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Computational approaches to habits in a model-free world

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Model-free (MF) reinforcement learning (RL) algorithms account for a wealth of neuroscientific and behavioral data pertinent to habits; however, conspicuous disparities between model-predicted response patterns and experimental data have exposed the inadequacy of MF-RL to fully capture the domain of habitual behavior. We review several extensions to generic MF-RL algorithms that could narrow the gap between theory and empirical data. We discuss insights gained from extending RL algorithms to operate in complex environments with multidimensional continuous state spaces. We also review recent advances in hierarchical RL and their potential relevance to habits. Neurobiological evidence suggests that similar mechanisms for habitual learning and control may apply across diverse psychological domains.

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Introduction

The advantages of habits have been recognized since the founding days of experimental psychology, when William and Harter summarized the results of their seminal experimental studies on habit learning in telegraphers, noting that their participants had ‘no useful freedom for higher language units [sentences] which [they have] not earned by making the lower ones automatic’ [1]. Their characterization of habits has influenced scientific inquiry to this day. In general, the execution of a single goal (preparing a favorite dish) might involve assembly of several frequently performed subtasks (e.g. turning the stove element on, or salting the boiling water) that are

habitual in nature. Requiring minimal cognitive effort, relying on habits releases cognitive resources that can be applied to more demanding tasks. But there’s no free lunch; the computational benefits of habits come at the cost of relative inflexibility, occasionally rendering behavior maladaptive if ingrained habits are difficult to overcome. Thus, adaptive behavior is generally argued to require a balanced mixture of habitual efficiency and goal-directed flexibility.

Current computational models of habit learning can be categorized according to their emphasis on three distinct aspects of habit learning. One category of models aims to capture the mechanisms of improving the accuracy and efficiency of motor movements. Challenged with noisy or delayed feedback, error-based learning mechanisms improve forward models, which make predictions about the outcome of motor movements, taking into account that both the body and its surrounding environment may have moved between the initiation of a motor command and its completion [2] (for a review, see Shadmehr *et al.* [3]). A second category of models focuses on use-dependent learning [4]. These models predict that habitual behaviors evolve merely from extended context-dependent repetition of a behavior [5,6].

Reinforcement learning (RL) algorithms represent a third category of computational models. In this context, habitual behavior occupies the middle ground between learned reflexes and goal-directed behavior. Learned reflexes are stereotyped such that sensory stimuli have innate activating tendencies, such as quickly withdrawing one’s hand after noticing its placement on a stove-top before realizing that the stove top is cold. In contrast to reflexes, both habitual and goal-directed learning produces behavior not previously associated with a stimulus [7]. Goal-directed behavior is produced because it is expected to lead to a desirable outcome [8]. In contrast, habitual behavior is not produced because of an expectation of a particular outcome, but because its execution in a particular context has been consistently reinforced, resulting in the acquisition of stimulus–response (S–R) associations, as proposed by Thorndike’s law of effect [9], or Hull’s later drive reduction theory [10].

Two alternative algorithmic accounts have attempted to parsimoniously approximate habitual and goal-directed behavior. They have assumed that goal-directed behavior is the result of the belief in a causal association based

upon the rate of responding and the rate of reward [11], or the result of careful deliberation involving a cognitive model of environmental contingencies (model-based RL, MB-RL) [12,13]. Both accounts posit that habitual behavior can be approximated with model-free RL (MF-RL). MF-RL algorithms come in different flavors [14], but share the common principle that an action's value (representing habit strength) is determined by its reinforcement history whereby appetitive and aversive outcomes strengthen and weaken a habit respectively [15,16]. In the following, we review how the MF-RL account of habitual behavior handles three key experimental manipulations: its ability to approximate persistent responding after a previously desired outcome of an action has been devalued, or action-outcome contingencies have changed, as well as rapid reinstatement of behavior when rewards are reintroduced after extended periods without reinforcement. We then extend the developed framework to return to hierarchies of habits in other, more complex task domains.

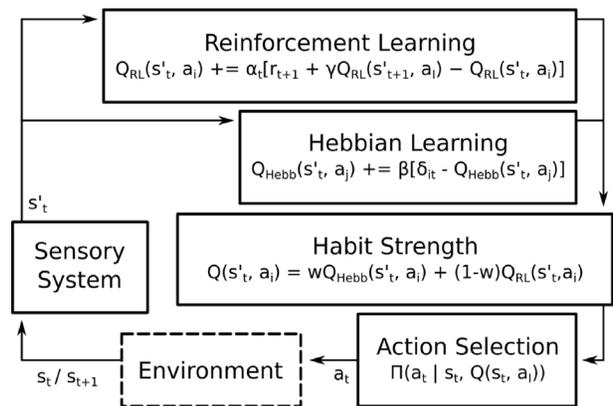
Model-free reinforcement learning

The benchmark for habits has traditionally been their insensitivity to outcome devaluation [17–20] and action-outcome contingency degradation [21–23]. MF-RL successfully captures the insensitivity to outcome devaluation (induced by for example pairing the outcome with illness), which is tested under extinction; as long as the devalued outcome is not re-experienced as a reinforcer of the learned action, subjects reduce the rate of responding merely because of the lack of reinforcement, but without additionally taking into account the devalued outcome [13].

However, MF-RL has difficulty accounting for the insensitivity of habitual behavior to changes in action-outcome contingencies during two distinct types of behavioral procedures, action-outcome contingency degradation and omission training. During action-outcome contingency degradation, subjects experience an increase in non-contingent reward delivery. MF-RL incorrectly predicts that animals are sensitive to non-contingent reward delivery, as long as the experimental protocol allows for alternative behaviors (e.g. grooming, rearing) to be reinforced. At the same time, MF-RL also has difficulty explaining the resilience of behavior during omission training, when they experience an increase in non-reinforced behavior. Here, MF-RL incorrectly predicts that the ensuing negative reward prediction errors (RPEs) lead to a rapid reduction in habitual response rates if behavior is no longer reinforced contiguously.

Two modifications to generic MF-RL enable it to account for this insensitivity to action-contingency degradation (see Figure 1). First, many MF-RL implementations assume that the amount of learning is constantly proportional to RPE magnitude, independent of whether

Figure 1



Habit strength as the combined result of Hebbian learning (e.g. [6]) and RL (e.g. sarsa [16]). The sensory system interprets the state s of the environment at time t as s_t , according to internal needs, goals, or beliefs about hidden states of the environment. The rate α_t of RL is reduced by the experience of stable contingencies (e.g. [26]). According to Hebbian learning, whether an action a_j is strengthened or weakened at rate β , depends on whether a_j was selected at time t ($\delta_{it} = 1$, if $a_j = a_t$, else $\delta_{it} = 0$). Which action is executed (a_t) is the result of weighting alternative actions a_j (e.g. pulling a chain or pressing a lever). w weights the respective contribution of Hebbian learning and RL mechanisms to behavior. λ is a temporal discounting factor. In an alternative implementation, learning may itself be the combined effect of Hebbian learning and RL [30**].

RPEs are experienced early or late in training. Existing approaches to this limitation either suggest faster learning rates for acquisition than for unlearning [24], or a decrease in learning rates with extended experience of stable contingencies [25,26]. The latter proposal of experience-dependent variations in associability has successfully explained behavioral effects of backward blocking [27] and attenuated learning after forward blocking [28].

A second modification offers an opportunity for a unification of use-dependent learning [4,6] and MF-RL models of habits. Assuming that synaptic plasticity is the result of Hebbian learning mechanisms ubiquitous throughout the brain [29], RPEs are thought to be modulating the rate of synaptic plasticity [30**,31]. The contiguous expression of habitual behavior would therefore further ingrain a habit via Hebbian processes after the learned value of an action matches the value of the reinforcer and RPEs are no longer experienced [32]. At the same time, this implies that Hebbian learning may lead to the acquisition of distinct stimulus–response associations, encoded in synapses that are less susceptible to RPE driven plasticity [33]. Hebbian learning is thus a primary candidate mechanism for explaining insensitivity to outcome contingency degradation. Furthermore, in addition to accounting for the insensitivity of habits to action-outcome contingencies, Hebbian learning mechanisms also predict that the

contiguous expression of goal-directed behavior may eventually render behavior habitual.

Other computational models of habit learning have focused on characterizing the behavioral context of a habit. Instead of simulating behavior in discrete state space environments, with individual states and a set of actions to transition among states, these models operate in RL environments consisting of continuous state spaces with a temporally evolving behavioral context that has multiple dimensions and attributes, and a set of actions that can affect particular aspects of states. These models posit that internal goals, and beliefs about hidden states of the environment, influence the interpretation of the behavioral context [34,35]. In doing so, these models can account for the rapid reinstatement of behavior after extended periods without reinforcement during an extinction test: rather than unlearning, agents instead assume that the context has changed, preserving existing stimulus–response associations, but temporarily rendering them irrelevant in the extinction context [34]. Enabling MF-RL algorithms to learn about the relevant dimensions of the behavioral context has significant implications for computational psychiatry [34], but also leads to qualitative performance increases in artificial cognitive architectures [36,37].

Hierarchical integration of behavior

A separate but related question is whether and how animals assemble habits hierarchically to efficiently solve familiar tasks with minimal oversight [1]. In continuous state space models with multidimensional behavioral contexts, RL and Hebbian learning mechanisms independently contribute to the aggregation of individual responses, which become associated with overlapping context characteristics. Model-free hierarchical RL (MF-HRL) [38,5] provides a formal account of how agents may learn to aggregate actions into reusable sub-routines and skills, and how agents can identify the potential relevance for action routines to be applied to a wide range of future problems. Similar to MF-HRL, hierarchical dual system models have focused on how the acquisition of action sequences leads to saltatory behavioral control, where actions within each sequence are no longer evaluated individually [39^{*}]. Reversion to goal-directed control occurs when action sequences no longer lead to the desired goals, prompting the sequence to be decomposed into its constituent actions for reevaluation. A complementary account posits that goals may be selected according to their model-free values, but that goal-directed planning is deployed to attain desired outcomes [40^{*}]. Thus, these hierarchical models differ in their formalization of the trade-off between flexible goal-directed actions and computationally efficient habits when it comes to goal selection, deliberation, and monitoring.

By assuming a hierarchical integration of habitual and goal-directed systems, these models go beyond existing proposals for arbitration mechanisms that determine the contribution of either system to behavior. Arbitration models differ in their assumption about the criteria by which an arbitrator weights the contribution of each system (e.g. the respective uncertainty or expected inaccuracy [13], or reliability of the two systems [41], based on cost–benefit analyses [42,43], or based on deviations of the reward rate from the expected reward rate [6]). Because of the significant computational effort associated with evaluating the performance and predictions of constituent models during arbitration, these models do not speak to the benefits of hierarchical integration of behavior.

Biological substrates of habitual behavior

Although MF-RL approximates habit learning on the algorithmic level of analysis [44], significant progress has also been made in characterizing analogous neuro-computational mechanisms across mammalian species (for a review, see [45]). Briefly, convergent inputs to the midbrain dopamine system [46,47^{**}] drive phasic activity of dopamine (DA) neurons that resembles a RPE learning signal [48–51]. These dopaminergic signals modulate synaptic plasticity in the striatum [52^{*},53,54], leading to the acquisition of stimulus–response associations in the dorsolateral striatum (DLS) [23,55,56].¹ Other evidence suggests that habits eventually become independent of striatal and dopaminergic mechanisms, relying instead on cortical areas [33]. Still more evidence suggests that the rodent infralimbic cortex [60], or human subgenual cortex [20], represents values of actions, in terms of their reinforcement history, to mediate the incremental ability of habits to out-compete goal-directed behavior after over-training.

In sum, the available evidence converges on the idea that the striatum learns to act as a gate-keeper for tentative motor plan representations in posterior frontal cortex through RL and Hebbian mechanisms. Decisions as to whether to execute a motor plan rely on highly convergent input to the striatum, including action value representations in ventromedial frontal cortex. Interestingly, several lines of research indicate a regional specialization within the striatum for diverse psychological functions [61^{*}]. Critically, anatomical studies in primates [62] and rodents [63] describe topographically organized circuit architectures analogue to those supporting stimulus–response behavior. Topographic connections among the functionally organized frontal cortex [64] with distinct striatal regions may provide some of the neural architecture required to support hierarchical integration between

¹ DA also plays a role in model-based RL [57], working memory [58], and synaptic plasticity in motor cortex during acquisition of motor skills [59].

goal-directed and habitual behavior. At the same time, this topography may support habits in diverse psychological domains [65^{*}], potentially including psycho-linguistic habits [66].

Conflict of interest statement

Nothing declared.

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