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Discordance between diagnosis tools for assessing eczema in infants: a challenge for intervention trials

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Discordance between diagnosis tools for assessing eczema in infants:

a challenge for intervention trials

3 ABSTRACT

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- 4 Background: There is no standardised definition for infant eczema and various tools have been used across
- 5 studies, precluding direct comparison.
- 6 **Objective**: To assess and compare the accuracy of diagnostic tools for infant eczema using the extensive
- 7 data collected in MIS BAIR, an eczema prevention trial.
- 8 Methods: Eczema incidence was assessed by three questionnaire-based measures: modified UK diagnostic
- 9 tool, parent-reported medically diagnosed eczema and parent-reported use of topical steroids. Agreement
- between the definitions was quantified using kappa coefficient. Eczema severity was assessed by 3-monthly
- 11 POEM scores and a SCORAD clinical assessment at a 12-month visit. ClinicalTrial.gov: NCT01906853.
- 12 Results: Among the 538 participants fulfilling at least one of the three questionnaire-based eczema
- definitions, only 197 (37%) participants met all three definitions. Agreement between the definitions was
- poor with kappa coefficients ranging from -0.11 to 0.62. The most frequently reported symptoms were
- 15 generally dry skin (483/538, 90%) and pruritus (400/538, 74%). The face (352/538, 65%) and the trunk
- 16 (306/538, 57%) were more frequently affected than the creases (257/538, 48%). Participants fulfilling all
- 17 three questionnaire-based definitions of eczema were more likely to have higher severity scores and earlier
- 18 onset of symptoms.
- 19 **Conclusions**: There is poor agreement between currently available tools for assessing infant eczema.

Capsule summary

- Only 37% of 538 infants with eczema fulfilled all of three widely used definitions (modified UK diagnostic tool, medically diagnosed, and topical steroids use)
- Caution should be exercised in the interpretation of the results of eczema prevention trials in light of the imprecision of the currently available definitions.
- Improved diagnostic tools are needed for assessing infant eczema in trials.

26 **Key words**

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- 27 Atopic dermatitis; patient-oriented eczema measure, POEM; prevention; SCORing Atopic Dermatitis scoring
- 28 system, SCORAD; William's UK diagnostic criteria.

29 Word count

30 2484 words, 21 references, 3 tables, 4 figures, 1 appendix.

INTRODUCTION

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- 32 An increasing number of clinical studies are assessing preventive interventions to address the rise in atopic
- diseases. Various definitions are used for eczema, each of them having limitations, particularly in infants. I
- 34 Although the Hanifin and Rajka criteria are considered by many as the standard,⁴ they are complex and
- inconvenient to use in non-hospital settings. Simpler tools, such as the UK diagnostic criteria, are commonly
- used in clinical studies.^{2, 3} There is a need for a standardised case definition for eczema, particularly in
- infants, that are easy to use in clinical trials.
- 38 One aim of the Melbourne Infant Study: BCG for allergy and infection reduction (MIS BAIR) trial was to
- 39 determine whether neonatal bacille Calmette-Guérin (BCG) vaccination reduces the prevalence of eczema
- 40 in the first years of life. 5, 6 The primary outcome for the cumulative incidence of eczema at one year of age
- 41 was defined using the modified version of the UK diagnostic tool, 7,8 and various other definitions were used
- 42 for secondary outcomes.⁵ Here we used the data from the MIS BAIR trial to highlight the discordance
- between a range of easy-to-use eczema definitions, and their limitations. We also explored the severity of
- 44 disease across the various outcome measures.

METHODS

46 The MIS BAIR trial

- 47 MIS BAIR is a randomised controlled trial of 1272 infants allocated at birth to receive BCG or no BCG
- 48 vaccine and followed up for at least 12 months, in Victoria, Australia. Parents were asked to complete
- online questionnaires using REDCap⁹ at 3, 6, 9 and 12 months of age, and to attend a 12-month clinic visit.^{5,}
- ⁶ Because BCG causes a visible scar, families were aware of the group allocation, but the statisticians and
- 51 the research nurses doing the 12-month visit remained blinded.

52 Eczema measures

- Tables 1 and 2 list the tools used to measure the incidence and severity of eczema, respectively, and
- 54 highlight their respective strengths and limitations. Further details on questionnaires and outcome
- definitions can be found in the supplementary appendix. Briefly, the cumulative incidence of eczema was
- assessed using three different questionnaire-based measures: (A) a modified version of the UK diagnostic
- 57 tool;^{7,8} (B) parent-reported medically diagnosed eczema; and (C) parent-reported use of topical steroids. A
- fourth measure was (D) clinical assessment by an intervention-blinded research nurse at the 12-month visit.
- 59 In the MIS BAIR trial, the modified version of the UK diagnostic tool (A) was the primary outcome measure
- 60 for eczema; the other definitions (B, C, and D) were reported as secondary outcomes, including a
- 61 combination of A and B, reported as the 'extended definition of eczema'.⁵
- 62 Eczema severity was estimated by the Patient Oriented Eczema Measure (POEM) score, ¹⁰ included in each
- 63 3-monthly questionnaire, and the SCORing Atopic Dermatitis scoring system (SCORAD)¹¹ assessed by the
- research nurse at the 12-month visit.

Statistical analysis

- Agreement between the diagnostic tools was quantified using kappa coefficient, categorised as previously
- 67 defined.¹² The proportion of participants reporting eczema symptoms (e.g. pruritus, dry skin) was assessed
- 68 in the 538 participants fulfilling at least one of the questionnaire-based eczema definitions (A, B, or C;
- 69 hereafter defined as 'having eczema'), as well as within each group and subgroup of definitions. The age at
- 70 which eczema symptoms occurred, was taken as the time point (either 3, 6, 9, or 12 months) at which the
- 71 participant first reported a given symptom. The mean (and standard deviation, SD) time point was
- 72 calculated within each group and subgroup, as well as the mean POEM and SCORAD scores. Stata v.16
- 73 (StataCorp, College Station, Texas) was used for analysis.

74 Ethics and registration

- 75 This trial was done in accordance with NHMRC National Statement on Ethical Conduct in Human Research
- 76 (2007) and the Note for Guidance on Good Clinical Practice (CPMP/ICH-135/95) and was approved by the
- 77 Mercy Health Human Research Ethics Committee (R12-28) and all participating hospitals. A parent of each
- 78 participant gave written informed consent prior to inclusion. ClinicalTrial.gov: NCT01906853.

RESULTS

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80 Baseline characteristics and follow-up

- 81 Characteristics of the 1272 infants in the trial are presented in Table 3. Most families reported a history of
- atopic disease (83%, 1049/1271), reflecting the trial's inherent selection bias in recruiting to an allergy
- prevention trial. Most participants completed all four 3-monthly questionnaires (86%, 1091/1272), and
- 84 attended the 12-month visit (86%, 1094/1272) at a median age of 1.1 years old (IQR 1.1 to 1.2).

Eczema incidence in the first year of life

- 86 Overall, 370 of 1103 participants (34%) fulfilled the modified UK diagnostic criteria for eczema in the first
- year of life (data missing for 169 participants); an additional 290 participants reported 3 to 4 minor criteria
- but never reported pruritus (the major criterion). Parents of 337 of 1164 participants (29%) reported a
- medical diagnosis of eczema (data missing for 108 participants), and 377 of 991 participants (38%) reported
- 90 using topical steroids (data missing for 281 participants).
- 91 Discordance between the three eczema definitions is illustrated in Figure 1, as well as the additional
- 92 participants who, while having 3 to 4 minor criteria, did not fulfil the UK diagnostic criteria as they did not
- 93 report pruritus. Among the 538 participants fulfilling at least one of the three questionnaire-based eczema
- 94 definitions ('having eczema'), there were only 197 participants (37%) who met all three definitions. The
- 95 agreement between definitions was poor with low kappa coefficient (Figure 2), except between parent-
- 96 reported medical diagnosis and topical steroid use (kappa 0.62). The agreement between the point
- 97 prevalence of active eczema as assessed by the research nurse at the 12-month visit and the questionnaire-
- 98 based tools is shown in Figure S1 (supplementary appendix).

Eczema symptoms and distribution

- 100 The symptoms reported from participants in the different groups and subgroups of eczema definitions are
- presented in Figure S2 (supplementary appendix). Pruritus (74%, 400/538) and generally dry skin (90%,
- 483/538) were reported by a high proportion of all participants with eczema. Creases were affected in 48%
- 103 (257/538) of participants with eczema; 70% (137/197) of those fulfilling all three definitions. The face (65%,
- 104 352/538) and the trunk (57%, 306/538) were the most commonly reported affected areas in participants
- with eczema, affecting 84% (165/197) and 78% (153/197) of infants fulfilling all three definitions,
- 106 respectively.

Age of onset

- 108 The mean age of reported eczema symptoms in the 3-monthly questionnaire across the different sub-
- groups are presented in Figure 3. Participants reporting symptoms at a younger age were more likely to
- 110 fulfill all three questionnaire-based definitions of eczema. Participants who were medically diagnosed only
- or who were reporting steroid use only and met less than 3 minor UK criteria were more likely to have a
- 112 later onset of eczema. Among the symptoms reported, involvement of the face was reported at a mean age
- of 4.9 months (SD 2.7), involvement of the trunk was reported at a mean age of 6.6 months (SD 3.0),
- involvement of the creases was reported at a mean age of 6.1 months (SD 2.8). Generally dry skin was
- reported at a mean age of 5.1 months (SD 2.7), whereas pruritus was reported at a mean age of 6.9 months
- 116 (SD 3.0).

117 **Severity scores**

- 118 Eczema severity measured at the 12-month SCORAD assessment and by the 3-monthly POEM scores are
- 119 shown in Figure 4. Participants fulfilling all three questionnaire-based definitions of eczema were more
- 120 likely to have higher severity scores. Participants who only reported using steroids and/or having 3 to 4
- 121 minor UK diagnostic criteria without reporting pruritus and had not been medically diagnosed had low
- 122 SCORAD scores.

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DISCUSSION

- 124 In the absence of an agreed easy-to-use tool to reliably estimate the cumulative incidence of eczema in
- 125 infancy, various definitions have been used.² Using the data from our large prevention trial, we found
- 126 discordance between three different measures of infant eczema, with only approximately one third of
- 127 participants with presumed eczema (as defined as those fulfilling at least one of the three questionnaire-
- 128 based eczema definitions) meeting all three definitions (modified UK diagnostic criteria, parent-reported
- 129 medically diagnosed, and topical steroids treatment).
- The results of previous studies of the cumulative incidence of eczema have been prone to similar 130
- 131 discordance.¹³⁻¹⁵ In a cross-sectional study of infant allergy, among the 2129 infants who fulfilled at least
- 132 one of the measures of eczema, only 20% fulfilled all three measures (parent-reported medically diagnosed
- 133 eczema, parent-reported itchy rash treated with topical steroids, and objective assessment of eczema at 12
- months of age).¹³ In another RCT investigating the effect of neonatal BCG on eczema incidence, there were 134
- 135 discrepancies between the number of participants with parent-suspected eczema, parent-reported
- 136 medically diagnosed eczema, parent-reported use of topical steroids, and clinical assessment of eczema at
- a 13-month visit; no details were provided on the overlapping rates. ¹⁴ In a cohort study, among the 70 137
- infants who fulfilled at least one of the eczema definitions at 12 months of age, only 18 (26%) were positive 138
- 139 for all four measures (Hanifin and Rajka criteria, Schultz and Larsen criteria, UK diagnostic criteria, and
- 140 objective assessment using study-defined criteria) with no clear pattern of agreement or disagreement
- 141 between tools.¹⁵ Interestingly, another 18 participants of the 70 (26%) were positive for the objective
- 142 assessment only, and negative for all questionnaire-based tools.
- 143 Discordance in categorisation by definition tools might reflect a different ability to distinguish between
- 144 atopic and non-atopic eczema; transient eczematous rashes occur in a high proportion of infants, but only a
- 145 small proportion will have eczema after the age of 3 years. 16
- 146 One of the major limitations of the UK diagnostic tool in the MIS BAIR trial is the constraints inherent in its
- 147 use in infants. Having been developed for use in older children and adults, the score requires the subject to
- 148 have itch (major criterion). This excludes the many infants with eczema who do not have significant itch or
- 149 might not yet be developmentally capable to scratch themself. Also, the minor criteria are primarily based
- 150 on flexural lesions which is not the typical distribution in infancy. Infants often have eczema predominantly
- on the trunk [not included anywhere in the criteria] and face [which is included in the minor criteria of the 151
- modified version]. 16, 17 As parents are considered able to accurately report eczema in their infants, 18 two of 152
- 153 the minor criteria are virtually the same. Finally, when recruiting to an eczema prevention trial, participants
- 154 are more likely to have a family history of atopic disease, which results in most participants having at least
- 155 one minor criterion when using the modified version.
- 156 Relying only on parent-report of medically diagnosed eczema seems insufficient. Parents may not seek
- 157 medical attention, or may have differing thresholds for seeking attention, particularly atopic families who
- 158 know how to manage eczema. Moreover, the accuracy of the data is dependent on the ability of individual
- 159 healthcare workers to diagnose eczema, as well as on parental interpretation of the doctor's explanation.
- 160 This measure captures all grades of severity of eczema and therefore does not differentiate severe from
- 161 transient forms. It is likely to capture transient eczema that is not necessarily atopic dermatitis.

- Parent-reported use of topical steroids is attractive as a possible indirect and approximate measure of
- eczema, as it should capture cases of clinically relevant eczema. It is also a reflection of health service use.
- As we have witnessed in the MIS BAIR trial, limitations of this measure include the possibility of parents
- 165 confusing other topical treatments with steroids, that it includes self-prescribed steroids available over the
- 166 counter, and that it includes steroids given for other indications (e.g. cradle cap or diaper rash). We
- partially corrected for this latter problem by assuming that diaper rash rather than eczema was the likely
- diagnosis in any infant treated solely with a mild steroid / antifungal combination. In some countries a
- 169 further limitation of this possible measure is that eczema is mostly treated with emollients during infancy
- to avoid steroid use.
- 171 Clinical assessment of active lesions by study staff is a robust measure that can be based on objective
- criteria and is considered as the gold standard.^{3, 19, 20} Training of assessors can ensure consistency. However,
- this measure is limited as it only captures participants with lesions on the day of the visit. It is also strongly
- influenced by the seasonal fluctuation of eczema symptoms and the quality of management, as even severe
- eczema can have limited manifestations on the visit day when well treated. This measure estimates a point
- 176 prevalence of active eczema, and therefore the agreement rate with questionnaire-based tools should be
- interpreted cautiously as the latter measures cumulative incidence of eczema disease over a longer period.
- 178 Among the numerous diagnostic tools proposed to define eczema, the Hanifin and Rajka score is the
- oldest.⁴ Designed by clinicians for clinicians, this score is not practical to use in clinical studies as it includes
- 27 criteria, some of which are cumbersome, require biological testing or expert examination (e.g.
- ophthalmologist). The score was found to be inconvenient in non-hospital settings, and therefore inspired
- the development of simpler tools. Among them, the UK diagnostic tool is probably the most widely used in
- eczema prevention trials;² the International Study of Asthma and Allergies in Childhood (ISAAC) tool is
- usually preferred in large epidemiological surveys, being based on 3 simple questions,²¹ but it performs
- poorly on an individual level.³ Finally, the recently designed questionnaire-based REACH diagnostic tool
- does not live up to its name (Reliable Estimation of Atopic dermatitis in Childhood) in that it is not suitable
- in infants as it does not consider the typical infant distribution of eczema lesions.²²
- 188 In our setting, a combination of the modified UK diagnostic tool and parent-reported medically diagnosed
- 189 eczema (called the extended definition of eczema) may be the most suitable as it captured the children
- with the highest SCORAD score at the 12-month visit. Although the use of the UK diagnostic tool in infants
- appears limited by pruritus being a major criterion, in our trial over 74% of the infants meeting at least one
- of the three questionnaire-based eczema definitions reported pruritus at least once, mostly after 6 months
- of age. Moreover, most non-itchy infants who fulfilled 3 to 4 minor criteria had overall low severity scores,
- suggesting only very mild or no eczema, and the agreement rate with the other eczema definition tools was
- 195 poor.
- 196 Based on our findings, we propose that revised eczema diagnosis criteria for infants should: (i) better
- consider the typical distribution of eczema in infancy, namely the convexities, such as the trunk and the
- 198 face; and (ii) include a minimum duration of dermatitis to exclude most transient erythematous self-
- 199 resolving eruptions in infants.
- We plan to re-evaluate the incidence of eczema and other atopic manifestations in MIS BAIR participants at
- 5 years of age to determine which of the tools best predicts which infants had mild transient eczema, and
- which have severe persisting eczema, since the main aim of a diagnostic tool would be to predict the latter.
- 203 Research on the prevention of eczema has been hampered by inconsistency in outcome definitions,² and
- our data underline the need for the development of more reliable tools to diagnose infant eczema. Our
- data also show that the face and the trunk, which are rarely considered in the eczema definition tools
- available, are more commonly affected than the creases in this age group. A robust tool to diagnose infant
- 207 eczema would enable preventive interventions to be more accurately assessed in trials, and to be
- compared with one another.

References

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Tables

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Table 1: Eczema definition tools used in the MIS BAIR trial

	Description	Advantage	Limitations
A. Modified UK diagnostic criteria	Major criterion (mandatory): itchy skin reported at any 3mQ. Minor criteria (3 or more of the following): 1. History of involvement of the skin creases or cheeks, at any 3mQ 2. History of atopic disease (asthma, hay fever or eczema) in a first-degree relative 3. History of a general dry skin, at any 3mQ 4. Visible flexural eczema or eczema involving the head or limbs, at any 3mQ	 Well known Widely used Simple and convenient 	 Less relevant for infant eczema: often not accompanied by itch flexural involvement less significant involvement of non-flexural areas (such as torso) is common but not included in the criteria Theoretically requires a clinic visit for the minor criterion 4, although parents are considered able to accurately report dermatitis in their infants¹⁸ Low specificity, limited ability in differentiating from other itchy skin rashes higher false positivity rate in scabies-endemic areas
B. Parent-reported medically diagnosed	Positive answer to the following question in any of the 3mQ "Has [child's-name] ever been diagnosed with eczema?"	Single questionInvolves medical assessment	 Families may not seek medical attention Depends on healthcare worker's experience Susceptible to parental misunderstanding Various severity, as detecting any eczematous lesion, not necessarily atopic dermatitis
C. Parent-reported use of topical steroid	Positive answer to the following question at any of the 3mQ "Have you used any topical steroids on [child's-name]'s skin in the last 3 months?" AND for the following question "What is the name of the steroid cream you have used?", the participants did not list only creams that do not contain steroids (e.g. emollient), or steroid-containing creams that are not typically used in isolation to treat eczema (e.g. steroid-containing cream for diaper rash)	Simple questionCaptures clinically significant lesions	 Can be confused with other topical agent Topical steroid use could be for diaper rash or cradle cap In some countries, eczema is mostly treated with emollient during infancy to avoid steroid usage
D. Researcher- diagnosed eczema	Participant diagnosed with eczema by the study nurse at the 12-month study visit, defined as a SCORAD score of 10 or more (mild to severe, see Table 2).	 Robust Based on validated criteria Use of same assessment can ensures consistent measure 	 Only captures lesion present on the day of visit may miss seasonal fluctuation of eczema symptoms Depends on management of eczema, lesions may be absent if the disease is well treated Captures any eczema lesion, not necessarily atopic dermatitis

3mQ: 3-monthly questionnaires. Please refer to supplementary appendix for details on questionnaire wordings and outcome definition.

Table 2: Eczema severity assessment tools used in the MIS BAIR trial

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	Description	Advantage	Limitations
POEM score	The POEM score is calculated using data from the 3mQ "The following questions relate to how much of [child's name]'s last week has been affected by itchy skin or eczema. Please answer the following questions in relation to the last week How many days has [child's-name]'s skin been a. itchy, b. bleeding, c. weeping or oozing clear fluid, d. cracked, e. flacking off, f. felt dry or rough because of eczema/dry itchy skin? How many nights has [child's-name]'s sleep been disturbed because of eczema/dry itchy skin? Scoring for each of the 7 criteria listed above: No days = 0, 1-2 days = 1, 3-4 days = 2, 5-6 days = 3, every day = 4 Interpretation: 0-2 = clear, 3-7 = mild, 8-16 = moderate eczema, 17-24 = severe, 25-28 = very severe	·	 Question on pruritus not reliable for young infants (unable to scratch themselves) Question on sleep disturbance can be misinterpreted by parent as asking for "overall sleep disturbance" that is frequent in infancy Depends on management of eczema, score can be low if the disease is well treated Only captures the previous week's severity Relatively complex scoring system
SCORAD	Sub-score A. Area: Percentage of the whole body affected Sub-score B. Intensity: On a representative area, an intensity score is given to each of the following signs (none = 0; mild = 1; moderate = 2; severe = 3): a. erythema (redness), b. oedema/papulation (swelling), c. oozing/crusting, d. excoriation (scratching), e. lichenification (skin thickening), f. xerosis (dry skin, assessed in another area where there is no inflammation). Sub-score C. Subjective symptoms: two questions in relation to itching and sleeping in the last week of the participant's life. Each question was given a value from unaffected (0) to worst possible (10).	Widely used score	 Requires clinic visit and trained staff Depends on management of eczema, score can be low if the disease is well treated Only captures the severity on the day of the clinic visit erythema does not occur with all skin types (e.g. dark skin) Sub-score C is highly subjective Question on sleep disturbance can be misinterpreted by parent as asking for 'overall sleep disturbance' that is frequent in infancy Relatively complex scoring system

³mQ: 3-monthly questionnaires; POEM: Patient Oriented Eczema Measure score¹⁰; SCORAD: SCORing Atopic Dermatitis scoring system.¹¹

Please refer to supplementary appendix for details on questionnaire wordings and outcome definition.

Table 3: Participant characteristics

	N*	N (%) or mean (SD)#
Sex, female	1272	630 (50%)
Birth weight, kg	1272	3.4 (0.5)
Gestational age at birth, week	1272	39.3 (1.4)
Vaginal delivery	1272	812 (64%)
Family history of eczema	1270	514 (40%)
Family history of hay fever	1270	836 (66%)
Family history of asthma	1269	615 (48%)
Family history of any atopic disease [†]	1271	1049 (83%)
Family history of any allergy or atopic disease	1262	1070 (85%)
Family history of any non-food allergy [‡]	1231	306 (25%)

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^{*} Number of participants with data available.

[#] Categorical variables are reported as number (%), continuous variables are reported as mean (standard deviation).

[†] Any of eczema, hay fever, asthma.

[‡] Does not include hay fever.

271	Figure 1: Distribution of participants fulfilling eczema definitions.
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273	Figure 2: Agreement between eczema definitions
274 275 276	Agreement between eczema diagnostic tools is quantified using kappa coefficients, categorised as previously defined. "3-4 minor UK criteria non-itchy": participant fulfilling 3 to 4 minor criteria for the UK diagnostic tool but non-itchy (not fulfilling the major criterion).
277	Figure 3: Mean age of onset of eczema symptom in the different subgroups
278 279 280	Heatmap of mean time of first report of any eczema symptom in a 3-monthly questionnaire in subgroups of eczema definitions, presented in the same Venn diagram of Figure 1. Numbers are the mean time of first report within the sub-group, in months (m). Details available in Figure S2 (supplementary appendix).
281	Figure 4: Mean SCORAD scores in the different subgroups
282 283 284	Heatmap of SCORAD score at the 12-month visit in subgroups of eczema definitions, presented in the same Venn diagram of Figure 1. Numbers are the mean score within the sub-group. Details available in Figure S3 (supplementary appendix).

Figure legends