415 *Environ. Res. Lett.* 6, 014009. <https://doi.org/10.1088/1748-9326/6/1/014009>
- 1416 Chaudhary, A., Veronesi, F., de Baan, L., Hellweg, S., 2015. Quantifying Land Use Impacts on
1417 Biodiversity: Combining Species–Area Models and Vulnerability Indicators. *Environ. Sci.*
1418 *Technol.* 49, 9987–9995. <https://doi.org/10.1021/acs.est.5b02507>
- 1419 Cordell, D., Drangert, J.-O., White, S., 2009. The story of phosphorus: Global food security and
1420 food for thought. *Glob. Environ. Change, Traditional Peoples and Climate Change* 19, 292–
1421 305. <https://doi.org/10.1016/j.gloenvcha.2008.10.009>
- 1422 de Vries, W., Kros, J., Kroeze, C., Seitzinger, S.P., 2013. Assessing planetary and regional
1423 nitrogen boundaries related to food security and adverse environmental impacts. *Curr.*
1424 *Opin. Environ. Sustain.*, Open issue 5, 392–402.
1425 <https://doi.org/10.1016/j.cosust.2013.07.004>
- 1426 Dentener, F., Drevet, J., Lamarque, J.F., Bey, I., Eickhout, B., Fiore, A.M., Hauglustaine, D.,
1427 Horowitz, L.W., Krol, M., Kulshrestha, U.C., Lawrence, M., Galy-Lacaux, C., Rast, S.,
1428 Shindell, D., Stevenson, D., Van Noije, T., Atherton, C., Bell, N., Bergman, D., Butler, T.,

1429 Cofala, J., Collins, B., Doherty, R., Ellingsen, K., Galloway, J., Gauss, M., Montanaro, V.,
1430 Müller, J.F., Pitari, G., Rodriguez, J., Sanderson, M., Solomon, F., Strahan, S., Schultz, M.,
1431 Sudo, K., Szopa, S., Wild, O., 2006. Nitrogen and sulfur deposition on regional and global
1432 scales: A multimodel evaluation. *Glob. Biogeochem. Cycles* 20, GB4003.
1433 <https://doi.org/10.1029/2005GB002672>

1434 Doney, S.C., Fabry, V.J., Feely, R.A., Kleypas, J.A., 2009. Ocean Acidification: The Other CO₂
1435 Problem. *Annu. Rev. Mar. Sci.* 1, 169–192.
1436 <https://doi.org/10.1146/annurev.marine.010908.163834>

1437 EDGAR, 2011. GHG time series 1990-2010 per capita emissions for world countries [WWW
1438 Document]. Eur. Comm. Jt. Res. Cent. JRC-PBL Neth. Environ. Assess. Agency Emiss.
1439 Database Glob. Atmospheric Res. EDGAR Release Version 42. URL
1440 http://edgar.jrc.ec.europa.eu/overview.php?v=GHGts_pc1990-2010 (accessed 9.3.14).

1441 EEA, 2005. EEA core set of indicators. Guide, EEA Technical report. EEA, Luxembourg.

1442 Estes, J.A., Terborgh, J., Brashares, J.S., Power, M.E., Berger, J., Bond, W.J., Carpenter, S.R.,
1443 Essington, T.E., Holt, R.D., Jackson, J.B.C., Marquis, R.J., Oksanen, L., Oksanen, T.,
1444 Paine, R.T., Pickett, E.K., Ripple, W.J., Sandin, S.A., Scheffer, M., Schoener, T.W., Shurin,
1445 J.B., Sinclair, A.R.E., Soulé, M.E., Virtanen, R., Wardle, D.A., 2011. Trophic Downgrading
1446 of Planet Earth. *Science* 333, 301–306. <https://doi.org/10.1126/science.1205106>

1447 European Commission - Joint Research Centre - Institute for Environment and Sustainability,
1448 2010. International Reference Life Cycle Data System (ILCD) Handbook - General guide
1449 for Life Cycle Assessment - Detailed guidance. European Commission, Luxembourg.

1450 Eurostat, 2014. Getting messages across using indicators. A handbook based on experiences
1451 from assessing Sustainable Development Indicators, Eurostat Manuals and guidelines.
1452 Eurostat, Luxembourg.

1453 FAO, 2010. Global Forest Resources Assessment 2010 (No. 163), FAO Forestry Paper. Food and
1454 Agriculture Organization of the United Nations, Rome.

1455 Feely, R., Doney, S., Cooley, S., 2009. Ocean Acidification: Present Conditions and Future
1456 Changes in a High-CO₂ World. *Oceanography* 22, 36–47.
1457 <https://doi.org/10.5670/oceanog.2009.95>

1458 Forster, P., Ramaswamy, V., Artaxo, P., Bernsten, T., Betts, R., Fahey, D.W., Haywood, J., Lean,
1459 J., Lowe, D.C., Myhre, G., Nganga, J., Prinn, R., Raga, G., Schulz, M., Van Dorland, R.,
1460 2007. Changes in Atmospheric Constituents and in Radiative Forcing, in: *Climate Change*
1461 *2007 : The Physical Science Basis : Contribution of Working Group I to the Fourth*
1462 *Assessment Report of the Intergovernmental Panel on Climate Change*. Cambridge
1463 University Press, Cambridge; New York.

1464 Fowler, D., Coyle, M., Skiba, U., Sutton, M.A., Cape, J.N., Reis, S., Sheppard, L.J., Jenkins, A.,
1465 Grizzetti, B., Galloway, J.N., Vitousek, P., Leach, A., Bouwman, A.F., Butterbach-Bahl, K.,
1466 Dentener, F., Stevenson, D., Amann, M., Voss, M., 2013. The global nitrogen cycle in the
1467 twenty-first century. *Philos. Trans. R. Soc. B Biol. Sci.* 368, 20130164.
1468 <https://doi.org/10.1098/rstb.2013.0164>

1469 Friedlingstein, P., Houghton, R.A., Marland, G., Hackler, J., Boden, T.A., Conway, T.J., Canadell,
1470 J.G., Raupach, M.R., Ciais, P., Quéré, C.L., 2010. Update on CO₂ emissions. *Nat. Geosci.*
1471 3, 811–812. <https://doi.org/10.1038/ngeo1022>

1472 Frischknecht, R., Büsler Knöpfel, S., 2013. Swiss Eco-Factors 2013 according to the Ecological
1473 Scarcity Method. Methodological fundamentals and their application in Switzerland,
1474 Environmental studies. Federal Office for the Environment, Bern.

1475 Frischknecht, R., Itten, R., Büsler Knöpfel, S., 2013. Tracking important Environmental Impacts
1476 Related to Domestic Consumption. Federal Office for the Environment FOEN, Bern,
1477 Switzerland.

1478 Frischknecht, R., Nathani, C., Büsser Knöpfel, S., Itten, R., Wyss, F., Hellmüller, P., 2014.
 1479 Development of Switzerland's worldwide environmental impact, Umwelt-Wissen. Federal
 1480 Office for the Environment FOEN, Bern.

1481 Goedkoop, M.J., Heijungs, R., Huijbregts, M., De Schryver, A., Struijs, J., Van Zelm, R., 2009.
 1482 ReCiPe 2008, A life cycle impact assessment method which comprises harmonised
 1483 category indicators at the midpoint and the endpoint level; First edition Report I:
 1484 Characterisation.

1485 Guivarch, C., Hallegatte, S., 2013. 2C or not 2C? Glob. Environ. Change 23, 179–192.
 1486 <https://doi.org/10.1016/j.gloenvcha.2012.10.006>

1487 Hansen, J., Kharecha, P., Sato, M., Masson-Delmotte, V., Ackerman, F., Beerling, D.J., Hearty,
 1488 P.J., Hoegh-Guldberg, O., Hsu, S.-L., Parmesan, C., Rockstrom, J., Rohling, E.J., Sachs,
 1489 J., Smith, P., Steffen, K., Van Susteren, L., von Schuckmann, K., Zachos, J.C., 2013.
 1490 Assessing “Dangerous Climate Change”: Required Reduction of Carbon Emissions to
 1491 Protect Young People, Future Generations and Nature. PLoS ONE 8, e81648.
 1492 <https://doi.org/10.1371/journal.pone.0081648>

1493 Heldstab, J., Leippert, F., Biedermann, R., Schwank, O., 2013. Stickstoffflüsse in der Schweiz
 1494 2020. Stoffflussanalyse und Entwicklungen (No. 1309: 107 S), Umwelt-Wissen. Bundesamt
 1495 für Umwelt, Bern.

1496 Heldstab, J., Reutimann, J., Biedermann, R., Leu, D., 2010. Stickstoffflüsse in der Schweiz.
 1497 Stoffflussanalyse für das Jahr 2005 (No. 1018: 128 S), Umwelt-Wissen. Bundesamt für
 1498 Umwelt, Bern.

1499 Hoekstra, A.Y., Wiedmann, T.O., 2014. Humanity's unsustainable environmental footprint. Science
 1500 344, 1114–1117. <https://doi.org/10.1126/science.1248365>

1501 Hooper, D.U., Adair, E.C., Cardinale, B.J., Byrnes, J.E.K., Hungate, B.A., Matulich, K.L., Gonzalez,
 1502 A., Duffy, J.E., Gamfeldt, L., O'Connor, M.I., 2012. A global synthesis reveals biodiversity
 1503 loss as a major driver of ecosystem change. Nature 486, 105–108.
 1504 <https://doi.org/10.1038/nature11118>

1505 Hooper, D.U., Chapin, F.S., Ewel, J.J., Hector, A., Inchausti, P., Lavorel, S., Lawton, J.H., Lodge,
 1506 D.M., Loreau, M., Naeem, S., Schmid, B., Setälä, H., Symstad, A.J., Vandermeer, J.,
 1507 Wardle, D.A., 2005. Effects of biodiversity on ecosystem functioning: a consensus of
 1508 current knowledge. Ecol. Monogr. 75, 3–35. <https://doi.org/10.1890/04-0922>

1509 Houghton, R.A., House, J.I., Pongratz, J., van der Werf, G.R., DeFries, R.S., Hansen, M.C., Le
 1510 Quéré, C., Ramankutty, N., 2012. Carbon emissions from land use and land-cover change.
 1511 Biogeosciences 9, 5125–5142. <https://doi.org/10.5194/bg-9-5125-2012>

1512 Huitric, M., Walker, B., Moberg, F., Österblom, H., Sandin, L., Grandin, U., Olsson, P., Bodegård,
 1513 J., 2010. Biodiversity, Ecosystem Services and Resilience – Governance for a Future with
 1514 Global Changes. Background report for the scientific workshop Biodiversity, ecosystem
 1515 services and governance – targets beyond 2010, Tjärnö, Sweden, 4-6 September 2009.
 1516 Stockholm Resilience Centre, Stockholm.

1517 IPCC, 2014. Summary for policymakers. In: Climate Change 2014: Impacts, Adaptation, and
 1518 Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the
 1519 Fifth Assessment Report of the Intergovernmental Panel on Climate Change. Cambridge,
 1520 United Kingdom and New York, NY, USA, pp. 1–32.

1521 IPCC, 2013. Summary for Policymakers. In: Climate Change 2013: The Physical Science Basis.
 1522 Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental
 1523 Panel on Climate Change. IPCC, Cambridge, UK, New York, USA.

1524 IPCC, 2007. IPCC Fourth Assessment Report (AR4). Climate Change 2007: The Physical Science
 1525 Basis. Cambridge University Press, Cambridge, Great Britain, New York, NY, USA and
 1526 Melbourne, Australia.

- 1527 Jungbluth, N., Stucki, M., Leuenberger, M., 2011. Environmental Impacts of Swiss Consumption
1528 and Production. A combination of input-output analysis with life cycle assessment. Federal
1529 Office for the Environment FOEN, Bern, Switzerland.
- 1530 Kier, G., Mutke, J., Dinerstein, E., Ricketts, T.H., Küper, W., Kreft, H., Barthlott, W., 2005. Global
1531 patterns of plant diversity and floristic knowledge. *J. Biogeogr.* 32, 1107–1116.
1532 <https://doi.org/10.1111/j.1365-2699.2005.01272.x>
- 1533 Le Quéré, C., Moriarty, R., Andrew, R.M., Peters, G.P., Ciais, P., Friedlingstein, P., Jones, S.D.,
1534 Sitch, S., Tans, P., Arneeth, A., Boden, T.A., Bopp, L., Bozec, Y., Canadell, J.G., Chevallier,
1535 F., Cosca, C.E., Harris, I., Hoppema, M., Houghton, R.A., House, J.I., Jain, A.,
1536 Johannessen, T., Kato, E., Keeling, R.F., Kitidis, V., Klein Goldewijk, K., Koven, C., Landa,
1537 C.S., Landschützer, P., Lenton, A., Lima, I.D., Marland, G., Mathis, J.T., Metzl, N., Nojiri,
1538 Y., Olsen, A., Ono, T., Peters, W., Pfeil, B., Poulter, B., Raupach, M.R., Regnier, P.,
1539 Rödenbeck, C., Saito, S., Salisbury, J.E., Schuster, U., Schwinger, J., Séférian, R.,
1540 Segschneider, J., Steinhoff, T., Stocker, B.D., Sutton, A.J., Takahashi, T., Tilbrook, B., van
1541 der Werf, G.R., Viovy, N., Wang, Y.-P., Wanninkhof, R., Wiltshire, A., Zeng, N., 2014.
1542 Global carbon budget 2014. *Earth Syst. Sci. Data Discuss.* 7, 521–610.
1543 <https://doi.org/10.5194/essdd-7-521-2014>
- 1544 Lenzen, M., Moran, D., Kanemoto, K., Foran, B., Lobefaro, L., Geschke, A., 2012. International
1545 trade drives biodiversity threats in developing nations. *Nature* 486, 109–112.
1546 <https://doi.org/10.1038/nature11145>
- 1547 Liu, C., Kroeze, C., Hoekstra, A.Y., Gerbens-Leenes, W., 2012. Past and future trends in grey
1548 water footprints of anthropogenic nitrogen and phosphorus inputs to major world rivers.
1549 *Ecol. Indic.* 18, 42–49. <https://doi.org/10.1016/j.ecolind.2011.10.005>
- 1550 Mace, G.M., Meyers, B., Alkemade, R., Biggs, R., Chapin III, F.S., Cornell, S.E., Díaz, S.,
1551 Jennings, S., Leadley, P., Mumby, P.J., Purvis, A., Scholes, R.J., Seddon, A.W.R., Solan,
1552 M., Steffen, W., Woodward, G., 2014. Approaches to defining a planetary boundary for
1553 biodiversity. *Glob. Environ. Change* 28, 289–297.
1554 <https://doi.org/10.1016/j.gloenvcha.2014.07.009>
- 1555 McNeil, B.I., Mearns, R.J., 2008. Southern Ocean acidification: A tipping point at 450-ppm
1556 atmospheric CO₂. *Proc. Natl. Acad. Sci.* 105, 18860–18864.
1557 <https://doi.org/10.1073/pnas.0806318105>
- 1558 Meadowcroft, J., 2013. Exploring negative territory Carbon dioxide removal and climate policy
1559 initiatives. *Clim. Change* 118, 137–149. <https://doi.org/10.1007/s10584-012-0684-1>
- 1560 Millennium Ecosystem Assessment, 2005. Ecosystems and human well-being, The Millennium
1561 Ecosystem Assessment series. The Island Press, Washington D.C.
- 1562 NOAA, 2014. Mauna Loa CO₂ monthly mean data [WWW Document]. *Earth Syst. Res. Lab. Glob.*
1563 *Monit. Div.* URL ftp://aftp.cmdl.noaa.gov/products/trends/co2/co2_mm_mlo.txt (accessed
1564 9.3.14).
- 1565 Olson, D.M., Dinerstein, E., Wikramanayake, E.D., Burgess, N.D., Powell, G.V.N., Underwood,
1566 E.C., D'amico, J.A., Itoua, I., Strand, H.E., Morrison, J.C., Loucks, C.J., Allnutt, T.F.,
1567 Ricketts, T.H., Kura, Y., Lamoreux, J.F., Wettengel, W.W., Hedao, P., Kassem, K.R., 2001.
1568 Terrestrial Ecoregions of the World: A New Map of Life on Earth. *BioScience* 51, 933–938.
1569 [https://doi.org/10.1641/0006-3568\(2001\)051\[0933:TEOTWA\]2.0.CO;2](https://doi.org/10.1641/0006-3568(2001)051[0933:TEOTWA]2.0.CO;2)
- 1570 Peters, G.P., Minx, J.C., Weber, C.L., Edenhofer, O., 2011. Growth in emission transfers via
1571 international trade from 1990 to 2008. *Proc. Natl. Acad. Sci.* 108, 8903–8908.
1572 <https://doi.org/10.1073/pnas.1006388108>
- 1573 Potter, P., Ramankutty, N., Bennett, E.M., Donner, S.D., 2010. Characterizing the Spatial Patterns
1574 of Global Fertilizer Application and Manure Production. *Earth Interact.* 14, 1–22.
1575 <https://doi.org/10.1175/2009EI288.1>
- 1576 Potting, J., Hauschild, M., 2005. Aquatic eutrophication, in: Potting, J., Beusen, A., Øllgaard, H.,
1577 Hansen, O.C., De Haan, B., Hauschild, M. (Eds.), *Technical Background for Spatial*

1578 Differentiation in Life Cycle Impact Assessment - The EDIP2003 Methodology.
1579 Copenhagen.

1580 Rockström, J., 2009. A safe operating space for humanity. *Nature* 461.

1581 Rockström, J., Steffen, W., Noone, K., Persson, Å., Chapin, F.S., III, Lambin, E., Lenton, T.M.,
1582 Scheffer, M., Folke, C., Schellnhuber, H.J., Nykvist, B., Wit, C.A. de, Hughes, T., Leeuw, S.
1583 van der, Rodhe, H., Sörlin, S., Snyder, P.K., Costanza, R., Svedin, U., Falkenmark, M.,
1584 Karlberg, L., Corell, R.W., Fabry, V.J., Hansen, J., Walker, B., Liverman, D. (et al), 2009.
1585 Planetary boundaries: exploring the safe operating space for humanity. *Ecol. Soc.* 14,
1586 article 32.

1587 Rockström, Johan, Steffen, W., Noone, K., Persson, Å., Chapin, F.S., Lambin, E.F., Lenton, T.M.,
1588 Scheffer, M., Folke, C., Schellnhuber, H.J., Nykvist, B., de Wit, C.A., Hughes, T., van der
1589 Leeuw, S., Rodhe, H., Sörlin, S., Snyder, P.K., Costanza, R., Svedin, U., Falkenmark, M.,
1590 Karlberg, L., Corell, R.W., Fabry, V.J., Hansen, J., Walker, B., Liverman, D., Richardson,
1591 K., Crutzen, P., Foley, J.A., 2009. A safe operating space for humanity. *Nature* 461, 472–
1592 475. <https://doi.org/10.1038/461472a>

1593 Rodríguez, J.P., Rodríguez-Clark, K.M., Baillie, J.E.M., Ash, N., Benson, J., Boucher, T., Brown,
1594 C., Burgess, N.D., Collen, B., Jennings, M., Keith, D.A., Nicholson, E., Revenga, C.,
1595 Reyers, B., Rouget, M., Smith, T., Spalding, M., Taber, A., Walpole, M., Zager, I., Zamin,
1596 T., 2011. Establishing IUCN Red List Criteria for Threatened Ecosystems. *Conserv. Biol.*
1597 25, 21–29. <https://doi.org/10.1111/j.1523-1739.2010.01598.x>

1598 Schneider, A., Friedl, M.A., Potere, D., 2009. A new map of global urban extent from MODIS
1599 satellite data. *Environ. Res. Lett.* 4, 044003. <https://doi.org/10.1088/1748-9326/4/4/044003>

1600 Secretariat of the Convention on Biological Diversity, 2010. *Global Biodiversity Outlook 3*.
1601 Montreal.

1602 Seitzinger, S.P., Mayorga, E., Bouwman, A.F., Kroeze, C., Beusen, A.H.W., Billen, G., Van Drecht,
1603 G., Dumont, E., Fekete, B.M., Garnier, J., Harrison, J.A., 2010. Global river nutrient export:
1604 A scenario analysis of past and future trends. *Glob. Biogeochem. Cycles* 24, GB0A08.
1605 <https://doi.org/10.1029/2009GB003587>

1606 Steffen, W., Richardson, K., Rockström, J., Cornell, S.E., Fetzer, I., Bennett, E.M., Biggs, R.,
1607 Carpenter, S.R., Vries, W. de, Wit, C.A. de, Folke, C., Gerten, D., Heinke, J., Mace, G.M.,
1608 Persson, L.M., Ramanathan, V., Reyers, B., Sörlin, S., 2015. Planetary boundaries:
1609 Guiding human development on a changing planet. *Science* 1259855.
1610 <https://doi.org/10.1126/science.1259855>

1611 Steinacher, M., Joos, F., Frölicher, T.L., Plattner, G.-K., Doney, S.C., 2009. Imminent ocean
1612 acidification in the Arctic projected with the NCAR global coupled carbon cycle-climate
1613 model. *Biogeosciences* 6, 515–533. <https://doi.org/10.5194/bg-6-515-2009>

1614 Stocker, T.F., Qin, D., Plattner, G.-K., Tignor, M., Allen, S.K., Boschung, J., Nauels, A., Xia, Y.,
1615 Bex, V., Midgley, P.M., 2013. *Climate change 2013: The physical science basis*. Intergov.
1616 Panel Clim. Change Work. Group Contrib. IPCC Fifth Assess. Rep. AR5Cambridge Univ
1617 Press N. Y.

1618 Sue, S. (Ed.), 2009. *Handbook of Input-Output Economics in Industrial Ecology*.

1619 Sutton, M.A., Howard, C.M., Erisman, J.W., Billen, G., Bleeker, A., Grennfelt, P., van Grinsven, H.,
1620 Grizzetti, B. (Eds.), 2011. *The European Nitrogen Assessment Sources, Effects and Policy*
1621 *Perspectives | Climatology and climate change*. Cambridge University Press, Cambridge,
1622 UK, New York, USA.

1623 Trumper, K., Bertzky, M., Dickson, B., van der Heijden, G., Jenkins, M., Manning, P., 2009. The
1624 Natural Fix? The role of ecosystems in climate mitigation. A UNEP rapid response
1625 assessment. United Nations Environment Programme, UNEP-WCMC, Cambridge, UK.

1626 Tukker, A., Bulavskaya, T., Giljum, S., de Koning, A., Lutter, S., Simas, M., Stadler, K., Wood, R.,
1627 2014. *The Global Resource Footprint of Nations*. Carbon, water, land and materials

1628 embodied in trade and final consumption calculated with EXIOBASE 2.1. Organisation for
1629 Applied Scientific Research / Vienna University of Economics and Business / Norwegian
1630 University of Science and Technology, Leiden/Delft/Vienna/Trondheim.

1631 UNEP, 2012. Global Environment Outlook 5 (GEO-5). UNEP, Nairobi, Kenya.

1632 UNPD, 2013. World Population Prospects: The 2012 Revision. United Nations, Department of
1633 Economic and Social Affairs, Population Division, New York.

1634